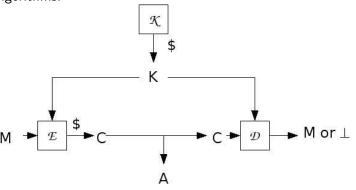
## SYMMETRIC ENCRYPTION

### Syntax

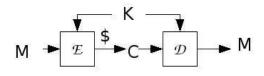
A symmetric encryption scheme  $\mathcal{SE} = (\mathcal{K}, \mathcal{E}, \mathcal{D})$  consists of three algorithms:



- ullet  $\mathcal K$  is randomized
- $oldsymbol{\cdot}$  can be randomized or stateful
- ullet  $\mathcal{D}$  is deterministic



### Correct decryption requirement



Formally: For all K and M we have

$$Pr[\mathcal{D}_K(\mathcal{E}_K(M)) = M] = 1$$
,

where the probability is over the coins of  ${\mathcal E}$ 

### Example: OTP

$$\mathcal{SE} = (\mathcal{K}, \mathcal{E}, \mathcal{D})$$
 where:

$$\begin{array}{c|c} \operatorname{Alg} \, \mathcal{K} \\ \mathcal{K} \overset{\mathfrak{s}}{\leftarrow} \{0,1\}^k \\ \operatorname{return} \, \mathcal{K} \end{array} \qquad \begin{array}{c|c} \operatorname{Alg} \, \mathcal{E}_{\mathcal{K}}(M) \\ \mathcal{C} \leftarrow \mathcal{K} \oplus M \\ \operatorname{return} \, \mathcal{C} \end{array}$$

$$\begin{vmatrix}
\mathsf{Alg} \ \mathcal{D}_{\mathcal{K}}(C) \\
\mathsf{M} \leftarrow \mathsf{K} \oplus C \\
\mathsf{return} \ \mathsf{M}
\end{vmatrix}$$

#### Correct decryption:

$$\mathcal{D}_{K}(\mathcal{E}_{K}(M)) = \mathcal{D}_{K}(K \oplus M)$$

$$= K \oplus (K \oplus M)$$

$$= M$$

## Block cipher modes of operation

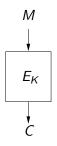
$$E: \{0,1\}^k \times \{0,1\}^n \to \{0,1\}^n$$
 a block cipher   
Notation:  $x[i]$  is the i-th n-bit block of a string x, so that  $x = x[1] \dots x[m]$  if  $|x| = nm$ .

#### Always:

Alg 
$$\mathcal{K}$$
 $K \stackrel{\$}{\leftarrow} \{0,1\}^k$ 
return  $K$ 

## Block cipher modes of operation

Block cipher provides parties sharing K with



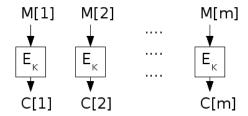
which enables them to encrypt a 1-block message.

How do we encrypt a long message using a primitive that only applies to n-bit blocks?

#### ECB: Electronic Codebook Mode

$$\mathcal{SE} = (\mathcal{K}, \mathcal{E}, \mathcal{D})$$
 where:

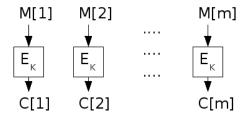
Alg 
$$\mathcal{E}_{K}(M)$$
  
for  $i = 1, ..., m$  do  
 $C[i] \leftarrow E_{K}(M[i])$   
return C



#### ECB: Electronic Codebook Mode

$$\mathcal{SE} = (\mathcal{K}, \mathcal{E}, \mathcal{D})$$
 where:

$$\begin{array}{c|c} \operatorname{Alg} \ \mathcal{E}_K(M) & \operatorname{Alg} \ \mathcal{D}_K(C) \\ \operatorname{for} \ i = 1, \dots, m \ \operatorname{do} \\ C[i] \leftarrow E_K(M[i]) & \operatorname{mod} \\ \operatorname{return} \ \mathsf{C} & \operatorname{return} \ \mathsf{M} \\ \end{array}$$



Correct decryption relies on E being a block cipher, so that  $E_K$  is invertible

## **Evaluating Security**

Sender encrypts some messages  $M_1, ..., M_q$ , namely

$$C_1 \stackrel{\$}{\leftarrow} \mathcal{E}_K(M_1), ..., C_q \stackrel{\$}{\leftarrow} \mathcal{E}_K(M_q)$$

and transmits  $C_1, ..., C_q$  to receiver.

#### Adversary

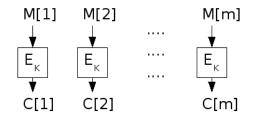
- Knows  $\mathcal{SE} = (\mathcal{K}, \mathcal{E}, \mathcal{D})$
- Knows  $C_1, ..., C_q$
- Is not given K!

Possible adversary goals:

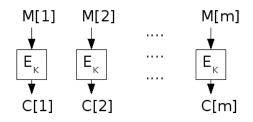
- Recover K
- Recover M<sub>1</sub>

But we will need to look beyond these

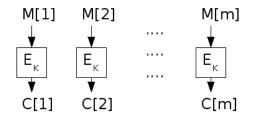




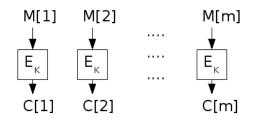
Adversary task	Assessment	Why?
Compute K		



Adversary task	Assessment	Why?
Compute K	seems hard	E is secure



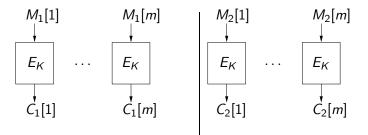
Adversary task	Assessment	Why?
Compute K	seems hard	E is secure
Compute $M[1]$		_



Adversary task	Assessment	Why?
Compute K	seems hard	E is secure
Compute M[1]	seems hard	E is secure

Weakness:  $M_1 = M_2 \Rightarrow C_1 = C_2$ 

Why is the above true? Because  $E_K$  is deterministic:

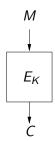


Why does this matter?

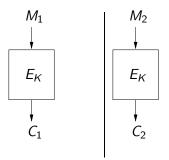
Suppose we know that there are only two possible messages,  $Y = 1^n$  and  $N = 0^n$ , for example representing

- FIRE or DON'T FIRE a missile
- BUY or SELL a stock
- Vote YES or NO

Then ECB algorithm will be  $\mathcal{E}_K(M) = E_K(M)$ .



Votes  $M_1, M_2 \in \{Y, N\}$  are ECB encrypted and adversary sees ciphertexts  $C_1 = E_K(M_1)$  and  $C_2 = E_K(M_2)$ 



Adversary may have cast the first vote and thus knows  $M_1$ ; say  $M_1 = Y$ . Then adversary can figure out  $M_2$ :

- If  $C_2 = C_1$  then  $M_2$  must be Y
- Else  $M_2$  must be N



#### Is this avoidable?

Let  $\mathcal{SE} = (\mathcal{K}, \mathcal{E}, \mathcal{D})$  be ANY encryption scheme.

Suppose  $M_1, M_2 \in \{Y, N\}$  and

- Sender sends ciphertexts  $C_1 \leftarrow \mathcal{E}_K(M_1)$  and  $C_2 \leftarrow \mathcal{E}_K(M_2)$
- Adversary A knows that  $M_1 = Y$

Adversary says: If  $C_2 = C_1$  then  $M_2$  must be Y else it must be N.

Does this attack work?

#### Is this avoidable?

Let  $\mathcal{SE} = (\mathcal{K}, \mathcal{E}, \mathcal{D})$  be ANY encryption scheme.

Suppose  $M_1, M_2 \in \{Y, N\}$  and

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- Adversary A knows that  $M_1 = Y$

Adversary says: If  $C_2 = C_1$  then  $M_2$  must be Y else it must be N.

Does this attack work?

Yes, if  $\mathcal{E}$  is deterministic.

### Randomized encryption

For encryption to be secure it must be randomized

That is, algorithm  $\mathcal{E}_K$  flips coins.

If the same message is encrypted twice, we are likely to get back different answers. That is, if  $M_1=M_2$  and we let

$$C_1 \stackrel{\$}{\leftarrow} \mathcal{E}_K(M_1) \text{ and } C_2 \stackrel{\$}{\leftarrow} \mathcal{E}_K(M_2)$$

then

$$Pr[C_1 = C_2]$$

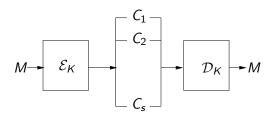
will (should) be small, where the probability is over the coins of  $\mathcal{E}.$ 

### Randomized encryption

There are many possible ciphertexts corresponding to each message.

If so, how can we decrypt?

We will see examples soon.



### Randomized encryption

A fundamental departure from classical and conventional notions of encryption.

Clasically, encryption (e.g., substitution cipher) is a code, associating to each message a unique ciphertext.

Now, we are saying no such code is secure, and we look to encryption mechanisms which associate to each message a number of different possible ciphertexts.

### Stateful encryption

An alternative to randomization is to allow the encryption algorithm to maintain state. This might be a counter

- encrypt depending on counter value
- then update counter

We will see schemes that use this paradigm to get around the security weaknesses of deterministic encryption without using randomness.

## More Modes of Operation

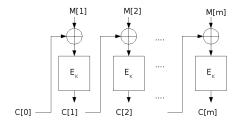
<u>Randomized</u>	<u>Stateful</u>

CBC\$, CTR\$ CBCC,CTRC

# CBC\$: Cipher Block Chaining with random IV mode

$$\mathcal{SE} = (\mathcal{K}, \mathcal{E}, \mathcal{D})$$
 where:

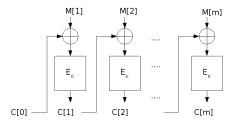
Alg 
$$\mathcal{E}_{K}(M)$$
  
 $C[0] \stackrel{\$}{\leftarrow} \{0,1\}^{n}$   
for  $i = 1, ..., m \text{ do}$   
 $C[i] \leftarrow E_{K}(M[i] \oplus C[i-1])$   
return  $C$ 



## CBC\$: Cipher Block Chaining with random IV mode

$$\mathcal{SE} = (\mathcal{K}, \mathcal{E}, \mathcal{D})$$
 where:

$$\begin{array}{l} \operatorname{Alg} \, \mathcal{E}_{K}(M) \\ C[0] \stackrel{\$}{\leftarrow} \{0,1\}^{n} \\ \operatorname{for} \, i = 1, \ldots, m \, \operatorname{do} \\ C[i] \leftarrow E_{K}(M[i] \oplus C[i-1]) \\ \operatorname{return} \, \mathsf{C} \end{array} \quad \begin{array}{l} \operatorname{Alg} \, \mathcal{D}_{K}(C) \\ \operatorname{for} \, i = 1, \ldots, m \, \operatorname{do} \\ M[i] \leftarrow E_{K}^{-1}(C[i]) \oplus C[i-1] \\ \operatorname{return} \, \mathsf{M} \end{array}$$



Correct decryption relies on E being a block cipher so that  $E_K$  is invertible

Sender maintains a counter ctr that is initially 0 and is updated by  ${\mathcal E}$ 

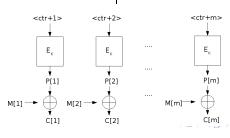
 $\langle j \rangle =$  the *n*-bit binary representation of integer j  $(0 \le j < 2^n)$ 

Alg 
$$\mathcal{E}_{K}(M)$$
  
 $C[0] \leftarrow \operatorname{ctr}$   
for  $i = 1, ..., m \text{ do}$   
 $P[i] \leftarrow E_{K}(\langle \operatorname{ctr} + i \rangle)$   
 $C[i] \leftarrow P[i] \oplus M[i]$   
 $\operatorname{ctr} \leftarrow \operatorname{ctr} + m$   
return  $C$ 

Sender maintains a counter ctr that is initially 0 and is updated by  ${\mathcal E}$ 

$$\langle j \rangle =$$
 the *n*-bit binary representation of integer  $j$  (0  $\leq j < 2^n$ )

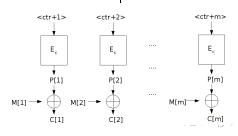
Alg 
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return  $C$ 



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for  $i = 1, ..., m \operatorname{do}$   
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 $C[i] \leftarrow P[i] \oplus M[i]$   
 $\operatorname{ctr} \leftarrow \operatorname{ctr} + m$   
return  $C$   
Alg  $\mathcal{D}_{K}(C)$   
 $\operatorname{ctr} \leftarrow C[0]$   
for  $i = 1, ..., m \operatorname{do}$   
 $P[i] \leftarrow E_{K}(\langle \operatorname{ctr} + i \rangle)$   
 $M[i] \leftarrow P[i] \oplus C[i]$   
return  $M$ 



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 the *n*-bit binary representation of integer  $j$  (0  $\leq j < 2^n$ )

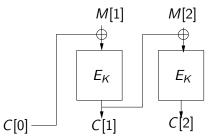
$$\begin{array}{l} \operatorname{Alg} \, \mathcal{E}_{\mathcal{K}}(M) \\ C[0] \leftarrow \operatorname{ctr} \\ \text{for } i = 1, \dots, m \, \operatorname{do} \\ P[i] \leftarrow E_{\mathcal{K}}(\langle \operatorname{ctr} + i \rangle) \\ C[i] \leftarrow P[i] \oplus M[i] \\ \operatorname{ctr} \leftarrow \operatorname{ctr} + m \\ \operatorname{return} \, C \end{array} \qquad \begin{array}{l} \operatorname{Alg} \, \mathcal{D}_{\mathcal{K}}(C) \\ \operatorname{ctr} \leftarrow C[0] \\ \text{for } i = 1, \dots, m \, \operatorname{do} \\ P[i] \leftarrow E_{\mathcal{K}}(\langle \operatorname{ctr} + i \rangle) \\ M[i] \leftarrow P[i] \oplus C[i] \\ \operatorname{return} \, M \end{array}$$

- Decryptor does not maintain a counter
- $\mathcal{D}$  does not use  $E_{\kappa}^{-1}$ !
- Encryption and Decryption are parallelizable.



# Security of CBC\$ against key recovery

If adversary has a plaintext M and corresponding ciphertext  $C \stackrel{\$}{\leftarrow} \mathcal{E}_K(M)$  then it has input-output examples  $(M[1] \oplus C[0], C[1]), (M[2] \oplus C[1], C[2])$  of  $E_K$ .

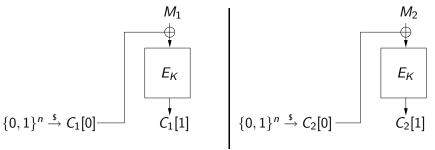


So chosen-message key recovery attacks on E can be mounted to recover K.

Conclusion: Security of CBC\$ against key recovery is no better than that of the underlying block cipher.

## Voting with CBC\$

Suppose we encrypt  $M_1, M_2 \in \{Y, N\}$  with CBC\$.



Adversary A sees  $C_1 = C_1[0]C_1[1]$  and  $C_2 = C_2[0]C_2[1]$ .

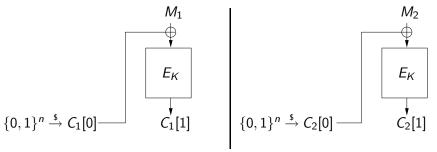
Suppose A knows that  $M_1 = Y$ .

Can A determine whether  $M_2 = Y$  or  $M_2 = N$ ?



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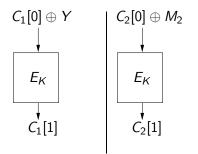
Can A determine whether  $M_2 = Y$  or  $M_2 = N$ ?

NO!



#### Voting with CBC\$

If  $M_1 = Y$  we have



A knows  $C_1[0]C_1[1]$  and  $C_2[0]C_2[1]$ . Now

- If  $C_1[0] = C_2[0]$  then A can deduce that
  - If  $C_2[1] = C_1[1]$  then  $M_2 = Y$
  - If  $C_2[1] \neq C_1[1]$  then  $M_2 = N$
- But the probability that  $C_1[0] = C_2[0]$  is very small.



### Assessing security

So CBC\$ is better than ECB. But is it secure?

CBC\$ is the world's most widely used encryption scheme (SSL, SSH, TLS, ...) so knowing whether it is secure is important

To answer this we first need to decide and formalize what we mean by secure.

## Types of encryption schemes

Special purpose: Used in a specific setting, to encrypt data of some known format or distribution. Comes with a

WARNING! only use under conditions X.

General purpose: Used to encrypt in many different settings, where the data format and distribution are not known in advance.

We want general purpose schemes because

- They can be standardized and broadly used.
- Once a scheme is out there, it gets used for everything anyway.
- General purpose schemes are easier to use and less subject to mis-use: it is hard for application designers to know whether condition X is met.

## Security requirements

A priori information: What the adversary already knows about the data from the context. For example, it is drawn from  $\{Y, N\}$ 

Data distribution or format: The data may be English or not; may have randomness or not; ...

Security should not rely on assumptions about these things.

# E-mail encryption

E-mail data could be

- English text
- A pdf or executable file
- Votes

Want security in all these cases.

# Security requirements

Suppose sender computes

$$C_1 \stackrel{\$}{\leftarrow} \mathcal{E}_K(M_1); \cdots; C_q \stackrel{\$}{\leftarrow} \mathcal{E}_K(M_q)$$

Adversary A has  $C_1, \ldots, C_q$ 

What if A	
Retrieves K	Bad!
Retrieves $M_1$	Bad!

But also ...

# Security requirements

We want to hide all partial information about the data stream.

Examples of partial information:

- Does  $M_1 = M_2$ ?
- What is first bit of  $M_1$ ?
- What is XOR of first bits of  $M_1, M_2$ ?

# Security requirements

We want to hide all partial information about the data stream.

Examples of partial information:

- Does  $M_1 = M_2$ ?
- What is first bit of  $M_1$ ?
- What is XOR of first bits of  $M_1, M_2$ ?

Something we won't hide: the length of the message

### What we seek

We want a single "master" property MP of an encryption scheme such that

- MP can be easily specified
- We can evaluate whether a scheme meets it
- MP implies ALL the security conditions we want: it guarantees that a ciphertext reveals NO partial information about the plaintext.

Thus a scheme having MP means not only that if adversary has  $C_1 \stackrel{\$}{\leftarrow} \mathcal{E}_K(M_1)$  and  $C_2 \stackrel{\$}{\leftarrow} \mathcal{E}_K(M_2)$  then

- It can't get  $M_1$
- It can't get 1st bit of  $M_1$
- It can't get XOR 1st bits of  $M_1, M_2$

but in fact implies "all" such information about  $M_1, M_2$  is protected.



## Seeking MP

So what is the master property MP?

It is a notion we call indistinguishability (IND). We will define

- IND-CPA: Indistinguishability under chosen-plaintext attack
- IND-CCA: Indistinguishability under chosen-ciphertext attack

#### Plan

- Define IND-CPA
- Examples of non-IND-CPA schemes
- See why IND-CPA is a "master" property, namely why it implies that ciphertexts leak no partial information about plaintexts
- Examples of IND-CPA schemes
- IND-CCA

#### Intuition for definition of IND

Consider encrypting one of two possible message streams, either

$$M_0^1, ..., M_0^q$$

or

$$M_1^1, ..., M_1^q$$

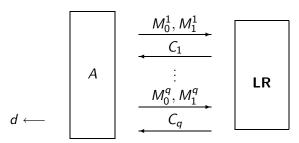
Adversary, given ciphertexts and both data streams, has to figure out which of the two streams was encrypted.

### ind-cpa-adversaries

Let  $\mathcal{SE} = (\mathcal{K}, \mathcal{E}, \mathcal{D})$  be an encryption scheme

An ind-cpa adversary A has an oracle LR

- It can make a query  $M_0$ ,  $M_1$  consisting of any two equal-length messages
- It can do this many times
- Each time it gets back a ciphertext
- It eventually outputs a bit



### ind-cpa-adversaries

Let  $\mathcal{SE} = (\mathcal{K}, \mathcal{E}, \mathcal{D})$  be an encryption scheme

Left world
$$\begin{array}{c|cccc}
A & \underbrace{\frac{M_0, M_1}{C}} & \underbrace{\frac{LR}{C \stackrel{\$}{\leftarrow}} \mathcal{E}_K(M_0)} & A & \underbrace{\frac{M_0, M_1}{C}} & \underbrace{\frac{LR}{C \stackrel{\$}{\leftarrow}} \mathcal{E}_K(M_1)} \\
\end{array}$$

	Intended meaning:	
A's output d	I think I am in the	
1	Right world	
0	Left world	

The harder it is for A to guess world it is in, the more "secure"  $\mathcal{SE}$  is as an encryption scheme.

### The games

Let  $\mathcal{SE} = (\mathcal{K}, \mathcal{E}, \mathcal{D})$  be an encryption scheme

Game Left $_{\mathcal{SE}}$ procedure Initialize  $K \overset{\$}{\leftarrow} \mathcal{K}$ procedure LR( $M_0, M_1$ )

Return  $C \overset{\$}{\leftarrow} \mathcal{E}_K(M_0)$ 

Game  $\operatorname{Right}_{\mathcal{SE}}$ procedure Initialize  $\mathcal{K} \overset{\$}{\leftarrow} \mathcal{K}$ procedure  $\operatorname{LR}(M_0, M_1)$ Return  $C \overset{\$}{\leftarrow} \mathcal{E}_{\mathcal{K}}(M_1)$ 

Associated to  $\mathcal{SE}, A$  are the probabilities

$$\mathsf{Pr}\left[\mathrm{Left}_{\mathcal{SE}}^{\mathcal{A}}{\Rightarrow}1\right] \qquad \left| \qquad \mathsf{Pr}\left[\mathrm{Right}_{\mathcal{SE}}^{\mathcal{A}}{\Rightarrow}1\right] \right.$$

that A outputs 1 in each world. The (ind-cpa) advantage of A is

$$\textbf{Adv}^{\mathrm{ind\text{-}cpa}}_{\mathcal{SE}}(\textit{A}) = \text{Pr}\left[\mathrm{Right}^{\textit{A}}_{\mathcal{SE}}{\Rightarrow}1\right] - \text{Pr}\left[\mathrm{Left}^{\textit{A}}_{\mathcal{SE}}{\Rightarrow}1\right]$$



Let  $E: \{0,1\}^k \times \{0,1\}^{128} \to \{0,1\}^{128}$  be a block cipher and let  $\mathcal{SE} = (\mathcal{K}, \mathcal{E}, \mathcal{D})$  be defined by

$$\begin{array}{c|cccc} \mathbf{Alg} \ \mathcal{K} \\ \mathcal{K} \overset{\mathfrak{s}}{\leftarrow} \{0,1\}^k \\ \text{return } \mathcal{K} \end{array} \qquad \begin{array}{c|cccc} \mathbf{Alg} \ \mathcal{E}_{\mathcal{K}}(M) \\ \text{return } \mathcal{E}_{\mathcal{K}}(M) \end{array} \qquad \begin{array}{c|cccc} \mathbf{Alg} \ \mathcal{D}_{\mathcal{K}}(M) \\ \text{return } \mathcal{E}_{\mathcal{K}}^{-1}(M) \end{array}$$

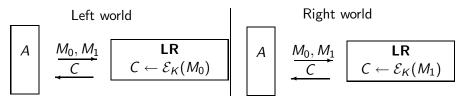
This scheme encrypts only 1-block messages.

Succinctly: 
$$\mathcal{E}_K(M) = E_K(M)$$

Let  $\mathcal{E}_K(M) = E_K(M)$  and let A be the following ind-cpa adversary

#### adversary A

$$C_1 \leftarrow \mathsf{LR}(0^n, 0^n)$$
;  $C_2 \leftarrow \mathsf{LR}(1^n, 0^n)$  if  $C_1 = C_2$  then return 1 else return 0



$$\mathsf{Pr}\left[\mathrm{Left}_{\mathcal{SE}}^{\mathcal{A}} \Rightarrow 1\right] = \qquad \left| \quad \mathsf{Pr}\left[\mathrm{Right}_{\mathcal{SE}}^{\mathcal{A}} \Rightarrow 1\right] =$$



Let 
$$\mathcal{E}_K(M) = \mathcal{E}_K(M)$$

Left world

$$\begin{array}{c|c}
M_0, M_1 \\
\hline
C & C \leftarrow \mathcal{E}_K(M_0)
\end{array}$$

adversary A

Let 
$$\mathcal{E}_K(M) = E_K(M)$$

Left world

$$\begin{array}{c|c} \underline{M_0, M_1} & \mathbf{LR} \\ \hline C & C \leftarrow \mathcal{E}_K(M_0) \end{array} \quad \begin{array}{c|c} \mathbf{LR} & C_1 \leftarrow \mathbf{LR}(0^n, 0^n); \ C_2 \leftarrow \mathbf{LR}(1^n, 0^n) \\ \text{if } C_1 = C_2 \text{ then return 1 else return 0} \end{array}$$

adversary A

What happens

- $C_1 = \mathcal{E}_{\kappa}(0^n) = E_{\kappa}(0^n)$
- $C_2 = \mathcal{E}_K(1^n) = E_K(1^n) \neq E_K(0^n)$
- so  $C_1 \neq C_2$  and A returns 0

SO

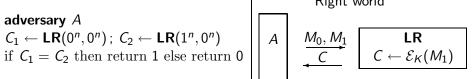
$$\mathsf{Pr}\left[\mathrm{Left}_{\mathcal{SE}}^{\textit{A}} \Rightarrow 1\right] = 0$$

Let 
$$\mathcal{E}_K(M) = \mathcal{E}_K(M)$$

adversary 
$$A$$

$$\mathcal{C}_1 \leftarrow \mathsf{LR}(0^n, 0^n)$$
;  $\mathcal{C}_2 \leftarrow \mathsf{LR}(1^n, 0^n)$   
if  $\mathcal{C}_1 = \mathcal{C}_2$  then return 1 else return 0

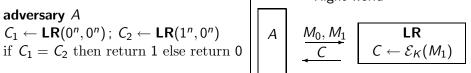
Right world



Let 
$$\mathcal{E}_K(M) = \mathcal{E}_K(M)$$

$$C_1 \leftarrow \mathsf{LR}(0^n, 0^n)$$
;  $C_2 \leftarrow \mathsf{LR}(1^n, 0^n)$   
if  $C_1 = C_2$  then return 1 else return 0

Right world



What happens

• 
$$C_1 = \mathcal{E}_K(0^n) = E_K(0^n)$$

• 
$$C_2 = \mathcal{E}_K(0^n) = E_K(0^n)$$

• so 
$$C_1 = C_2$$
 and  $A$  returns 1

SO

$$\mathsf{Pr}\left[\mathrm{Right}_{\mathcal{SE}}^{A}\Rightarrow 1\right]=1$$

Let 
$$\mathcal{E}_K(M) = \mathcal{E}_K(M)$$

adversary A  $C_1 \leftarrow \mathsf{LR}(0^n, 0^n)$ ;  $C_2 \leftarrow \mathsf{LR}(1^n, 0^n)$ if  $C_1 = C_2$  then return 1 else return 0

$$\begin{array}{ll} \mathbf{Adv}^{\mathrm{ind\text{-}cpa}}_{\mathcal{S}\mathcal{E}}(A) & = & \mathsf{Pr}\left[\mathrm{Right}^{\mathcal{A}}_{\mathcal{S}\mathcal{E}} \Rightarrow 1\right] - \mathsf{Pr}\left[\mathrm{Left}^{\mathcal{A}}_{\mathcal{S}\mathcal{E}} \Rightarrow 1\right] \\ & = & 1 - 0 \\ & = & 1 \end{array}$$

### The measure of success

Let  $\mathcal{SE}=(\mathcal{K},\mathcal{E},\mathcal{D})$  be an encryption scheme and A be an ind-cpa adversary. Then

$$\mathbf{Adv}^{\mathrm{ind\text{-}cpa}}_{\mathcal{SE}}(A) = \mathsf{Pr}\left[\mathrm{Right}^{\mathcal{A}}_{\mathcal{SE}}{\Rightarrow}1\right] - \mathsf{Pr}\left[\mathrm{Left}^{\mathcal{A}}_{\mathcal{SE}}{\Rightarrow}1\right]$$

is a number between -1 and 1.

A "large" (close to 1) advantage means

- A is doing well
- $\mathcal{SE}$  is not secure

A "small" (close to 0 or  $\leq$  0) advantage means

- A is doing poorly
- SE resists the attack A is mounting

## **IND-CPA** security

Adversary advantage depends on its

- strategy
- resources: Running time t and number q of oracle queries

**Security:**  $\mathcal{SE}$  is IND-CPA (i.e. secure) if  $\mathbf{Adv}^{\mathrm{ind-cpa}}_{\mathcal{SE}}(A)$  is "small" for ALL A that use "practical" amounts of resources.

Example: 80-bit security could mean that for all  $n = 1, \dots, 80$  we have

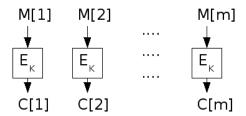
$$\mathsf{Adv}^{\mathrm{ind-cpa}}_{\mathcal{SE}}(A) \leq 2^{-n}$$

for any A with time and number of oracle queries at most  $2^{80-n}$ .

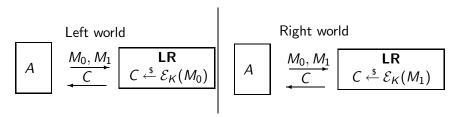
**Insecurity:**  $\mathcal{SE}$  is not IND-CPA (i.e. insecure) if there exists A using "few" resources that achieves "high" advantage.

Let  $E: \{0,1\}^k \times \{0,1\}^n \to \{0,1\}^n$  be a block cipher. Recall that ECB mode defines symmetric encryption scheme  $\mathcal{SE} = (\mathcal{K}, \mathcal{E}, \mathcal{D})$  with

$$\mathcal{E}_{K}(M) = E_{K}(M[1])E_{K}(M[2])\cdots E_{K}(M[m])$$



Let 
$$\mathcal{E}_K(M) = E_K(M[1]) \cdots E_K(M[m])$$

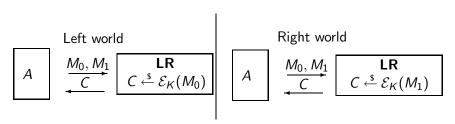


Can we design A so that

$$\mathbf{Adv}^{\mathrm{ind\text{-}cpa}}_{\mathcal{SE}}(\mathcal{A}) = \mathsf{Pr}\left[\mathrm{Right}^{\mathcal{A}}_{\mathcal{SE}}{\Rightarrow}1\right] - \mathsf{Pr}\left[\mathrm{Left}^{\mathcal{A}}_{\mathcal{SE}}{\Rightarrow}1\right]$$

is close to 1?

Let 
$$\mathcal{E}_K(M) = E_K(M[1]) \cdots E_K(M[m])$$



Can we design A so that

$$\mathbf{Adv}^{\mathrm{ind\text{-}cpa}}_{\mathcal{SE}}(A) = \mathsf{Pr}\left[\mathrm{Right}^{\mathcal{A}}_{\mathcal{SE}}{\Rightarrow}1\right] - \mathsf{Pr}\left[\mathrm{Left}^{\mathcal{A}}_{\mathcal{SE}}{\Rightarrow}1\right]$$

is close to 1?

Exploitable weakness of  $\mathcal{SE}$ :  $M_1=M_2$  implies  $\mathcal{E}_{\mathcal{K}}(M_1)=\mathcal{E}_{\mathcal{K}}(M_2)$ .



Let 
$$\mathcal{E}_{K}(M) = E_{K}(M[1]) \cdots E_{K}(M[m])$$
.

Left world

Right world

$$A \xrightarrow{M_{0}, M_{1}} C \leftarrow \mathcal{E}_{K}(M_{0})$$

$$A \xrightarrow{M_{0}, M_{1}} C \leftarrow \mathcal{E}_{K}(M_{1})$$

$${\bf adversary}\ {\it A}$$

$$C_1 \leftarrow \mathsf{LR}(0^n, 0^n)$$
;  $C_2 \leftarrow \mathsf{LR}(1^n, 0^n)$  if  $C_1 = C_2$  then return 1 else return 0

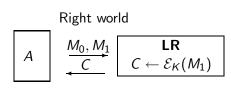
# ECB is not IND-CPA-secure: Right world analysis

 $\mathcal{E}$  is defined by  $\mathcal{E}_K(M) = E_K(M[1]) \cdots E_K(M[m])$ .

#### adversary A

$$C_1 \leftarrow \mathsf{LR}(0^n, 0^n)$$
;  $C_2 \leftarrow \mathsf{LR}(1^n, 0^n)$   
if  $C_1 = C_2$  then return 1 else return 0

Game  $\operatorname{Right}_{\mathcal{SE}}$ procedure Initialize  $\mathcal{K} \overset{\$}{\leftarrow} \mathcal{K}$ procedure  $\operatorname{LR}(M_0, M_1)$ Return  $\mathcal{E}_{\mathcal{K}}(M_1)$ 



$$\mathsf{Pr}\left[\mathrm{Right}_{\mathcal{SE}}^{A}{\Rightarrow}1\right] =$$

# ECB is not IND-CPA-secure: Right world analysis

 $\mathcal{E}$  is defined by  $\mathcal{E}_K(M) = E_K(M[1]) \cdots E_K(M[m])$ .

#### adversary A

$$C_1 \leftarrow \mathsf{LR}(0^n, 0^n); C_2 \leftarrow \mathsf{LR}(1^n, 0^n)$$
  
if  $C_1 = C_2$  then return 1 else return 0

Game 
$$\operatorname{Right}_{\mathcal{SE}}$$

procedure Initialize

 $\mathcal{K} \overset{\mathfrak{s}}{\leftarrow} \mathcal{K}$ 

procedure  $\operatorname{LR}(M_0, M_1)$ 

Return  $\mathcal{E}_{\mathcal{K}}(M_1)$ 

Right world  $A \qquad \underbrace{\frac{M_0, M_1}{C}} \qquad \underbrace{\begin{array}{c} \textbf{LR} \\ C \leftarrow \mathcal{E}_K(M_1) \end{array}}$ 

$$\mathsf{Pr}\left[\mathrm{Right}_{\mathcal{SE}}^{\mathcal{A}}{\Rightarrow}1\right]=1$$

because 
$$C_1 = E_K(0^n) = E_K(0^n) = C_2$$
.

## ECB is not IND-CPA-secure: Left world analysis

$$\mathcal{E}$$
 is defined by  $\mathcal{E}_K(M) = E_K(M[1]) \cdots E_K(M[m])$ .

### adversary A

$$C_1 \leftarrow \mathsf{LR}(0^n, 0^n)$$
;  $C_2 \leftarrow \mathsf{LR}(1^n, 0^n)$  if  $C_1 = C_2$  then return 1 else return 0

Game Left
$$_{\mathcal{SE}}$$

procedure Initialize

 $\mathcal{K} \stackrel{\$}{\leftarrow} \mathcal{K}$ 

procedure LR( $M_0, M_1$ )

Return  $\mathcal{E}_{\mathcal{K}}(M_0)$ 

Left world  $A \qquad \underbrace{\frac{M_0, M_1}{C}}_{\text{LR}} \qquad \underbrace{\frac{\text{LR}}{C \leftarrow \mathcal{E}_K(M_0)}}_{\text{C}}$ 

$$\mathsf{Pr}\left[\mathrm{Left}_{\mathcal{SE}}^{\mathcal{A}}{\Rightarrow}\mathbf{1}\right] =$$

### ECB is not IND-CPA-secure: Left world analysis

$$\mathcal{E}$$
 is defined by  $\mathcal{E}_K(M) = E_K(M[1]) \cdots E_K(M[m])$ .

#### adversary A

$$C_1 \leftarrow \mathsf{LR}(0^n, 0^n)$$
;  $C_2 \leftarrow \mathsf{LR}(1^n, 0^n)$  if  $C_1 = C_2$  then return 1 else return 0

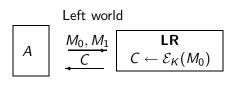
Game 
$$\operatorname{Left}_{\mathcal{SE}}$$

procedure Initialize

 $K \overset{\$}{\leftarrow} \mathcal{K}$ 

procedure  $\operatorname{LR}(M_0, M_1)$ 

Return  $\mathcal{E}_K(M_0)$ 



Then

$$\mathsf{Pr}\left[\mathrm{Left}_{\mathcal{SE}}^{\textit{A}}{\Rightarrow}1\right]=0$$

because  $C_1 = E_K(0^n) \neq E_K(1^n) = C_2$ .

#### adversary A

$$C_1 \leftarrow \mathsf{LR}(0^n, 0^n)$$
;  $C_2 \leftarrow \mathsf{LR}(1^n, 0^n)$  if  $C_1 = C_2$  then return 1 else return 0

And A is very efficient, making only two queries.

Thus ECB is **not** IND-CPA secure.



# Why is IND-CPA the "master" property?

We claim that if encryption scheme  $\mathcal{SE} = (\mathcal{K}, \mathcal{E}, \mathcal{D})$  is IND-CPA secure then the ciphertext hides ALL partial information about the plaintext.

For example, from  $C_1 \stackrel{\$}{\leftarrow} \mathcal{E}_K(M_1)$  and  $C_2 \stackrel{\$}{\leftarrow} \mathcal{E}_K(M_2)$  the adversary cannot

- get *M*<sub>1</sub>
- get 1st bit of  $M_1$
- get XOR of the 1st bits of M<sub>1</sub>, M<sub>2</sub>
- etc.

Why is this true?

Let lsb(M) denote the last bit of M

Suppose we are given an adversary B such that

$$\mathcal{E}_{\mathcal{K}}(M_1) \stackrel{\$}{\to} C_1 \to \ \mathcal{E}_{\mathcal{K}}(M_2) \stackrel{\$}{\to} C_2 \to egin{pmatrix} B & & & \\ & &$$

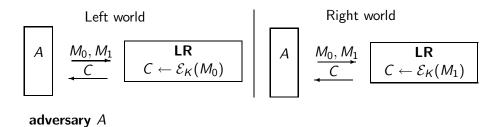
for all  $M_1$ ,  $M_2$ . Then we claim we can design an ind-cpa adversary A such that

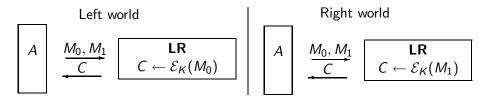
$$\mathsf{Adv}^{\mathrm{ind-cpa}}_{\mathcal{SE}}(A) = 1$$
,

meaning SE is not IND-CPA secure.

Thus:

$$XOR$$
-insecurity  $\Rightarrow$  IND-CPA-insecurity IND-CPA-security  $\Rightarrow$   $XOR$ -security

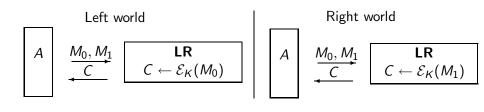




#### adversary A

- Makes two LR queries
- The left messages are  $M_0^1 = 0^n$  and  $M_0^2 = 0^n$ . Why? Because  $lsb(0^n) \oplus lsb(0^n) = 0$
- The right messages are  $M_1^1=0^n$  and  $M_1^2=1^n$ . Why? Because  $\mathsf{lsb}(0^n) \oplus \mathsf{lsb}(1^n)=1$
- Gets back 2 ciphertexts C<sub>1</sub>, C<sub>2</sub>
- Runs  $B(C_1, C_2)$  to compute  $lsb(M_b^1) \oplus lsb(M_b^2)$  which equals b, indiciating whether Left or Right world





adversary 
$$A$$
  
 $C_1 \leftarrow \mathsf{LR}(0^n, 0^n)$ ;  $C_2 \leftarrow \mathsf{LR}(0^n, 1^n)$   
 $d \stackrel{\$}{\leftarrow} B(C_1, C_2)$ ; return  $d$ 

Left world

$$\begin{array}{c|cccc}
A & \underbrace{M_0, M_1}_{C} & LR \\
C \leftarrow \mathcal{E}_K(M_0)
\end{array}$$

$$\begin{array}{c|cccc}
& adversary & A \\
C_1 \leftarrow LR(0^n, 0^n); & C_2 \leftarrow LR(0^n, 1^n) \\
d \stackrel{\$}{\leftarrow} B(C_1, C_2); & \text{return } d
\end{array}$$

adversary 
$$A$$
 $C_1 \leftarrow \mathsf{LR}(0^n, 0^n)$ ;  $C_2 \leftarrow \mathsf{LR}(0^n, 1^n)$ 
 $d \stackrel{\$}{\leftarrow} B(C_1, C_2)$ ; return  $d$ 

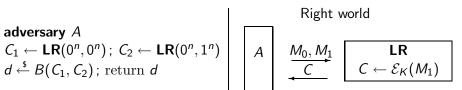
#### What happens:

- $C_1 \stackrel{\$}{\leftarrow} \mathcal{E}_K(0^n)$  and  $C_2 \stackrel{\$}{\leftarrow} \mathcal{E}_K(0^n)$
- The first bits of the encrypted messages XOR to 0
- so B returns 0

SO

$$\mathsf{Pr}\left[\mathrm{Left}_{\mathcal{SE}}^{\textit{A}} \Rightarrow 1\right] = 0$$

adversary 
$$A$$
  
 $C_1 \leftarrow \mathsf{LR}(0^n, 0^n)$ ;  $C_2 \leftarrow \mathsf{LR}(0^n, 1^n)$   
 $d \stackrel{\$}{\leftarrow} B(C_1, C_2)$ ; return  $d$ 



What happens:

- $C_1 \stackrel{\$}{\leftarrow} \mathcal{E}_K(0^n)$  and  $C_2 \stackrel{\$}{\leftarrow} \mathcal{E}_K(1^n)$
- The first bits of the encrypted messages XOR to 1
- so B returns 1

SO

$$\mathsf{Pr}\left[\mathrm{Right}_{\mathcal{SE}}^{\mathcal{A}} \Rightarrow 1\right] = 1$$

## XOR-insecurity implies IND-CPA-insecurity

So

$$\begin{array}{ll} \mathbf{Adv}^{\mathrm{ind\text{-}cpa}}_{\mathcal{S}\mathcal{E}}(A) & = & \mathsf{Pr}\left[\mathrm{Right}^{A}_{\mathcal{S}\mathcal{E}} \Rightarrow 1\right] - \mathsf{Pr}\left[\mathrm{Left}^{A}_{\mathcal{S}\mathcal{E}} \Rightarrow 1\right] \\ & = & 1 - 0 \\ & = & 1 \end{array}$$

as claimed

## Alternative formulation of advantage

Let  $\mathcal{SE} = (\mathcal{K}, \mathcal{E}, \mathcal{D})$  be a symmetric encryption scheme and A an adversary.

Game Guess
$$_{\mathcal{SE}}$$
 procedure LR( $M_0, M_1$ )

return  $C \stackrel{\$}{\leftarrow} \mathcal{E}_K(M_b)$ 

procedure Initialize

 $K \stackrel{\$}{\leftarrow} \mathcal{K}$ ;  $b \stackrel{\$}{\leftarrow} \{0,1\}$  procedure Finalize( $b'$ )

return ( $b = b'$ )

Proposition: 
$$Adv_{\mathcal{S}\mathcal{E}}^{\mathrm{ind-cpa}}(A) = 2 \cdot \Pr\left[\mathrm{Guess}_{\mathcal{S}\mathcal{E}}^{A} \Rightarrow \mathsf{true}\right] - 1.$$

**Proof:** Observe

$$\begin{array}{lcl} \Pr\left[b'=1\mid b=1\right] &=& \Pr\left[\mathrm{Right}_{\mathcal{S}\mathcal{E}}^{A}{\Rightarrow}1\right] \\ \Pr\left[b'=1\mid b=0\right] &=& \Pr\left[\mathrm{Left}_{\mathcal{S}\mathcal{E}}^{A}{\Rightarrow}1\right] \end{array}$$

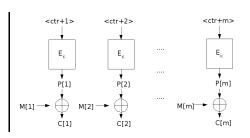


## Proof (continued)

## Security of CTRC

Let  $E: \{0,1\}^k \times \{0,1\}^n \to \{0,1\}^n$  be a block cipher. Sender maintains a counter ctr, initially 0. The scheme is  $\mathcal{SE} = (\mathcal{K}, \mathcal{E}, \mathcal{D})$  where

Alg 
$$\mathcal{E}_{K}(M)$$
  
 $C[0] \leftarrow \operatorname{ctr}$   
for  $i = 1, ..., m \operatorname{do}$   
 $P[i] \leftarrow E_{K}(\langle \operatorname{ctr} + i \rangle)$   
 $C[i] \leftarrow P[i] \oplus M[i]$   
 $\operatorname{ctr} \leftarrow \operatorname{ctr} + m$   
return  $C$ 



Question: Is SE IND-CPA secure?

We cannot expect so if E is "bad". So, let's ask:

Question: Assuming E is good (a PRF) is SE IND-CPA secure?

### IND-CPA security of CTRC

 $\mathcal{SE} = (\mathcal{K}, \mathcal{E}, \mathcal{D})$  CTRC mode relative to block cipher E.

Question: If E is a PRF then is SE ind-cpa SECURE?

Answer: YES

And we can prove that the above answer is correct.

The above

- means CTRC has no "structural" weaknesses.
- Is not a triviality because it was not true for ECB.

### **Implications**

Fact: If E is secure (PRF) then CTRC mode is a secure (IND-CPA) encryption scheme.

This means CTRC is a good, general purpose encryption scheme.

Ciphertexts leak NO partial information about messages.

Provides security regardless of message distribution. Votes can be securely encrypted.

We do not need to look for attacks on the scheme. We are guaranteed there are no attacks as long as E is secure.

### Intuition for IND-CPA security of CTRC

Consider the CTRC scheme with  $E_K$  replaced by a random function  $\mathbf{Fn}$ .

Alg 
$$\mathcal{E}_{\mathsf{Fn}}(M)$$
  
 $C[0] \leftarrow \mathsf{ctr}$   
for  $i = 1, \dots, m$  do  
 $P[i] \leftarrow \mathsf{Fn}(\langle \mathsf{ctr} + i \rangle)$   
 $C[i] \leftarrow P[i] \oplus M[i]$   
ctr  $\leftarrow \mathsf{ctr} + m$   
return C

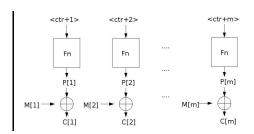
Alg  $\mathcal{D}_{\mathsf{Fn}}(C)$   
ctr  $\leftarrow C[0]$   
for  $i = 1, \dots, m$  do  
 $P[i] \leftarrow \mathsf{Fn}(\langle \mathsf{ctr} + i \rangle)$   
 $M[i] \leftarrow P[i] \oplus C[i]$ 

Analyzing this is a thought experiment, but we can ask whether it is IND-CPA secure.

If so, the assumption that E is a PRF says the real CTRC is IND-CPA secure.

### CTRC with a random function

Alg 
$$\mathcal{E}_{Fn}(M)$$
  
 $C[0] \leftarrow \operatorname{ctr}$   
for  $i = 1, \dots, m \operatorname{do}$   
 $P[i] \leftarrow \operatorname{Fn}(\langle \operatorname{ctr} + i \rangle)$   
 $C[i] \leftarrow P[i] \oplus M[i]$   
 $\operatorname{ctr} \leftarrow \operatorname{ctr} + m$   
return  $C$ 



Since **Fn** is random, the sequence  $P[1] \cdots P[m]$  is random and the above is just one-time pad encryption, which is certainly IND-CPA secure.

So CTRC with a random function is IND-CPA secure.

### IND-CPA security of CTRC

Theorem: Let  $E:\{0,1\}^k \times \{0,1\}^n \to \{0,1\}^n$  be a family of functions and let  $\mathcal{SE} = (\mathcal{K}, \mathcal{E}, \mathcal{D})$  be the corresponding CTRC mode symmetric encryption scheme. Let A be an ind-cpa adversary making at most q LR queries totalling at most  $\sigma$  blocks. Then there is a prf-adversary B such that

$$\mathsf{Adv}^{\mathrm{ind-cpa}}_{\mathcal{SE}}(A) \leq 2 \cdot \mathsf{Adv}^{\mathrm{prf}}_{E}(B).$$

Furthermore B makes at most  $\sigma$  oracle queries and runs in time at most  $t + \Theta(q + n\sigma)$ .

### IND-CPA security of CTRC

Theorem: Let  $E:\{0,1\}^k\times\{0,1\}^n\to\{0,1\}^n$  be a family of functions and let  $\mathcal{SE}=(\mathcal{K},\mathcal{E},\mathcal{D})$  be the corresponding CTRC mode symmetric encryption scheme. Let A be an ind-cpa adversary making at most q LR queries totalling at most  $\sigma$  blocks. Then there is a prf-adversary B such that

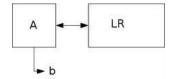
$$\mathsf{Adv}^{\mathrm{ind-cpa}}_{\mathcal{SE}}(A) \leq 2 \cdot \mathsf{Adv}^{\mathrm{prf}}_{\mathcal{E}}(B).$$

Furthermore B makes at most  $\sigma$  oracle queries and runs in time at most  $t + \Theta(q + n\sigma)$ .

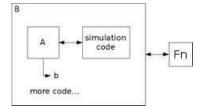
#### Implication:

## Proof by reduction

A's world



B runs A, itself replying to A's oracle queries



### Some notation

 $||M||_n$  = number of *n*-bit blocks in M.

That is, M = M[1]...M[m] where  $m = ||M||_n$ .

 $\langle j \rangle$  denotes the *n*-bit binary encoding of integer  $j \in \{0,...,2^n-1\}$ .

### Games for CTRC security proof

```
Game G_0
procedure Initialize
K \stackrel{\$}{\leftarrow} \{0,1\}^k; b \stackrel{\$}{\leftarrow} \{0,1\}
ctr \leftarrow 0
procedure LR(M_0, M_1)
C[0] \leftarrow ctr; m \leftarrow ||M_b||_n
for i = 1, ..., m do
   C[i] \leftarrow P[\langle ctr + i \rangle] \oplus M_b[i]
ctr \leftarrow ctr + m
return C
procedure Finalize(b')
return (b = b')
```

Game  $G_1$ procedure Initialize  $b \stackrel{\$}{\leftarrow} \{0,1\}; ctr \leftarrow 0$ procedure LR( $M_0, M_1$ )  $C[0] \leftarrow ctr; m \leftarrow ||M_b||_n$  for i = 1, ..., m do  $P[\langle ctr + i \rangle] \stackrel{\$}{\leftarrow} \{0, 1\}^n$  $P[\langle ctr + i \rangle] \leftarrow E_{K}(\langle ctr + i \rangle) \qquad P[\langle ctr + i \rangle] \leftarrow \{0, 1\}$   $C[i] \leftarrow P[\langle ctr + i \rangle] \oplus M_{b}[i] \qquad C[i] \leftarrow P[\langle ctr + i \rangle] \oplus M_{b}[i]$ procedure Finalize(b') return (b = b')

Claim 1: There is a prf-adversary B such that

$$\mathsf{Pr}\left[\mathit{G}_{0}^{\mathit{A}}\Rightarrow\mathsf{true}\right]-\mathsf{Pr}\left[\mathit{G}_{1}^{\mathit{A}}\Rightarrow\mathsf{true}\right]\leq\mathsf{Adv}_{\mathit{E}}^{\mathsf{prf}}(\mathit{B}).$$

Claim 1: There is a prf-adversary B such that

$$\mathsf{Pr}\left[\mathit{G}_{0}^{\mathit{A}}\Rightarrow\mathsf{true}\right]-\mathsf{Pr}\left[\mathit{G}_{1}^{\mathit{A}}\Rightarrow\mathsf{true}\right]\leq\mathsf{Adv}_{\mathit{E}}^{\mathsf{prf}}(\mathit{B}).$$

adversary 
$$B$$
  $b \stackrel{\$}{\leftarrow} \{0,1\}; ctr \leftarrow 0;$   $b' \stackrel{\$}{\leftarrow} A^{LR}$  If  $(b=b')$  then return 1 Else return 0

adversary 
$$B$$
 $b \overset{\$}{\leftarrow} \{0,1\}; \ ctr \leftarrow 0;$ 
 $b' \overset{\$}{\leftarrow} A^{LR}$ 

If  $(b = b')$  then return 1
Else return 0

subroutine  $LR(M_0, M_1)$ 
 $C[0] \leftarrow ctr; m \leftarrow ||M_b||_n$ 
for  $i = 1, ..., m \text{ do}$ 
 $P[\langle ctr + i \rangle] \leftarrow Fn(\langle ctr + i \rangle)$ 
 $C[i] \leftarrow P[\langle ctr + i \rangle] \oplus M_b[i]$ 
 $ctr \leftarrow ctr + m$ 
return  $C$ 

If  $\mathbf{Fn} = E_K$  then B is providing A the environment of game  $G_0$  so

$$\Pr[\operatorname{Real}_{E}^{B} \Rightarrow 1] = \Pr[G_{0}^{A} \Rightarrow \text{true}]$$

If **Fn** is random then B is providing A the environment of game  $G_1$  so

$$\Pr[\operatorname{Rand}_{E}^{B} \Rightarrow 1] = \Pr[G_{1}^{A} \Rightarrow \text{true}]$$



Claim 1: There is a prf-adversary B such that

$$\mathsf{Pr}\left[\mathit{G}_{0}^{\mathit{A}}\Rightarrow\mathsf{true}\right]-\mathsf{Pr}\left[\mathit{G}_{1}^{\mathit{A}}\Rightarrow\mathsf{true}\right]\leq\mathsf{Adv}_{\mathit{E}}^{\mathsf{prf}}(\mathit{B}).$$

adversary 
$$B$$

$$b \overset{\$}{\leftarrow} \{0,1\}; \ ctr \leftarrow 0;$$

$$b' \overset{\$}{\leftarrow} A^{LR}$$
If  $(b=b')$  then return 1
Else return 0

subroutine LR(
$$M_0, M_1$$
)
$$C[0] \leftarrow ctr; m \leftarrow ||M_b||_n$$
for  $i = 1, ..., m$  do
$$P[\langle ctr + i \rangle] \leftarrow Fn(\langle ctr + i \rangle)$$

$$C[i] \leftarrow P[\langle ctr + i \rangle] \oplus M_b[i]$$

$$ctr \leftarrow ctr + m$$
return C

Thus

$$\begin{array}{lcl} \mathbf{Adv}_E^{\mathrm{prf}}(B) & = & \mathsf{Pr}\left[\mathrm{Real}_E^B \mathbf{1} {\Rightarrow} \mathbf{1}\right] - \mathsf{Pr}\left[\mathrm{Rand}_E^B {\Rightarrow} \mathbf{1}\right] \\ \\ & = & \mathsf{Pr}\left[G_0^A \, {\Rightarrow} \, \mathsf{true}\right] - \mathsf{Pr}\left[G_1^A \, {\Rightarrow} \, \mathsf{true}\right] \end{array}$$

which proves Claim 1.

$$\Pr[G_0^A \Rightarrow \mathsf{true}] = \Pr[G_1^A \Rightarrow \mathsf{true}] + \underbrace{\left(\Pr[G_0^A \Rightarrow \mathsf{true}] - \Pr[G_1^A \Rightarrow \mathsf{true}]\right)}_{\leq \mathsf{Adv}_E^{\mathrm{prf}}(B)}$$

So,

$$\begin{array}{lcl} \mathbf{Adv}_{\mathcal{SE}}^{\mathrm{ind\text{-}cpa}}(A) & = & 2 \cdot \Pr[G_0^A \Rightarrow \mathsf{true}] - 1 \\ \\ & \leq & 2 \cdot \left( \Pr[G_1^A \Rightarrow \mathsf{true}] + \mathbf{Adv}_E^{\mathrm{prf}}(B) \right) - 1 \\ \\ & = & 2 \cdot \mathbf{Adv}_E^{\mathrm{prf}}(B) + 2\Pr[G_1^A \Rightarrow \mathsf{true}] - 1 \end{array}$$

$$\Pr[G_0^A \Rightarrow \mathsf{true}] = \Pr[G_1^A \Rightarrow \mathsf{true}] + \underbrace{\left(\Pr[G_0^A \Rightarrow \mathsf{true}] - \Pr[G_1^A \Rightarrow \mathsf{true}]\right)}_{\leq \mathsf{Adv}_E^{\mathrm{prf}}(B)}$$

So,

$$\begin{aligned} \mathbf{Adv}_{\mathcal{SE}}^{\mathrm{ind-cpa}}(A) &= 2 \cdot \Pr[G_0^A \Rightarrow \mathsf{true}] - 1 \\ &\leq 2 \cdot \left( \Pr[G_1^A \Rightarrow \mathsf{true}] + \mathbf{Adv}_E^{\mathrm{prf}}(B) \right) - 1 \\ &= 2 \cdot \mathbf{Adv}_E^{\mathrm{prf}}(B) + 2 \Pr[G_1^A \Rightarrow \mathsf{true}] - 1 \end{aligned}$$

Claim 2: 
$$Pr[G_1^A \Rightarrow true] = \frac{1}{2}$$

$$\Pr[G_0^A \Rightarrow \mathsf{true}] = \Pr[G_1^A \Rightarrow \mathsf{true}] + \underbrace{\left(\Pr[G_0^A \Rightarrow \mathsf{true}] - \Pr[G_1^A \Rightarrow \mathsf{true}]\right)}_{\leq \mathsf{Adv}_E^{\mathrm{prf}}(B)}$$

So,

$$\begin{array}{lcl} \mathbf{Adv}^{\mathrm{ind\text{-}cpa}}_{\mathcal{SE}}(A) & = & 2 \cdot \Pr[G_0^A \Rightarrow \mathsf{true}] - 1 \\ \\ & \leq & 2 \cdot \left( \Pr[G_1^A \Rightarrow \mathsf{true}] + \mathbf{Adv}^{\mathrm{prf}}_E(B) \right) - 1 \\ \\ & = & 2 \cdot \mathbf{Adv}^{\mathrm{prf}}_E(B) + 2\Pr[G_1^A \Rightarrow \mathsf{true}] - 1 \end{array}$$

Claim 2: 
$$Pr[G_1^A \Rightarrow true] = \frac{1}{2}$$

So, 
$$\mathsf{Adv}^{\mathrm{ind-cpa}}_{\mathcal{SE}}(A) \leq 2 \cdot \mathsf{Adv}^{\mathrm{prf}}_{E}(B)$$



### Proof of Claim 2 in CTRC analysis

#### Game $G_1$

#### procedure Initialize

$$b \stackrel{\$}{\leftarrow} \{0,1\}; ctr \leftarrow 0$$

procedure 
$$LR(M_0, M_1)$$

$$C[0] \leftarrow ctr; m \leftarrow ||M_b||_n$$
for  $i = 1, ..., m$  do
$$P[\langle ctr + i \rangle] \stackrel{\$}{\leftarrow} \{0, 1\}^n$$

$$C[i] \leftarrow P[\langle ctr + i \rangle] \oplus M_b[i]$$

 $ctr \leftarrow ctr + m$ 

# procedure Finalize(b') return (b = b')

Claim 2:  $Pr[G_1^A \Rightarrow true] = \frac{1}{2}$ .

Proof: **LR** in  $G_2$  does not use bit b so

#### Game $G_2$

#### procedure Initialize

$$b \overset{\$}{\leftarrow} \{0,1\}; ctr \leftarrow 0$$

#### procedure $LR(M_0, M_1)$

$$C[0] \leftarrow ctr; m \leftarrow ||M_0||_n$$

for 
$$i = 1, ..., m$$
 do
$$C[i] \stackrel{\$}{\leftarrow} \{0, 1\}^n$$

### procedure Finalize(b')

return 
$$(b = b')$$

### IND-CPA security of CTRC

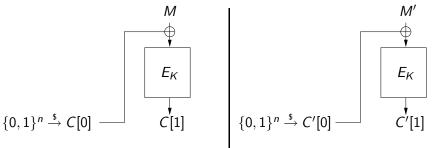
Theorem: Let  $E:\{0,1\}^k \times \{0,1\}^n \to \{0,1\}^n$  be a family of functions and let  $\mathcal{SE} = (\mathcal{K}, \mathcal{E}, \mathcal{D})$  be the corresponding CTRC mode symmetric encryption scheme. Let A be an ind-cpa adversary making at most q LR queries totalling at most  $\sigma$  blocks. Then there is a prf-adversary B such that

$$\mathsf{Adv}^{\mathrm{ind-cpa}}_{\mathcal{SE}}(A) \leq 2 \cdot \mathsf{Adv}^{\mathrm{prf}}_{\mathcal{E}}(B).$$

Furthermore B makes at most  $\sigma$  oracle queries and runs in time at most  $t + \Theta(q + n\sigma)$ .

Let  $E: \{0,1\}^k \times \{0,1\}^n \to \{0,1\}^n$  be a block cipher. Let  $\mathcal{SE} = (\mathcal{K}, \mathcal{E}, \mathcal{D})$  be the CBC\$ mode.

Suppose we are encrypting 1 block messages M, M':



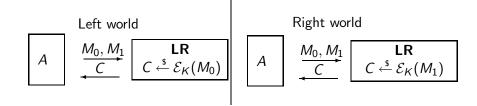
Observation: If C[0] = C'[0] then

$$C[1] = C'[1]$$
 iff  $M = M'$ 



If 1 block messages are encrypted under CBC\$, then message equality can be detected whenever the IVs are the same.

But if  $\geq 2^{n/2}$  messages are encrypted, we expect by the birthday paradox to see collisions in IVs, so we will be able to break the scheme.



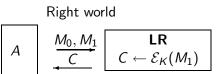
```
adversary A for i=1,...,q do C_i[0]C_i[1] \overset{\$}{\leftarrow} \mathbf{LR}(\langle i \rangle, \langle 0 \rangle) S \leftarrow \{(j,\ell) \colon C_j[0] = C_\ell[0] \text{ and } 1 \leq j < \ell \leq q\} If S \neq \emptyset, then (j,\ell) \overset{\$}{\leftarrow} S If C_j[1] = C_\ell[1] then return 1 return 0
```

## Birthday attack on CBC\$: Right world analysis

```
adversary A for i=1,...,q do C_i[0]C_i[1] \overset{\$}{\leftarrow} \mathbf{LR}(\langle i \rangle, \langle 0 \rangle) S \leftarrow \{(j,\ell)\colon C_j[0] = C_\ell[0] \text{ and } 1 \leq j < \ell \leq q\} If S \neq \emptyset, then (j,\ell) \overset{\$}{\leftarrow} S If C_i[1] = C_\ell[1] then
```

return 1

return 0



## Birthday attack on CBC\$: Right world analysis

```
adversary A

for i=1,...,q do

C_i[0]C_i[1] \stackrel{\$}{\leftarrow} \mathbf{LR}(\langle i \rangle, \langle 0 \rangle)

S \leftarrow \{(j,\ell) \colon C_j[0] = C_\ell[0] \text{ and }

1 \le j < \ell \le q\}

If S \ne \emptyset, then

(j,\ell) \stackrel{\$}{\leftarrow} S

If C_j[1] = C_\ell[1] then
```

Right world
$$A \qquad \underbrace{\frac{M_0, M_1}{C}} \qquad \underbrace{\frac{LR}{C \leftarrow \mathcal{E}_K(M_1)}}$$

If 
$$C_i[0] = C_\ell[0]$$
 then

return 0

$$C_j[1] = E_K(\langle 0 \rangle \oplus C_j[0]) = E_K(\langle 0 \rangle \oplus C_\ell[0]) = C_\ell[1]$$

so

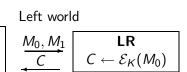
$$\Pr\left[\operatorname{Right}_{\mathcal{SE}}^{A}{\Rightarrow}1\right]=\Pr\left[S
eq\emptyset\right]=C(2^{n},q)$$

## Birthday attack on CBC\$: Left world analysis

A

```
adversary A for i=1,...,q do C_i[0]C_i[1] \overset{\$}{\leftarrow} \mathbf{LR}(\langle i \rangle, \langle 0 \rangle) S \leftarrow \{(j,\ell) \colon C_j[0] = C_\ell[0] \text{ and } 1 \leq j < \ell \leq q\} If S \neq \emptyset, then (j,\ell) \overset{\$}{\leftarrow} S If C_j[1] = C_\ell[1] then return 1
```

return 0



## Birthday attack on CBC\$: Left world analysis

adversary 
$$A$$
 for  $i=1,...,q$  do  $C_i[0]C_i[1] \overset{\$}{\leftarrow} \mathbf{LR}(\langle i \rangle, \langle 0 \rangle)$   $S \leftarrow \{(j,\ell)\colon C_j[0] = C_\ell[0] \text{ and } 1 \leq j < \ell \leq q\}$  If  $S \neq \emptyset$ , then  $(j,\ell) \overset{\$}{\leftarrow} S$  If  $C_j[1] = C_\ell[1]$  then return 1

Left world  $A \qquad \underbrace{\frac{M_0, M_1}{C}} \qquad \underbrace{\begin{array}{c} \textbf{LR} \\ C \leftarrow \mathcal{E}_K(M_0) \end{array}}$ 

If 
$$C_j[0] = C_\ell[0]$$
 then

$$C_i[1] = E_K(\langle j \rangle \oplus C_i[0]) \neq E_K(\langle \ell \rangle \oplus C_\ell[0]) = C_\ell[1]$$

so

$$\mathsf{Pr}\left[\mathrm{Left}_{\mathcal{S}\mathcal{E}}^{A}{\Rightarrow}1\right]=0.$$

```
adversary A for i=1,...,q do C_i[0]C_i[1] \stackrel{\$}{\leftarrow} \mathbf{LR}(\langle i \rangle, \langle 0 \rangle) S \leftarrow \{(j,\ell)\colon C_j[0] = C_\ell[0] \text{ and } 1 \leq j < \ell \leq q\} If S \neq \emptyset, then (j,\ell) \stackrel{\$}{\leftarrow} S If C_j[1] = C_\ell[1] then return 1 return 0
```

$$\begin{array}{lcl} \mathbf{Adv}^{\mathrm{ind\text{-}cpa}}_{\mathcal{S}\mathcal{E}}(A) & = & \mathsf{Pr}\left[\mathrm{Right}^{A}_{\mathcal{S}\mathcal{E}}{\Rightarrow}1\right] - \mathsf{Pr}\left[\mathrm{Left}^{A}_{\mathcal{S}\mathcal{E}}{\Rightarrow}1\right] \\ & = & C(2^{n},q) - 0 \\ & \geq & 0.3 \cdot \frac{q(q-1)}{2^{n}} \end{array}$$

Conclusion: CBC\$ can be broken (in the IND-CPA sense) in about  $2^{n/2}$  queries, where n is the block length of the underlying block cipher, regardless of the cryptanalytic strength of the block cipher.

So far: A q-query adversary can break CBC\$ with advantage  $pprox rac{q^2}{2^{n+1}}$ 

Question: Is there any better attack?

So far: A q-query adversary can break CBC\$ with advantage  $pprox rac{q^2}{2^{n+1}}$ 

Question: Is there any better attack?

Answer: NO!

We can prove that the best q-query attack short of breaking the block cipher has advantage at most

$$\frac{\sigma^2}{2^n}$$

where  $\sigma$  is the total number of blocks encrypted.

Example: If q 1-block messages are encrypted then  $\sigma = q$  so the adversary advantage is not more than  $q^2/2^n$ .

Fact: If E is secure (PRF) then CBC\$ mode can be used to securely encrypt up to  $2^{n/2}$  blocks, where n is the block length of the block cipher.

This is not much for DES  $(n = 64, 2^{n/2} = 2^{32})$  but a lot for AES  $(n = 128, 2^{n/2} = 2^{64})$ 

This means CBC\$ is a good, general purpose encryption scheme.

Ciphertexts leak NO partial information about messages.

Provides security regardless of message distribution. Votes can be securely encrypted.

We do not need to look for attacks on the scheme. We are guaranteed there are no attacks as long as E is secure.

Theorem: Let  $E:\{0,1\}^k \times \{0,1\}^n \to \{0,1\}^n$  be a block cipher and  $\mathcal{SE}=(\mathcal{K},\mathcal{E},\mathcal{D})$  the corresponding CBC\$ symmetric encryption scheme. Let A be an ind-cpa adversary against  $\mathcal{SE}$  that has running time t and makes at most q LR queries, these totalling at most  $\sigma$  blocks. Then there is a prf-adversary B against E such that

$$\mathbf{Adv}^{\mathrm{ind-cpa}}_{\mathcal{SE}}(A) \leq 2 \cdot \mathbf{Adv}^{\mathrm{prf}}_{\mathcal{E}}(B) + \frac{\sigma^2}{2^n}$$

Furthermore, B makes at most  $\sigma$  oracle queries and has running time  $t + \Theta(\sigma \cdot n)$ .

## Games for CBC\$ Security Proof

```
Game G_0
procedure Initialize
K \stackrel{\$}{\leftarrow} \{0,1\}^k; b \stackrel{\$}{\leftarrow} \{0,1\}; S \leftarrow \emptyset
procedure LR(M_0, M_1)
m \leftarrow ||M_b||_n; C[0] \stackrel{\$}{\leftarrow} \{0,1\}^n
for i = 1, ..., n do
   P \leftarrow C[i-1] \oplus M_b[i]
   if P \notin S then T[P] \leftarrow E_{\kappa}(P)
   C[i] \leftarrow T[P]
   S \leftarrow S \cup \{P\}
return C
procedure Finalize(b')
return (b = b')
```

```
Game G_1
procedure Initialize
b \stackrel{\$}{\leftarrow} \{0,1\}; S \leftarrow \emptyset
procedure LR(M_0, M_1)
m \leftarrow ||M_b||_n; C[0] \stackrel{\$}{\leftarrow} \{0,1\}^n
for i = 1, ..., n do
   P \leftarrow C[i-1] \oplus M_b[i]
   if P \notin S then T[P] \stackrel{\$}{\leftarrow} \{0,1\}^n
   C[i] \leftarrow T[P]
   S \leftarrow S \cup \{P\}
return C
```

procedure Finalize(b') return (b = b')

Then

$$\mathsf{Adv}^{\mathrm{ind-cpa}}_{\mathcal{SE}}(A) = 2 \cdot \mathsf{Pr} \mid G_0^A \Rightarrow \mathsf{true} \mid -1$$

But

$$\text{Pr} \left[ G_0^A \Rightarrow \text{true} \right] = \text{Pr} \left[ G_1^A \Rightarrow \text{true} \right] + \left( \text{Pr} \left[ G_0^A \Rightarrow \text{true} \right] - \text{Pr} \left[ G_1^A \Rightarrow \text{true} \right] \right)$$

Claim 1: We can design prf-adversary B so that

$$\mathsf{Pr}\left[ \mathsf{G}_0^A \Rightarrow \mathsf{true} 
ight] - \mathsf{Pr}\left[ \mathsf{G}_1^A \Rightarrow \mathsf{true} 
ight] \leq \mathbf{Adv}_{\mathsf{E}}^{\mathrm{prf}}(B)$$

Claim 2: 
$$\Pr\left[G_1^A \Rightarrow \text{true}\right] \leq \frac{1}{2} + \sigma^2 \cdot 2^{-n-1}$$

So

$$\mathbf{Adv}_{\mathcal{SE}}^{\mathrm{ind-cpa}}(A) \leq 2 \cdot \left(\frac{1}{2} + \frac{\sigma^2}{2^{n+1}}\right) - 1 + 2 \cdot \mathbf{Adv}_{E}^{\mathrm{prf}}(B)$$

$$= \frac{\sigma^2}{2^n} + 2 \cdot \mathbf{Adv}_{E}^{\mathrm{prf}}(B)$$

#### **Analysis**

Claim 1: We can design prf-adversary B so that:

$$\mathsf{Pr}\left[\mathit{G}_{0}^{\mathit{A}}\Rightarrow\mathsf{true}\right]-\mathsf{Pr}\left[\mathit{G}_{1}^{\mathit{A}}\Rightarrow\mathsf{true}\right]\leq\mathsf{Adv}_{\mathit{E}}^{\mathsf{prf}}(\mathit{B})$$

#### Analysis

#### Claim 1: We can design prf-adversary B so that:

$$\mathsf{Pr}\left[\mathit{G}_{0}^{\mathit{A}}\Rightarrow\mathsf{true}\right]-\mathsf{Pr}\left[\mathit{G}_{1}^{\mathit{A}}\Rightarrow\mathsf{true}\right]\leq\mathsf{Adv}_{\mathit{E}}^{\mathsf{prf}}(\mathit{B})$$

adversary B  $b \stackrel{\$}{\leftarrow} \{0,1\}; S \leftarrow \emptyset$ 

subroutine  $LR(M_0, M_1)$  $m \leftarrow ||M_b||_n; C[0] \stackrel{\$}{\leftarrow} \{0, 1\}^n$  for i = 1, ..., m do  $b' \stackrel{\$}{\leftarrow} A^{LR}$ if (b = b') then return 1
else return 0  $P \leftarrow C[i - 1] \oplus M_b[i]$ if  $P \notin S$  then  $T[P] \leftarrow Fn(P)$   $C[i] \leftarrow T[P]$   $S \leftarrow S \cup \{P\}$ return C

$$\Pr\left[\operatorname{Real}_E^B\Rightarrow 1\right] = \Pr\left[G_0^A\Rightarrow\operatorname{true}
ight]$$
 $\Pr\left[\operatorname{Rand}_E^B\Rightarrow 1\right] = \Pr\left[G_1^A\Rightarrow\operatorname{true}
ight]$ 

# **Analysis**

Claim 2: 
$$\Pr\left[G_1^A \Rightarrow \text{true}\right] \leq \frac{1}{2} + \frac{\sigma^2}{2^{n+1}}$$

# Introducing "bad"

```
Game G₁
procedure Initialize
b \stackrel{\$}{\leftarrow} \{0,1\} : S \leftarrow \emptyset
procedure LR(M_0, M_1)
m \leftarrow ||M_b||_n; C[0] \stackrel{\$}{\leftarrow} \{0, 1\}^n
for i = 1, ..., n do
    P \leftarrow C[i-1] \oplus M_b[i]
   If P \notin S then T[P] \stackrel{\$}{\leftarrow} \{0,1\}^n
    C[i] \leftarrow T[P]
    S \leftarrow S \cup \{P\}
return C
procedure Finalize(b')
return (b = b')
```

Game  $G_2$ ,  $G_3$  $b \stackrel{\$}{\leftarrow} \{0,1\}; S \leftarrow \emptyset$ procedure LR( $M_0, M_1$ )  $m \leftarrow \|M_b\|_n$ ;  $C[0] \stackrel{5}{\leftarrow} \{0,1\}^n$  for i = 1, ..., n do  $P \leftarrow C[i-1] \oplus M_b[i]$  $C[i] \stackrel{\$}{\leftarrow} \{0,1\}^n$ If  $P \in S$  then  $\mathsf{bad} \leftarrow \mathsf{true}\,; \ \ \middle[ \ C[i] \leftarrow \mathsf{T}[P]$  $T[P] \leftarrow C[i]$ procedure Finalize(b') return (b = b')

#### So far...

Claim 2: 
$$\Pr[G_1^A \Rightarrow \text{true}] \leq \frac{1}{2} + \frac{\sigma^2}{2^{n+1}}$$

$$\Pr[G_1^A \Rightarrow \mathsf{true}] = \Pr[G_2^A \Rightarrow \mathsf{true}]$$
  
=  $\Pr[G_3^A \Rightarrow \mathsf{true}] + (\Pr[G_2^A \Rightarrow \mathsf{true}] - \Pr[G_3^A \Rightarrow \mathsf{true}])$ 

#### So far...

Claim 2: 
$$\Pr[G_1^A \Rightarrow \text{true}] \leq \frac{1}{2} + \frac{\sigma^2}{2^{n+1}}$$

$$\Pr[G_1^A \Rightarrow \mathsf{true}] = \Pr[G_2^A \Rightarrow \mathsf{true}]$$
  
=  $\Pr[G_3^A \Rightarrow \mathsf{true}] + (\Pr[G_2^A \Rightarrow \mathsf{true}] - \Pr[G_3^A \Rightarrow \mathsf{true}])$ 

Will show:

- $\Pr[G_3^A \Rightarrow \text{true}] = \frac{1}{2}$
- $\Pr[G_2^A \Rightarrow \text{true}] \Pr[G_3^A \Rightarrow \text{true}] \leq \frac{\sigma^2}{2^{n+1}}$

# Analysis of $G_3$

```
Game G_3 m \leftarrow \|M_b\|_n; C[0] \stackrel{5}{\leftarrow} \{0,1\}^n for i=1,...,n do P \leftarrow C[i-1] \oplus M_b[i] C[i] \stackrel{5}{\leftarrow} \{0,1\}^n If P \in S then bad C[i] \in S t
```

Ciphertext C in  $G_3$  is always random, independently of b, so

$$\Pr\left[G_3^A\Rightarrow\mathsf{true}\right]=\frac{1}{2}.$$

# Fundamental Lemma of game playing

Games G, H are identical-until-bad if their code differs only in statements following the setting of bad to true.

Lemma: If G, H are identical-until-bad, then for any adversary A and any y

$$\left| \Pr \left[ G^A \Rightarrow y \right] - \Pr \left[ H^A \Rightarrow y \right] \right| \le \Pr \left[ H^A \text{ sets bad} \right]$$

# Using the fundamental lemma

```
Game G_2, G_3

procedure Initialize

b \stackrel{s}{\leftarrow} \{0,1\}; S \leftarrow \emptyset

procedure Finalize(b')

return (b = b')
```

```
procedure LR(M_0, M_1)

m \leftarrow \|M_b\|_n; C[0] \stackrel{\$}{\leftarrow} \{0,1\}^n

for i = 1, ..., n do

P \leftarrow C[i-1] \oplus M_b[i]

C[i] \stackrel{\$}{\leftarrow} \{0,1\}^n

If P \in S then

bad \leftarrow true; C[i] \leftarrow T[P]

T[P] \leftarrow C[i]

S \leftarrow S \cup \{P\}

return C
```

 $G_2$  and  $G_3$  are identical-until-bad, so Fundamental Lemma implies

$$\mathsf{Pr}\left[\mathit{G}_{2}^{A}\Rightarrow\mathsf{true}\right]-\mathsf{Pr}\left[\mathit{G}_{3}^{A}\Rightarrow\mathsf{true}\right]\leq\mathsf{Pr}\left[\mathit{G}_{3}^{A}\operatorname{sets}\mathsf{bad}\right].$$



# Bounding the probability of bad in $G_3$

```
Game G₃
procedure Initialize
b \stackrel{\$}{\leftarrow} \{0,1\}; S \leftarrow \emptyset
procedure LR(M_0, M_1)
m \leftarrow ||M_b||_n; C[0] \stackrel{\$}{\leftarrow} \{0,1\}^n
for i = 1, ..., m do
    P \leftarrow C[i-1] \oplus M_b[i]
    C[i] \stackrel{\$}{\leftarrow} \{0,1\}^n
    If P \in S then bad \leftarrow true
   T[P] \leftarrow C[i]
    S \leftarrow S \cup \{P\}
return C
procedure Finalize(b')
return (b = b')
```

Game G4 procedure Initialize  $b \overset{s}{\leftarrow} \{0,1\}$ ;  $S \leftarrow \emptyset$  procedure  $LR(M_0,M_1)$  $C[i-1] \leftarrow P \oplus M_b[i]$ If  $P \in S$  then bad  $\leftarrow$  true  $S \leftarrow S \cup \{P\}$   $C[m] \stackrel{\$}{\leftarrow} \{0,1\}^n$ return Cprocedure Finalize(b') return (b = b')

$$\Pr\left[G_3^A \operatorname{sets} \mathsf{bad}\right] = \Pr\left[G_4^A \operatorname{sets} \mathsf{bad}\right]$$



# Bounding the probability of bad in $G_4$

The  $\ell$ -th time the if-statement is executed, it has probability

$$\frac{\ell-1}{2^n}$$

of setting bad. Thus

$$ext{Pr}\left[G_4^A \operatorname{sets} \mathsf{bad}
ight] \leq \sum_{\ell=1}^{\sigma} rac{\ell-1}{2^n} \ = rac{\sigma(\sigma-1)}{2^{n+1}} \ \leq rac{\sigma^2}{2^{n+1}}$$

# How many LR queries?

The IND-CPA definition allows the adversary multiple queries to its LR oracle. This models the adversary distinguishing between whether the messages encrypted were one stream

$$M_0^1,\ldots,M_0^q$$

or another stream

$$M_1^1,\ldots,M_1^q$$

It turns out that allowing only one LR query captures the same security requirement up to a factor q in the advantage, as long as the adversary has a (plain) encryption oracle as well.

This can simplify analyses and the proof will illustrate the hybrid technique.

# Find-then-guess

Let SE = (K, E, D) be a symmetric encryption scheme.

Game FTGLeft<sub>SE</sub>

procedure Initialize  $K \stackrel{\$}{\leftarrow} K$ procedure LR( $M_0, M_1$ )

return  $C \stackrel{\$}{\leftarrow} \mathcal{E}_K(M_0)$ procedure Enc(M)

return  $C \stackrel{\$}{\leftarrow} \mathcal{E}_K(M)$ 

Game  $\operatorname{FTGRight}_{\mathcal{SE}}$ procedure Initialize  $K \stackrel{\$}{\leftarrow} \mathcal{K}$ procedure  $\operatorname{LR}(M_0, M_1)$ return  $C \stackrel{\$}{\leftarrow} \mathcal{E}_K(M_1)$ procedure  $\operatorname{Enc}(M)$ return  $C \stackrel{\$}{\leftarrow} \mathcal{E}_K(M)$ 

Adversary B is allowed only one query to its LR oracle.

$$\mathsf{Adv}^{\mathrm{ftg}}_{\mathcal{SE}}(\mathcal{B}) = \mathsf{Pr}\left[\mathrm{FTGRight}^{\mathcal{B}}_{\mathcal{SE}} \Rightarrow 1\right] - \mathsf{Pr}\left[\mathrm{FTGLeft}^{\mathcal{B}}_{\mathcal{SE}} \Rightarrow 1\right]$$



# Find-then-guess

**Proposition:** Let  $\mathcal{SE} = (\mathcal{K}, \mathcal{E}, \mathcal{D})$  be a symmetric encryption scheme and A an  $\operatorname{ind-cpa}$  adversary making q oracle queries and having running time at most t. Then there is a  $\operatorname{ftg}$  adversary B making one query to its LR oracle and q queries to its encryption oracle, such that

$$\mathsf{Adv}^{\mathrm{ind-cpa}}_{\mathcal{SE}}(A) \leq q \cdot \mathsf{Adv}^{\mathrm{ftg}}_{\mathcal{SE}}(B).$$

Furthermore, the running time of B is that of A.

# Hybrid Technique: illustration

Suppose A makes queries

$$(M_0^1, M_1^1), (M_0^2, M_1^2), (M_0^3, M_1^3), (M_0^4, M_1^4)$$

Then we will define games  $G_0$ ,  $G_1$ ,  $G_2$ ,  $G_3$ ,  $G_4$  so that

i	Messages encrypted in $G_i^A$
0	$M_1^1, M_1^2, M_1^3, M_1^4$
1	$M_0^1, M_1^2, M_1^3, M_1^4$
2	$M_0^1, M_0^2, M_1^3, M_1^4$
3	$M_0^1, M_0^2, M_0^3, M_1^4$
4	$M_0^1, M_0^2, M_0^3, M_0^4$

# Hybrid Technique

Game  $G_i$   $(0 \le i \le q)$ procedure Initialize  $K \stackrel{\$}{\leftarrow} \mathcal{K}; \ell \leftarrow 0$ procedure  $LR(M_0, M_1)$   $\ell \leftarrow \ell + 1$ If  $\ell > i$  then  $C \stackrel{\$}{\leftarrow} \mathcal{E}_K(M_1)$  else  $C \stackrel{\$}{\leftarrow} \mathcal{E}_K(M_0)$ 

Suppose A makes LR queries  $(M_0^1, M_1^1), \dots, (M_0^q, M_1^q)$ . Then in  $G_i^A$  the messages encrypted are

$$M_0^1, \ldots, M_0^i, M_1^{i+1}, \ldots, M_1^q$$

Let

Return C

$$P_i = \Pr\left[G_i^A \Rightarrow 1\right].$$

# Properties of the hybrid games

In  $G_0^A$  the messages encrypted are  $M_1^1, \ldots, M_1^q$ , so

$$\mathsf{Pr}\left[\mathrm{Right}_{\mathcal{SE}}^{\mathcal{A}} \Rightarrow 1\right] = \mathit{P}_{0}.$$

In  $G_q^A$  the messages encrypted are  $M_0^1,\ldots,M_0^q$ , so

$$\Pr\left[\operatorname{Left}_{\mathcal{SE}}^{\mathcal{A}}\Rightarrow 1\right]=P_{q}.$$

So,

$$\mathbf{Adv}_{SE}^{\text{ind-cpa}}(A) = P_0 - P_q$$

$$= (P_0 - P_1) + (P_1 - P_2) + \dots + (P_{q-1} - P_q)$$

If  $P_0-P_q$  is large, so is at least one term in the sum. We design B to have advantage that term.

# Design of B

adversary 
$$B$$
 $\ell \leftarrow 0$ 
 $g \stackrel{\$}{\leftarrow} \{1, \dots, q\}$ 
 $b' \stackrel{\$}{\leftarrow} A^{\operatorname{ELR}(\cdot, \cdot)}$ 
Return  $b'$ 

#### subroutine ELR

If 
$$\ell < g$$
 then  $c \overset{\$}{\leftarrow} \mathcal{E}_{\mathcal{K}}(M_1)$   
If  $\ell > g$  then  $c \overset{\$}{\leftarrow} \mathcal{E}_{\mathcal{K}}(M_0, M_1)$   
If  $\ell < g$  then  $c \overset{\$}{\leftarrow} \mathcal{E}_{\mathcal{K}}(M_0)$ 

Suppose A's queries are  $(M_0^1, M_1^1), \dots, (M_0^q, M_1^q)$  and suppose B picks g = i. Then the messages encrypted are

$$M_0^1, \ldots, M_0^{i-1}, M_b^i, M_1^{i+1}, \ldots, M_1^q$$

SO

$$\begin{split} & \operatorname{\mathsf{Pr}} \left[ \operatorname{FTGRight}_{\mathcal{SE}}^{\mathcal{B}} \Rightarrow 1 \, | \, g = i \right] &= \\ & \operatorname{\mathsf{Pr}} \left[ \operatorname{FTGLeft}_{\mathcal{SE}}^{\mathcal{B}} \Rightarrow 1 \, | \, g = i \right] &= \end{split}$$

# Design of B

adversary 
$$B$$
 $\ell \leftarrow 0$ 
 $g \stackrel{\$}{\leftarrow} \{1, \dots, q\}$ 
 $b' \stackrel{\$}{\leftarrow} A^{\operatorname{ELR}(\cdot, \cdot)}$ 
Return  $b'$ 

# subroutine ELR $\ell \leftarrow \ell + 1$ If $\ell > g$ then $c \stackrel{\$}{\leftarrow} \mathcal{E}_{\mathcal{K}}(M_1)$ If $\ell = g$ then $c \stackrel{\$}{\leftarrow} \mathbf{LR}(M_0, M_1)$ If $\ell < g$ then $c \stackrel{\$}{\leftarrow} \mathcal{E}_{\mathcal{K}}(M_0)$

Suppose A's queries are  $(M_0^1, M_1^1), \dots, (M_0^q, M_1^q)$  and suppose B picks g = i. Then the messages encrypted are

$$M_0^1, \ldots, M_0^{i-1}, M_b^i, M_1^{i+1}, \ldots, M_1^q$$

SO

$$\begin{split} & \operatorname{\mathsf{Pr}} \left[ \operatorname{FTGRight}_{\mathcal{SE}}^{\mathcal{B}} \Rightarrow 1 \, | \, g = i \right] &= P_{i-1} \\ & \operatorname{\mathsf{Pr}} \left[ \operatorname{FTGLeft}_{\mathcal{SE}}^{\mathcal{B}} \Rightarrow 1 \, | \, g = i \right] &= P_{i} \end{split}$$

# Analysis of B

$$\begin{aligned} \mathbf{Adv}_{\mathcal{SE}}^{\mathrm{ftg}}(B) &= & \Pr\left[\mathrm{FTGRight}_{\mathcal{SE}}^{\mathcal{B}} \Rightarrow 1\right] - \Pr\left[\mathrm{FTGLeft}_{\mathcal{SE}}^{\mathcal{B}} \Rightarrow 1\right] \\ &= & \sum_{i=1}^{q} \Pr\left[\mathrm{FTGRight}_{\mathcal{SE}}^{\mathcal{B}} \Rightarrow |\ g = i\right] \cdot \Pr\left[g = i\right] \\ &- \sum_{i=1}^{q} \Pr\left[\mathrm{FTGLeft}_{\mathcal{SE}}^{\mathcal{B}} \Rightarrow 1 |\ g = i\right] \cdot \Pr\left[g = i\right] \\ &= & \sum_{i=1}^{q} P_{i-1} \cdot \frac{1}{q} - \sum_{i=1}^{q} P_{i} \cdot \frac{1}{q} = \frac{1}{q} \sum_{i=1}^{q} (P_{i-1} - P_{i}) \\ &= & \frac{1}{q} (P_{0} - P_{q}) = \frac{1}{q} \mathbf{Adv}_{\mathcal{SE}}^{\mathrm{ind-cpa}}(A) \end{aligned}$$

as desired.

#### Identification

ATM card contains a key  $\mathcal{K} \stackrel{\$}{\leftarrow} \mathcal{K}$  known also to Bank, where  $\mathcal{SE} = (\mathcal{K}, \mathcal{E}, \mathcal{D})$  is a symmetric encryption scheme.

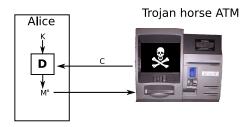


# Attack Setting



Adversary transmits Alice's identity, but how can it answer the challenge (meaning decrypt C) without knowing Alice's key?

#### **Active Attack**



Tries to get K or learn how to decrypt by creating ciphertexts and getting the card to decrypt them.

This is called a chosen ciphertext attack.

# Chosen-ciphertext attacks

New capability: Adversary has access to a decryption oracle

$$C \longrightarrow M \longleftarrow$$
 Dec

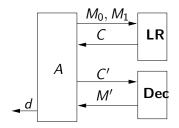
What is the adversary's goal?

In our example it was to get the key K, but based on the principles we have discussed before we would like to ask for more: no partial information on un-decrypted messages is leaked by the ciphertexts.

#### ind-cca adversaries

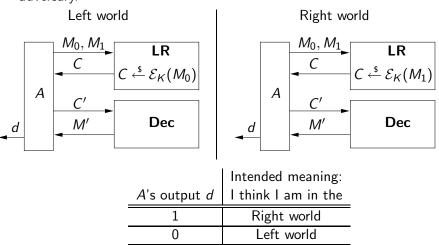
Let  $\mathcal{SE} = (\mathcal{K}, \mathcal{E}, \mathcal{D})$  be an encryption scheme. An ind-cca adversary A

- Has access to a LR oracle
- Has access to a decryption oracle Dec
- Outputs a bit



#### **IND-CCA**

Let  $\mathcal{SE} = (\mathcal{K}, \mathcal{E}, \mathcal{D})$  be an encryption scheme and A an ind-cca adversary.



The harder it is for A to guess world it is in, the more "secure"  $\mathcal{SE}$  is as an encryption scheme.

# The games

Let  $\mathcal{SE}=(\mathcal{K},\mathcal{E},\mathcal{D})$  be a symmetric encryption scheme and let A be an adversary. Consider

Game Left<sub>SE</sub>

procedure Initialize  $K \stackrel{\$}{\leftarrow} K$ procedure LR( $M_0, M_1$ )

Return  $C \stackrel{\$}{\leftarrow} \mathcal{E}_K(M_0)$ procedure Dec(C)

return  $M \leftarrow \mathcal{D}_K(C)$ 

Game  $\operatorname{Right}_{\mathcal{SE}}$ procedure Initialize  $K \overset{\$}{\leftarrow} \mathcal{K}$ procedure  $\operatorname{LR}(M_0, M_1)$ Return  $C \overset{\$}{\leftarrow} \mathcal{E}_K(M_1)$ procedure  $\operatorname{Dec}(C)$ return  $M \leftarrow \mathcal{D}_K(C)$ 

Associated to SE, A are the probabilities

$$\mathsf{Pr}\left[\mathrm{Left}_{\mathcal{SE}}^{\mathcal{A}}{\Rightarrow}1\right] \qquad \left|\hspace{0.1cm}\mathsf{Pr}\left[\mathrm{Right}_{\mathcal{SE}}^{\mathcal{A}}{\Rightarrow}1\right]\right.$$

that A outputs 1 in each world. The (ind-cca) advantage of A is

$$\mathsf{Adv}^{\operatorname{ind-cca}}_{\mathcal{SE}}(A) = \mathsf{Pr}\left[\operatorname{Right}_{\mathcal{SE}}^{A} {\Rightarrow} 1\right] - \mathsf{Pr}\left[\operatorname{Left}_{\mathcal{SE}}^{A} {\Rightarrow} 1\right]$$

#### A problem

# Game $\operatorname{Left}_{\mathcal{SE}}$ procedure Initialize $K \overset{\$}{\leftarrow} \mathcal{K}$ procedure $\operatorname{LR}(M_0, M_1)$ Return $C \overset{\$}{\leftarrow} \mathcal{E}_K(M_0)$ procedure $\operatorname{Dec}(C)$ return $M \leftarrow \mathcal{D}_K(C)$

Game Right
$$_{\mathcal{SE}}$$

procedure Initialize

 $K \overset{\$}{\leftarrow} \mathcal{K}$ 

procedure LR( $M_0, M_1$ )

Return  $C \overset{\$}{\leftarrow} \mathcal{E}_K(M_1)$ 

procedure Dec( $C$ )

return  $M \leftarrow \mathcal{D}_K(C)$ 

We can ALWAYS design A with advantage 1, meaning ALL schemes are insecure.

# adversary A

$$C \stackrel{\$}{\leftarrow} \mathbf{LR}(0^n, 1^n); M \leftarrow \mathbf{Dec}(C)$$
 if  $M = 0^n$  then return 0 else return 1

Then

$$\mathsf{Pr}\left[\mathrm{Left}_{\mathcal{SE}}^{\mathcal{A}}{\Rightarrow}1\right]=0$$

$$\mathsf{Pr}\left[\mathrm{Right}_{\mathcal{SE}}^{A}{\Rightarrow}1\right]=1$$

# Avoiding the problem

Encryption can only hide information about un-decrypted messages!

We address this by making the following rule:

 An ind-cca adversary A is not allowed to query Dec on a ciphertext previously returned by LR

Adversary from before breaks rule:

```
adversary A
C \stackrel{\$}{\leftarrow} \mathbf{LR}(0^n, 1^n); M \leftarrow \mathbf{Dec}(C)
if M = 0^n then return 0 else return 1
```

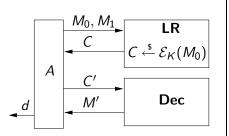
#### IND-CCA attack on CBC\$

Let  $E: \{0,1\}^k \times \{0,1\}^n \to \{0,1\}^n$  be a block cipher.

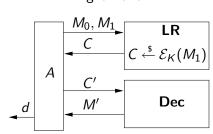
Alg 
$$\mathcal{E}_K(M)$$

$$C[0] \stackrel{5}{\leftarrow} \{0,1\}^n$$
; for  $i = 1, ..., m$  do  $C[i] \leftarrow E_K(M[i] \oplus C[i-1])$  return  $C$ 

Left world



Right world



Can we design A so that

$$\textbf{Adv}^{\mathrm{ind\text{-}cca}}_{\mathcal{SE}}(\textit{A}) = \text{Pr}\left[\mathrm{Right}^{\textit{A}}_{\mathcal{SE}} \Rightarrow 1\right] - \text{Pr}\left[\mathrm{Left}^{\textit{A}}_{\mathcal{SE}} \Rightarrow 1\right]$$

is close to 1?

#### IND-CCA attack on CBC\$

What we would like to do:

#### adversary A

$$C \stackrel{\$}{\leftarrow} \mathbf{LR}(0^n, 1^n)$$
;  $M \leftarrow \mathbf{Dec}(C)$  if  $M = 0^n$  then return 0 else return 1

but querying C is not allowed. Instead we will

$$C \to \boxed{\mathrm{ModifyC}} \to C' \to \boxed{\mathbf{Dec}} \to M' \to \boxed{\mathrm{ModifyM}} \to M$$

so that  $M = \mathcal{D}_K(C)$  but  $C' \neq C$ . Then

#### adversary A

$$C \stackrel{\$}{\leftarrow} \mathbf{LR}(0^n, 1^n)$$

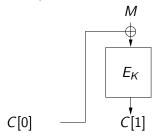
$$C' \leftarrow \operatorname{ModifyC}(C)$$
;  $M' \leftarrow \operatorname{Dec}(C')$ ;  $M \leftarrow \operatorname{ModifyM}(M')$ 

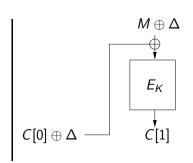
if  $M = 0^n$  then return 0 else return 1



# The Modify process

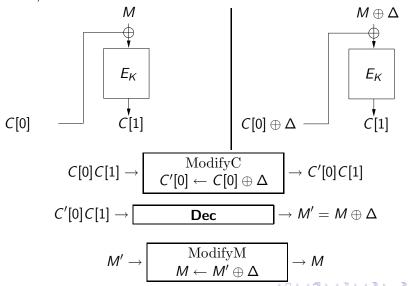
Let  $\Delta \neq 0^n$  be some block.





# The Modify process

Let  $\Delta \neq 0^n$  be some block.



# IND-CCA attack on CBC\$: Right world analysis

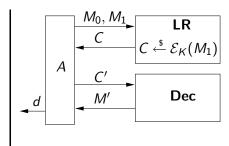
adversary A  $C[0]C[1] \stackrel{5}{\leftarrow} LR(0^n, 1^n); \Delta \leftarrow 1^n$   $C'[0] \leftarrow C[0] \oplus \Delta; M' \leftarrow Dec(C'[0]C[1]); M \leftarrow M' \oplus \Delta$ if  $M = 0^n$  then return 0 else return 1

Game Right<sub>SE</sub>

procedure Initialize  $K \stackrel{\$}{\leftarrow} K$ procedure LR( $M_0, M_1$ )

Return  $C \stackrel{\$}{\leftarrow} \mathcal{E}_K(M_0)$ procedure Dec(C)

return  $M \leftarrow \mathcal{D}_K(C)$ 



Then

$$\mathsf{Pr}\left[\mathrm{Right}_{\mathcal{SE}}^{A}\Rightarrow 1\right]=$$

# IND-CCA attack on CBC\$: Right world analysis

adversary A  $C[0]C[1] \stackrel{\$}{\leftarrow} LR(0^n, 1^n); \Delta \leftarrow 1^n$   $C'[0] \leftarrow C[0] \oplus \Delta; M' \leftarrow Dec(C'[0]C[1]); M \leftarrow M' \oplus \Delta$ if  $M = 0^n$  then return 0 else return 1

Game 
$$\operatorname{Right}_{\mathcal{SE}}$$

procedure Initialize

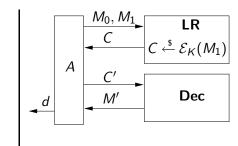
 $K \stackrel{\$}{\leftarrow} \mathcal{K}$ 

procedure  $\operatorname{LR}(M_0, M_1)$ 

Return  $C \stackrel{\$}{\leftarrow} \mathcal{E}_K(M_0)$ 

procedure  $\operatorname{Dec}(C)$ 

return  $M \leftarrow \mathcal{D}_K(C)$ 



Then

$$\mathsf{Pr}\left[\mathrm{Right}_{\mathcal{SE}}^{\mathcal{A}}\Rightarrow 1\right]=1$$

because  $C[0]C[1] \stackrel{\$}{\leftarrow} \mathcal{E}_{\mathcal{K}}(1^n)$  so  $M=1^n \neq 0^n$ .

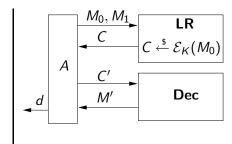
# IND-CCA attack on CBC\$: Left world analysis

adversary A  $C[0]C[1] \stackrel{\$}{\leftarrow} LR(0^n, 1^n); \Delta \leftarrow 1^n$   $C'[0] \leftarrow C[0] \oplus \Delta; M' \leftarrow Dec(C'[0]C[1]); M \leftarrow M' \oplus \Delta$ if  $M = 0^n$  then return 0 else return 1

Game Left $_{\mathcal{SE}}$ procedure Initialize  $K \stackrel{\$}{\leftarrow} \mathcal{K}$ procedure LR( $M_0, M_1$ )

Return  $C \stackrel{\$}{\leftarrow} \mathcal{E}_K(M_0)$ procedure Dec(C)

return  $M \leftarrow \mathcal{D}_K(C)$ 



Then

$$\mathsf{Pr}\left[\mathrm{Left}_{\mathcal{SE}}^{\mathcal{A}}\Rightarrow 1\right]=$$

# IND-CCA attack on CBC\$: Left world analysis

adversary A  $C[0]C[1] \stackrel{\$}{\leftarrow} LR(0^n, 1^n); \Delta \leftarrow 1^n$   $C'[0] \leftarrow C[0] \oplus \Delta; M' \leftarrow Dec(C'[0]C[1]); M \leftarrow M' \oplus \Delta$ if  $M = 0^n$  then return 0 else return 1

Game Left
$$_{\mathcal{SE}}$$

procedure Initialize

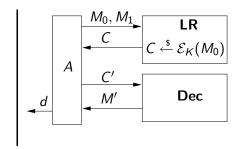
 $K \overset{\$}{\leftarrow} \mathcal{K}$ 

procedure LR( $M_0, M_1$ )

Return  $C \overset{\$}{\leftarrow} \mathcal{E}_K(M_0)$ 

procedure Dec( $C$ )

return  $M \leftarrow \mathcal{D}_K(C)$ 



Then

$$\mathsf{Pr}\left[\mathrm{Left}_{\mathcal{SE}}^{\textit{\textbf{A}}}\Rightarrow 1\right]=0$$

because  $C[0]C[1] \stackrel{\$}{\leftarrow} \mathcal{E}_K(1^n)$  so  $M = 0^n$ .



#### IND-CCA attack on CBC

adversary A  $C[0]C[1] \stackrel{\$}{\leftarrow} LR(0^n, 1^n); \Delta \leftarrow 1^n$   $C'[0] \leftarrow C[0] \oplus \Delta; M' \leftarrow Dec(C'[0]C[1]); M \leftarrow M' \oplus \Delta$ if  $M = 0^n$  then return 0 else return 1

$$\begin{array}{rcl} \textbf{Adv}^{\mathrm{ind\text{-}cca}}_{\mathcal{S}\mathcal{E}}(A) & = & \overbrace{\Pr\left[\mathrm{Right}^{A}_{\mathcal{S}\mathcal{E}} \Rightarrow 1\right]}^{1} - \overbrace{\Pr\left[\mathrm{Left}^{A}_{\mathcal{S}\mathcal{E}} \Rightarrow 1\right]}^{0} \\ & = & 1 \end{array}$$

And A is very efficient, making only two queries.

Thus CBC\$ is **not** IND-CCA secure.



# Protecting against CCAs

Can you think of a way to design a scheme that is IND-CCA secure?

We will see such a scheme later, after we have some more tools.