

Example of applied cryptography  
used in SDDR: Diffie Hellman key  
exchange

# Diffie-Hellman Key Exchange

Whittfield Diffie and Martin Hellman are called the inventors of Public Key Cryptography. Diffie-Hellman Key Exchange is the first Public Key Algorithm published in 1976.



# What is Diffie-Hellman?

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- A Public Key Algorithm
- Only for Key Exchange
- Does NOT Encrypt or Decrypt
- Based on Discrete Logarithms
- Widely used in Security Protocols and Commercial Products
- Williamson of Britain's CESG claims to have discovered it several years prior to 1976

# Sets, Groups and Fields

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- A set is any collection of objects called the elements of the set
- Examples of sets:  $R$ ,  $Z$ ,  $Q$
- If we can define an operation on the elements of the set and certain rules are followed then we get other mathematical structures called groups and fields

# Groups

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- A group is a set  $G$  with a custom-defined binary operation  $+$  such that:
  - The group is closed under  $+$ , i.e., for  $a, b \in G$ :
    - $a + b \in G$
  - The Associative Law holds i.e., for any  $a, b, c \in G$ :
    - $a + (b + c) = (a + b) + c$
  - There exists an identity element  $0$ , such that
    - $a + 0 = a$
  - For each  $a \in G$  there exists an inverse element  $-a$  such that
    - $a + (-a) = 0$
- If for all  $a, b \in G$ :  $a + b = b + a$  then the group is called an Abelian or commutative group
- If a group  $G$  has a finite number of elements it is called a finite group

# More About Group Operations

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- $+$  does not necessarily mean normal arithmetic addition
- $+$  just indicates a binary operation which can be custom defined
- The group operation could be denoted as  $\bullet$
- The group notation with  $+$  is called the additive notation and the group notation with  $\bullet$  is called the multiplicative notation

# Fields

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- A field is a set  $F$  with two custom-defined binary operations  $+$  and  $\cdot$  such that:
  - The Field is closed under  $+$  and  $\cdot$ , i.e., for  $a, b \in F$ :
    - $a + b \in F$  and  $a \cdot b \in F$
  - The Associative Law holds i.e., for any  $a, b, c \in F$ :
    - $a + (b + c) = (a + b) + c$  and  $a \cdot (b \cdot c) = (a \cdot b) \cdot c$
  - There exist identity elements  $0$  and  $1$ , such that
    - $a + 0 = a$  and  $a \cdot 1 = a$
  - For each  $a \in F$  there exist inverse elements  $-a$  and  $a^{-1}$  such that
    - $a + (-a) = 0$  and  $a \cdot a^{-1} = 1$
- If a field  $F$  has a finite number of elements it is called a finite field



# Examples of Groups

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## ■ Groups

- Set of real numbers  $\mathbf{R}$  under  $+$
- Set of real numbers  $\mathbf{R}$  under  $*$
- Set of integers  $\mathbf{Z}$  under  $+$
- Set of integers  $\mathbf{Z}$  under  $*?$
- Set of integers modulo a prime number  $p$  under  $+$
- Set of integers modulo a prime number  $p$  under  $*$
- Set of  $3 \times 3$  matrices under  $+$  meaning matrix addition
- Set of  $3 \times 3$  matrices under  $*$  meaning matrix multiplication?

## ■ Fields

- Set of real numbers  $\mathbf{R}$  under  $+$  and  $*$
- Set of integers  $\mathbf{Z}$  under  $+$  and  $*$
- Set of integers modulo a prime number  $p$  under  $+$  and  $*$

# Generator of Group

- If for  $a \in G$ , all members of the group can be written in terms of  $a$  by applying the group operation  $*$  on  $a$  a number of times then  $a$  is called a generator of the group  $G$
- Examples
  - 2 is a generator of  $Z_{11}^*$
  - 2 and 3 are generator of  $Z_{19}^*$

$m$	1	2	3	4	5	6	7	8	9	10
$2^m \text{ mod } 11$	2	4	8	5	10	9	7	3	6	1

$m$	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18
$2^m \text{ mod } 19$	2	4	8	16	13	7	14	3	6	17	15	11	3	6	12	5	10	1
$3^m \text{ mod } 19$	3	9	8	5	15	7	2	6	18	16	10	11	14	4	12	17	13	1

# Primitive Roots

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- If  $x^n = a$  then  $a$  is called the  $n$ -th root of  $x$
- For any prime number  $p$ , if we have a number  $a$  such that powers of  $a \bmod p$  generate all the numbers between 1 to  $p-1$  then  $a$  is called a **Primitive Root** of  $p$ .
- In terms of the Group terminology  $a$  is the generator element of the multiplicative group of the finite field formed by  $\bmod p$
- Then for any integer  $b$  and a primitive root  $a$  of prime number  $p$  we can find a unique exponent  $i$  such that
$$b = a^i \bmod p$$
- The exponent  $i$  is referred to as the **discrete logarithm** or **index**, of  $b$  for the base  $a$ .



**Table 7.7 Tables of Discrete Logarithms, Modulo 19**

**(a) Discrete logarithms to the base 2, modulo 19**

a	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18
$\text{Ind}_{2,19}(a)$	18	1	13	2	16	14	6	3	8	17	12	15	5	7	11	4	10	9

**(b) Discrete logarithms to the base 3, modulo 19**

a	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18
$\text{Ind}_{3,19}(a)$	18	7	1	14	4	8	6	3	2	11	12	15	17	13	5	10	16	9

**(c) Discrete logarithms to the base 10, modulo 19**

a	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18
$\text{Ind}_{10,19}(a)$	18	17	5	16	2	4	12	15	10	1	6	3	13	11	7	14	8	9

**(d) Discrete logarithms to the base 13, modulo 19**

a	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18
$\text{Ind}_{13,19}(a)$	18	11	17	4	14	10	12	15	16	7	6	3	1	5	13	8	2	9

**(e) Discrete logarithms to the base 14, modulo 19**

a	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18
$\text{Ind}_{14,19}(a)$	18	13	7	8	10	2	6	3	14	5	12	15	11	1	17	16	14	9

**(f) Discrete logarithms to the base 15, modulo 19**

a	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18
$\text{Ind}_{15,19}(a)$	18	5	11	10	8	16	12	15	4	13	6	3	7	17	1	2	12	9

# Diffie-Hellman Algorithm

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- Five Parts
  1. Global Public Elements
  2. User A Key Generation
  3. User B Key Generation
  4. Generation of Secret Key by User A
  5. Generation of Secret Key by User B

# Global Public Elements

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- $q$  Prime number
- $\alpha$   $\alpha < q$  and  $\alpha$  is a primitive root of  $q$
- The global public elements are also sometimes called the domain parameters

# User A Key Generation

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- Select private  $X_A$   $X_A < q$
- Calculate public  $Y_A$   $Y_A = \alpha^{X_A} \bmod q$



# User B Key Generation

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- Select private  $X_B$   $X_B < q$
- Calculate public  $Y_B$   $Y_B = \alpha^{X_B} \bmod q$

# Generation of Secret Key by User A

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- $K = (Y_B)^{X_A} \bmod q$

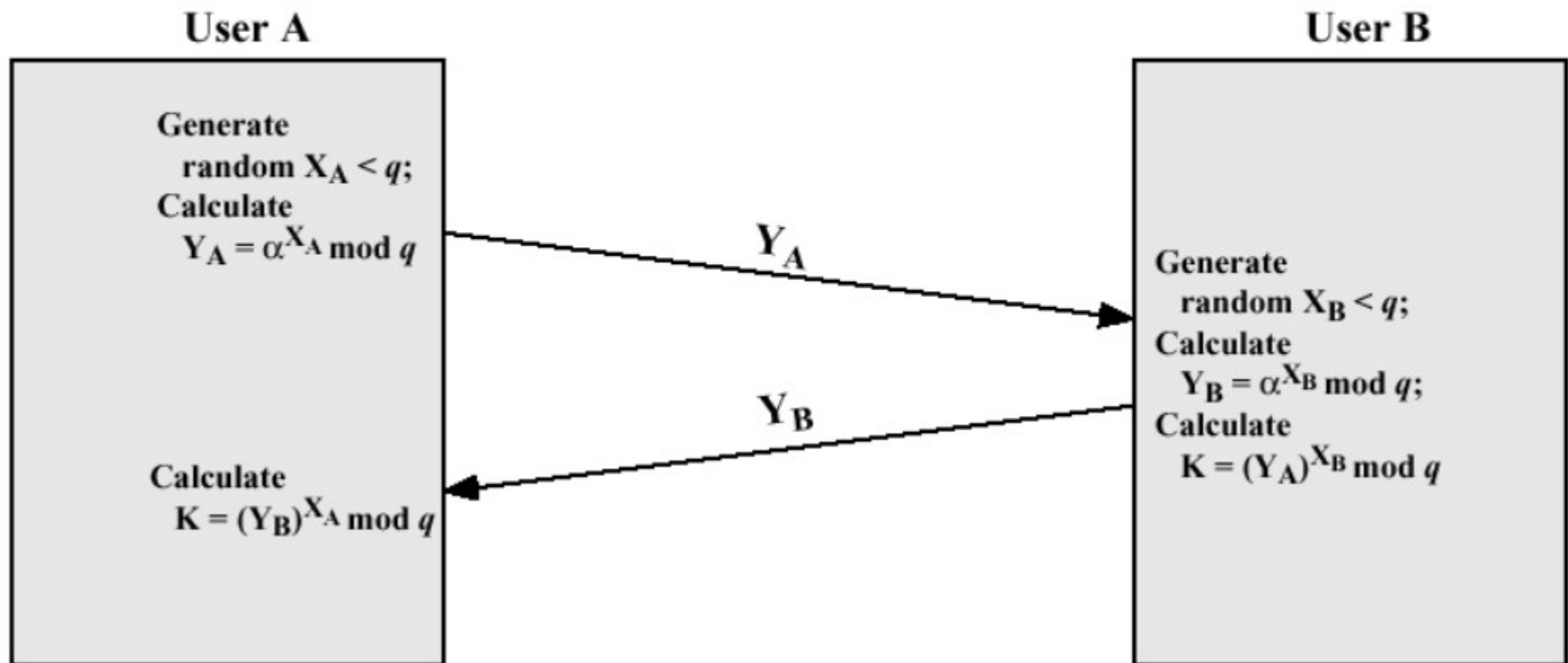
# Generation of Secret Key by User B

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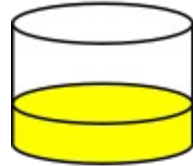
- $K = (Y_A)^{X_B} \bmod q$

# Diffie-Hellman Key Exchange

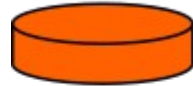
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**Alice**



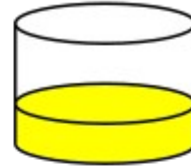
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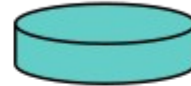
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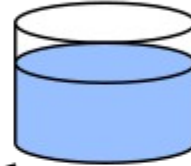
**Bob**



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**Common paint**

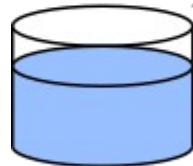
**Secret colours**

**Public transport**

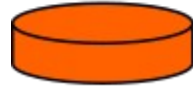
(assume  
that mixture separation  
is expensive)

**Secret colours**

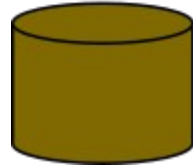
**Common secret**



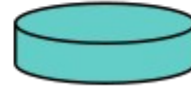
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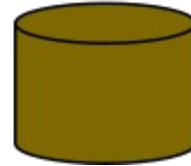
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# Diffie-Hellman Example

- 1 Alice and Bob agree on  $p = 23$  and  $g = 5$ .
- 2 Alice chooses  $a = 6$  and sends  $5^6 \bmod 23 = 8$ .
- 3 Bob chooses  $b = 15$  and sends  $5^{15} \bmod 23 = 19$ .
- 4 Alice computes  $19^6 \bmod 23 = 2$ .
- 5 Bob computes  $8^{15} \bmod 23 = 2$ .

Then 2 is the shared secret.

Clearly, much larger values of  $a$ ,  $b$ , and  $p$  are required. An eavesdropper cannot discover this value even if she knows  $p$  and  $g$  and can obtain each of the messages.

# Why Diffie-Hellman is Secure?

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- Opponent has  $q$ ,  $\alpha$ ,  $Y_A$  and  $Y_B$
- To get  $X_A$  or  $X_B$  the opponent is forced to take a discrete logarithm
- The security of the Diffie-Hellman Key Exchange lies in the fact that, while it is relatively easy to calculate exponentials modulo a prime, it is very difficult to calculate discrete logarithms. For large primes, the latter task is considered infeasible.

# Diffie-Hellman Security

Suppose  $p$  is a prime of around 300 digits, and  $a$  and  $b$  at least 100 digits each.

Discovering the shared secret given  $g$ ,  $p$ ,  $g^a \pmod p$  and  $g^b \pmod p$  would take longer than the lifetime of the universe, using the best known algorithm. This is called the *discrete logarithm problem*.

Diffie-Hellman is currently used in many protocols, namely:

- Secure Sockets Layer (SSL)/Transport Layer Security (TLS)
- Secure Shell (SSH)
- Internet Protocol Security (IPSec)
- Public Key Infrastructure (PKI)



# Example of Efficient Data Structure used in SDDR: Bloom Filter

# Origin and applications

- ❑ Randomized data structure introduced by Burton Bloom [CACM 1970]
  - It represents a set for membership queries, with false positives
  - Probability of false positive can be controlled by design parameters
  - When space efficiency is important, a Bloom filter may be used if the effect of false positives can be mitigated.
  
- ❑ First applications in dictionaries and databases

# Standard Bloom Filter

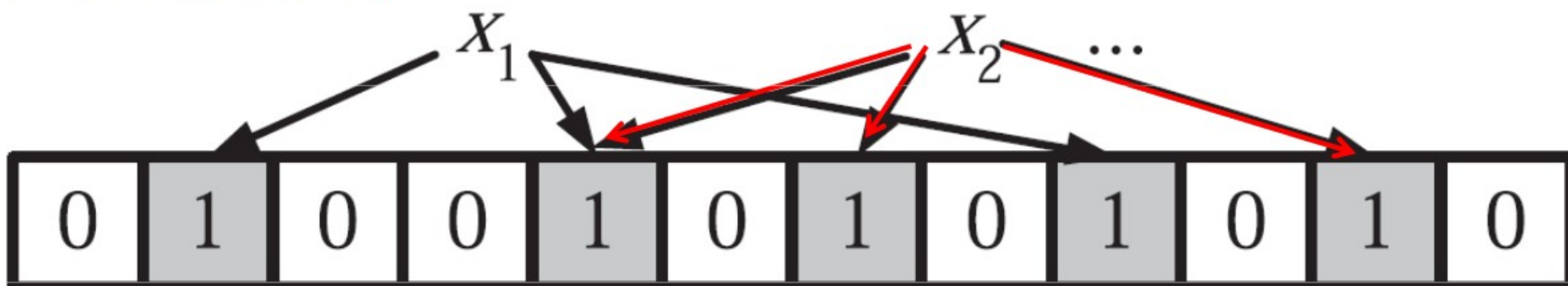
- A Bloom filter is an array of  $m$  bits representing a set  $S = \{x_1, x_2, \dots, x_n\}$  of  $n$  elements
  - Array set to 0 initially
- $k$  independent hash functions  $h_1, \dots, h_k$  with range  $\{1, 2, \dots, m\}$ 
  - Assume that each hash function maps each item in the universe to a random number uniformly over the range  $\{1, 2, \dots, m\}$
- For each element  $x$  in  $S$ , the bit  $h_i(x)$  in the array is set to 1, for  $1 \leq i \leq k$ ,
  - A bit in the array may be set to 1 multiple times for different elements

# A Bloom filter example

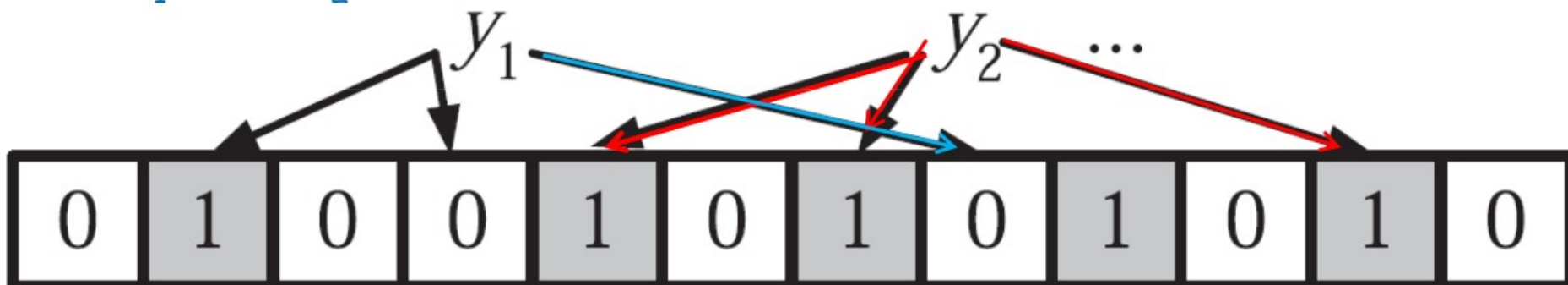
(three hash functions)



Insert  $X_1$  and  $X_2$



Check  $Y_1$  and  $Y_2$



# Standard Bloom Filter (cont.)

- ❑ To check membership of  $y$  in  $S$ , check whether  $h_i(y)$ ,  $1 \leq i \leq k$ , are all set to 1
  - If not,  $y$  is definitely not in  $S$
  - Else, we conclude that  $y$  is in  $S$ , but sometimes this conclusion is wrong (false positive)
- ❑ For many applications, false positives are acceptable as long as the probability of a false positive is small enough
- ❑ We will assume that  $kn < m$

# False positive probability

- After all members of  $S$  have been hashed to a Bloom filter, the probability that a specific bit is still 0 is

$$p' = \left(1 - \frac{1}{m}\right)^{kn} \simeq e^{-kn/m} = p$$

- For a non member, it may be found to be a member of  $S$  (all of its  $k$  bits are nonzero) with false positive probability

$$(1 - p')^k \simeq (1 - p)^k$$

# False positive probability (cont.)

□ Define

$$f' = (1 - p')^k = \left(1 - \left(1 - \frac{1}{m}\right)^{kn}\right)^k$$

$$f = (1 - p)^k = \left(1 - e^{-kn/m}\right)^k$$

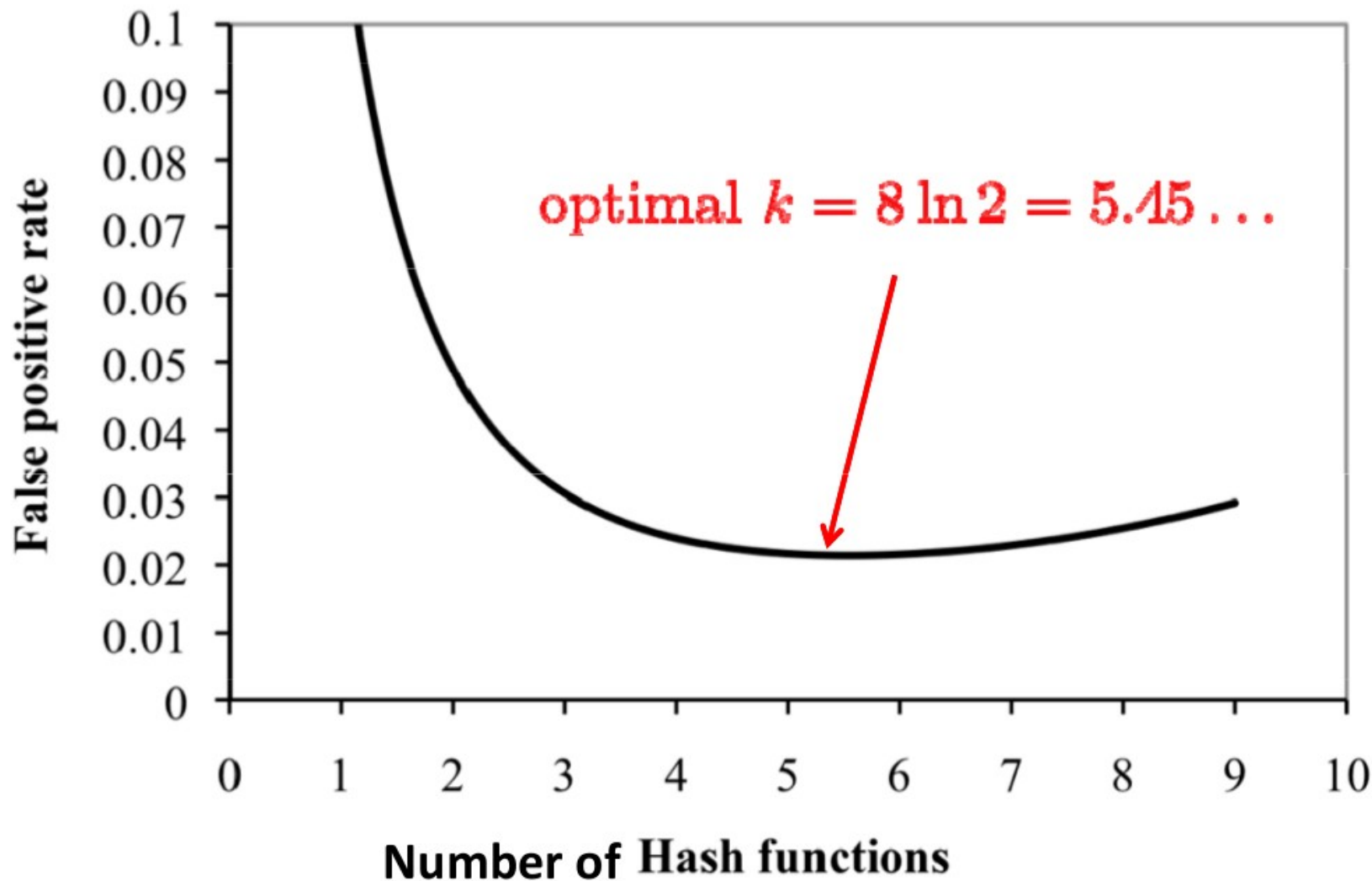
□ Two competing forces as  $k$  increases

○ Larger  $k \rightarrow (1 - p')^k$  is smaller for a fixed  $p'$

○ Larger  $k \rightarrow p' = \left(1 - \frac{1}{m}\right)^{kn}$  is smaller  $\rightarrow 1 - p'$  larger

# False positive rate vs. $k$

Number of bits per member  $\frac{m}{n} = 8$





# Optimal number $k$ from derivative

Rewrite  $f$  as

$$f = \exp(\ln(1 - e^{-kn/m})^k) = \exp(k \ln(1 - e^{-kn/m}))$$

Let  $g = k \ln(1 - e^{-kn/m})$

Minimizing  $g$  will minimize  $f = \exp(g)$

$$\begin{aligned} \frac{\partial g}{\partial k} &= \ln(1 - e^{-kn/m}) + \frac{k}{1 - e^{-kn/m}} \frac{\partial(1 - e^{-kn/m})}{\partial k} \\ &= \ln(1 - e^{-kn/m}) + \frac{k}{1 - e^{-kn/m}} \frac{n}{m} e^{-kn/m} = -\ln(2) + \ln(2) = 0 \end{aligned}$$

if we plug  $k = (m / n) \ln 2$  which is optimal

(It is in fact a global optimum)

# Optimal number of hash functions

□ Using  $k_{opt} = \frac{m}{n} \ln(2)$  the false positive rate is

$$(1 - p)^{\frac{m}{n} \ln(2)} = (0.5)^{\frac{m}{n} \ln(2)} \simeq (0.6185)^{m/n}, \text{ where } \ln(2) = 0.6931$$

□ In practice,  $k$  should be an integer. May choose an integer value smaller than  $k_{opt}$  to reduce hashing overhead

**$m/n$  denotes  
bits per entry**

False positive rate

$$m/n = 6 \quad k = 4 \quad p_{\text{error}} = 0.0561$$

$$m/n = 8 \quad k = 6 \quad p_{\text{error}} = 0.0215$$

$$m/n = 12 \quad k = 8 \quad p_{\text{error}} = 0.00314$$

$$m/n = 16 \quad k = 11 \quad p_{\text{error}} = 0.000458$$

# Counting Bloom filters

- ❑ Proposed by Fan et al. [2000] for distributed caching
- ❑ Every entry in a counting Bloom filter is a small counter (rather than a single bit).
  - When an item is *inserted* into the set, the corresponding counters are each incremented by 1
  - When an item is *deleted* from the set, the corresponding counters are each decremented by 1
- ❑ To avoid counter overflow, its size must be sufficiently large. It was found that 4 bits per counter are enough.