

# CSL 356: Analysis and Design of Algorithms

Ragesh Jaiswal  
CSE, IIT Delhi

# Computational Intractability

---

NP and NP-completeness

# Computational Intractability: NP & NP-complete

- **NP**: A problem  $X$  is in **NP** if and only if there is an *efficient certifier* for  $X$ .
- **NP-complete**: These are all problems  $X$  with the following properties:
  1.  $X$  is in **NP**.
  2. For all  $Y$  in **NP**,  $Y \leq_p X$ .
- **Theorem (Cook-Levin)**: 3-SAT is **NP-complete**.
- **NP-hard**: These are all problems  $X$  with the following property:
  1. For all  $Y$  in **NP**,  $Y \leq_p X$ .
  - **Example**: Given a graph  $G$ , find the maximum independent set in  $G$ .

# Computational Intractability

---

NP-complete problems

# Computational Intractability: NP-complete problems

- We now know that 3-SAT is **NP**-complete.
- Claim: Independent-set, Vertex-cover, Set-cover are also **NP**-complete.

# Computational Intractability: NP-complete problems

- We now know that 3-SAT is **NP**-complete.
- Claim: Independent-set, Vertex-cover, Set-cover are also **NP**-complete.
  - Proof: These problem are in **NP** and
$$3\text{-SAT} \leq_p \text{Independent-set} \leq_p \text{Vertex-cover} \leq_p \text{Set-cover}.$$

# Computational Intractability: NP-complete problems

- Problem (TSP): Given a *complete*, weighted, directed graph  $G$  and a number  $k$ , determine if there is a tour in the graph of total length at most  $k$ .
- Claim 1: TSP is in **NP**.
  - Proof: A tour of length at most  $k$  is a certificate.

# Computational Intractability: NP-complete problems

- Problem (TSP): Given a *complete*, weighted, directed graph  $G$  and a number  $k$ , determine if there is a tour in the graph of total length at most  $k$ .
- Claim 1: TSP is in **NP**.
  - Proof: A tour of length at most  $k$  is a certificate.
- Claim 2:  $3\text{-SAT} \leq_p \text{TSP}$ 
  - Proof:
    - Claim 2.1:  $3\text{-SAT} \leq_p \text{Hamiltonian-cycle}$ .
    - Claim 2.2:  $\text{Hamiltonian-cycle} \leq_p \text{TSP}$ .



# Computational Intractability: NP-complete problems

- Problem (TSP): Given a *complete*, weighted, directed graph  $G$  and a number  $k$ , determine if there is a tour in the graph of total length at most  $k$ .
- Claim 1: TSP is in **NP**.
  - Proof: A tour of length at most  $k$  is a certificate.
- Claim 2:  $3\text{-SAT} \leq_p \text{TSP}$ 
  - Proof:
    - Claim 2.1:  $3\text{-SAT} \leq_p \text{Hamiltonian-cycle}$ .
    - Claim 2.2:  $\text{Hamiltonian-cycle} \leq_p \text{TSP}$ .
- Problem (Hamiltonian-cycle): Given an unweighted, directed graph, determine if there is a Hamiltonian cycle in the graph.
  - Hamiltonian cycle: A cycle that visits each vertex exactly once.

# Computational Intractability: NP-complete problems

- Claim 2.2: Hamiltonian-cycle  $\leq_p$  TSP.
  - Proof: Given a unweighted, directed graph  $G$ , construct the following complete, directed, weighted graph  $G'$ :
    - For each edge  $(u, v)$  in  $G$  give the weight of **1** to edge  $(u, v)$  in  $G'$ .
    - For each pair  $(u, v)$  such that there is no edge from  $u$  to  $v$  in  $G$ , add an edge  $(u, v)$  with weight **2** in  $G'$ .
    - Claim 2.2.1:  $G$  has a Hamiltonian cycle if and only if  $G'$  has a tour of size at most  $n$ .

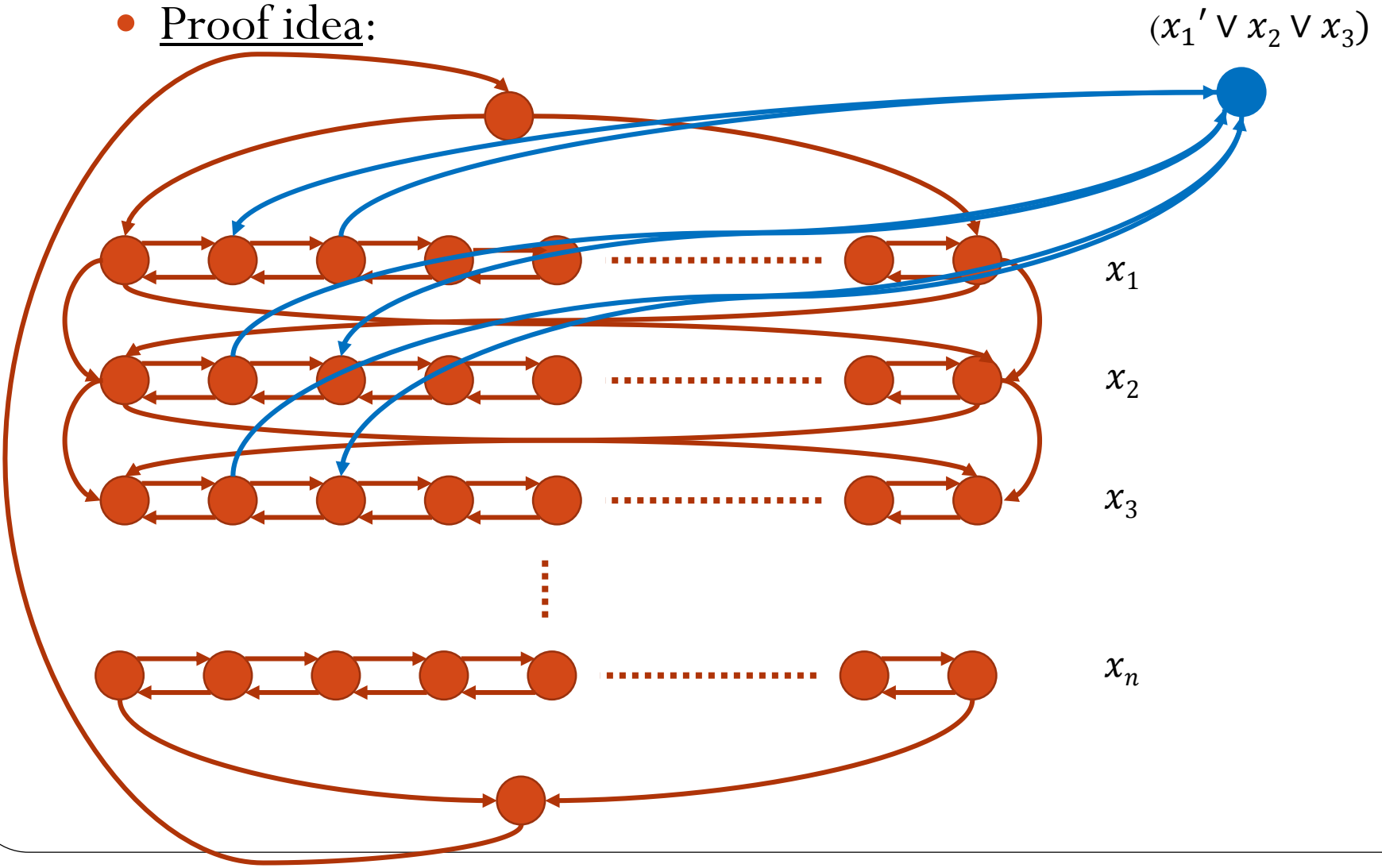
# Computational Intractability: NP-complete problems

- Claim 2.1:  $3\text{-SAT} \leq_p \text{Hamiltonian-cycle}$ .
- Proof: Given an instance of the 3-SAT problem (a formula  $\Omega$  with  $n$  variables and  $m$  clauses), we need to create a directed graph  $G$  such that  $\Omega$  is satisfiable if and only if  $G$  has a Hamiltonian cycle.

# Computational Intractability: NP-complete problems

- Claim 2.1:  $3\text{-SAT} \leq_p \text{Hamiltonian-cycle}$ .

- Proof idea:



# Computational Intractability: NP-complete problems

- Claim 2.1.1: If the 3-SAT formula is satisfiable, then there is a Hamiltonian cycle in the constructed graph.

# Computational Intractability: NP-complete problems

- Claim 2.1.1: If the 3-SAT formula is satisfiable, then there is a Hamiltonian cycle in the constructed graph.
- Claim 2.1.2: If the constructed graph has a Hamiltonian cycle, then the 3-SAT formula has a satisfying assignment.

# Computational Intractability: NP-complete problems

- Claim 2.1.1: If the 3-SAT formula is satisfiable, then there is a Hamiltonian cycle in the constructed graph.
- Claim 2.1.2: If the constructed graph has a Hamiltonian cycle, then the 3-SAT formula has a satisfying assignment.
- Main idea: Any Hamiltonian cycle in the constructed graph goes through each of the bi-directional chains only in one direction.

# Computational Intractability

---

NP-complete problems: Hamiltonian Path

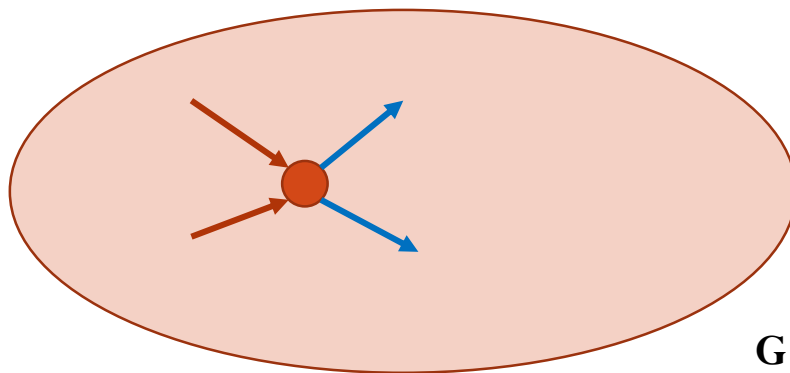


# Computational Intractability: NP-complete problems

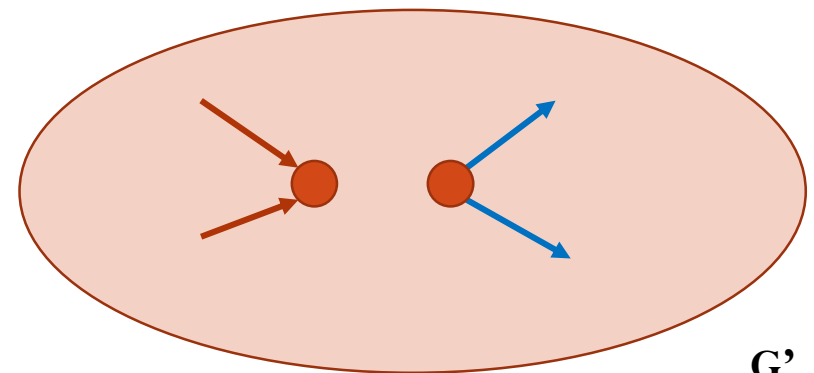
- Problem (Hamiltonian-path): Given a directed graph  $G$ , determine if there is a Hamiltonian path in the graph.
  - Hamiltonian path: A path that visits each vertex exactly once.
- Claim 1: Hamiltonian-path is in **NP**.
  - Proof: A Hamiltonian path acts as a certificate.
- Claim 2: Hamiltonian-cycle  $\leq_p$  Hamiltonian-path.

# Computational Intractability: NP-complete problems

- Problem (Hamiltonian-path): Given a directed graph  $G$ , determine if there is a Hamiltonian path in the graph.
  - Hamiltonian path: A path that visits each vertex exactly once.
- Claim 1: Hamiltonian-path is in **NP**.
  - Proof: A Hamiltonian path acts as a certificate.
- Claim 2: Hamiltonian-cycle  $\leq_p$  Hamiltonian-path.
  - Proof idea:



$G$



$G'$

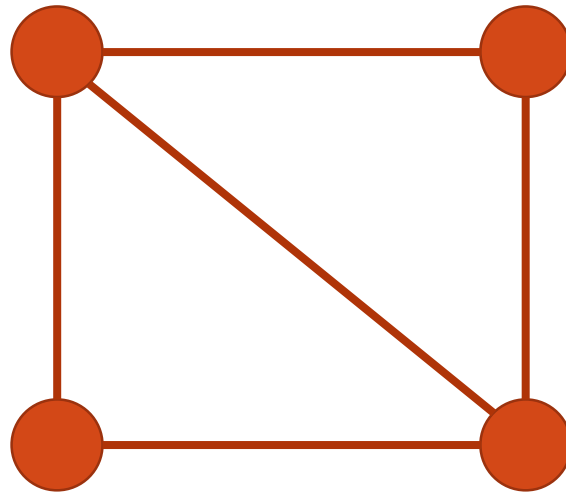
# Computational Intractability

---

NP-complete problems:  $k$ -COLORING

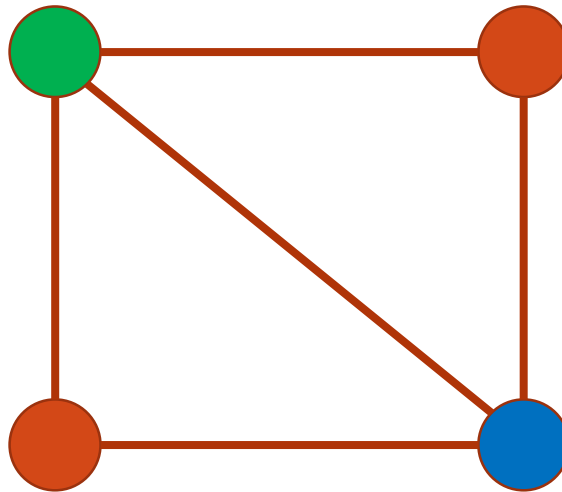
# Computational Intractability: NP-complete problems

- Problem ( $k$ -coloring): Given a graph  $G$ , determine if  $G$  is  $k$ -colorable.
  - $k$ -colorable: A graph is said to be  $k$  colorable if it is possible to assign one of  $k$  colors to each node such that for every edge  $(u, v)$ ,  $u$  and  $v$  are assigned different colors.



# Computational Intractability: NP-complete problems

- Problem ( $k$ -coloring): Given a graph  $G$ , determine if  $G$  is  $k$ -colorable.
  - $k$ -colorable: A graph is said to be  $k$  colorable if it is possible to assign one of  $k$  colors to each node such that for every edge  $(u, v)$ ,  $u$  and  $v$  are assigned different colors.



# Computational Intractability: NP-complete problems

- Problem (2-coloring): Given a graph  $\underline{G}$ , determine if  $\underline{G}$  is 2-colorable.
- How hard is this problem?

# Computational Intractability: NP-complete problems

- Problem (2-coloring): Given a graph  $G$ , determine if  $G$  is 2-colorable.
- How hard is this problem?
- Claim:  $G$  is 2-colorable if and only if  $G$  is bipartite.

End

---