# COL106: Data Structures and Algorithms

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• How do Data Structures play a part in making computational tasks efficient?

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## Example problem

Maintain a record of students and their scores on some test so that queries of the following nature may be answered:

- <u>Insert</u>: Insert a new record of a student and his/her score.
- <u>Search</u>: Find the score of a given student.
- Suppose we maintain the information in a 2-dimensional array.
  - How much time does each insert operations take? O(1)
  - How much time does each search operation take? O(n)
  - So, if the majority of the operations performed are search operations, then this data structure is perhaps not the right one.

# Introduction

• How do Data Structures play a part in making computational tasks efficient?

## Example problem

Maintain a record of students and their scores on some test so that queries of the following nature may be answered:

- Insert: Insert a new record of a student and his/her score.
- <u>Search</u>: Find the score of a given student.
- Suppose we maintain the information in a 2-dimensional array such that the array is sorted based on the names (dictionary order).
  - How much time does each insert operations take? O(n)
  - How much time does each search operation take?  $O(\log n)$  using **Binary Search**
  - In this case, if the majority of the operations performed are insert operations, then the previous one is better.

Given a sorted array A containing n integers and an integer x, check if x is present in A.

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## Algorithm

BinarySearch-v1(x, A, n)

- If A has no elements, then return("not present")
- Let *mid* denote the *middle* index of the array (i.e.,  $mid = \lfloor n/2 \rfloor$ )
- If (A[mid] = x), then return("present")
- Let  $A_L$  denote the *left-half* of the array and
  - $A_R$  denote the *right-half* of the array
- If (x < A[mid])
  - Search x in  $A_L$
- else
  - Search x in  $A_R$

Given a sorted array A containing n integers and an integer x, check if x is present in A.

#### Algorithm

BinarySearch-v2(x, A, n)

- If  $(n \leq 0)$ , then return("not present")
- $mid \leftarrow \lfloor n/2 \rfloor$ )
- If (A[mid] = x), then return("present")
- $-A_L \leftarrow A[1...(mid-1)]$
- $A_R \leftarrow A[(mid + 1)...n]$
- If (x < A[mid])
  - Search x in  $A_L$  return(BinarySearch-v2(x,  $A_L$ , mid 1))
- else

- Search x in  $A_R$  return(BinarySearch-v2(x,  $A_R$ , n - mid))

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#### Algorithm

 $\begin{array}{l} \text{BinarySearch-v2}(x,A,n) \\ & - \text{ If } (n \leq 0), \text{ then return("not present")} \\ & - mid \leftarrow \lfloor n/2 \rfloor ) \\ & - \text{ If } (A[mid] = x), \text{ then return("present")} \\ & - A_L \leftarrow A[1...(mid - 1)] \\ & - A_R \leftarrow A[(mid + 1)...n] \\ & - \text{ If } (x < A[mid]) \\ & - \frac{\text{Search } x \text{ in } A_L \text{ return(BinarySearch-v2}(x,A_L,mid - 1)) \\ & - \text{ else} \\ & - \frac{\text{Search } x \text{ in } A_R \text{ return(BinarySearch-v2}(x,A_R,n-mid)) \end{array}$ 

- The above function calls marked in red are called *recursive* function calls.
- The function BinarySearch-v2 is called a recursive function.

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• <u>Recursion</u>: Self reference.

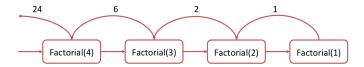


• In our context, we talk about recursive functions.

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• <u>Recursive function</u>: A function that makes a call to itself.





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- <u>Base case</u>: Returns result for small value of inputs. Defines the recursion termination condition.
- Reduction step: Assuming that the function returns correct value for smaller inputs use function calls on smaller inputs to compute the result on the given input.

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• Question: How do we prove correctness of recursive functions?



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# • <u>Question</u>: How do we prove correctness of recursive functions? Induction

## Algorithm

Factorial(n)

- If (n = 0 or n = 1)return(1)
- $f \leftarrow \texttt{Factorial}(n-1)$
- return $(n \cdot f)$

- Question: How do we prove correctness of recursive functions? Induction
- Question: Is it always possible to avoid recursive functions?

## Algorithm

Factorial(n) - If (n = 0 or n = 1)return(1) -  $f \leftarrow$  Factorial(n - 1) - return( $n \cdot f$ )

- <u>Question</u>: How do we prove correctness of recursive functions? Induction
- Question: Is it always possible to avoid recursive functions? Yes.

## Algorithm

```
Factorial-iterative(n)

- f \leftarrow 1

- for i = 1 to n

- f \leftarrow f \cdot i

- return(f)
```

 In fact, there is some efficiency advantage in not using recursive functions.

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- <u>Question</u>: How do we prove correctness of recursive functions? Induction
- Question: Is it always possible to avoid recursive functions?  $\overrightarrow{\text{Yes.}}$
- In fact, there is some efficiency advantage in not using recursive functions as function calls involve various time/space overheads.
- Why is recursion used then?

- <u>Question</u>: How do we prove correctness of recursive functions? Induction
- Question: Is it always possible to avoid recursive functions? Yes.
- In fact, there is some efficiency advantage in not using recursive functions as function calls involve various time/space overheads.
- Why is recursion used then?
  - In many cases, using recursion makes the program much simpler and easy to understand and analyse.
  - Many problems in Computer Science have inherent recursive structures (e.g., Fibonacci sequence)

- The Fibonacci sequence is defined in the following recursive manner:
  - <u>Base case</u>: F(0) = 0, F(1) = 1
  - For all n > 1, F(n) = F(n-1) + F(n-2)
- So, the sequence is:
  - F(0) = 0
  - F(1) = 1

• 
$$F(2) = F(1) + F(0) = 1 + 0 = 1$$

• 
$$F(3) = F(2) + F(1) = 1 + 1 = 2$$

• 
$$F(4) = F(3) + F(2) = 2 + 1 = 3$$

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  - Base case: F(0) = 0, F(1) = 1
  - For all n > 1, F(n) = F(n-1) + F(n-2)
- So, the sequence is:  $0, 1, 1, 2, 3, 5, 8, 13, \dots$
- The problem itself is defined in a recursive manner. So, it is natural to write a recursive method to solve this.

## Algorithm

```
Recursive-Fib(n)

If (n = 0 or n = 1)return(n)

- return(Recursive-Fib(n - 1) + Recursive-Fib(n - 2))
```

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  - <u>Base case</u>: F(0) = 0, F(1) = 1
  - For all n > 1, F(n) = F(n-1) + F(n-2)
- So, the sequence is: 0, 1, 1, 2, 3, 5, 8, 13, ...
- The problem itself is defined in a recursive manner. So, it is natural to write a recursive method to solve this.

## Algorithm

Rfib(n) If (n = 0 or n = 1)return(n)- return(Rfib(n - 1) +Rfib(n - 2))

• How do we analyse the running time of the above algorithm?

## Introduction Digression: Binary Search $\rightarrow$ Recursive Functions $\rightarrow$ Fibonacci Sequence

#### Algorithm

```
\begin{aligned} & \text{Rfib}(n) \\ & \text{If } (n = 0 \text{ or } n = 1) \text{return}(n) \\ & - \text{return}(\text{Rfib}(n - 1) + \text{Rfib}(n - 2)) \end{aligned}
```

• How do we analyse the running time of the above algorithm?

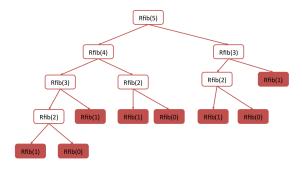


Figure : Recursive call tree for recursive fibonacci algorithm.

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- How do we analyse the running time of the above algorithm?
- Note that the same recursive call is made multiple times (e.g., Rfib(2))

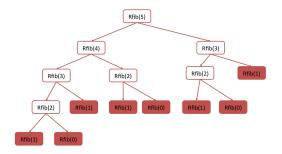


Figure : Recursive call tree for recursive fibonacci algorithm.

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 $\begin{aligned} & \text{Rfib}(n) \\ & \text{If } (n = 0 \text{ or } n = 1) \text{return}(n) \\ & - \text{return}(\text{Rfib}(n - 1) + \text{Rfib}(n - 2)) \end{aligned}$ 

- How do we analyse the running time of the above algorithm?
- Note that the same recursive call is made multiple times (e.g., Rfib(2))
- In general, there are a lot of redundant calls.
- The running time of the above recursive algorithm can in fact be shown to be  $\Omega(2^{n/2})$ .
- <u>Question</u>: Can we find the *n*<sup>th</sup> fibonacci number much faster than this?

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## Algorithm

BinarySearch(x, A, i, j)

- if(j < i)return("not present")
- mid  $\leftarrow \lfloor \frac{i+j}{2} \rfloor$
- if (A[mid] = x) return ("present")
- if(x < A[mid])return(BinarySearch(x, A, i, mid 1))
- else return(BinarySearch(x, A, mid + 1, j))

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- How do we prove the correctness of above algorithm?

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- if(x < A[mid])return(BinarySearch(x, A, i, mid 1))
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- How do we prove the correctness of above algorithm? Induction
- *P*(*i*): The algorithm correctly searches any given element in any sorted array of size *i*.

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- Is P(1) true?

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- How do we prove the correctness of above algorithm? Induction
- *P*(*i*): The algorithm correctly searches any given element in any sorted array of size *i*.
- Is *P*(1) true?
- If P(1), P(2), ..., P(k) are true, then is P(k+1) also true?

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- What is the running time of the above algorithm in terms of the Big-O notation?

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- else return(BinarySearch(x, A, mid + 1, j))
- What is the running time of the above algorithm in terms of the Big-O notation?
- Let us denote T(n) as the worst case running time for searching in sorted arrays of size n.
- Try writing a recurrence-relation for T(n).

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- What is the running time of the above algorithm in terms of the Big-O notation?
- Let us denote T(n) as the worst case running time for searching in sorted arrays of size n.
- $T(n) \leq T(\lfloor n/2 \rfloor) + c$  for all n > 1 and T(1) = b.
- How do we solve such recurrence relation?

# End

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