

# CSL759: Cryptography and Computer Security

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Recap.

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# CPA Security for Encryption Schemes

- $Left_{SE,A}$

- Randomly pick key  $K \leftarrow \{0,1\}^k$ .
- When  $A$  queries message pair  $(M_0^i, M_1^i)$  return  $E_K(M_0^i)$  to  $A$ .
- Finally  $A$  outputs  $b$ .
- Output  $b$ .

- $Right_{SE,A}$

- Randomly pick key  $K \leftarrow \{0,1\}^k$ .
- When  $A$  queries message pair  $(M_0^i, M_1^i)$  return  $E_K(M_1^i)$  to  $A$ .
- Finally  $A$  outputs  $b$ .
- Output  $b$ .

- The IND-CPA advantage of an adversary  $A$  is defined as follows:

$$Adv_{ind-cpa}(A, SE) = |\Pr[Left_{SE,A} = 1] - \Pr[Right_{SE,A} = 1]|$$

- A symmetric encryption scheme  $SE = (E, D)$  is called  $(t, q, \epsilon)$ -ind-cpa secure if for every adversary  $A$  that runs in time  $\leq t$  and asks  $\leq q$  queries,  $Adv_{ind-cpa}(A, SE) \leq \epsilon$ .

# Pseudorandom Function

- The PRF advantage of an adversary  $A$  is defined as follows:  
$$Adv_{PRF}(A, F) = |\Pr[Real_{A,F} = 1] - \Pr[Random_A = 1]|$$
- A function  $F: \{0,1\}^k \times \{0,1\}^n \rightarrow \{0,1\}^n$  is called  $(t, q, \epsilon)$ -secure PRF if for every adversary  $A$  that runs in time  $\leq t$  and asks  $\leq q$  queries,  $Adv_{PRF}(A, F) \leq \epsilon$ .

- $Real_{A,F}$

- Randomly pick  $K \leftarrow \{0,1\}^k$ .
- When  $A$  queries with an input  $x \in \{0,1\}^n$ , return  $F_K(x)$ .
- Finally  $A$  outputs a bit  $b$ .
- Output  $b$ .

- $Random_A$

- When  $A$  queries with an input  $x \in \{0,1\}^n$ , return a random value from  $\{0,1\}^n$ .
- Finally  $A$  outputs a bit  $b$ .
- Output  $b$ .

*The adversary is not allowed to repeat a query.*

# CPA-Security for Encryption Schemes

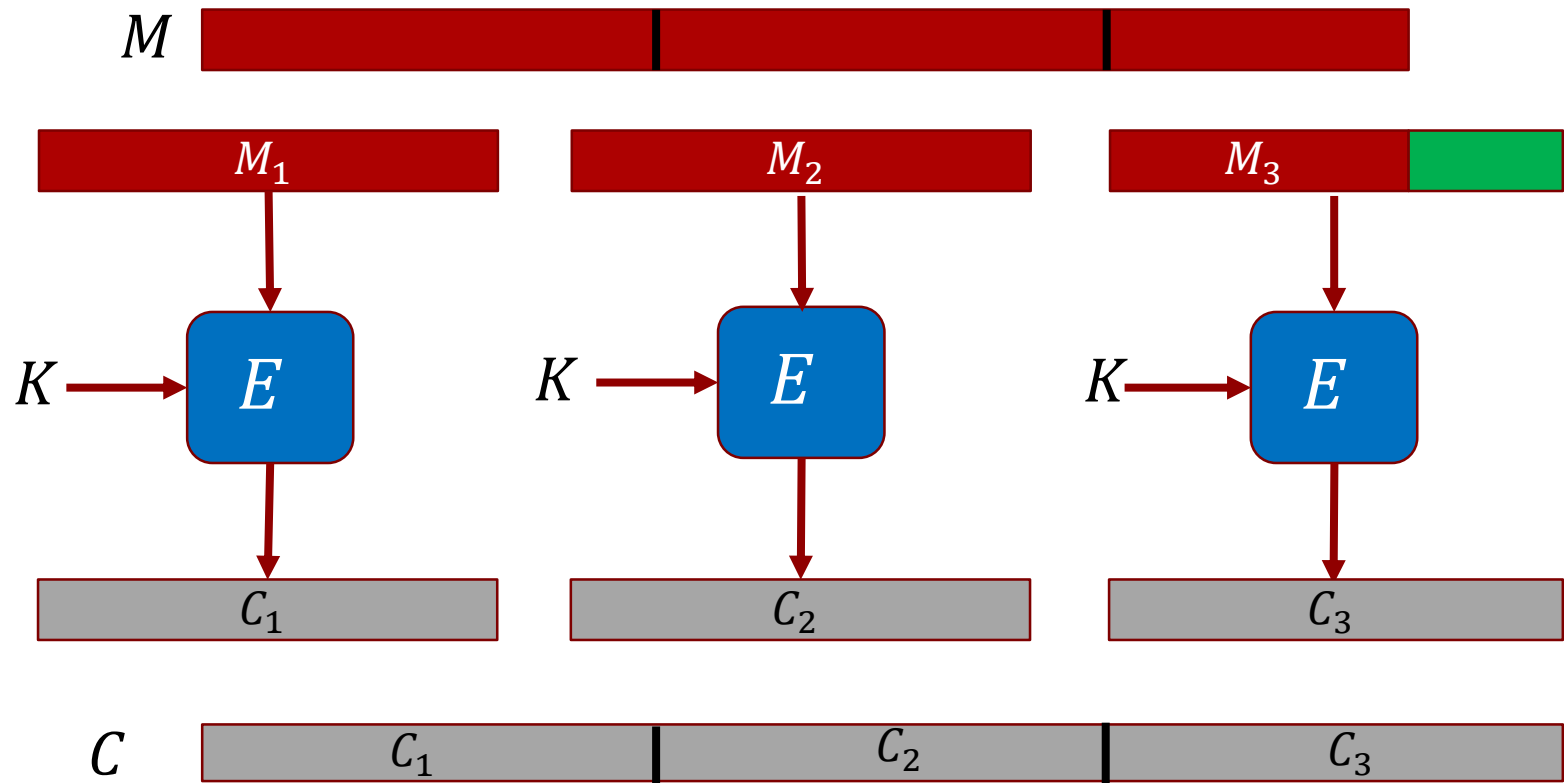
- Suppose we have a *secure* pseudorandom permutation family  $F: \{0,1\}^k \times \{0,1\}^n \rightarrow \{0,1\}^n$ .
  - We saw a few examples (AES, 3DES etc.) in the last lecture.
- Consider the following encryption scheme  $SE = (E, D)$  that encrypts messages of length  $n$ .
  - $E_K(M)$ 
    - Pick a random  $r \leftarrow \{0,1\}^n$
    - Output  $C = \langle r, F_K(r) \oplus M \rangle$
  - $D_K(C)$ 
    - Parse  $C$  as  $\langle r, s \rangle$
    - Output  $M = F_K(r) \oplus s$
- Theorem (informal): If  $F$  is a secure PRF, then  $SE$  is ind-cpa secure symmetric encryption scheme.

# Modes of Operation

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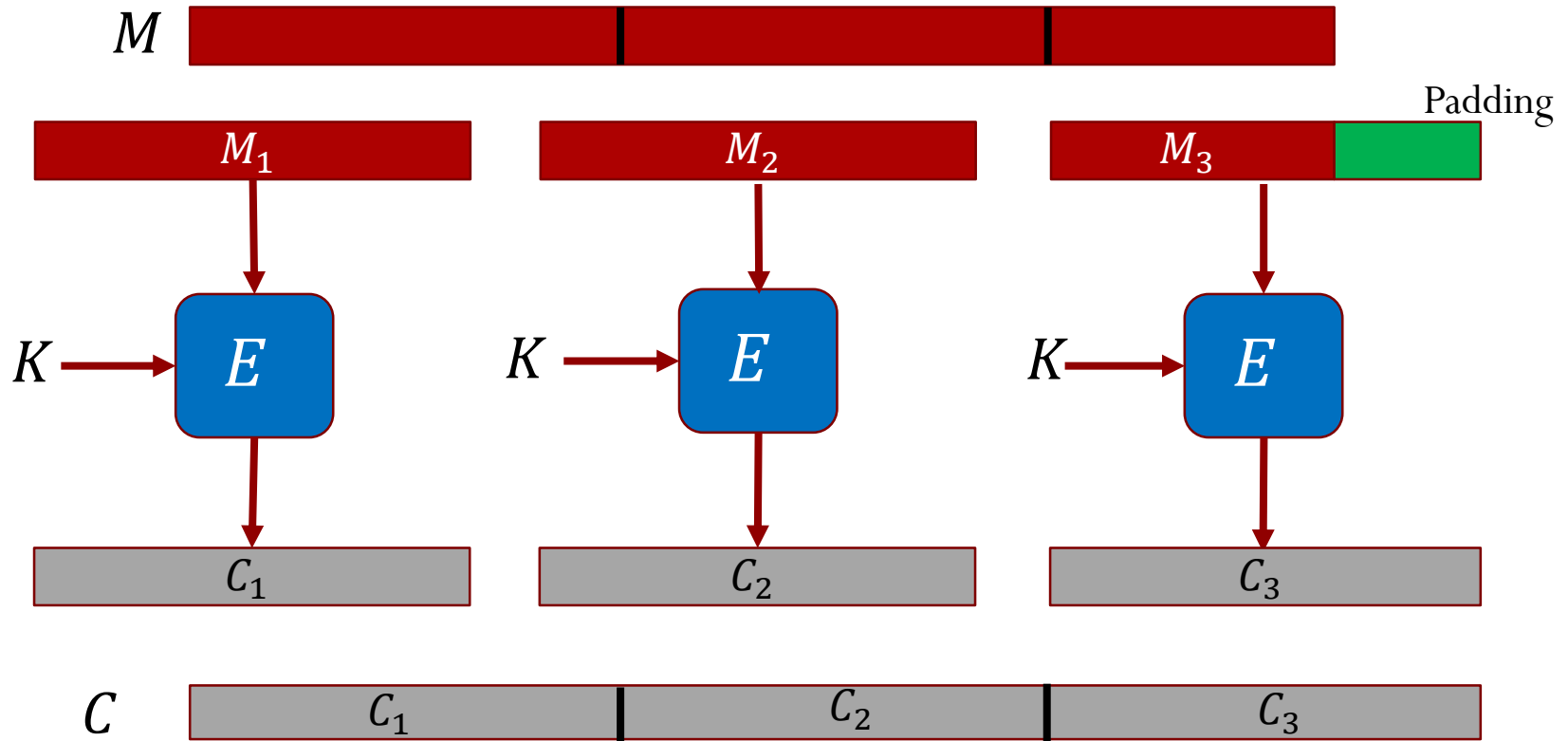
Using PRPs to design IND-CPA secure encryption schemes.

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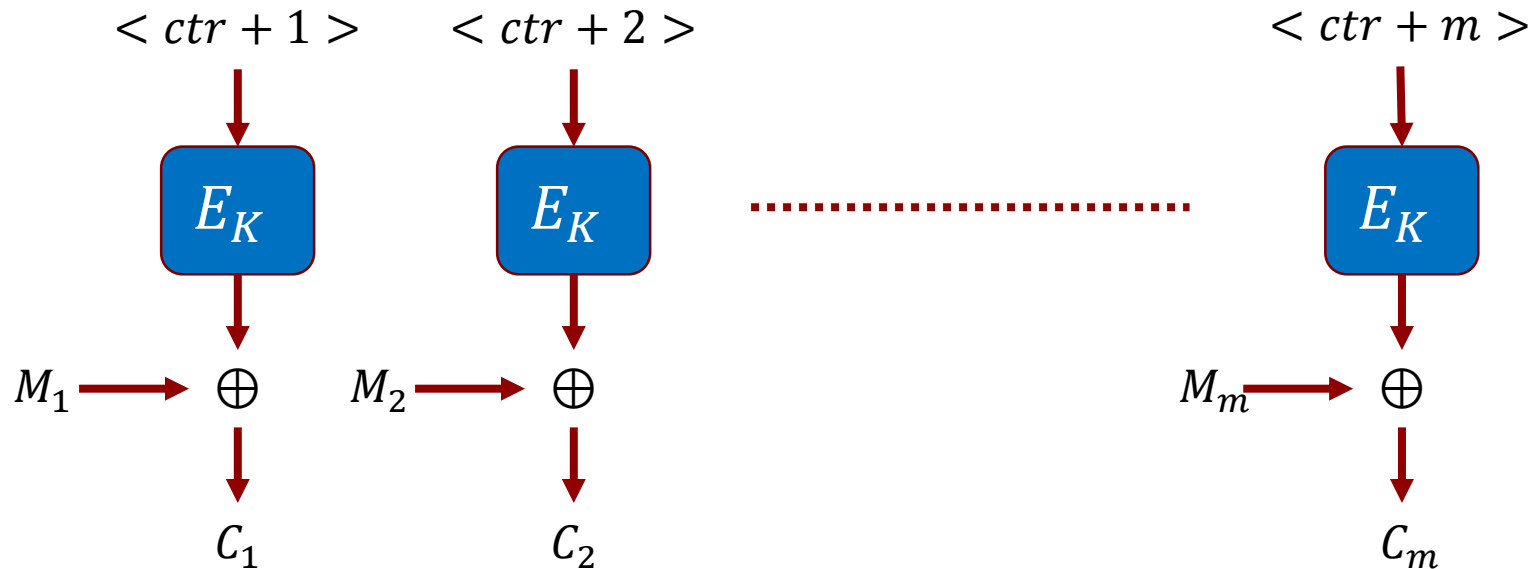
# ECB Mode: Electronic Codebook Mode

- Is the encryption scheme using the ECM mode IND-CPA secure?
  - No. Adversary queries  $(0^n, 1^n)$  and then  $(1^n, 1^n)$ .
- No deterministic (same ciphertext for same message) encryption scheme can be IND-CPA secure.
  - This means that a IND-CPA secure encryption scheme should output different ciphertexts for the same message.
  - There are two ways to achieve this:
    - Randomized encryption (CBC\$): The encryption algorithm is randomized.
    - Stateful encryption (CTR): The encryption algorithm maintains a state and the encryption depends on this state.

# CTRC mode: Counter mode

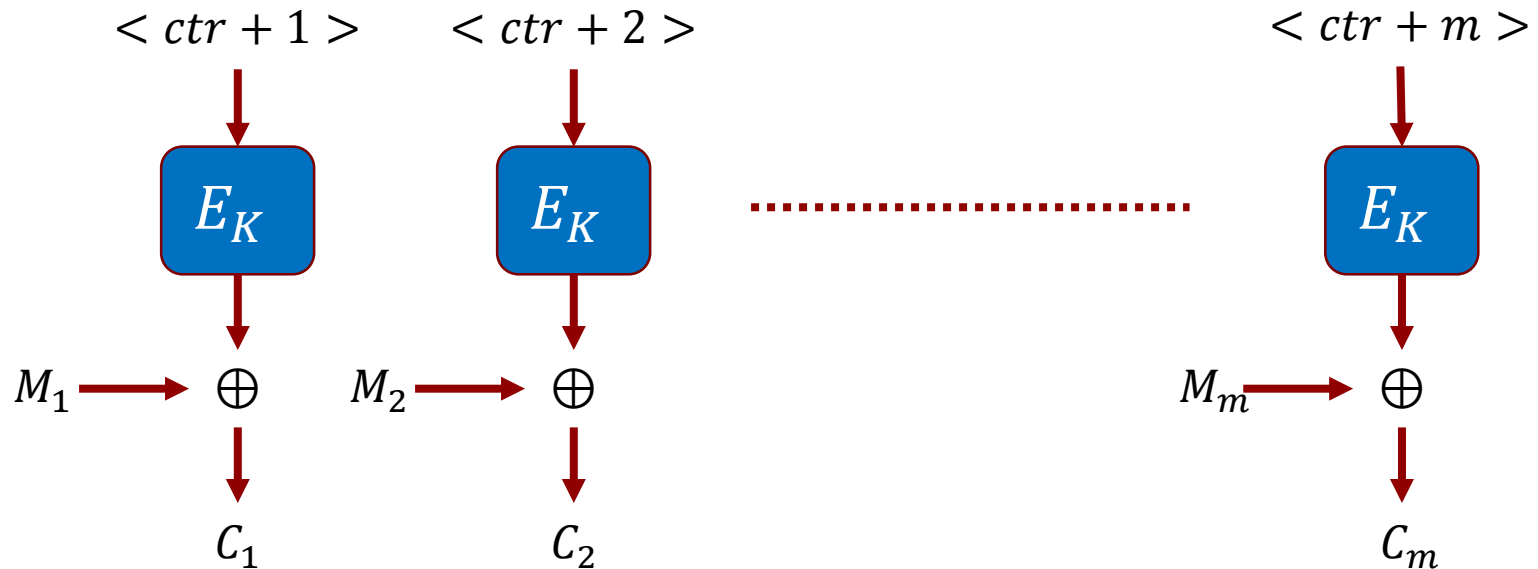
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# CTRC Mode



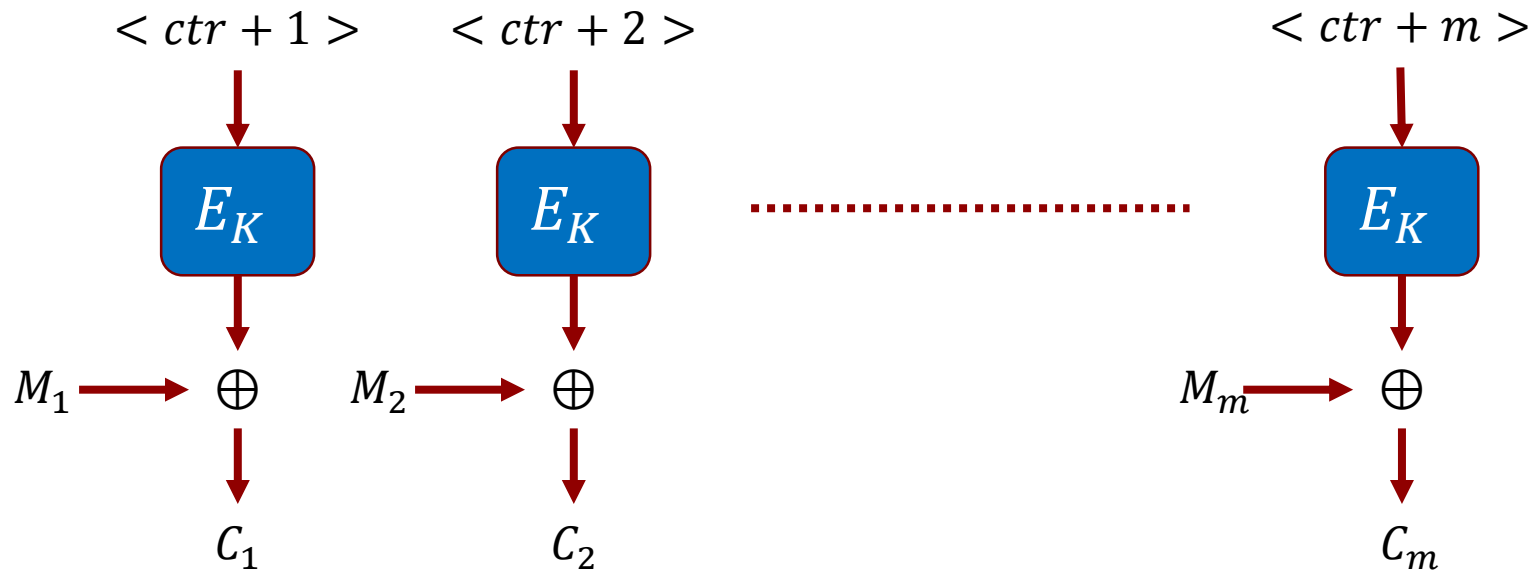
- The encryption algorithm maintains a counter  $ctr$  that is initialized to 0.
- For a  $m$  block message  $M_1, \dots, M_m$  the ciphertext  $C_0, C_1, \dots, C_m$  is sent where  $C_0 = ctr$ .

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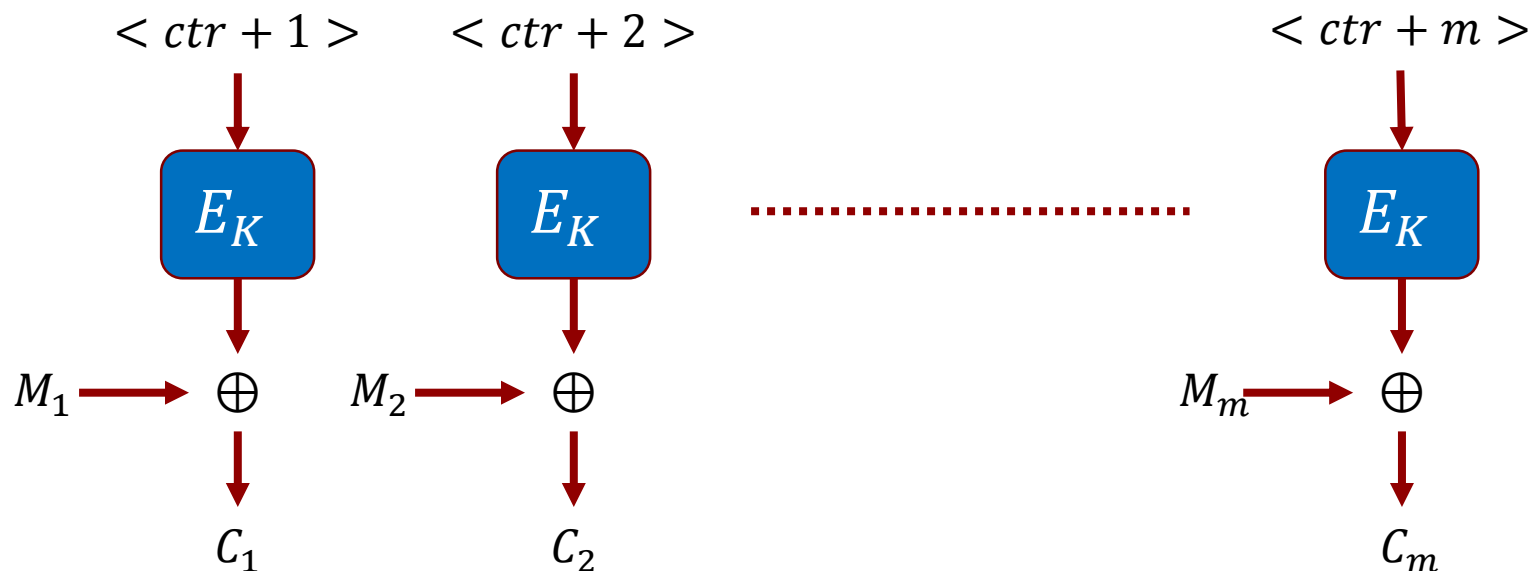
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- For a  $m$  block message  $M_1, \dots, M_m$  the ciphertext  $C_0, C_1, \dots, C_m$  is sent where  $C_0 = ctr$ .
- Few observations:
  - Decryptor does not need to maintain a counter.
  - Decryptor does not need  $E_K^{-1}$ .
  - Encryption decryption are parallalizable.

# CTR Mode



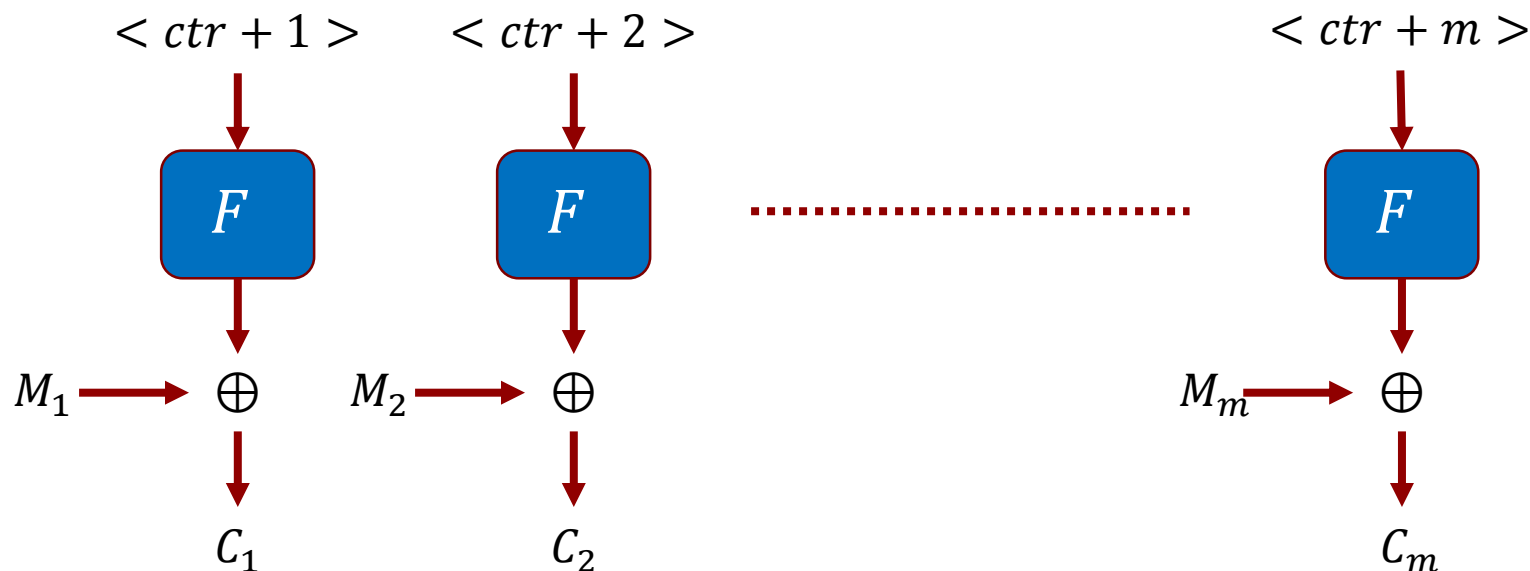
- Is this encryption scheme IND-CPA secure?

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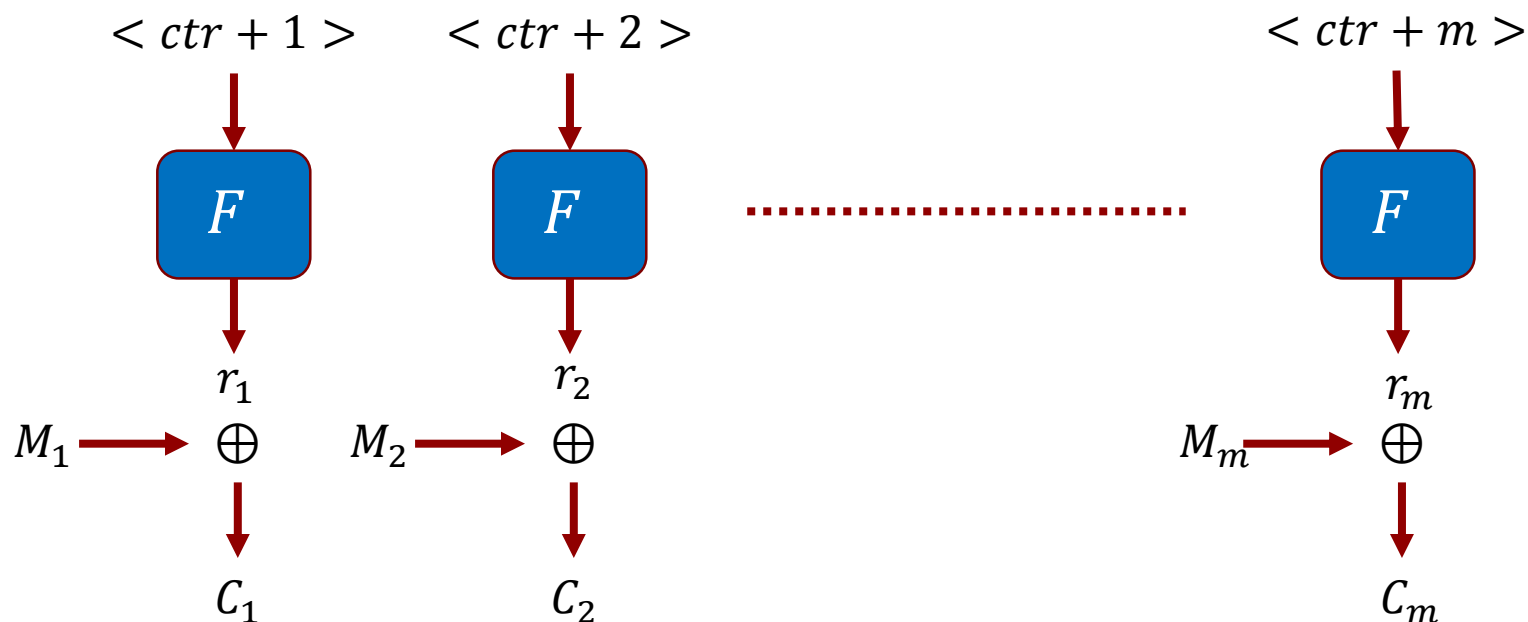
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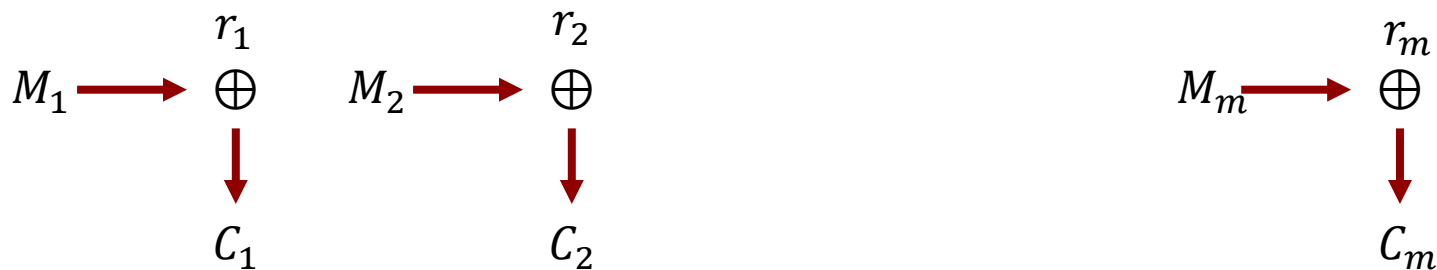
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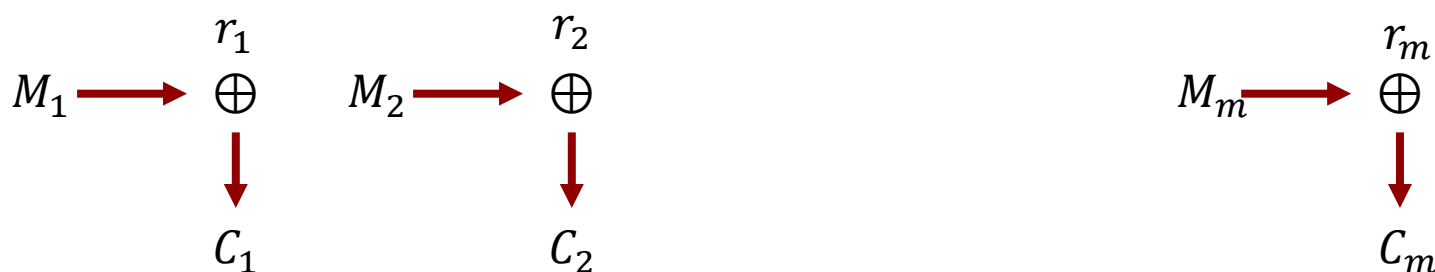


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  - This is just One Time Pad. This reveals no information about the message and hence will be IND-CPA secure.

# CTR Mode



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  - Yes if  $E$  is a secure PRF.
  - Intuition: What if instead of  $E$ , we use a purely random function.
  - This is just One Time Pad. This reveals no information about the message and hence will be IND-CPA secure.
  - But then,  $E$  behaves like a random function so should also be IND-CPA secure.

# CTRC Mode

- Theorem: Let  $E: \{0,1\}^k \times \{0,1\}^n \rightarrow \{0,1\}^n$  be a function family and let  $SE = (E, D)$  denote the CTRC mode encryption scheme using  $E$ . Let  $A$  be an adversary that attacks  $SE$  in the IND-CPA sense that runs in time  $t$  and makes  $q$  queries involving a total of  $\sigma$  message blocks. Then there is a PRF adversary  $B$  such that

$$Adv_{ind-cpa}(A, SE) \leq 2 \cdot Adv_{PRF}(B, E)$$

Moreover,  $B$  only makes  $\sigma$  queries and runs in time at most  $t + \theta(n\sigma)$ .

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- First, we define an experiment that captures IND-CPA.

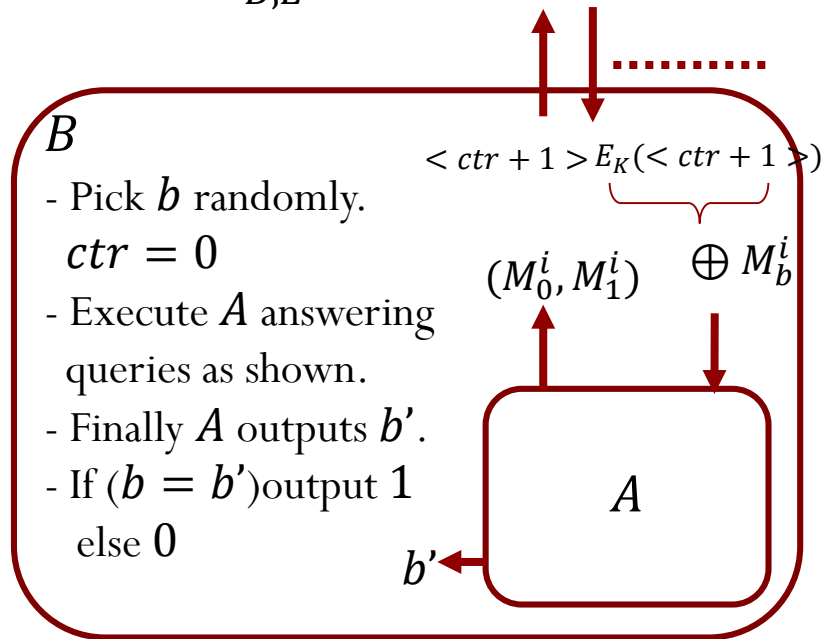
- $LRGuess_{SE,A}$

- Randomly pick a key  $K \leftarrow \{0,1\}^n$ .
- Pick a random bit  $b \leftarrow \{0,1\}$
- When  $A$  makes query  $(M_0^i, M_1^i)$ , return the value  $E_K(M_b^i)$ .
- Finally,  $A$  outputs a bit  $b'$
- If  $(b = b')$  output 1 else output 0
- Claim 1:  $\Pr[LRGuess_{SE,A} = 1] = \frac{1}{2} + \frac{1}{2} \cdot Adv_{ind-cpa}(A, SE)$ .

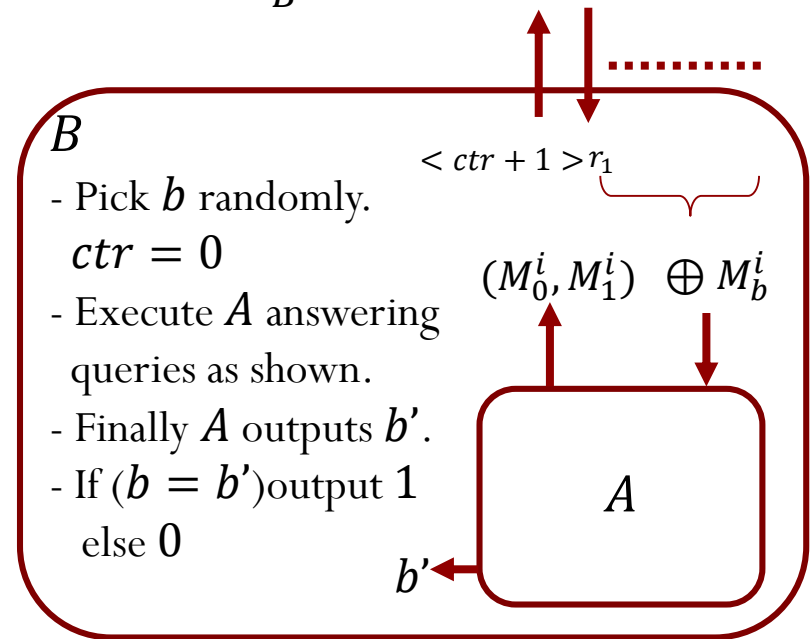
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$Real_{B,E}$



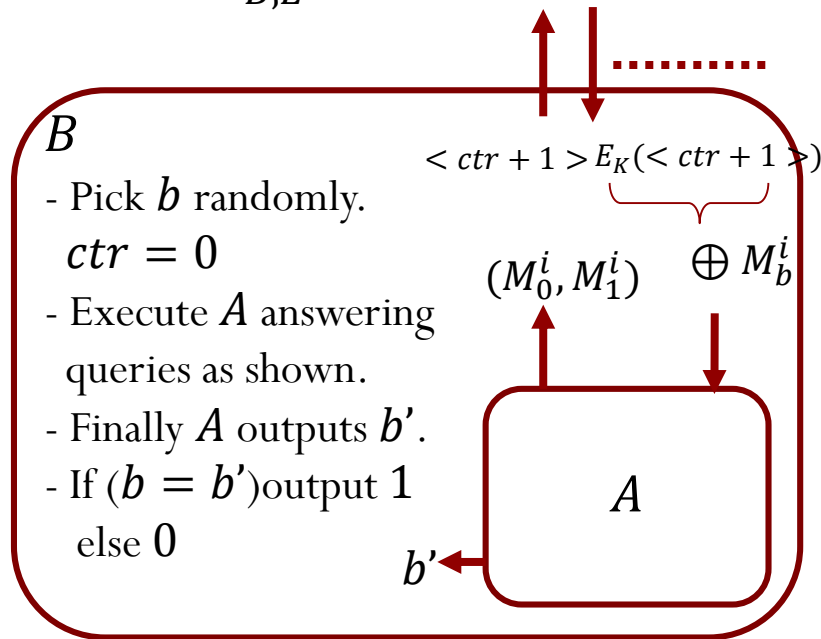
$Random_B$



# CTRC Mode

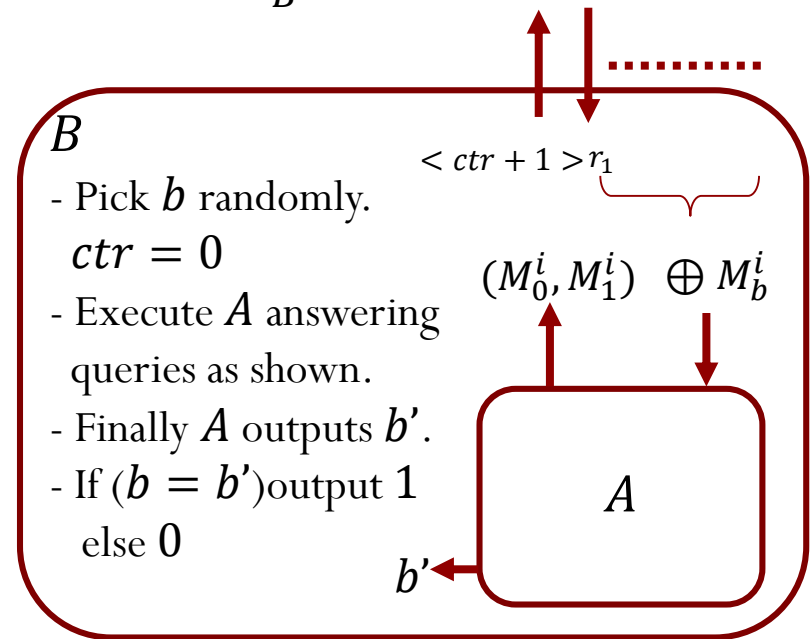
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$Real_{B,E}$



$\Pr[Real_{B,E} = 1] = ?$

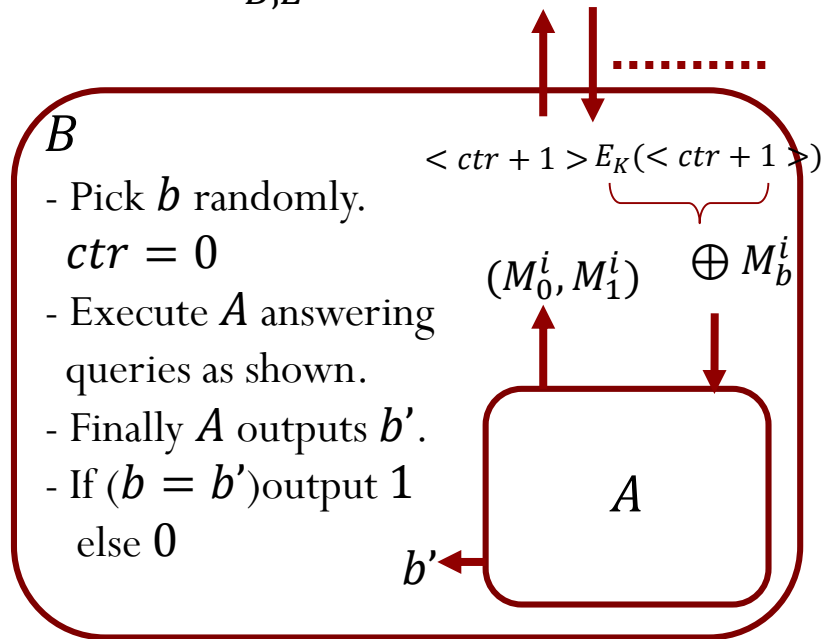
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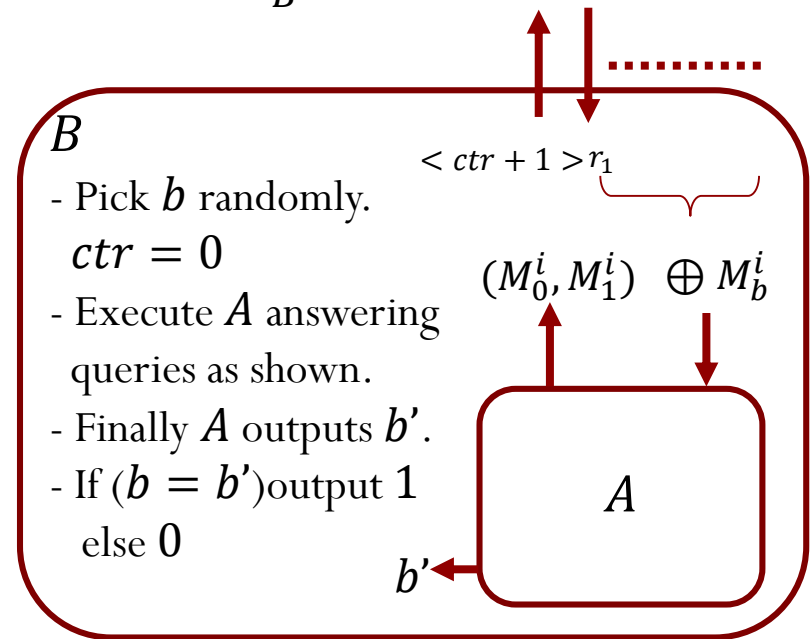
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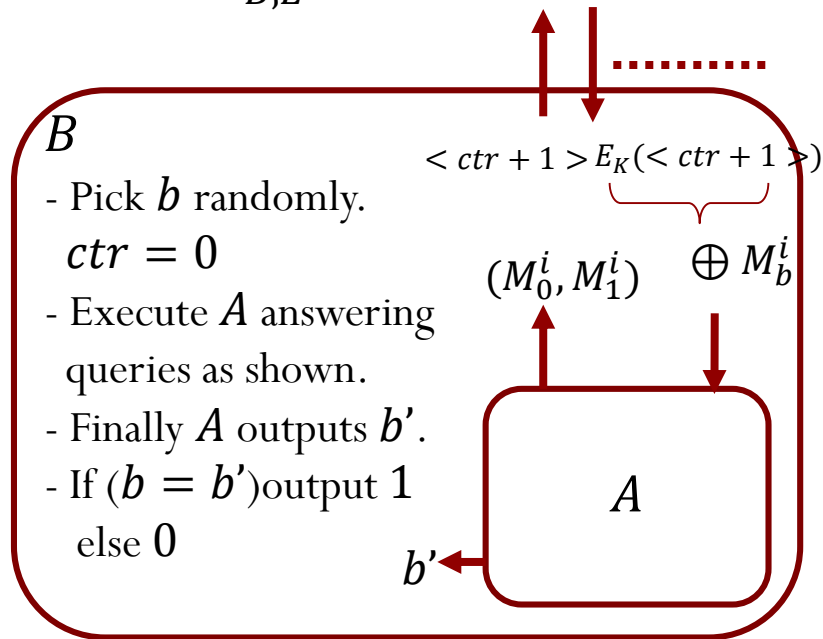


$$\Pr[Real_{B,E} = 1] = \Pr[LRGuess_{A,SE} = 1]$$

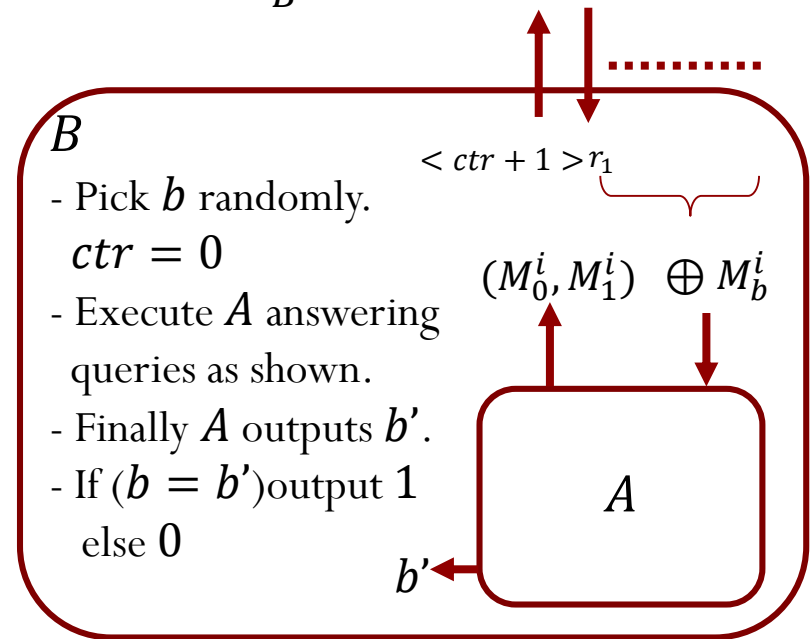
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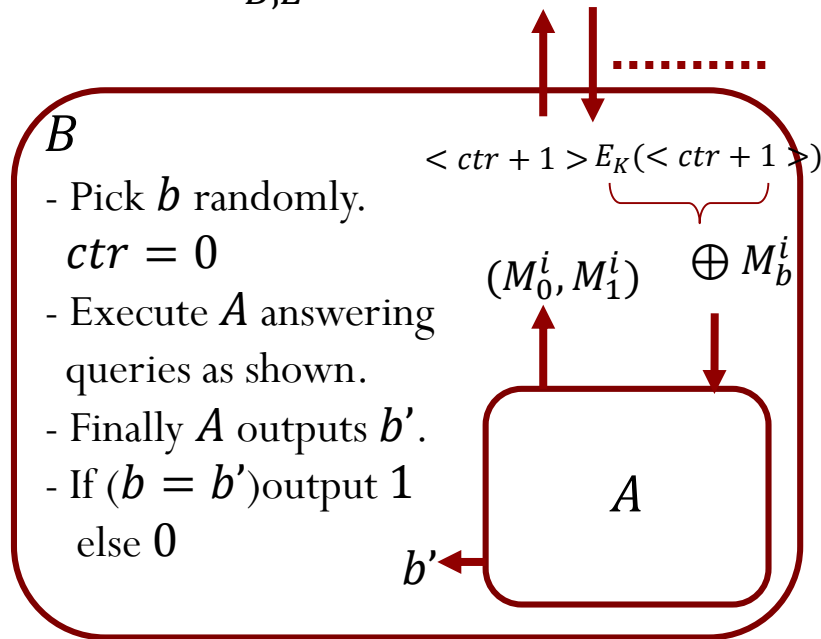
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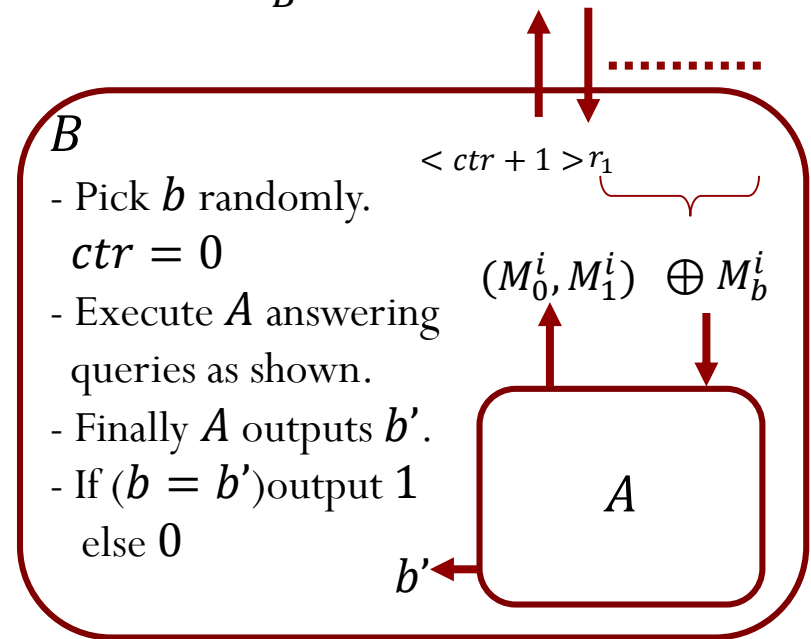
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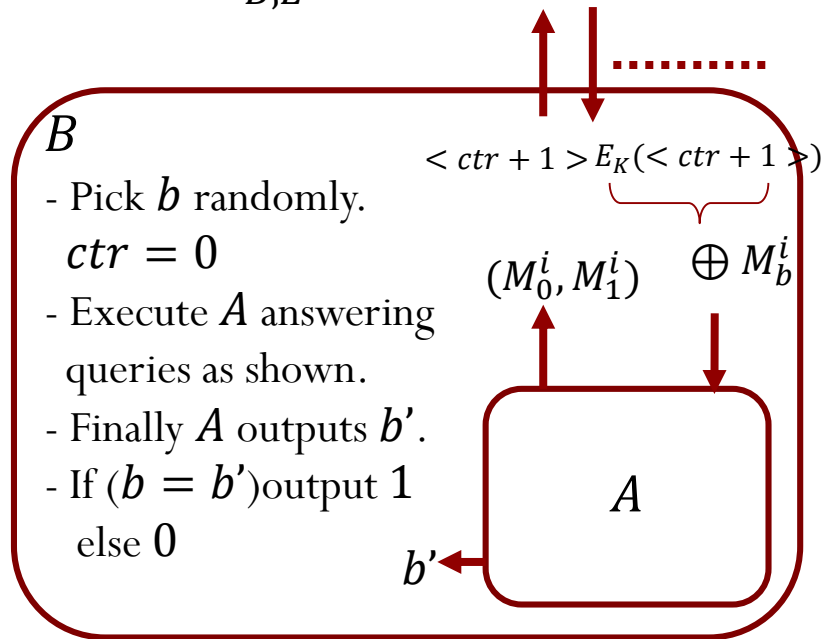


$$\Pr[Random_B = 1] = ?$$

# CTRC Mode

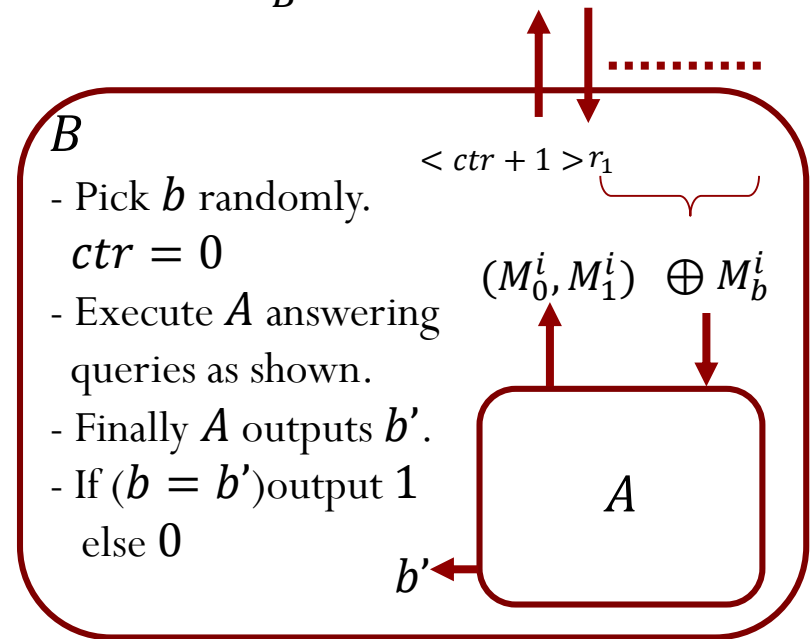
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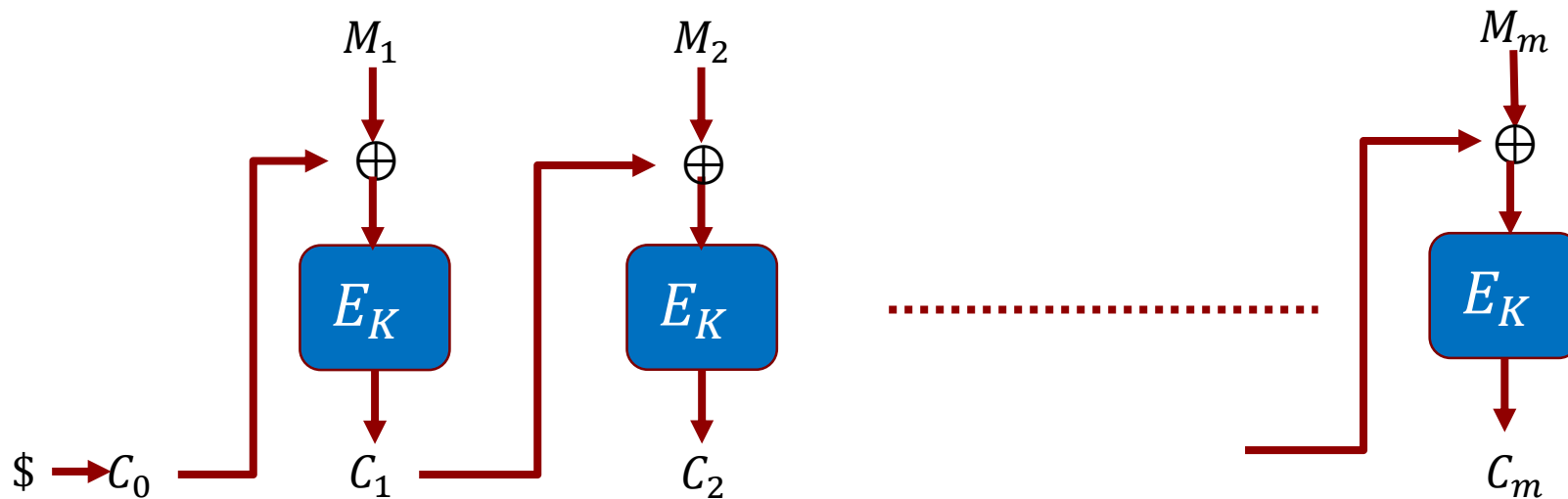


$$\Pr[Random_B = 1] = \frac{1}{2}$$

# CBC\$ Mode: Cipher Block Chaining

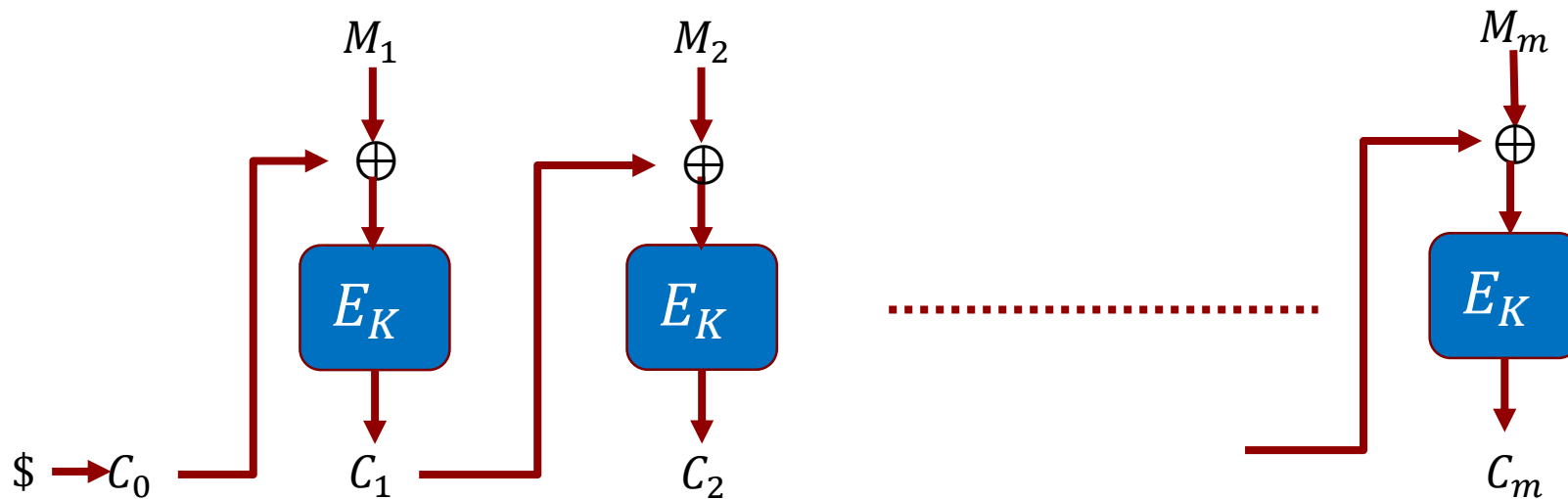
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# CBC\$ Mode



- $C_0$  is chosen randomly from  $\{0,1\}^n$ .
- The ciphertext corresponding to  $M_1, \dots, M_m$  is  $C_0, C_1, \dots, C_m$ .
- $E_K$  needs to be a block cipher (i.e., it should be invertible).

# Birthday attack on CBC\$



- Consider the following adversary that attacks this encryption scheme in the IND-CPA sense:
- $A$ 
  - For  $i = 1$  to  $q$ 
    - Make a query  $(\langle i \rangle, \langle 0 \rangle)$  and let  $C_0^i C_1^i$  be the reply.
  - If there exists  $i \neq j$  s.t.  $C_0^i = C_0^j$ ,
    - then if  $C_1^i = C_1^j$ , then output 1
  - Output 0

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- What is  $\Pr[Left_{A,SE} = 1] = ?$

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- What is  $\Pr[Right_{A,SE} = 1] = ?$

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  - Output 0
- What is  $\Pr[Left_{A,SE} = 1] = 0$ .
- What is  $\Pr[Right_{A,SE} = 1] = C(q, 2^n)$ .
  - $C(i, N)$ : This is defined to be the probability that a “collision” happens when  $i$  elements are chosen independently and randomly from the set  $\{1, \dots, N\}$ .



# Digression

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Birthday Problem: The value of  $C(i, N)$

# Birthday Problem

- Birthday Problem: You uniformly sample  $i$  items with replacement from a collection of  $N$  items. What is the probability that two items are the same?
- Birthday Problem(popular version): There are  $i$  people in a room. What is the value of  $i$  such that the probability of two people having the same birthday is at least  $\frac{1}{2}$ . Each person's birthday is assumed to be a random day in the year.

# Birthday Problem

- Birthday Problem: You uniformly sample  $i$  items with replacement from a collection of  $N$  items. What is the probability that two items are the same?
- Question: Can we get a closed form expression for  $C(i, N)$ , the probability of collision?
- Balls and bins: We throw  $i$  balls into  $N$  bins randomly. What is the probability that there is a bin that has more than one ball?
  - This is the same problem. The probability is  $C(i, N)$ .

# Birthday Problem

- Balls and bins: We throw  $i$  balls into  $N$  bins randomly. What is the probability that there is a bin that has more than one ball?
- Claim 1:  $C(i, N) \leq \frac{i(i-1)}{2N}$ .
  - Proof:
    - Let  $C_i$  be the event that the  $i^{th}$  ball collides with one of the previous balls.
    - Lemma:  $\Pr[C_i] \leq (i-1)/N$ .
    - $$\begin{aligned} C(i, N) &= \Pr[C_1 \cup C_2 \cup \dots \cup C_i] \\ &\leq \Pr[C_1] + \Pr[C_2] + \dots + \Pr[C_i] \\ &\leq 0 + \frac{1}{N} + \dots + \frac{i-1}{N} \\ &= \frac{i(i-1)}{2N}. \end{aligned}$$

# Birthday Problem

- Balls and bins: We throw  $i$  balls into  $N$  bins randomly. What is the probability that there is a bin that has more than one ball?

- Claim 2:  $C(i, N) \geq 1 - e^{-\frac{i(i-1)}{2N}}$ .

- Proof:

- Let  $D_i$  be the event there are no collisions after  $i$  balls are thrown.

- Lemma:  $\Pr[D_{i+1}|D_i] = 1 - \frac{i}{n}$  and  $\Pr[D_1] = 1$ .

- $$\begin{aligned} 1 - C(i, N) &= \Pr[D_i] = \Pr[D_i|D_{i-1}].\Pr[D_{i-1}] \\ &= \prod \Pr[D_{j+1}|D_j] \\ &= \prod \left(1 - \frac{j}{n}\right) \leq e^{-\frac{\sum j}{N}} \\ &= e^{-\frac{i(i-1)}{2N}}. \end{aligned}$$

# Birthday Problem

- Balls and bins: We throw  $i$  balls into  $N$  bins randomly. What is the probability that there is a bin that has more than one ball?
- Claim 2:  $C(i, N) \geq 1 - e^{-\frac{i(i-1)}{2N}}$ .
- Corollary: If  $1 \leq i \leq \sqrt{2n}$ ,  
then  $C(i, N) \geq (1 - \frac{1}{e}) \cdot i(i-1)/2N$ .
  - Proof:
    - Use the fact that for  $0 < x \leq 1$ ,  $1 - e^{-x} \geq \left(1 - \frac{1}{e}\right) \cdot x$ .

# Birthday attack on CBC\$

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  - $C(i, N)$ : This is defined to be the probability that a “collision” happens when  $i$  elements are chosen independently and randomly from the set  $\{1, \dots, N\}$ .
- $Adv_{ind-cpa}(A, SE) \geq 0.3 \cdot \frac{q \cdot (q-1)}{2^{n+1}}$ .
- The advantage is large if  $q > 2^{n/2}$

# Birthday attack on CBC\$

- $A$ 
  - For  $i = 1$  to  $q$ 
    - Make a query  $(\langle i \rangle, \langle 0 \rangle)$  and let  $C_0^i C_1^i$  be the reply.
  - If there exists  $i \neq j$  s.t.  $C_0^i = C_0^j$ ,
    - then if  $C_1^i = C_1^j$ , then output 1
  - Output 0
- What is  $\Pr[Left_{A,SE} = 1] = 0$ .
- What is  $\Pr[Right_{A,SE} = 1] = C(q, 2^n)$ .
- $1 \leq q \leq 2^{\frac{n+1}{2}}, Adv_{ind-cpa}(A, SE) \geq 0.3 \cdot \frac{q \cdot (q-1)}{2^{n+1}}$ .
- The advantage is large (constant) if  $q > 2^{n/2}$ .
- We should not encrypt more than  $2^{n/2}$  blocks for a key.



# Birthday attack on CBC\$

- We should not encrypt more than  $2^{n/2}$  blocks for a key.
- Block size is important!
  - Examples:
    - DES:  $n = 64$ , so  $2^{n/2} = 2^{32}$  which is not a large number.
    - AES:  $n = 128$ , so  $2^{n/2} = 2^{64}$ . This is sufficiently large for practical purposes.
- We saw a  $q$ -query adversary that has an advantage (in the IND-CPA sense)  $\approx q^2/2^{n+1}$ . Is there a better adversary?
  - No if the block cipher is a secure PRP.

# IND-CPA security of CBC\$

- Theorem: Let  $E: \{0,1\}^k \times \{0,1\}^n \rightarrow \{0,1\}^n$  be a block cipher and  $SE = (E, D)$  be the corresponding CBC\$ encryption scheme. Let  $A$  be an IND-CPA adversary that runs in time  $t$  and makes  $q$  queries totalling  $\sigma$  blocks. Then there is a PRF adversary  $B$  against  $E$  such that:

$$Adv_{ind-cpa}(A, SE) \leq 2 \cdot Adv_{PRF}(B, E) + \frac{\sigma^2}{2^n}.$$

Moreover,  $B$  makes at most  $\sigma$  oracle queries and has a running time  $t + \theta(\sigma \cdot n)$ .

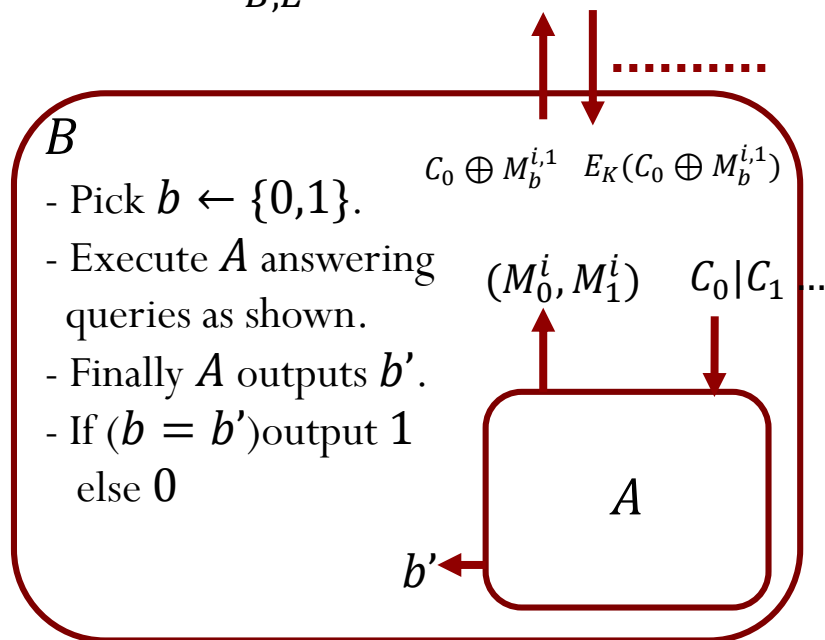
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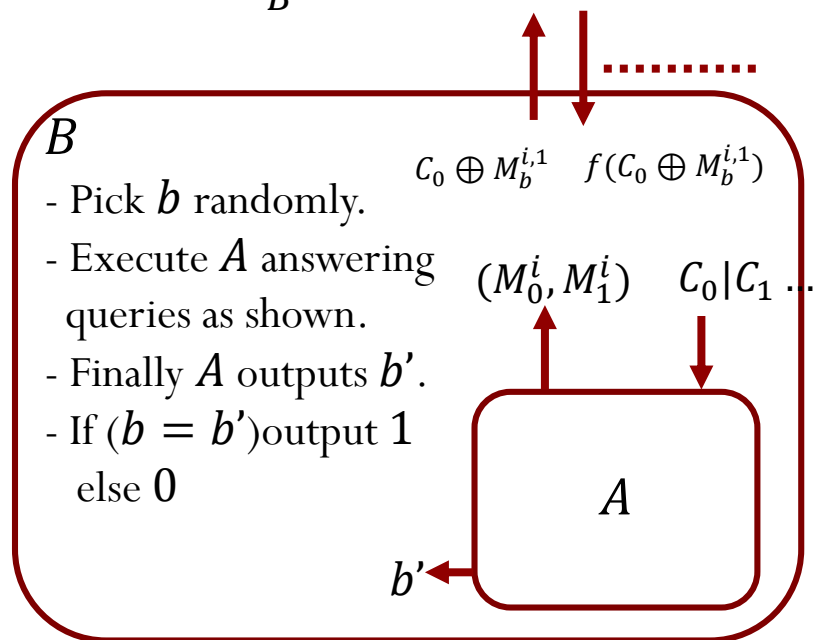
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$Real_{B,E}$



$Random_B$



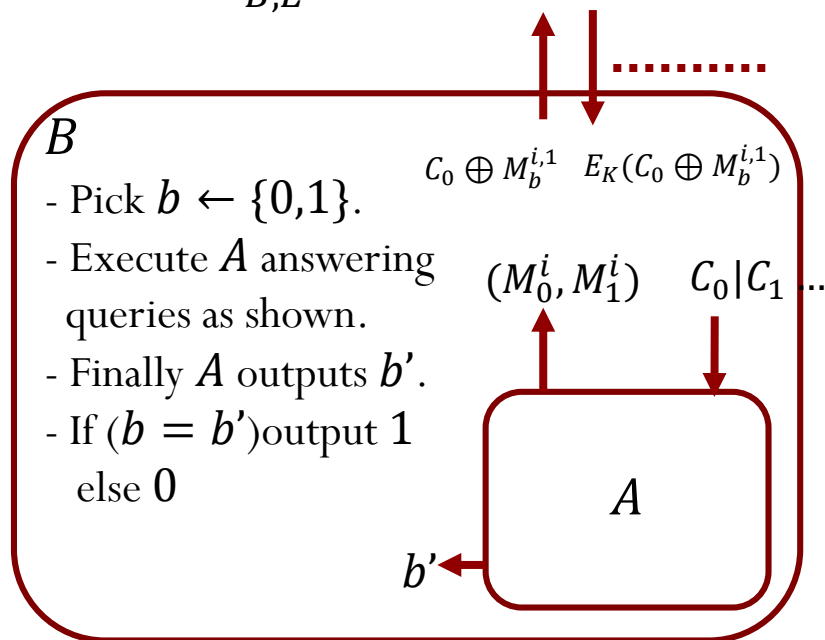
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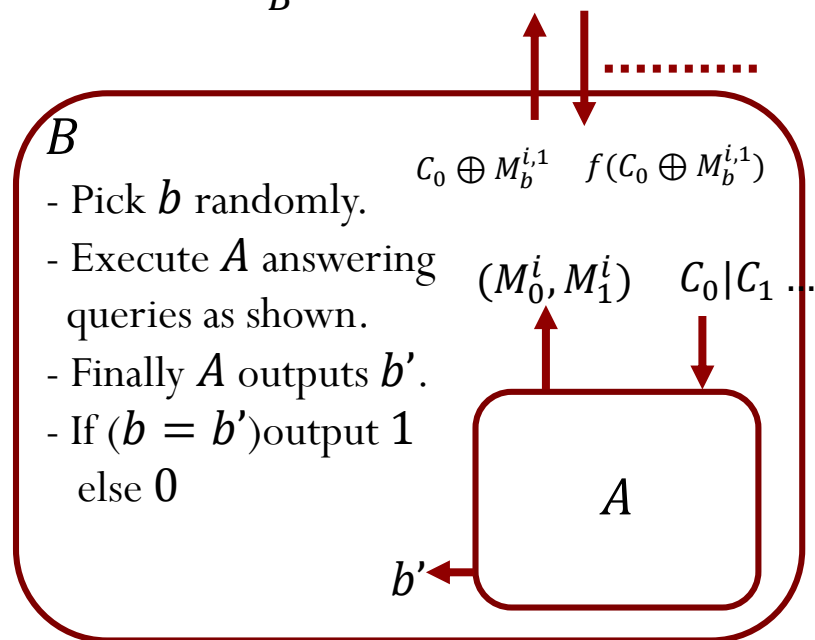
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$Real_{B,E}$



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$\Pr[Real_{B,E} = 1] = ?$

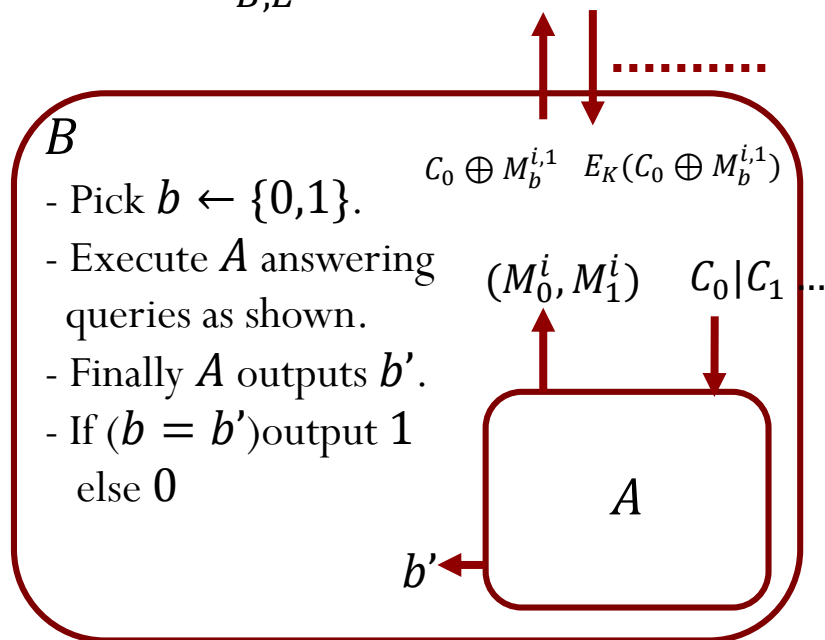
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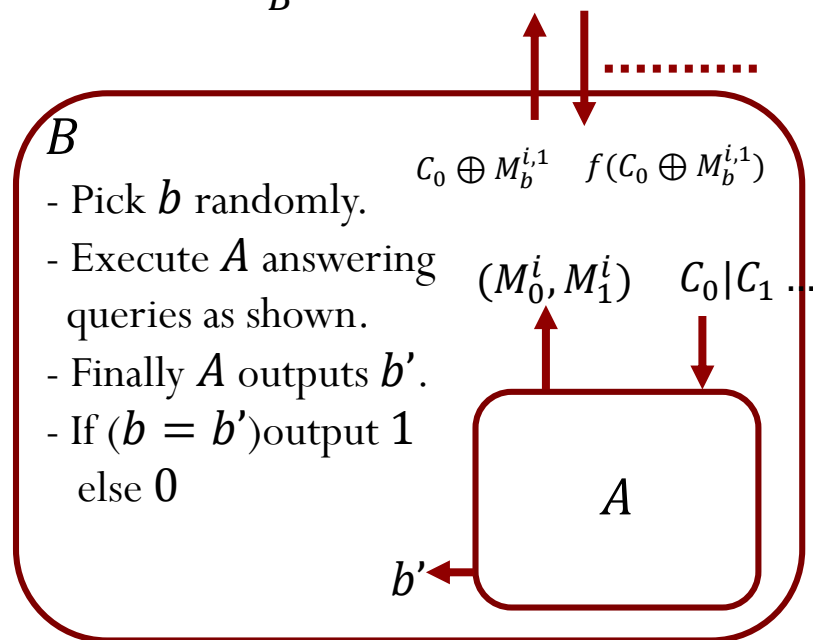
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$Real_{B,E}$



$$\Pr[Real_{B,E} = 1] = \frac{1}{2} + \frac{1}{2} \cdot Adv_{ind-cpa}(A, SE)$$

$Random_B$



$$\Pr[Random_B = 1] = ?$$

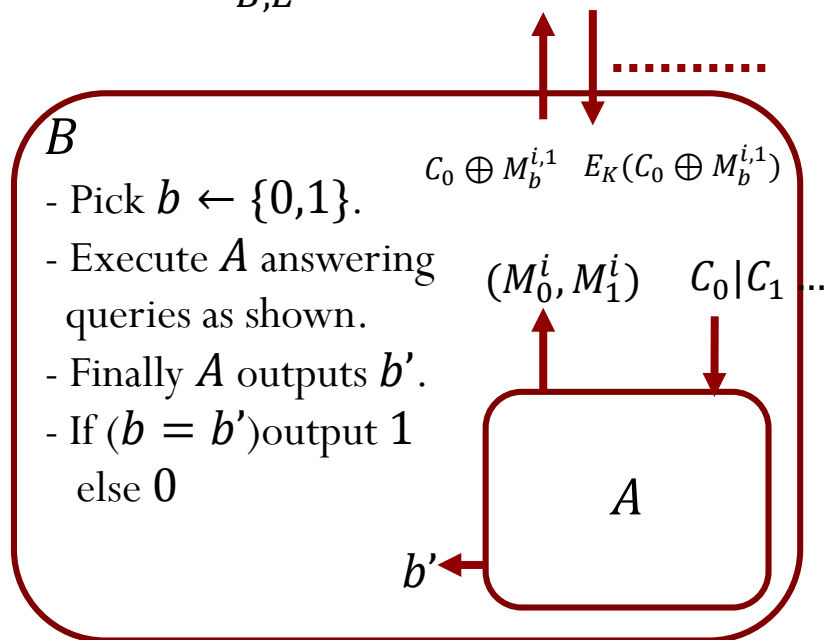
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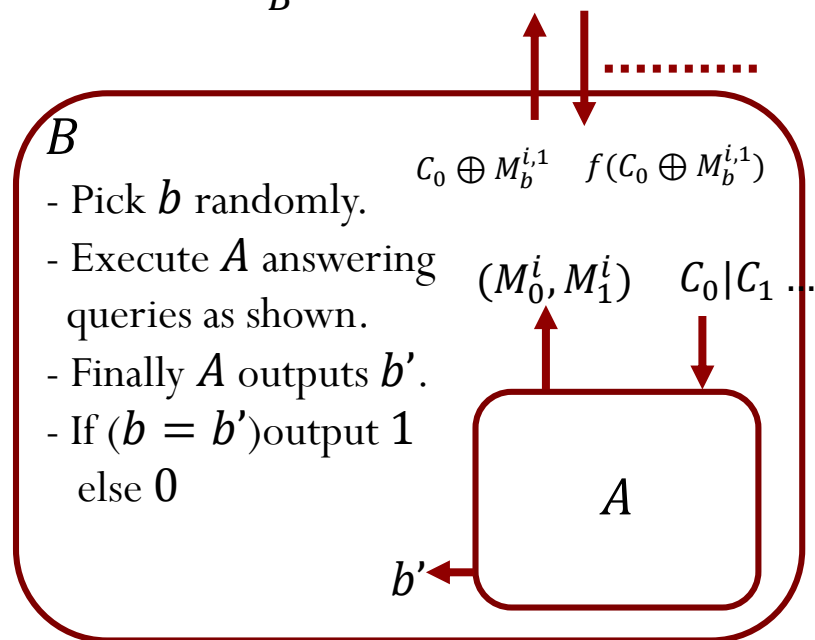
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$$\Pr[Random_B = 1] \leq \Pr[Random_B = 1 | \neg X] + \Pr[X]$$

$X$  is the event that a “collision” happens

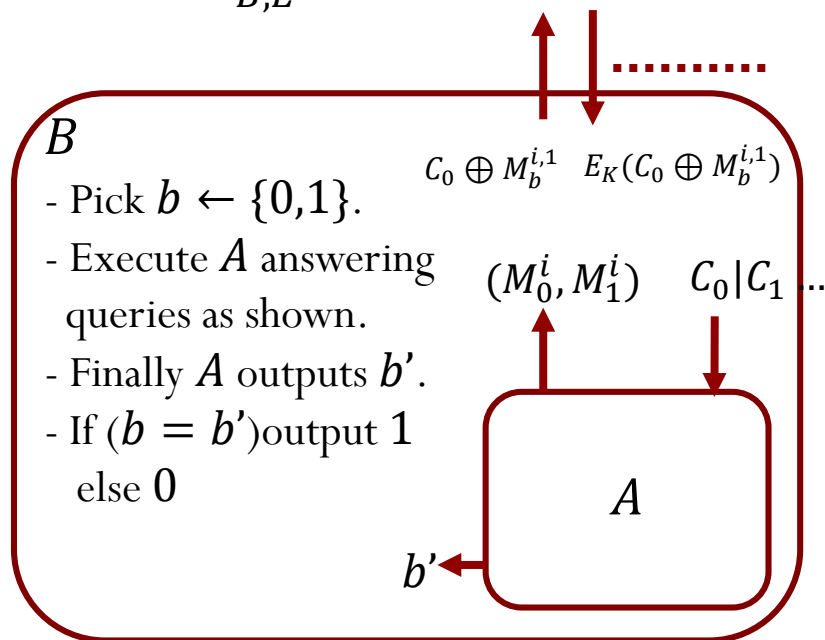
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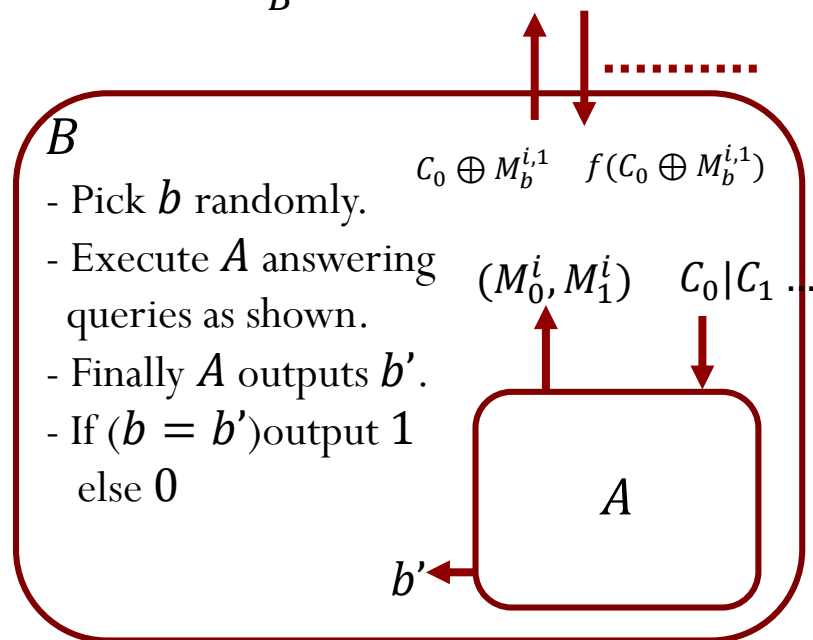
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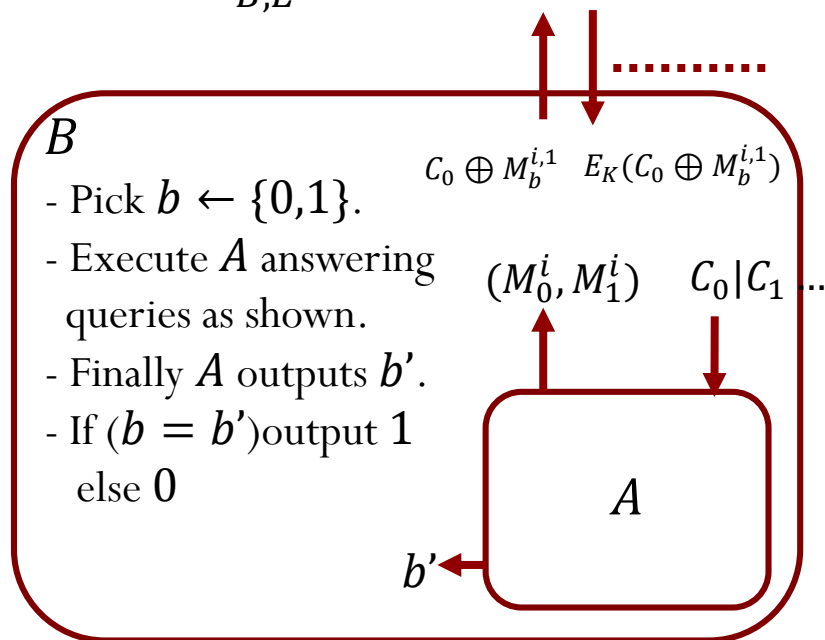
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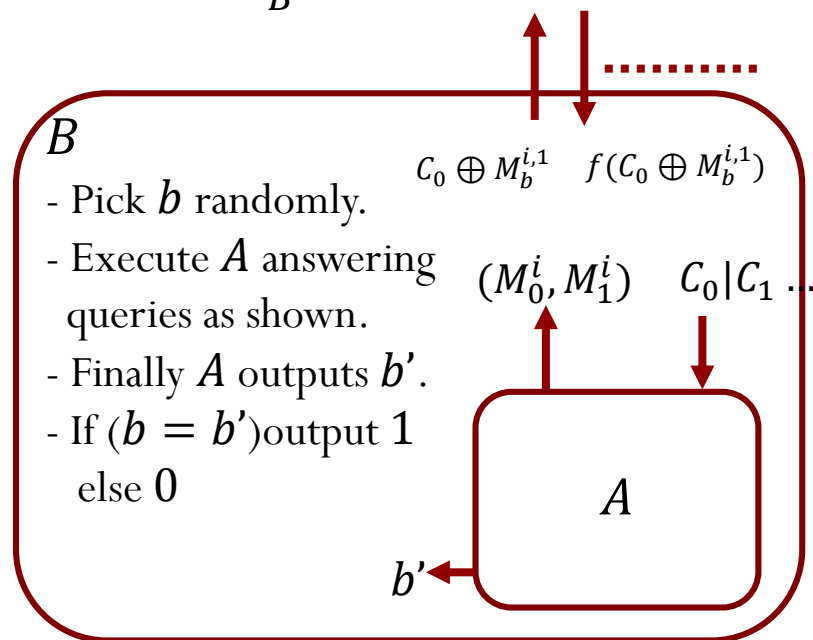
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$Real_{B,E}$



$$\Pr[Real_{B,E} = 1] = \frac{1}{2} + \frac{1}{2} \cdot Adv_{ind-cpa}(A, SE)$$

$Random_B$



$$\Pr[Random_B = 1] \leq \frac{1}{2} + C(\sigma, 2^n)$$



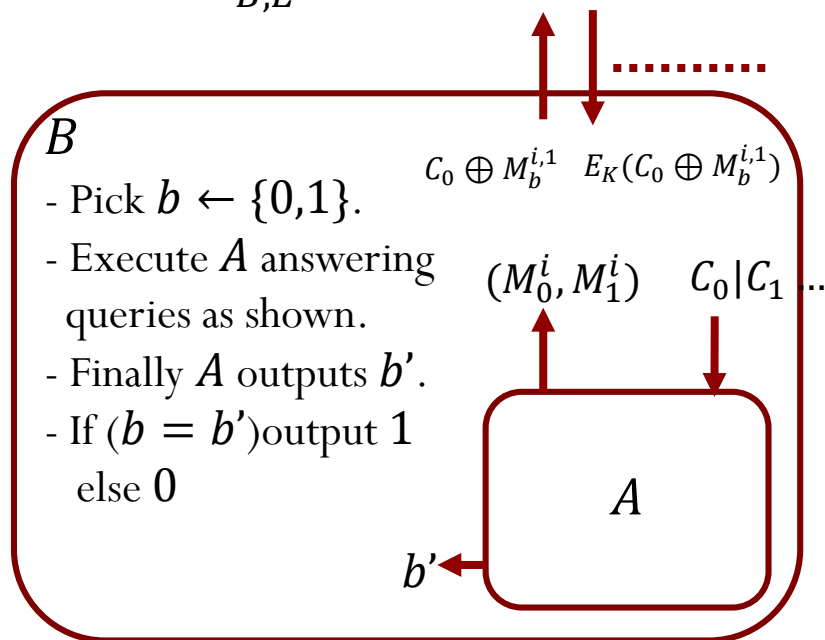
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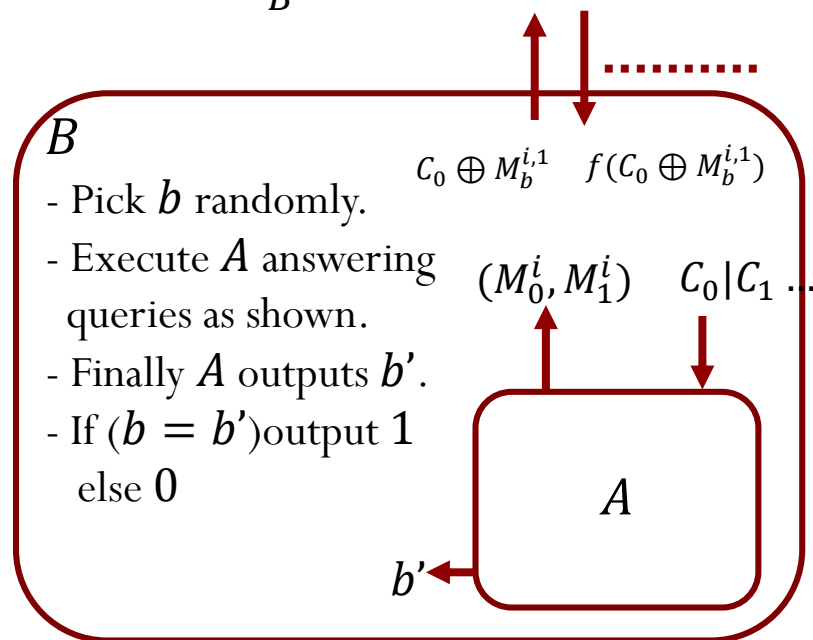
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$$\Pr[Random_B = 1] \leq \frac{1}{2} + \frac{\sigma^2}{2^{n+1}}.$$

# CCA Security

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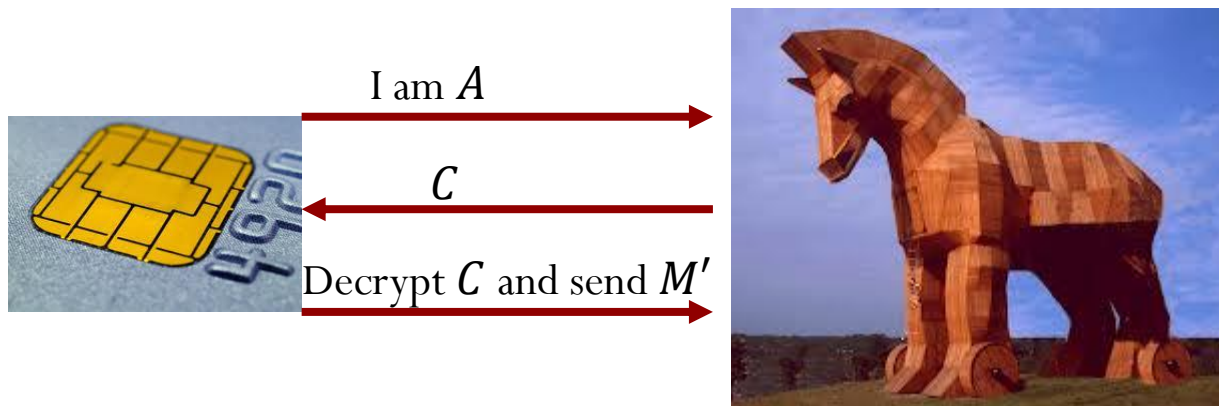
Chosen Ciphertext Attack

# CCA Security



- Chosen Ciphertext Attack scenario.

# CCA Security



- Chosen Ciphertext Attack scenario.

# IND-CCA Security for Encryption Schemes

- *Left*<sub>SE,A</sub>

- Randomly pick key  $K \leftarrow \{0,1\}^k$ .
- When  $A$  queries message pair  $(M_0^i, M_1^i)$  return  $E_K(M_0^i)$  to  $A$ .
- When  $A$  makes a decryption query  $C^j$  return  $D_K(C^j)$ .
- Finally  $A$  outputs  $b$ .
- Output  $b$ .

- *Right*<sub>SE,A</sub>

- Randomly pick key  $K \leftarrow \{0,1\}^k$ .
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- Finally  $A$  outputs  $b$ .
- Output  $b$ .

- The IND-CCA advantage of an adversary  $A$  is defined as follows:

$$Adv_{ind-cca}(A, SE) = |\Pr[Left_{SE,A} = 1] - \Pr[Right_{SE,A} = 1]|$$

- A symmetric encryption scheme  $SE = (E, D)$  is called  $(t, q, \epsilon)$ -ind-cca secure if for every adversary  $A$  that runs in time  $\leq t$  and asks  $\leq q$  queries,  $Adv_{ind-cca}(A, SE) \leq \epsilon$ .

# IND-CCA Security for Encryption Schemes

- $Left_{SE,A}$

- Randomly pick key  $K \leftarrow \{0,1\}^k$ .
- When  $A$  queries message pair  $(M_0^i, M_1^i)$  return  $E_K(M_0^i)$  to  $A$ .
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- Finally  $A$  outputs  $b$ .
- Output  $b$ .

- $Right_{SE,A}$

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- When  $A$  queries message pair  $(M_0^i, M_1^i)$  return  $E_K(M_1^i)$  to  $A$ .
- When  $A$  makes a decryption query  $C^j$  return  $D_K(C^j)$ .
- Finally  $A$  outputs  $b$ .
- Output  $b$ .

- The IND-CCA advantage of an adversary  $A$  is defined as follows:

$$Adv_{ind-cca}(A, SE) = |\Pr[Left_{SE,A} = 1] - \Pr[Right_{SE,A} = 1]|$$

- Is there an issue with this definition?

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- Is there an issue with this definition?
  - No encryption scheme can be ind-cca secure as per this definition.

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$$Adv_{ind-cca}(A, SE) = |\Pr[Left_{SE,A} = 1] - \Pr[Right_{SE,A} = 1]|$$

- Is there an issue with this definition?
  - No encryption scheme can be ind-cca secure as per this definition.
- We only consider *valid* adversaries. These adversaries never make a decryption query  $C$  such that  $C$  is the reply of an earlier LR-query.



# IND-CCA Security for Encryption Schemes

- $Left_{SE,A}$

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- Is IND-CCA security strictly stronger than IND-CPA?

# IND-CCA Security for Encryption Schemes

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- The IND-CCA advantage of an adversary  $A$  is defined as follows:  
$$Adv_{ind-cca}(A, SE) = |\Pr[Left_{SE,A} = 1] - \Pr[Right_{SE,A} = 1]|$$
- We only consider *valid* adversaries. These adversaries never make a decryption query  $C$  such that  $C$  is the reply of an earlier LR-query.
- Is IND-CCA security strictly stronger than IND-CPA?
  - Yes. A successful IND-CPA attack is also an IND-CCA attack.

# IND-CCA Security for Encryption Schemes

- $Left_{SE,A}$

- Randomly pick key  $K \leftarrow \{0,1\}^k$ .
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- $Right_{SE,A}$

- Randomly pick key  $K \leftarrow \{0,1\}^k$ .
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- When  $A$  makes a decryption query  $C^j$  return  $D_K(C^j)$ .
- Finally  $A$  outputs  $b$ .
- Output  $b$ .

- The IND-CCA advantage of an adversary  $A$  is defined as follows:

$$Adv_{ind-cca}(A, SE) = |\Pr[Left_{SE,A} = 1] - \Pr[Right_{SE,A} = 1]|$$

- We only consider *valid* adversaries. These adversaries never make a decryption query  $C$  such that  $C$  is the reply of an earlier LR-query.
- IS CBC\$(using a secure PRP) IND-CCA secure?

# IND-CCA Security for Encryption Schemes

- IS CBC\$(using a secure PRP) IND-CCA secure?
- Adversary  $A$ 
  - Make an LR query  $(\langle 0 \rangle, \langle 1 \rangle)$  and let  $C_0|C_1$  be the reply.
  - Make a decryption query  $C_0 \oplus 100 \dots 0|C_1$  and let  $M'$  be the reply.
  - If  $(M = 10 \dots 0)$  then output 1 else output 0.
- What is  $\Pr[Left_{A,SE} = 1] = ?$
- What is  $\Pr[Right_{A,SE} = 1] = ?$

# IND-CCA Security for Encryption Schemes

- IS CBC\$ IND-CCA secure?
- Adversary  $A$ 
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  - If  $(M = 10 \dots 0)$  then output 0 else output 1.
- What is  $\Pr[Left_{A,SE} = 1] = 1$ .
- What is  $\Pr[Right_{A,SE} = 1] = 0$ .
- So,  $Adv_{ind-cca}(A, SE) = 1$ .

End

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