

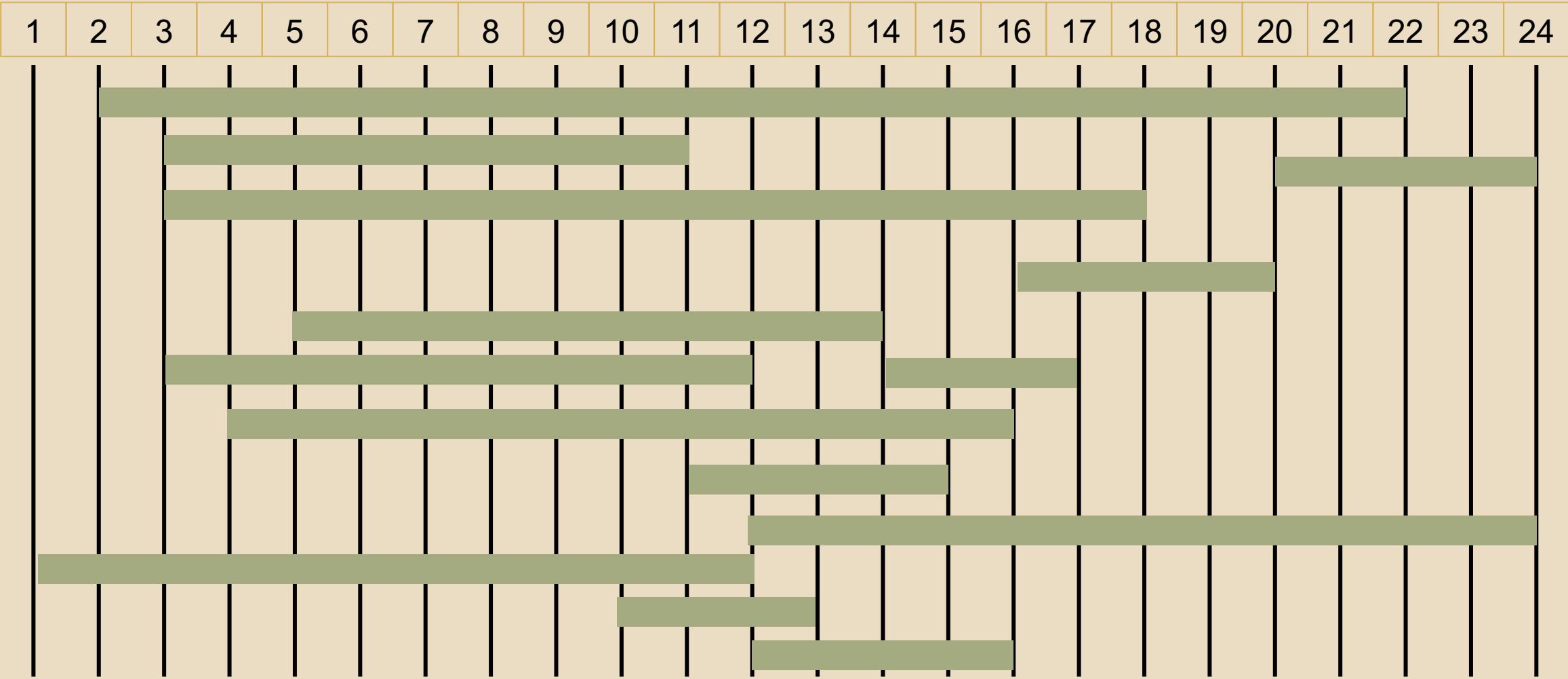
## COL351: Slides for Lecture Component 13

*Thanks to Miles Jones, Russell Impagliazzo, and Sanjoy Dasgupta at UCSD for these slides.*

# EVENT SCHEDULING

- You are running a cookie conference and you have a collection of events (or talks) that each has a start time and a finish time.
- Oh no!!! You only have one conference room!!!
- Your goal is to *schedule the most events possible that day such that no two events overlap.*

# EVENT SCHEDULING



# EVENT SCHEDULING SPECIFICATION

- Instance:
- Solution format:
- Constraints:
- Objective:

# EVENT SCHEDULING

Your goal is to schedule the most events possible that day such that no two events overlap.

- Brute Force: Say that there are  $n$  events.
- Let's check all possibilities. How would we do that?

# EVENT SCHEDULING

- Your goal is to schedule the most events possible that day such that no two events overlap.
- Brute Force: Say that there are  $n$  events.
- Let's check all possibilities. How would we do that?
- Go through all subsets of events. Check if it is a valid schedule, i.e., no conflicts, and count the number of events.
- Take the maximum out of all valid schedules.
- (How many subsets are there?)

# EVENT SCHEDULING

- Your goal is to schedule the most events possible that day such that no two events overlap.
- Exponential is too slow. Let's think of some greedy strategies:

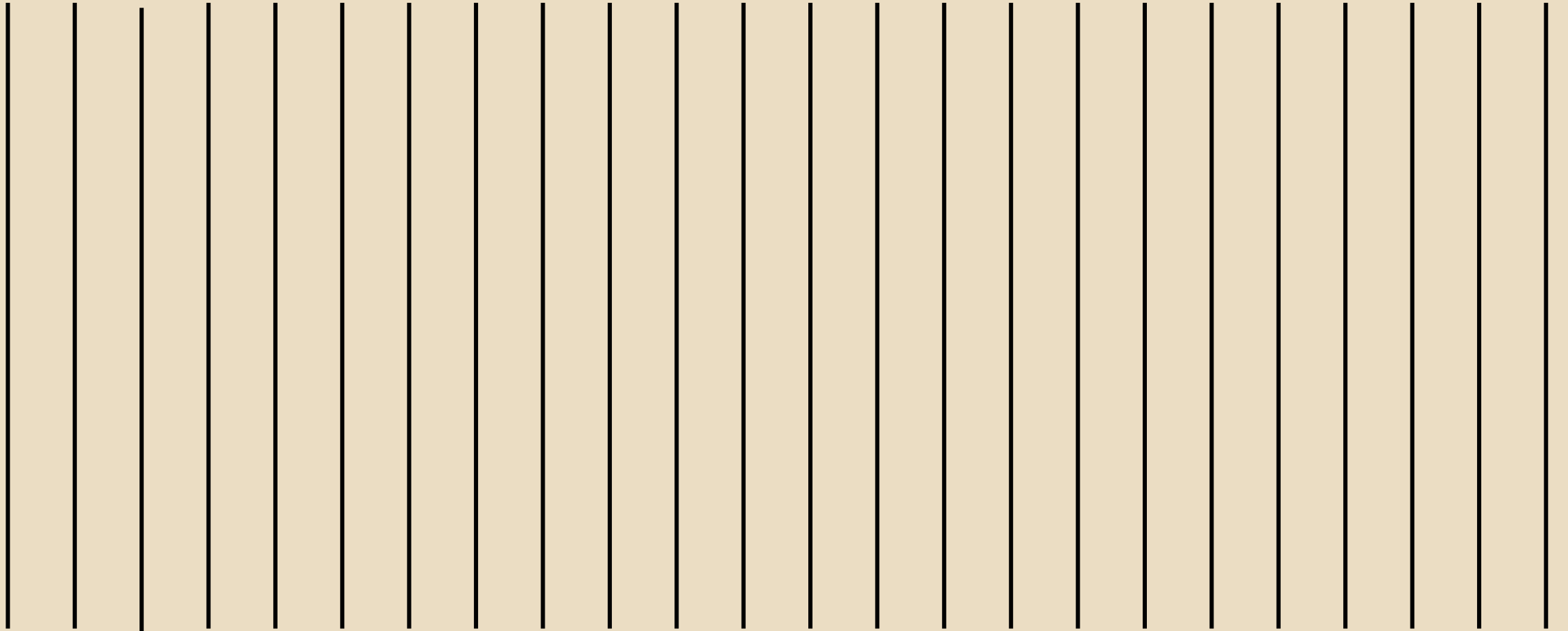
# EVENT SCHEDULING

- Your goal is to schedule the most events possible that day such that no two events overlap.
- Exponential is too slow. Let's try some greedy strategies:
  - Shortest duration
  - Earliest start time
  - Fewest conflicts
  - Earliest end time



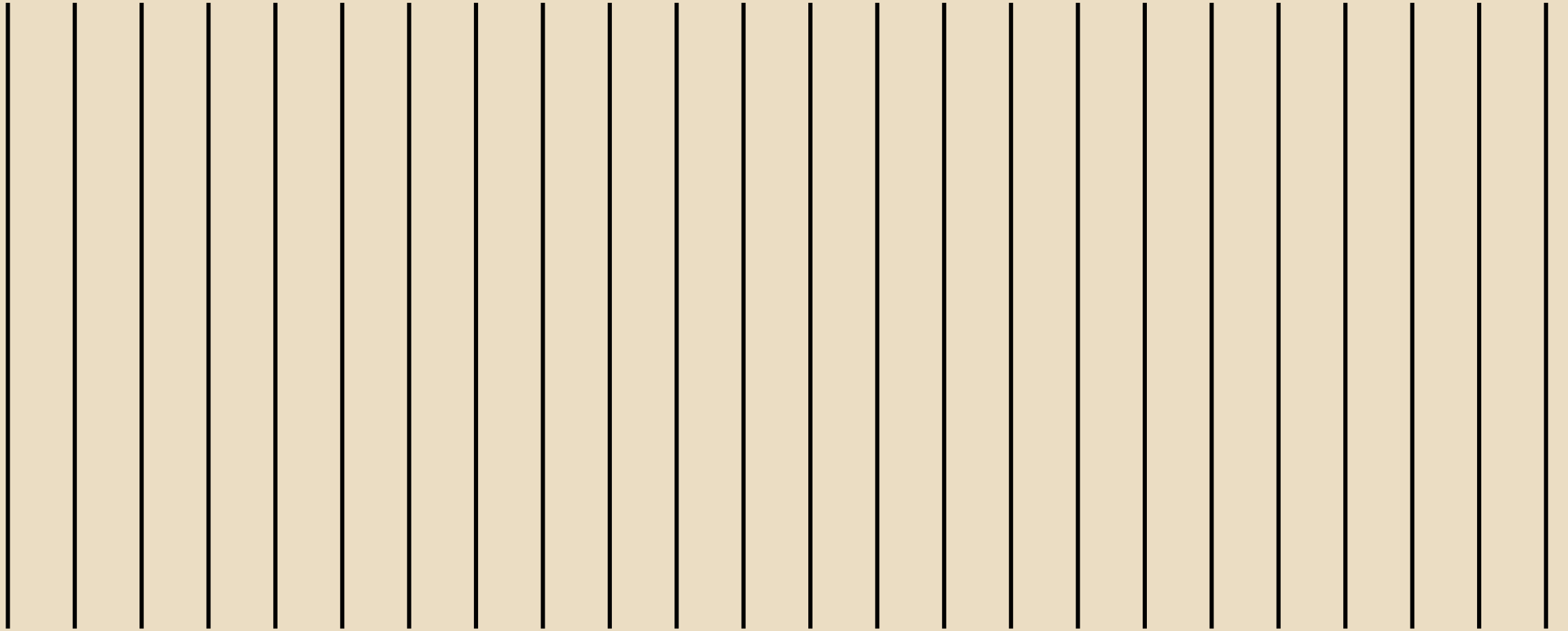
# SHORTEST DURATION

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
---	---	---	---	---	---	---	---	---	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----



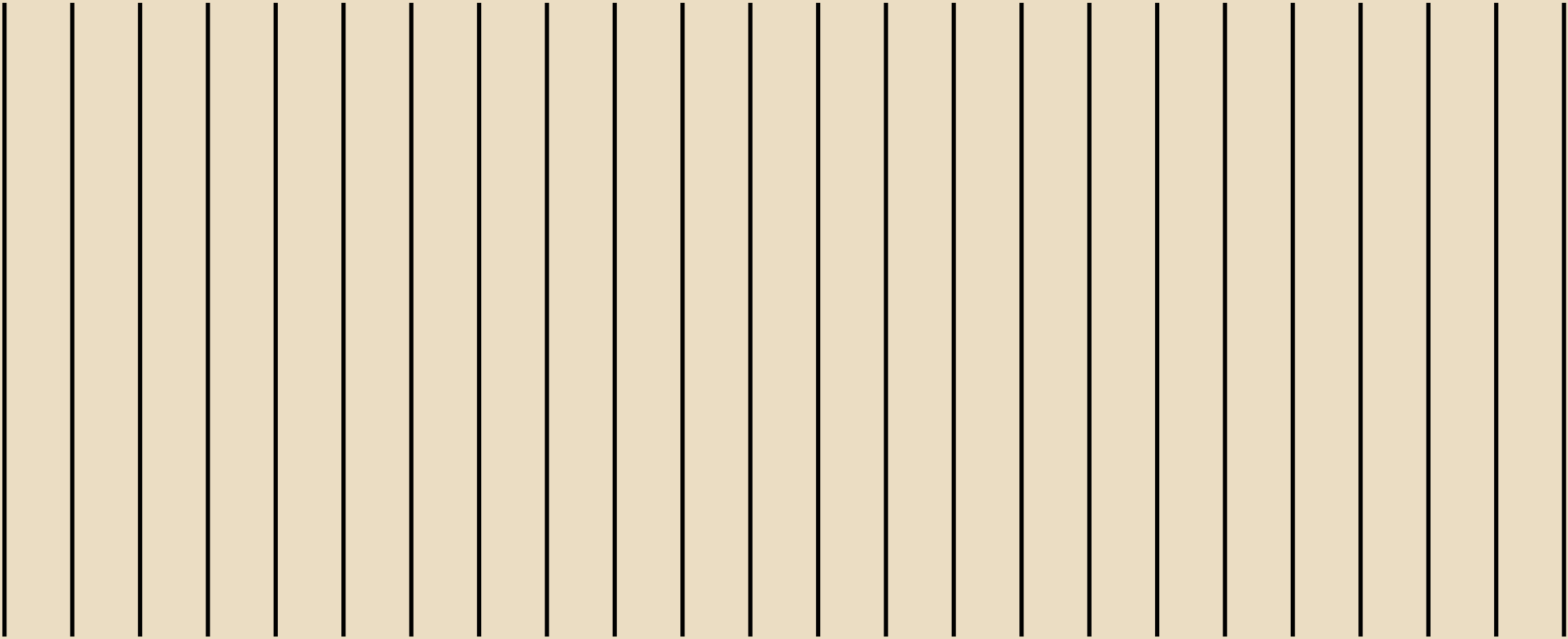
# EARLIEST START TIME

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
---	---	---	---	---	---	---	---	---	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----

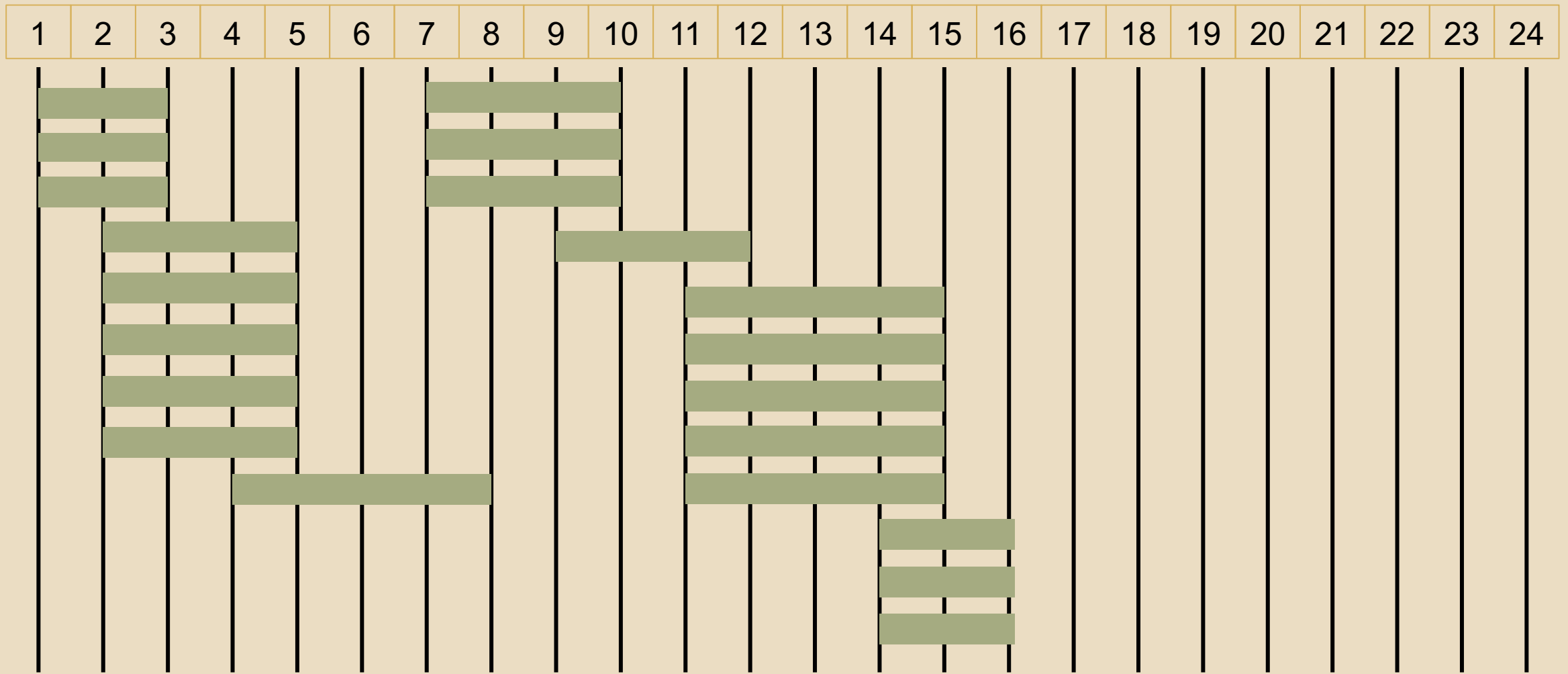


# FEWEST CONFLICTS

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24
---	---	---	---	---	---	---	---	---	----	----	----	----	----	----	----	----	----	----	----	----	----	----	----



# COUNTEREXAMPLE FOR FEWEST CONFLICTS



# EARLIEST FINISH TIME

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

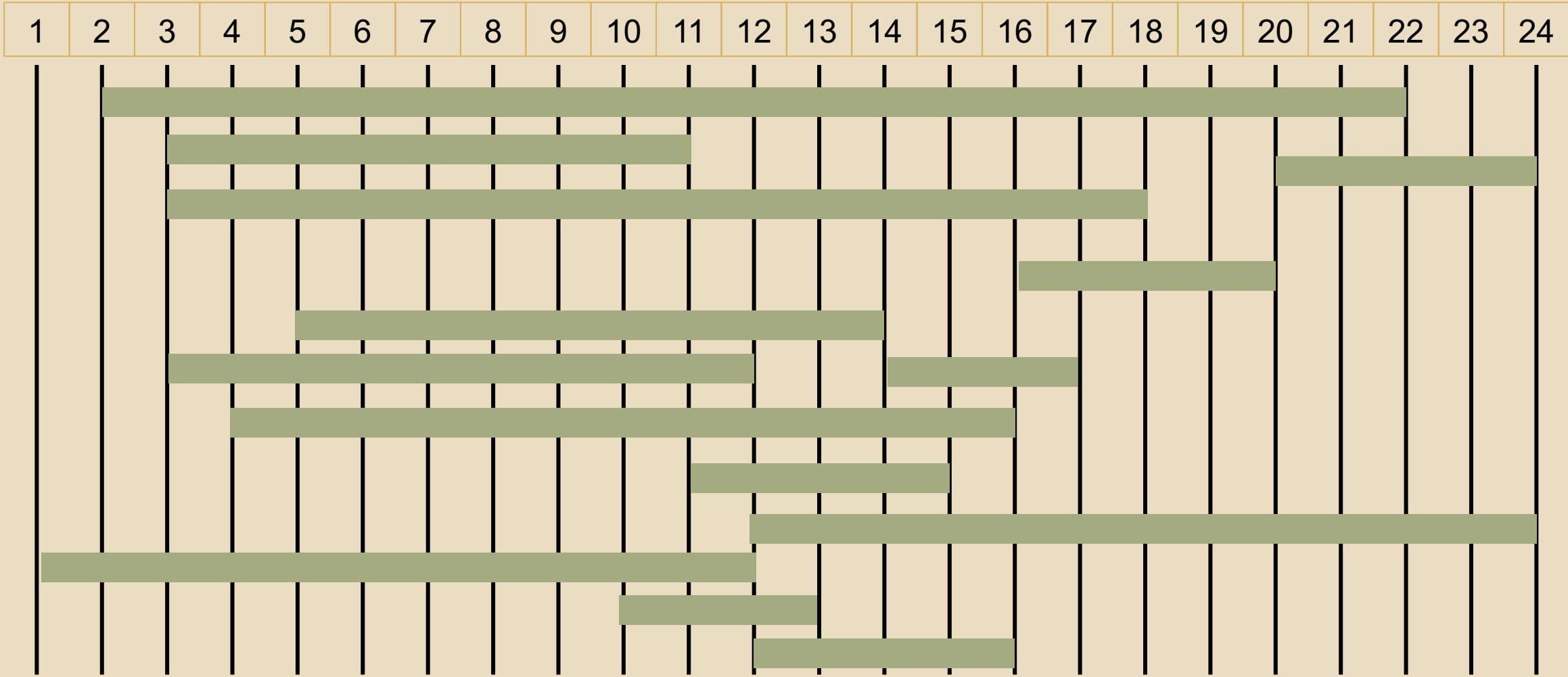
21

22

23

24

# EARLIEST FINISH TIME



# EVENT SCHEDULING

- Your goal is to schedule the most events possible that day such that no two events overlap.
- Exponential is too slow. Let's try some greedy strategies:
  - ~~Shortest duration~~
  - ~~Earliest start time~~
  - ~~Fewest conflicts~~
  - Earliest end time (We can't find a counterexample!!)
- Let's try to prove it works!!!

# PROVING OPTIMALITY

What does it mean for a greedy algorithm correctly solve a problem?

- I: problem instance
- GS: greedy solution to I
- OS: any other solution to I (for instance, an optimal solution)
- We need to show that GS is at least as good as OS.
- Tricky part: OS is an arbitrary solution. We don't know much about it.



# TECHNIQUES TO PROVE OPTIMALITY

We'll see a number of general methods to prove optimality:

- **Modify-the-solution**, aka **Exchange**: most general
- **Greedy-stays-ahead**: often the most intuitive
- **Greedy-achieves-the-bound**: also used in approximation, LP, network flow
- **Unique-local-optimum**: dangerously close to a common fallacy

Which one to use is up to you.

# STRATEGY: MODIFY-THE-SOLUTION

Don't think about the entire greedy solution.

Just prove that: *the first move of the greedy algorithm isn't incorrect.*

General structure of modify-the-solution:

1. Prove an **Exchange/Modification Lemma**: There is an optimal solution that agrees with the greedy algorithm's first decision.
2. Then use this as part of an inductive proof that the greedy solution is optimal.

# STRATEGY: MODIFY-THE-SOLUTION

General structure of modify-the-solution:

1. Let  $g$  be the first choice the greedy algorithm makes.
2. Let  $OS$  be any solution that does not contain  $g$ .
3. Show how to transform  $OS$  into a different solution  $OS'$  that chooses  $g$ , and is at least as good as  $OS$ .
4. Use 1-3 in an inductive argument.  $OS_1$  agrees with the first greedy choice,  $OS_2$  the first two, and so on, until  $OS_t$  agrees with all choices, and
$$\text{Value}(OS) \leq \text{Value}(OS_1) \leq \text{Value}(OS_2) \dots \leq \text{Value}(OS_t = GS)$$

# EARLIEST FINISH TIME

Let  $E = \{E_1, \dots, E_n\}$  be the set of all events with  $s_i, f_i$  the start and finish times of  $E_i$ .

Say  $E_1$  is the event with the earliest finish time.

The first greedy decision is to include  $E_1$ .

Modification Lemma: If  $OS$  is a legal schedule that does not include  $E_1$  then there is a schedule  $OS'$  that does include  $E_1$  such that  $|OS'| \geq |OS|$ .

- How to prove this?

# MODIFY-THE-SOLUTION CONT.

OS:

$J_1$

$J_2$

$J_k$

First greedy decision

$E_1$

Agenda: define  $OS'$  such that

- $OS'$  contains  $E_1$
- $OS'$  has no overlaps
- $|OS'| \geq |OS|$

$OS' = ???$

# DEFINE $OS'$

OS:

$J_1$

$J_2$

$J_k$

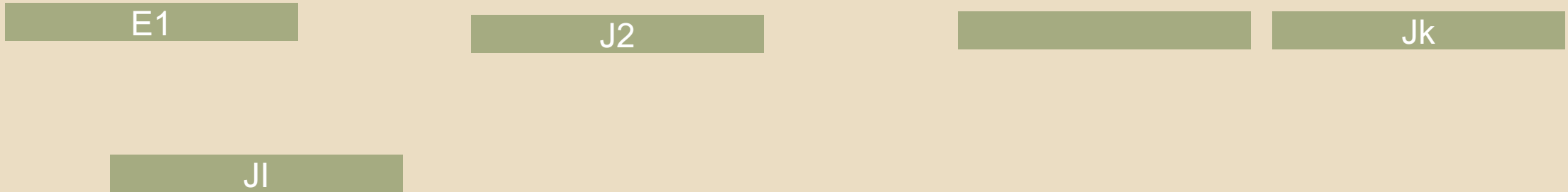
First greedy decision

$E_1$

$$OS' = OS \cup \{E_1\} - \{J_1\}$$

# $OS'$ HAS NO OVERLAPS

$$OS' = OS \cup \{E_1\} - \{J_1\}$$



Only new place for overlaps: we need to show  $\text{Finish}(E_1) \leq \text{Start}(J_2)$

# $OS'$ HAS NO OVERLAPS

$$OS' = OS \cup \{E_1\} - \{J_1\}$$



Only new place for overlaps: we need to show  $\text{Finish}(E_1) \leq \text{Start}(J_2)$

$$\text{Finish}(E_1) \leq \text{Finish}(J_1) \leq \text{Start}(J_2)$$



# $OS'$ IS AT LEAST AS GOOD AS $OS$

$$OS' = OS \cup \{E_1\} - \{J_1\}$$

E1

J2

Jk

Jl

$$|OS'| = |OS|$$

This completes the proof of the Modification Lemma: If  $OS$  is a legal schedule not containing  $E_1$  then there is a schedule  $OS'$  containing  $E_1$  such that  $|OS'| \geq |OS|$ .

# INDUCTIVE PROOF OF CORRECTNESS

The greedy solution is optimal for every set of events.

Proof by strong induction on  $n$ , the number of events.

- Base Case:  $n = 0$  or  $n = 1$ . Any choice works.
- General case: Assume greedy is optimal for any  $k$  events for  $0 \leq k \leq n - 1$ . Our goal is to show Greedy is optimal for any  $n$  events.

Let  $GS$  be the greedy solution. Then

$$GS = E_1 + GS(\text{Events}')$$

where Events' are the events that don't conflict with  $E_1$ .

Let  $OS$  be any other solution. Apply the Modification Lemma to  $OS$  to get  $OS'$ , where

$$OS' = E_1 + \text{Some solution for Events}'$$

Applying the inductive hypothesis,

$$|GS| = 1 + |GS(\text{Events}')| \geq 1 + |\text{Some solution for Events}'| = |OS'| \geq |OS|$$

# GENERAL MTS TEMPLATE: MODIFICATION LEMMA

## MODIFICATION LEMMA:

Let  $g$  be the first greedy decision. Let  $OS$  be any legal solution that does not pick  $g$ . Then there is a solution  $OS'$  that does pick  $g$  and  $OS'$  is at least as good as  $OS$ . (Note: we only use greedy to define  $g$ . Otherwise,  $GS$  does not directly appear).

# GENERAL MTS TEMPLATE: PROOF OF LEMMA

## MODIFICATION LEMMA:

Let  $g$  be the first greedy decision. Let  $OS$  be any legal solution that does not pick  $g$ . Then there is a solution  $OS'$  that does pick  $g$  and  $OS'$  is at least as good as  $OS$ .

- 1. State what we know: Definition of  $g$ .  $OS$  meets constraints.
- 2. Define  $OS'$  from  $OS$ ,  $g$
- 3. Prove that  $OS'$  meets constraints. Use 1, 2.
- 4. Compare value/cost of  $OS'$  to  $OS$ . Use 2, sometimes 1.

# GENERAL MTS TEMPLATE: INDUCTION

MODIFICATION LEMMA: Let  $g$  be the first greedy decision. Let  $OS$  be any legal solution that does not pick  $g$ . Then there is a solution  $OS'$  that does pick  $g$  and  $S$  is at least as good as  $OS$ .

Using this Lemma, prove by induction on instance size that greedy is optimal.

Induction step:

- 1. Let  $g$  be first greedy decision. Let  $I'$  be the rest of problem given  $g$ .
- 2.  $GS = g + GS(I')$
- 3.  $OS$  is any legal solution.
- 4.  $OS'$  is defined from  $OS$  by the Lemma (if  $OS$  does not include  $g$ ).
- 5.  $OS' = g +$  some solution on  $I'$ .
- 6. Induction:  $GS(I')$  at least as good as some solution on  $I'$ .
- 7.  $GS$  is at least as good as  $OS'$ , which is at least as good as  $OS$ .

# EVENT SCHEDULING IMPLEMENTATION

Design an algorithm that uses the greedy choice of picking the next available event with the earliest finish time.

- Instance:  $n$  events each with a start and end time
- Solution format: List of events
- Constraints: Events can't overlap
- Objective: Maximize the number of events

# EVENT SCHEDULING

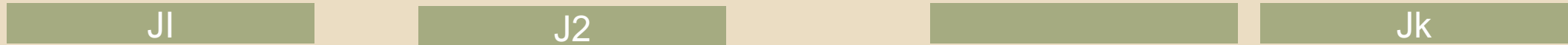
Design an algorithm that uses the greedy choice of picking the next available event with the earliest finish time.

- Initialize a Queue  $S$
- Sort the intervals by finish time (let  $s_i, f_i$  be the start and finish times of  $E_i$ )
- Put the first event  $E_1$  in  $S$
- Set  $F = f_1$
- For  $i = 2 \dots n$ :
  - If  $s_i \geq F$ :
    - enqueue( $E_i, S$ )
    - $F = f_i$
- Return  $S$

# ANOTHER STRATEGY: GREEDY STAYS AHEAD

Compare all of GS to all of OS, instead of just first greedy move

OS



GS

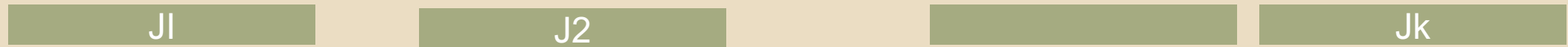


Show GS is at least as good as OS, in some suitable sense, *every step of the way*.



# GREEDY STAYS AHEAD

OS



GS



**Claim:**  $\text{Finish}(E_i) \leq \text{Finish}(J_i)$

Proof by induction on  $i$ . True for  $E_1$ , because it is the first to finish.

$E_{i+1}$ : This is the interval starting after  $\text{Finish}(E_i)$  with the earliest end time.

$J_{i+1}$  also begins after  $\text{Finish}(E_i)$ , since  $\text{Finish}(J_i) \geq \text{Finish}(E_i)$ .

Therefore  $\text{Finish}(J_{i+1}) \geq \text{Finish}(E_{i+1})$ .

# GREEDY STAYS AHEAD: CONCLUSION

- Assume greedy weren't optimal,  $|GS| < |OS|$ .
- Let  $L = |GS|$ .
- By Lemma,  $\text{Finish}(E_L) \leq \text{Finish}(J_L) \leq \text{Start}(J_{L+1})$
- Then greedy wouldn't end with  $E_L$ , contradiction.

# GREEDY STAYS AHEAD: TEMPLATE

- Define a measure of progress.
- Order the decisions in OS to line up with GS.
- Prove by induction that the “progress” after the  $i$ 'th decision in GS is at least as big as after the  $i$ 'th decision in OS
- Conclude that GS is at least as good as OS.

## COL351: Slides for Lecture Component 14

*Thanks to Miles Jones, Russell Impagliazzo, and Sanjoy Dasgupta at UCSD for these slides.*

# EVENT SCHEDULING WITH MULTIPLE ROOMS

Suppose you have a conference to plan with  $n$  events and you have an unlimited supply of rooms. How can you assign events to rooms in such a way as to minimize the number of rooms?

Brute Force:

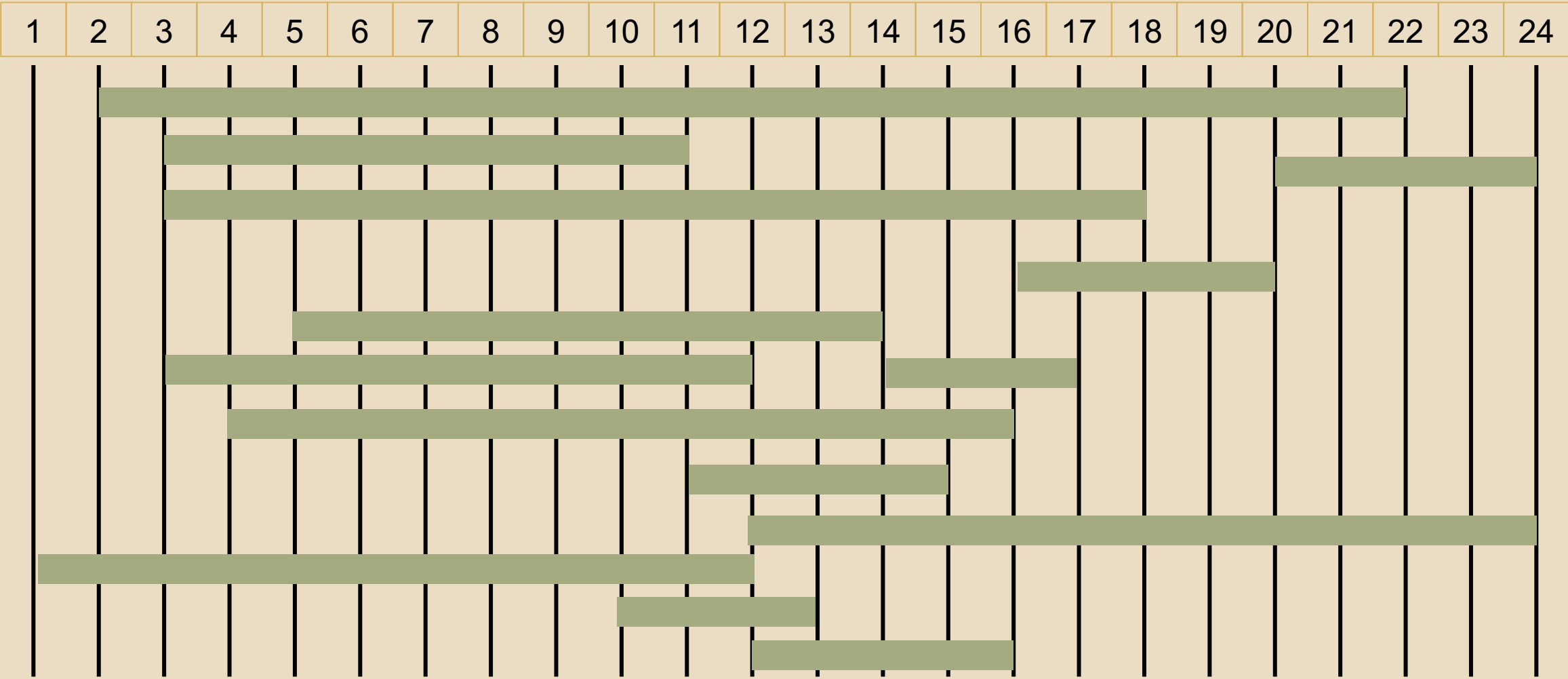
- Certainly you won't need more than  $n$  rooms.
- So how many ways can you assign  $n$  events to  $n$  rooms?

# EVENT SCHEDULING WITH MULTIPLE ROOMS

Suppose you have a conference to plan with  $n$  events and you have an unlimited supply of rooms. How can you assign events to rooms in such a way as to minimize the number of rooms?

Ideas for a greedy algorithm?

# EVENT SCHEDULING



# EVENT SCHEDULING WITH MULTIPLE ROOMS

Suppose you have a conference to plan with  $n$  events and you have an unlimited supply of rooms. How can you assign events to rooms in such a way as to minimize the number of rooms?

- Greedy choice:

- Number each room from 1 to  $n$ .
- Sort the events by earliest start time.
- Put the first event in room 1.
- For events  $2 \dots n$ , put each event in the smallest numbered room that is available.



# TECHNIQUES TO PROVE OPTIMALITY

Some general methods to prove optimality:

- **Modify-the-solution**, aka **Exchange**: most general
- **Greedy-stays-ahead**: often the most intuitive
- **Greedy-achieves-the-bound**: also used in approximation, LP, network flow
- **Unique-local-optimum**: dangerously close to a common fallacy

Which one to use is up to you.

# ACHIEVES-THE-BOUND

1. Logically determine a bound on the value of the solution that must be satisfied by any valid answer.
2. Then show that the greedy strategy achieves this bound and therefore is optimal.

# ACHIEVES-THE-BOUND

- Let  $t$  be any time during the conference.
- Let  $B(t)$  be the set of events taking place at time  $t$ .

**Bounding Lemma:** Any valid schedule requires at least  $|B(t)|$  rooms.

Proof:

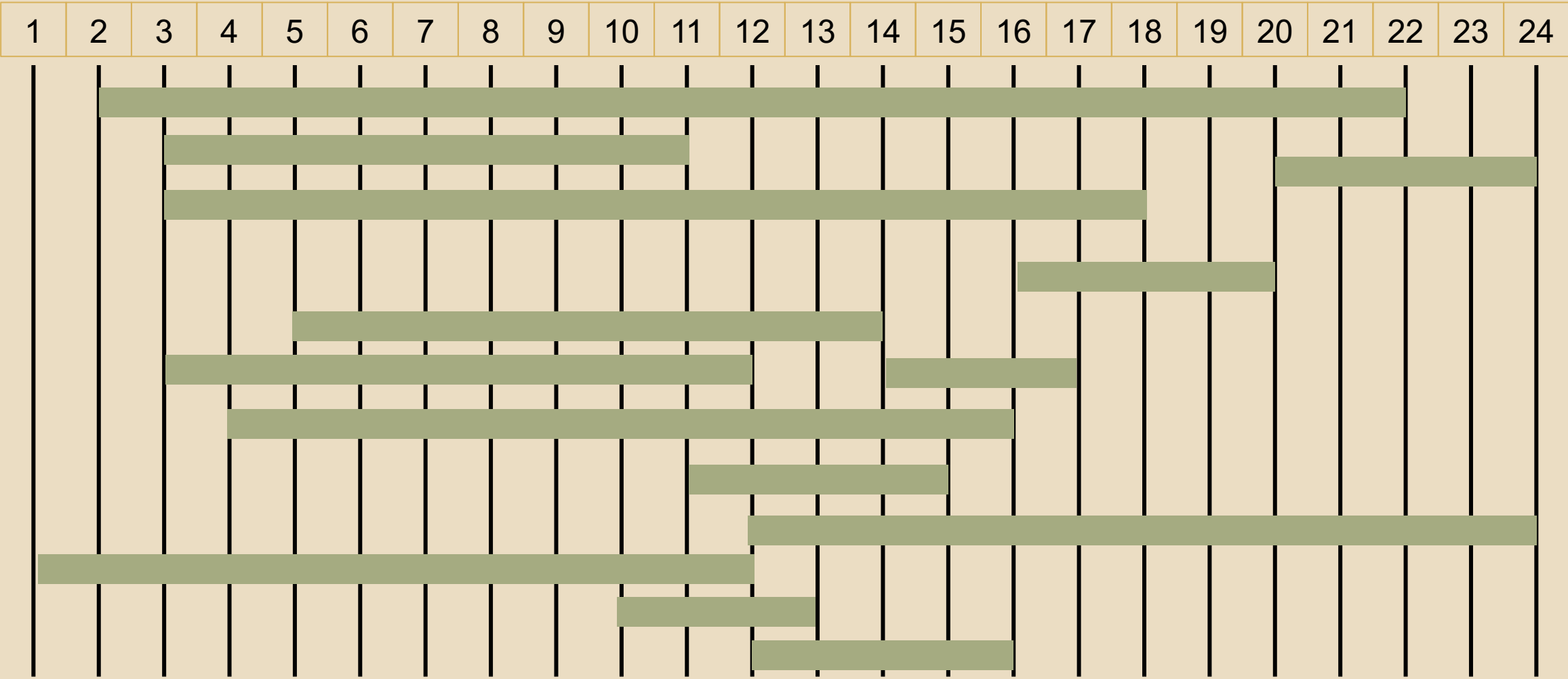
There are  $|B(t)|$  events taking place at time  $t$ .

They all need to be in different rooms.

So we need at least  $|B(t)|$  rooms.

- Let  $L = \max(|B(t)|)$  over all  $t$ .
- Then  $L$  is a lower bound on the number of rooms needed.

# EVENT SCHEDULING



# ACHIEVES-THE-BOUND

**Achieves-the-Bound Lemma:** Let  $k$  be the number of rooms picked by the greedy algorithm. Then at some point  $t$ ,  $|B(t)| \geq k$ . In other words there are at least  $k$  events happening at time  $t$ .

Proof:

Let  $t$  be the starting time of the first event to be scheduled in room  $k$ . Then by the greedy choice, room  $k$  was the least number room available at that time.

This means at time  $t$ , there was an event happening in rooms room 1, room 2, ..., room  $k - 1$ . And plus an event happening in room  $k$

Therefore  $|B(t)| \geq k$ .

# CONCLUSION: GREEDY IS OPTIMAL

- Let  $GS$  be the greedy solution.
- Let  $OS$  be any other schedule.
- Let  $L = \max |B(t)|$  over all  $t$ .
- By the Bounding lemma,  $\text{Cost}(OS) \geq L$ .
- By the achieves-the-bound lemma,  $\text{Cost}(GS) = |B(t)| \leq L$  for some  $t$ .
- Putting the two together,  $\text{Cost}(GS) \leq \text{Cost}(OS)$ .

# ACHIEVES-THE-BOUND

The way it works:

- Argue that when the greedy solution **reaches its peak cost**, it reveals a **bound**.
- Then show this bound is also a lower bound on the cost of any other solution.
- So we are showing :  $\text{Cost}(GS) \leq \text{Bound} \leq \text{Cost}(OS)$

This is a proof technique that does not work in all cases.