CSL202: Discrete Mathematical Structures

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Algorithms

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• Algorithm (informal): A step-by-step procedure for performing some task.



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Definition (Algorithm)

An algorithm is a finite sequence of precise instructions for performing a computation or for solving a problem.

• Question: Are there problems that cannot be solved by any algorithm?

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- How do we describe an algorithm?
 - Algorithms are platform independent and so should be their description.
 - This allows us to focus on the main ideas rather than spend time parsing the programming language specific syntax and the implementation details.

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 - Pseudocode is not an actual code.
 - It consists of:

high-level programming constructs (if-then, for etc.) + natural language.

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Algorithm

FindMin(A, n)

- $min \leftarrow A[1]$
- for i = 2 to n

- **if**
$$(A[i] < min)$$

-
$$min \leftarrow A[i]$$

- return(min)

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Algorithm

FindMin(A, n)

- $min \leftarrow A[1]$
- for i = 2 to n
 - if A[i] is smaller than min

-
$$min \leftarrow A[i]$$

- return(min)

- How do we describe an algorithm?
 - Using a pseudocode.
- What are the desirable features of an algorithm?

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- How do we describe an algorithm?
 - Using a pseudocode.
- What are the desirable features of an algorithm?
 - It should be correct.
 - It should run fast.
 - It should take small amount of space (RAM).
 - It should consume small amount of power.
 - :

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 - Proof of correctness: An argument that the algorithm works correctly for **all** inputs.
 - <u>Proof</u>: A valid argument that establishes the truth of a mathematical statement.
- Consider the following algorithm that is supposed to output the sum of elements of an integer array of size *n*.

Algorithm

FindSum(A, n)

- $sum \leftarrow 0$
- for i = 1 to n
 - $sum \leftarrow sum + A[i]$
- return(sum)

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• To prove the algorithm correct, let us define the following loop-invariant:

P(i): At the end of the *i*th iteration, the variable *sum* contains the sum of first *i* elements of the array *A*.

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P(i): At the end of the *i*th iteration, the variable *sum* contains the sum of first *i* elements of the array *A*.

How do we prove statements of the form ∀i, P(i)?Induction

- <u>Proof</u>: A valid argument that establishes the truth of a mathematical statement.
 - The statements used in a proof can include axioms, definitions, the premises, if any, of the theorem, and previously proven theorems and uses rules of inference to draw conclusions.
- A proof technique very commonly used when proving correctness of Algorithms is *Mathematical Induction*.

Definition (Strong Induction)

To prove that P(n) is true for all positive integers, where P(.) is a propositional function, we complete two steps:

- Basis step: We show that P(1) is true.
- Inductive step: We show that for all k, if P(1), P(2), ..., P(k) are true, then P(k+1) is true.

Definition (Strong Induction)

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- <u>Question</u>: Show that for all n > 0, $1 + 3 + ... + (2n 1) = n^2$.

• Question: Show that for all n > 0, $1 + 3 + ... + (2n - 1) = n^2$.

Proof

- Let P(n) be the proposition that 1 + 3 + 5 + ... + (2n 1) equals n^2 .
- Basis step: P(1) is true since the summation consists of only a single term 1 and $1^2 = 1$.
- Inductive step: Assume that P(1), P(2), ..., P(k) are true for any arbitrary integer k. Then we have:

$$1+3+\ldots+(2(k+1)-1) = 1+3+\ldots+(2k-1)+(2k+1)$$

= k^2+2k+1 (since $P(k)$ is true)
= $(k+1)^2$

This shows that P(k+1) is true.

• Using the principle of Induction, we conclude that P(n) is true for all n > 0.

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 - Idea#1: Implement them on some platform, run and check.
 - The speed of programs P1 (implementation of A1) and P2 (implementation of A2) may depend on various factors:
 - Input
 - Hardware platform
 - Software platform
 - Quality of the underlying algorithm

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Introduction

- Idea#1: Implement them on some platform, run and check.
- Let P1 denote implementation of A1 and P2 denote implementation of A2.
- Issues with Idea#1:
 - If P1 and P2 are run on different platforms, then the performance results are incomparable.
 - Even if P1 and P2 are run on the same platform, it does not tell us how A1 and A2 compare on some other platform.
 - There might be infinitely many inputs to compare the performance on.
 - Extra burden of implementing *both* algorithms where what we wanted was to first figure out which one is better and then implement just that one.
- So, what we need is a platform independent way of comparing algorithms.

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Introduction

- Given two algorithms A1 and A2 for a problem, how do we decide which one runs faster?
- What we need is a platform independent way of comparing algorithms.
- Solution:
 - Any algorithm is expressed in terms of basic operations such as assignment, method call, arithmetic, comparison.
 - For a fixed input, we will count the number of these basic operations in our algorithm. Suppose the number of these operations is *b*.
 - We will assume that the amount of time required to execute these basic operations is at most some constant *T* which is independent of the input size.
 - The running time of the algorithm will be at most $(b \cdot T)$.

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 - We will assume that the amount of time required to execute these basic operations is at most some constant *T* which is independent of the input size.
 - The running time of the algorithm will be at most $(b \cdot T)$.
 - But, what about other inputs? We are interested in measuring the performance of an algorithm and not performance of an algorithm on a given input.

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- What we need is a platform independent way of comparing algorithms.
- Solution: Count the number of basic operations.
 - How do we measure performance for all inputs?

Example

FindPositiveSum(A, n)

- $sum \leftarrow 0$
- For i = 1 to n
 - if (A[i] > 0) sum \leftarrow sum + A[i]
- return(sum)
- Note that the number of operations grow with the array size *n*.

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- if (A[i] > 0)sum \leftarrow sum + A[i]
- return(sum)
- Note that the number of operations grow with the array size *n*.
- Even for all arrays of a fixed size *n*, the number of operations may vary depending on the numbers present in the array.
- For inputs of size n, we will count the number of operations in the worst-case. That is, the number of operations for the worst-case input of size n.

- Given two algorithms A1 and A2 for a problem, how do we decide which one runs faster?
- What we need is a platform independent way of comparing algorithms.
- <u>Solution</u>: Count the worst-case number of basic operations b(n) for inputs of size n and then analyse how this *function* b(n) behaves as n grows. This is known as worst-case analysis.

- How do we describe an algorithm?
 - Using a pseudocode.
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Example	
<pre>FindPositiveSum(A, n)</pre>	
- $sum \leftarrow 0$	[1 assignment]
- For $i = 1$ to n	[1 assignment + 1 comparison + 1 arithmetic]*n
- if $(A[i] > 0)$ sum \leftarrow sum + $A[i]$	[1 assignment + 1 arithmetic + 1 comparison]*n
- return(<i>sum</i>)	[1 return]
	Total : 6 <i>n</i> +2

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- What we need is a platform independent way of comparing algorithms.
- Solution: Count the worst-case number of basic operations b(n) for inputs of size n and then analyse how this function b(n) behaves as n grows. This is known as worst-case analysis.
- Few observations:
 - Usually, the running time grows with the input size *n*.
 - Consider two algorithm A1 and A2 for the same problem. A1 has a worst-case running time (100n + 1) and A2 has a worst-case running time $(2n^2 + 3n + 1)$. Which one is better?
 - A2 runs faster for small inputs (e.g., n = 1, 2)
 - A1 runs faster for all large inputs (for all $n \ge 49$)

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 - We would like to make a statement independent of the input size. What is a meaningful solution?

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- Observations regarding worst-case analysis:
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 - A2 runs faster for small inputs (e.g., n = 1, 2)
 - A1 runs faster for all large inputs (for all $n \ge 49$)
 - We would like to make a statement independent of the input size.
 - Solution: Asymptotic analysis
 - We consider the running time for large inputs.
 - A1 is considered better than A2 since A1 will beat A2 eventually.

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- Solution: Do an asymptotic worst-case analysis.

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- Observations regarding asymptotic worst-case analysis:
 - It is difficult to count the number of operations at an extremely fine level.
 - Asymptotic analysis means that we are interested only in the **rate** of growth of the running time function w.r.t. the input size. For example, note that the rates of growth of functions $(n^2 + 5n + 1)$ and $(n^2 + 2n + 5)$ is determined by the n^2 (quadratic) term. The lower order terms are insignificant. So, we may as well drop them.

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- Observations regarding asymptotic worst-case analysis:
 - It is difficult to count the number of operations at an extremely fine level and keep track of these constants.
 - Asymptotic analysis means that we are interested only in the **rate** of growth of the running time function w.r.t. the input size. For example, note that the rates of growth of functions $(n^2 + 5n + 1)$ and $(n^2 + 2n + 5)$ is determined by the n^2 (quadratic) term. The lower order terms are insignificant. So, we may as well drop them.
 - The nature of growth rate of functions $2n^2$ and $5n^2$ are the same. Both are quadratic functions. It makes sense to drop these constants too when one is interested in the nature of the growth functions.
 - We need a notation to capture the above ideas.

Let f(n) and g(n) be functions mapping positive integers to positive real numbers. We say that f(n) is O(g(n)) (or f(n) = O(g(n)) in short) **iff** there is a real constant c > 0 and an integer constant $n_0 \ge 1$ such that:

$$\forall n \geq n_0, f(n) \leq c \cdot g(n)$$

- Another short way of saying that f(n) = O(g(n)) is "f(n) is order of g(n)".
- Show that: 8n + 5 = O(n).

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- Show that: 8n + 5 = O(n).
 - For constants c = 13 and $n_0 = 1$, we show that $\forall n \ge n_0, 8n + 5 \le 13 \cdot n$. So, by definition of big-O, 8n + 5 = O(n).

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- Is this true $8n + 5 = O(n^2)$?

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- Show that: 8n + 5 = O(n).
- Is this true $8n + 5 = O(n^2)$? Yes
- g(n) may be interpreted as an *upper bound* on f(n).
- How do we capture *lower bound*?

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Definition (Big-Omega)

Let f(n) and g(n) be functions mapping positive integers to positive real numbers. We say that f(n) is $\Omega(g(n))$ (or $f(n) = \Omega(g(n))$ in short) **iff** there is a real constant c > 0 and an integer constant $n_0 \ge 1$ such that:

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• Show that: $f(n) = \Omega(g(n))$ iff g(n) = O(f(n)).

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How do we say that g(n) is both an upper bound and lower bound for a function f(n)? In other words, g(n) is a tight bound on f(n).

Introduction Big-O Notation

Definition (Big-O)

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 $\forall n \geq n_0, f(n) \geq c \cdot g(n)$

Definition (Big-Theta)

Let f(n) and g(n) be functions mapping positive integers to positive real numbers. We say that f(n) is $\Theta(g(n))$ (or $f(n) = \Theta(g(n))$) iff f(n) is O(g(n)) and f(n) is $\Omega(g(n))$.

• Question: Show that $3n \log n + 4n + 5 \log n$ is $\Theta(n \log n)$.

• Growth rates:

• Arrange the following functions in ascending order of growth rate:



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- Given two algorithms A1 and A2 for a problem, how do we decide which one runs faster?
- What we need is a platform independent way of comparing algorithms.
- <u>Solution</u>: Do an asymptotic worst-case analysis recording the running time using Big-(0, Ω, Θ) notation.

- How do we describe an algorithm?
 - Using a pseudocode.
- What are the desirable features of an algorithm?
 - It should be correct.
 - We use proof of correctness to argue correctness.
 - It should run fast.
 - We do an asymptotic worst-case analysis noting the running time in Big-(O, Ω, Θ) notation and use it to compare algorithms.

End

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