## COL863: Quantum Computation and Information

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### Phase estimation

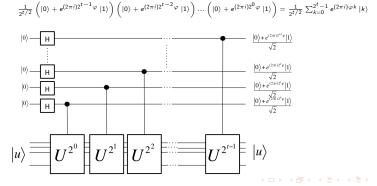
Suppose a unitary operator U has an eigenvector  $|u\rangle$  with eigenvalue  $e^{2\pi i \varphi}$ . The goal is to estimate  $\varphi$ .

- We will use the assumption that there are black-boxes that:
  - prepare the state  $|u\rangle$ , and
  - perform the controlled- $U^{2^{j}}$  operation.
- We will describe a phase estimation procedure that uses two registers:
  - A *t*-qubit register initially in state  $|0...0\rangle$  (the value of *t* to be decided later), and
  - a register that begins in the state  $|u\rangle$ .

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$$\frac{1}{2^{t/2}}\left(\left|0\right\rangle+\mathrm{e}^{(2\pi i)2^{t-1}\varphi}\left|1\right\rangle\right)\left(\left|0\right\rangle+\mathrm{e}^{(2\pi i)2^{t-2}\varphi}\left|1\right\rangle\right)\ldots\left(\left|0\right\rangle+\mathrm{e}^{(2\pi i)2^{0}\varphi}\left|1\right\rangle\right)=\frac{1}{2^{t/2}}\sum_{k=0}^{2^{t}-1}\mathrm{e}^{(2\pi i)\varphi k}\left|k\right\rangle$$

• Question: Suppose  $\varphi$  may be expressed exactly as  $\overline{\varphi} = [0 \cdot \varphi_1 \varphi_2 ... \varphi_t]$ . Suggest a way to retrieve the value of  $\varphi$ ?

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- Question: Suppose  $\varphi$  may be expressed exactly as  $\overline{\varphi = [0 \cdot \varphi_1 \varphi_2 ... \varphi_t]}$ . Suggest a way to retrieve the value of  $\varphi$ ?
  - Taking the inverse-fourier transform and measuring the value of the first register in the computational basis gives *φ*.
- In general, we will show that the inverse Fourier transform has the following behaviour:

$$rac{1}{2^{t/2}}\sum_{j=0}^{2^t-1}e^{(2\pi i)\varphi j}\ket{j}\ket{u}
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### Claim 2

It is sufficient to run the phase estimation technique with  $t = n + \log \left(2 + \frac{1}{2\varepsilon}\right)$  in order to obtain  $\varphi$  accurate to *n* bits with probability at least  $(1 - \varepsilon)$ .

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### Proof sketch

- Let 0 ≤ b ≤ 2<sup>t</sup> − 1 be an integer such that <sup>b</sup>/<sub>2<sup>t</sup></sub> = [0 ⋅ b<sub>1</sub>...b<sub>t</sub>] is the best t bit approximation to φ that is less than φ. Let δ = φ − <sup>b</sup>/<sub>2<sup>t</sup></sub> (which implies 0 ≤ δ ≤ 2<sup>-t</sup>).
- <u>Claim 2.1</u>: Applying the inverse Fourier transform on the first register in state  $\frac{1}{2^{t/2}} \sum_{k=0}^{2^t-1} e^{(2\pi i)\varphi k} |k\rangle$  ends in the following state:

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- Claim 2.2: Let  $\alpha_l$  be the amplitude of  $|(b+l) \mod 2^t\rangle$ . Then  $\alpha_l = \frac{1}{2^t} \left( \frac{1-e^{(2\pi i)(2^t \varphi - (b+l))}}{1-e^{(2\pi i)(\varphi - (b+l)/2^t)}} \right) = \frac{1}{2^t} \left( \frac{1-e^{(2\pi i)(2^t \delta - l)}}{1-e^{(2\pi i)(\delta - l/2^t)}} \right).$

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- <u>Claim 2.3</u>: Let *e* be the error parameter and let *m* be the outcome of the measurement. Then

$$\mathbf{Pr}[|m-b|>e] \leq \frac{1}{2(e-1)}.$$

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$$\Pr[|m-b| > e] \le \frac{1}{2(e-1)}.$$

• The claim follows by setting t = n + p and  $\varepsilon = \frac{1}{2(2^p-1)}$ .

### Phase estimation

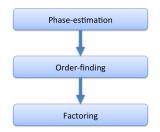
Suppose a unitary operator U has an eigenvector  $|u\rangle$  with eigenvalue  $e^{2\pi i \varphi}$ . The goal is to estimate  $\varphi$ .

- The phase estimation protocol works when the second register is set to the eigenstate |u>. In general, this may not be feasible.
- <u>Observation</u>: Any general state  $|\psi\rangle$  may be written in terms of the eigenstates of U as  $\sum_{\mu} c_{\mu} |u\rangle$ .
- Exercise: The phase estimation procedure takes state  $(|0\rangle)(\sum_{u} c_{u} |u\rangle)$  to  $\sum_{u} c_{u} |\tilde{\varphi}_{u}\rangle |u\rangle$ . If  $t = n + \lceil \log (2 + \frac{1}{2\varepsilon}) \rceil$ , then the probability of measuring  $\varphi_{u}$  accurate to *n* bits at the end of the phase estimation procedure is at least  $|c_{u}|^{2}(1 \varepsilon)$ .

### Phase estimation

Suppose a unitary operator U has an eigenvector  $|u\rangle$  with eigenvalue  $e^{2\pi i \varphi}$ . The goal is to estimate  $\varphi$ .

• Phase estimation enables us to design quantum algorithms for the order-finding and factoring problems.



## Quantum Computation: Order finding

- Given integers N > x > 0 such that x and N have no common factors, the order of x modulo N is defined to be the least positive integer r such that  $x^r = 1 \pmod{N}$ .
- Exercise: What is the order of 5 modulo 21?

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Given co-prime integers N > x > 0, compute the order of x modulo N.

• <u>Exercise</u>: Is there an algorithm that computes the order of x modulo N in time that is polynomial in N?

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- Exercise: Is it an efficient algorithm?

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- Exercise: Is it an efficient algorithm?
- Let L = ⌈log n⌉. The number of bits needed to specify the problem is O(L). So, an efficient algorithm should have running time that is polynomial in L.

### Order finding

Given co-prime integers N > x > 0, compute the order of x modulo N.

• Consider the operator U that has the following behaviour:

$$U |y\rangle \equiv \begin{cases} |xy \pmod{N}\rangle & \text{if } 0 \le y \le N-1 \\ |y\rangle & \text{if } N \le y \le 2^L - 1 \end{cases}$$

• Exercise: Show that U is unitary.

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• Exercise: Show that U is unitary.

• Exercise: Show that the states defined by

$$|u_s\rangle \equiv \frac{1}{\sqrt{r}} \sum_{k=0}^{r-1} e^{-(2\pi i)\frac{sk}{r}} \left| x^k \pmod{N} \right\rangle$$

are the eigenstates of U. Find the corresponding eigenvalues.

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#### Modular exponentiation

Given  $|z\rangle |y\rangle$ , design a circuit that ends in the state  $|z\rangle |x^z y \pmod{N}$ .

- What we wanted to do was  $|z\rangle |y\rangle \rightarrow |z\rangle U^{z_t 2^{t-1}} ... U^{z_1 2^0} |y\rangle$  but then this is the same as  $|z\rangle |x^z y \pmod{N}$ .
- Question: Suppose we work with the first register being of size  $\overline{t = 2L + 1} + \lceil \log(2 + \frac{1}{2\varepsilon}) \rceil = O(L)$ . What would be the size of the circuit?

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• We work with  $|1\rangle$  as the first register since  $\frac{1}{\sqrt{r}}\sum_{s=0}^{r-1}|u_s\rangle = |1\rangle$ .

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• So, we will argue that for each  $0 \le s \le r - 1$ , we will obtain an estimate of  $\varphi \approx \frac{s}{r}$  accurate to 2L + 1 bits with probability at least  $\frac{(1-\varepsilon)}{r}$ .

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  - Question: How do we extract r from this? Continued fractions

### Continued fraction

A finite simple continued fraction is defined by a collection of positive integers  $a_0, ..., a_N$ :

$$[a_0,...,a_N]\equiv a_0+rac{1}{a_1+rac{1}{a_2+rac{1}{...+rac{1}{a_N}}}}$$

The  $n^{\text{th}}$  convergent  $(0 \le n \le N)$  of this continued fraction is defined to be  $[a_0, ..., a_n]$ .

- <u>Theorem</u>: Suppose  $x \ge 1$  is a rational number. Then x has a representation as a continued fraction,  $x = [a_0, ..., a_N]$ . This may be found by the continued fraction algorithm.
- Exercise: Find the continued fraction expansion of  $\frac{31}{13}$ .
- <u>Question</u>: What is the running time for the continued fractions algorithm for any given rational number  $\frac{p}{a} \ge 1$ ?

### Quantum Computation Digression: Continued fractions

#### Continued fraction

A finite simple continued fraction is defined by a collection of positive integers  $a_0, ..., a_N$ :

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- Question: What is the running time for the continued fractions algorithm for any given rational number  $\frac{\rho}{a} \ge 1$ ?
- <u>Theorem</u>: Let  $a_0, ..., a_N$  be a sequence of positive numbers. Then  $[a_0, ..., a_n] = \frac{p_n}{q_n}$ , where  $p_n$  and  $q_n$  are real numbers defined inductively by  $p_0 \equiv 0$ ,  $q_0 \equiv 1$ ,  $p_1 \equiv 1 + a_0 a_1$ ,  $q_1 \equiv a_1$ , and for  $2 \le n \le N$ ,

$$p_n \equiv a_n p_{n-1} + p_{n-2}$$
$$q_n \equiv a_n q_{n-1} + q_{n-2}$$

In the case when  $a_j$  are positive integers, so too are  $p_j$  and  $q_j$  and moreover  $q_n p_{n-1} - p_n q_{n-1} = (-1)^n$  for  $n \ge 1$  which implies that  $gcd(p_n, q_n) = 1$ .

## End

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