

Name: \_\_\_\_\_

Entry number: \_\_\_\_\_

There are 3 questions for a total of 10 points.

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1. Let  $X$  be a random variable denoting the number of people attending a conference. We know that  $E[X] = 100$ . Everyone shakes hand with everyone else at the conference and let  $Y$  denote the total number of handshakes. We know that  $E[Y] = 5000$ . Answer the following questions.

(a) (1 1/2 points) What is the variance of  $X$ ? Show calculations in the space below.

(a) \_\_\_\_\_ 100 \_\_\_\_\_

**Solution:** Since  $Y = \binom{X}{2}$ , we have  $5000 = E[Y] = E[(1/2) \cdot X(X-1)] = (1/2) \cdot E[X^2] - (1/2) \cdot E[X]$ . Since,  $E[X] = 100$ , we get that  $E[X^2] = 10100$ . Now,  $Var[X] = E[X^2] - (E[X])^2 = 10100 - 10000 = 100$ .

(b) (1 1/2 points) Use Chebychev's inequality to give an upper bound on the probability that less than 80 people attend the conference?

(b) \_\_\_\_\_  $\frac{100}{441}$  \_\_\_\_\_

**Solution:** Using Chebychev's inequality, we get the following:

$$\begin{aligned} \Pr[X < 80] &\leq \Pr[|X - 100| \geq 21] \\ &= \Pr[|X - E[X]| \geq 21] \\ &\leq \frac{Var[X]}{21^2} = \frac{100}{21^2}. \end{aligned}$$

2. (4 points) Consider executing the following algorithm on an array  $A[1..n]$  containing  $n$  distinct numbers  $\{N_1, N_2, \dots, N_n\}$  permuted randomly.

```

FindMax(A, n)
- Max ← A[1]
- For i = 2 to n
  - If (A[i] > Max) Max ← A[i]
- return(Max)
    
```

Let  $X$  be the random variable denoting the number of times the variable  $Max$  is updated within the for loop of the FindMax algorithm. What is  $E[X]$  as a function of  $n$ ? Express your answer concisely using big-Theta notation. Show your calculations in the space below.

2. \_\_\_\_\_  $\Theta(\log n)$  \_\_\_\_\_

**Solution:** Let  $X_j$  be the indicator random variable that is 1 if in iteration  $j$  the value of  $Max$  is updated and 0 otherwise. Let  $X = \sum_{j=2}^n X_j$ . In iteration  $j$ , the value of  $Max$  is updated iff  $A[j]$  is the maximum element in the subarray  $A[1..j]$ . The probability of this happening is  $\frac{1}{j}$ . So, we have  $\mathbf{E}[X_j] = \frac{1}{j}$ . So, from linearity of expectation, we get that  $\mathbf{E}[X] = \sum_{j=2}^n \mathbf{E}[X_j] = \sum_{j=2}^n \frac{1}{j}$ . Let  $H_n = 1 + 1/2 + 1/3 + \dots + 1/n$ . The following (outlined in class) holds.

$$\int_1^n \frac{1}{x} dx \leq H_n \leq 1 + \int_2^{n+1} \frac{1}{x-1} dx$$

$$\Rightarrow \ln n \leq H_n \leq 1 + \ln n$$

This gives  $\mathbf{E}[X] = H_n - 1 = \Theta(\log n)$ .

3. Consider the following randomized quick-sort algorithm for sorting an array  $A$  containing distinct numbers:

```

Randomized-Quick-Sort( $A$ )
- If ( $|A| = 1$ ) return( $A$ )
- Randomly pick an index  $i$  in the array  $A$ 
- Use  $A[i]$  as a pivot to partition  $A$  into  $A_L$  and  $A_R$ 
  // That is,  $A_L$  denotes the array of elements that are smaller than  $A[i]$ , and  $A_R$  denotes the
  // array of elements that are larger than  $A[i]$ . The relative ordering of elements in  $A_L$  (and  $A_R$ )
  // is the same as that in  $A$ 
-  $B_L \leftarrow$  Randomized-Quick-Sort( $A_L$ )
-  $B_R \leftarrow$  Randomized-Quick-Sort( $A_R$ )
- return( $B_L | A[i] | B_R$ )
    
```

We will try to compute the expected number of pairwise comparisons performed by the algorithm during its execution. Note that comparisons are done during the pivoting operation.

- (a) (1 1/2 points) For  $i < j$ , let  $X_{ij}$  denote the indicator random variable that is 1 if a comparison between  $A[i]$  and  $A[j]$  is done during the execution of the algorithm and 0 otherwise. What is the value of  $\mathbf{E}[X_{ij}]$  in terms of  $i$  and  $j$ ? You do not need to give reasons.

(a)  $\frac{2}{j-i+1}$

- (b) (1 1/2 points) Let  $X = \sum_{i < j} X_{ij}$ . Note that  $X$  denotes the total number of pairwise comparisons. Use part (a) to give  $\mathbf{E}[X]$  as a function of  $n$ . Express your answer concisely using big-Theta notation. You do not need to show calculations.

(b)  $\Theta(n \log n)$

**This is for explanation. You were not expected to write this.**  
 After  $A[i]$  and  $A[j]$  get partitioned, they cannot be compared anymore. When they get partitioned, they get compared when either  $A[i]$  is chosen as pivot or  $A[j]$  is chosen as pivot. So, the probability of this is  $\frac{2}{j-i+1}$ .