SeqGAN: Sequence Generative Adversarial Nets with Policy Gradient

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Attribution

- Multiple slides taken from
 - Hung-yi Lee
 - Paarth Neekhara
 - Ruirui Li
 - Original authors at AAAI 2017

• Presented by: Pratyush Maini

Outline

1. Introduction to GANs

- 2. Brief theoretical overview of GANs
- 3. Overview of GANs in Sequence Generation
- 4. SeqGAN
- 5. Other recent work: Unsupervised Conditional Sequence Generation

All Kinds of GAN ...

https://github.com/hindupuravinash/the-gan-zoo



(not updated since 2018.09)

All Kinds of GAN ...

https://github.com/hindupuravinash/the-gan-zoo

GAN	 SeUDA - Semantic-Aware Generative Adversarial Nets for Unsupervised Domain Adapt Segmentation
	SG-GAN - Semantic-aware Grad-GAN for Virtual-to-Real Urban Scene Adaption (githu
ACGAN	SG-GAN - Sparsely Grouped Multi-task Generative Adversarial Networks for Facial Attr
BGAN	SGAN - Texture Synthesis with Spatial Generative Adversarial Networks
	 SGAN - Stacked Generative Adversarial Networks (github)
CGAN	SGAN - Steganographic Generative Adversarial Networks
DCGAN	SGAN - SGAN: An Alternative Training of Generative Adversarial Networks
	SGAN - CT Image Enhancement Using Stacked Generative Adversarial Networks and Ti
EBGAN	Segmentation Improvement
fGAN	 sGAN - Generative Adversarial Training for MRA Image Synthesis Using Multi-Contrast
IUAN	SiftingGAN - SiftingGAN: Generating and Sifting Labeled Samples to Improve the Rem
GoGAN	Classification Baseline in vitro
	 SiGAN - SiGAN: Siamese Generative Adversarial Network for Identity-Preserving Face I
	 SimGAN - Learning from Simulated and Unsupervised Images through Adversarial Trai
•	 SisGAN - Semantic Image Synthesis via Adversarial Learning

Mihaela Rosca, Balaji Lakshminarayanan, David Warde-Farley, Shakir Mohamed, "Variational Approaches for Auto-Encoding Generative Adversarial Networks", arXiv, 2017

²We use the Greek α prefix for α -GAN, as AEGAN and most other Latin prefixes seem to have been taken https://deephunt.in/the-gan-zoo-79597dc8c347.

Three Categories of GAN



Photo

Generator

Vincent van

Gogh's style





unpaired data



Examples

Powered by: http://mattya.github.io/chainer-DCGAN/









Each dimension of input vector represents some characteristics.













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Algorithm

- Initialize generator and discriminator
- G D

- In each training iteration:
- **Step 1**: Fix generator G, and update discriminator D



Discriminator learns to assign high scores to real objects and low scores to generated objects.

Algorithm

Initialize generator and discriminator

• In each training iteration:

Step 2: Fix discriminator D, and update generator G

Generator learns to "fool" the discriminator



Algorithm

- Initialize generator and discriminator
- G

D

• In each training iteration:





100 updates

Source of training data: https://zhuanlan.zhihu.com/p/24767059



1000 updates



2000 updates



5000 updates



10,000 updates



20,000 updates



50,000 updates

In 2019, with StyleGAN



Source of video: https://www.gwern.net/Faces



The first GAN

[Ian J. Goodfellow, et al., NIPS, 2014]

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NLP tasks usually involve Sequence Generation

How to use GAN to improve sequence generation?

Reinforcement Learning



[Li, et al., EMNLP, 2016]

Policy Gradient



$$\begin{aligned} \theta^{t+1} &\leftarrow \theta^t + \eta \nabla \overline{R}_{\theta^t} \\ \frac{1}{N} \sum_{i=1}^N R(c^i, x^i) \nabla \log P_{\theta^t}(x^i | c^i) \\ R(c^i, x^i) \text{ is positive} \\ \text{Updating } \theta \text{ to increase } P_{\theta}(x^i | c^i) \\ R(c^i, x^i) \text{ is negative} \\ \text{Updating } \theta \text{ to decrease } P_{\theta}(x^i | c^i) \end{aligned}$$

Policy Gradient			
	Maximum Likelihood	Reinforcement Learning - Policy Gradient	
Objective Function	$\frac{1}{N} \sum_{i=1}^{N} log P_{\theta}(\hat{x}^{i} c^{i})$	$\frac{1}{N}\sum_{i=1}^{N} R(c^{i}, x^{i}) log P_{\theta}(x^{i} c^{i})$	
Gradient	$\frac{1}{N} \sum_{i=1}^{N} \nabla log P_{\theta}(\hat{x}^{i} c^{i})$	$\frac{1}{N}\sum_{i=1}^{N} R(c^{i}, x^{i}) \nabla log P_{\theta}(x^{i} c^{i})$	
Training Data	$\{(c^{1}, \hat{x}^{1}), \dots, (c^{N}, \hat{x}^{N})\}$ $R(c^{i}, \hat{x}^{i}) = 1$	{ $(c^1, x^1),, (c^N, x^N)$ } obtained from interaction weighted by $R(c^i, x^i)$	

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Why we need GAN?





Three Categories of Solutions

Gumbel-softmax

• [Matt J. Kusner, et al., arXiv, 2016][Weili Nie, et al. ICLR, 2019]

Continuous Input for Discriminator

[Sai Rajeswar, et al., arXiv, 2017][Ofir Press, et al., ICML workshop, 2017][Zhen Xu, et al., EMNLP, 2017][Alex Lamb, et al., NIPS, 2016][Yizhe Zhang, et al., ICML, 2017]

Reinforcement Learning

[Yu, et al., AAAI, 2017][Li, et al., EMNLP, 2017][Tong Che, et al, arXiv, 2017][Jiaxian Guo, et al., AAAI, 2018][Kevin Lin, et al, NIPS, 2017][William Fedus, et al., ICLR, 2018]

Use the distribution as the input of discriminator Α Avoid the sampling В process We can do

backpropagation

now.

В Α Α В В **Update Parameters** <BOS> Α В

Discriminator

scalar

What is the problem?

Discriminator with constraint (e.g. WGAN) can be helpful.

Real sentence



• Generated

Discriminator can immediately find the difference.

Can never be 1-hot

Three Categories of Solutions

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Tips for Sequence Generation GAN



Sequence Generation GAN (RL+GAN)

Tips for Sequence Generation GAN

- Typical • Typical
- Reward for Every Generation Step



Tips for Sequence Generation GAN

• Reward for Every Generation Step



Method 1. Monte Carlo (MC) Search [Yu, et al., AAAI, 2017]

Method 2. Discriminator For Partially Decoded Sequences [Li, et al., EMNLP, 2017]

Method 3. Step-wise evaluation [Tual, Lee, TASLP, 2019][Xu, et al., EMNLP, 2018][William Fedus, et al., ICLR, 2018]

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Task

- 1. Given a dataset of real-world structured sequences, train a generative model G_{θ} to produce sequences that mimic the real ones.
- 2. We want G_{θ} to fit the unknown true data distribution $p_{true}(y_t/Y_{1:t-1})$, which is only revealed by the given dataset D $= \{Y_{1:T}\}$.

• Traditional objective: maximum likelihood estimation (MLE)

$$\max_{\theta} \frac{1}{|D|} \sum_{Y_{1:T} \in D} \sum_{t} \log[G_{\theta}(y_t | Y_{1:t-1})]$$

- Check whether a true data is with a high mass density of the learned model
- Suffer from so-called *exposure* bias in the inference stag: Training Update the model as follows: $\max_{\theta} \mathbb{E}_{Y \sim p_{\text{true}}} \sum_{t} \log G_{\theta}(y_t | \underline{Y_{1:t-1}})$ When generating the next token y_t , sample from: $G_{\theta}(\hat{y_t} | \underline{\hat{Y}_{1:t-1}})$

$$\mathbb{E}_{Y \sim p_{\text{true}}} \sum_{t} \log G_{\theta}(y_t | Y_{1:t-1}) \qquad \qquad G_{\theta}(\hat{y_t} | \hat{Y}_{1:t-1})$$
The real prefix The guessed prefix

A promising method: Generative Adversarial Nets (GANs)



- Discriminator tries to correctly distinguish the true data and the fake model-generated data
- Generator tries to generate high-quality data to fool discriminator
- Ideally, when D cannot distinguish the true and generated data, G nicely fits the true underlying data distribution

Generator Network in GANs

$$x = G(z; \theta^{(G)})$$

- Must be differentiable
- Popular implementation: multi-layer perceptron
- Linked with the discriminator and get guidance from it



 $\min_{G} \max_{D} \mathbb{E}_{\boldsymbol{x} \sim p_{\text{data}}(\boldsymbol{x})} [\log D(\boldsymbol{x})] + \mathbb{E}_{\boldsymbol{z} \sim p_{\boldsymbol{z}}(\boldsymbol{z})} [\log(1 - D(G(\boldsymbol{z})))]$

Problem for Discrete Data

• On continuous data, there is direct gradient

$$\nabla_{\theta^{(G)}} \frac{1}{m} \sum_{i=1}^{m} \log(1 - D(G(z^{(i)})))$$

 $oldsymbol{z}$

 \boldsymbol{x}

(true)

G

D

- Guide the generator to (slightly) modify the output
- No direct gradient on discrete data
 - Text generation example
 - "I caught a penguin in the park"
 - From Ian Goodfellow: "If you output the word 'penguin', you can't change that to "penguin + .001" on the next step, because there is no such word as "penguin + .001". You have to go all the way from "penguin" to "ostrich"."





- Generator is a reinforcement learning policy $G_{\theta}(y_t|Y_{1:t-1})$ of generating a sequence
 - decide the next word to generate (action) given the previous ones as the state
- Discriminator provides the reward (i.e. the probability of being true data) $D_{\phi}(Y_{1:T}^n)$ for the sequence

Sequence Generator

• Objective: to maximize the expected reward

$$J(\theta) = \mathbb{E}[R_T|s_0, \theta] = \sum_{y_1 \in \mathcal{Y}} G_{\theta}(y_1|s_0) \cdot Q_{D_{\phi}}^{G_{\theta}}(s_0, y_1)$$

- State-action value function $Q_{D_\phi}^{G_\theta}(s,a)$ is the expected accumulative reward that
 - Start from state s
 - Taking action *a*
 - And following policy *G* until the end
- Reward is only on completed sequence (no immediate reward)

 $Q_{D_{\phi}}^{G_{\theta}}(s = Y_{1:T-1}, a = y_T) = D_{\phi}(Y_{1:T})$



State-Action Value Setting

- Reward is only on completed sequence
 - No immediate reward
 - Then the last-step state-action value $Q_{D_{\phi}}^{G_{\theta}}(s=Y_{1:T-1},a=y_{T})=D_{\phi}(Y_{1:T})$
- For intermediate state-action value
 - Use Monte Carlo search to estimate $\{Y_{1:T}^1, \dots, Y_{1:T}^N\} = MC^{G_\beta}(Y_{1:t}; N)$
 - Following a roll-out policy G

$$Q_{D_{\phi}}^{G_{\theta}}(s = Y_{1:t-1}, a = y_{t}) = Policy \text{ Gradient}$$

$$\begin{cases} \frac{1}{N} \sum_{n=1}^{N} D_{\phi}(Y_{1:T}^{n}), Y_{1:T}^{n} \in MC^{G_{\beta}}(Y_{1:t}; N) & \text{for } t < T \\ D_{\phi}(Y_{1:t}) & \text{for } t = T, \end{cases}$$

Next

action

(

State

MC

search

Reward

Reward

Training Sequence Discriminator

• Objective: standard bi-classification

$$\min_{\phi} - \mathbb{E}_{Y \sim p_{\text{data}}} [\log D_{\phi}(Y)] - \mathbb{E}_{Y \sim G_{\theta}} [\log(1 - D_{\phi}(Y))]$$

Training Sequence Generator

 Policy gradient (REINFORCE) $\nabla_{\theta} J(\theta) = \mathbb{E}_{Y_{1:t-1} \sim G_{\theta}} \left[\sum \nabla_{\theta} G_{\theta}(y_t | Y_{1:t-1}) \cdot Q_{D_{\phi}}^{G_{\theta}}(Y_{1:t-1}, y_t) \right]$ $y_t \in \mathcal{V}$ $\simeq \frac{1}{T} \sum_{T} \sum_{\theta} \nabla_{\theta} G_{\theta}(y_t | Y_{1:t-1}) \cdot Q_{D_{\phi}}^{G_{\theta}}(Y_{1:t-1}, y_t)$ $= \frac{1}{T} \sum_{t=1}^{T} \sum_{t=1}^{T} G_{\theta}(y_t | Y_{1:t-1}) \nabla_{\theta} \log G_{\theta}(y_t | Y_{1:t-1}) \cdot Q_{D_{\phi}}^{G_{\theta}}(Y_{1:t-1}, y_t)$ $= \frac{1}{T} \sum_{t=1}^{I} \mathbb{E}_{y_t \sim G_{\theta}(y_t | Y_{1:t-1})} [\nabla_{\theta} \log G_{\theta}(y_t | Y_{1:t-1}) \cdot Q_{D_{\phi}}^{G_{\theta}}(Y_{1:t-1}, y_t)],$ $\theta \leftarrow \theta + \alpha_h \nabla_{\theta} J(\theta)$

[Richard Sutton et al. Policy Gradient Methods for Reinforcement Learning with Function Approximation. NIPS 1999.]

Overall Algorithm

```
Algorithm 1 Sequence Generative Adversarial Nets
Require: generator policy G_{\theta}; roll-out policy G_{\beta}; discriminator
     D_{\phi}; a sequence dataset \mathcal{S} = \{X_{1:T}\}
 1: Initialize G_{\theta}, D_{\phi} with random weights \theta, \phi.
 2: Pre-train G_{\theta} using MLE on S
 3: \beta \leftarrow \theta
 4: Generate negative samples using G_{\theta} for training D_{\phi}
 5: Pre-train D_{\phi} via minimizing the cross entropy
 6: repeat
        for g-steps do
 7:
           Generate a sequence Y_{1:T} = (y_1, \ldots, y_T) \sim G_{\theta}
 8:
 9:
           for t in 1 : T do
10:
              Compute Q(a = y_t; s = Y_{1:t-1}) by Eq. (4)
11:
           end for
12:
           Update generator parameters via policy gradient Eq. (8)
13:
        end for
14:
        for d-steps do
15:
           Use current G_{\theta} to generate negative examples and com-
           bine with given positive examples S
           Train discriminator D_{\phi} for k epochs by Eq. (5)
16:
17:
        end for
18:
        \beta \leftarrow \theta
19: until SeqGAN converges
```

Sequence Generator Model



• RNN with LSTM cells

[Hochreiter, S., and Schmidhuber, J. 1997. Long short-term memory. Neural computation 9(8):1735–1780.]

Sequence Discriminator Model



[Kim, Y. 2014. Convolutional neural networks for sentence classification. EMNLP 2014.]

Inconsistency of Evaluation and Use

• Given a generator G_{θ} with a certain generalization ability

 $\mathbb{E}_{x \sim p_{\text{true}}(x)}[\log G_{\theta}(x)]$

Evaluation

- Check whether a true data is with a high mass density of the learned model
- Approximated by $\max_{\theta} \frac{1}{|D|} \sum_{x \in D} [\log G_{\theta}(x)]$

 $\mathbb{E}_{x \sim G_{\theta}(x)}[\log p_{\text{true}}(x)]$

Use

- Check whether a model-generated data is considered as real as possible
- More straightforward but it is hard or impossible to directly calculate $p_{\rm true}(x)$

Experiments on Synthetic Data

• Evaluation measure with Oracle

$$\text{NLL}_{\text{oracle}} = -\mathbb{E}_{Y_{1:T}\sim G_{\theta}} \left[\sum_{t=1}^{T} \log G_{\text{oracle}}(y_t | Y_{1:t-1}) \right]$$

- An oracle model (e.g. the randomly initialized LSTM)
 - Firstly, the oracle model produces some sequences as training data for the generative model
 - Secondly the oracle model can be considered as the human observer to accurately evaluate the perceptual quality of the generative model

Experiments on Synthetic Data

• Evaluation measure with Oracle

$$NLL_{\text{oracle}} = -\mathbb{E}_{Y_{1:T} \sim G_{\theta}} \left[\sum_{t=1}^{T} \log G_{\text{oracle}}(y_t | Y_{1:t-1}) \right]$$

$$\frac{\text{Algorithm} \quad \text{Random} \quad \text{MLE} \quad SS \quad \text{PG-BLEU} \quad \text{SeqGAN}}{\text{NLL} \quad 10.310 \quad 9.038 \quad 8.985 \quad 8.946 \quad 8.736}$$

$$\frac{p - \text{value} \quad < 10^{-6} \quad <$$



Experiments on Synthetic Data

• The training strategy really matters.



Experiments on Real-World Data

• Chinese poem generation

Algorithm	Human score	<i>p</i> -value	BLEU-2	<i>p</i> -value
MLE	0.4165	0.0034	0.6670	$< 10^{-6}$
SeqGAN	0.5356	0.0034	0.7389	< 10
Real data	0.6011		0.746	

• Obama political speech text generation

Algorithm	BLEU-3	<i>p</i> -value	BLEU-4	<i>p</i> -value
MLE SeqGAN	0.519 0.556	$< 10^{-6}$	0.416 0.427	0.00014

• Midi music generation

Algorithm	BLEU-4	<i>p</i> -value	MSE	<i>p</i> -value
MLE	0.9210	$< 10^{-6}$	22.38	0.00034
SeqGAN	0.9406	$\langle 10$	20.62	0.00034

Experiments on Real-World Data

• Chinese poem generation



Obama Speech Text Generation

- when he was told of this extraordinary honor that he was the most trusted man in america
- but we also remember and celebrate the journalism that walter practiced a standard of honesty and integrity and responsibility to which so many of you have committed your careers. it's a standard that's a little bit harder to find today
- i am honored to be here to pay tribute to the life and times of the man who chronicled our time.

- i stood here today i have one and most important thing that not on violence throughout the horizon is OTHERS american fire and OTHERS but we need you are a strong source
- for this business leadership will remember now i can't afford to start with just the way our european support for the right thing to protect those american story from the world and
- i want to acknowledge you were going to be an outstanding job times for student medical education and warm the republicans who like my times if he said is that brought the

Human

Machine

Issues

- Gradient vanishing problem:
 - Discriminator is trained to be much stronger than the generator
 - Extremely hard for the generator to have any actual updates
 - Any output instances of the generator will be scored as almost 0.
- Mode Collapse:
 - Due to REINFORCE algorithm
 - Probability of sampling particular tokens earning high evaluation from D.
 - G only manages to mimic a limited part of the target distribution

Summary

- We proposed a sequence generation method, called SeqGAN, to effectively train Generative Adversarial Nets for discrete structured sequences generation via policy gradient.
- Design an experiment framework with oracle evaluation metric to accurately evaluate the "perceptual quality" of model-generated sequences.

Review

- First solid and well-motivated study on using GANs for Discrete Sequences.
- Extensive experimentation on both synthetic and real-world data with convincing results.
- Requires a lot of engineering and hyper-parameter tuning: Pretraining, GAN parameters, g-steps, d-steps MC tree depth etc.

Pros

- 1. Succeed with RL+GAN / interesting idea [Everyone]
- 2. Well written [Keshav, Rajas]
- 3. Mathematical detail [Atishya, Jigyasa]
- 4. Multiple domains explored [Shubham]
- 5. Ablation study of train time [Pawan]
- 6. Pretraining generator with MLE can help reduce high variance in gradient estimate as very less samples are used in each episode. [Jigyasa]
- 7. The evaluation approach, of using a randomly initialized LSTM as an oracle is a very creative idea that provides a nice way to automatically compare how close the generator distribution is to the actual model of the world. [Rajas]
- 8. Using CNN for discriminator and getting good results is really noteworthy. [Vipul]

Cons

- 1. Real World Experiments should include all baselines not just MLE [Keshav, Siddhant, Saransh, Rajas]
- 2. Difficult to convince the community, given the added complications [Keshav, Atishya, Vipul, Saransh]
- 3. Needs more examples rather than just loss metrics. What about diversity? [Atishya, Jigyasa, Siddhant, Rajas]
- 4. Limitation of poems? [Soumya]
- 5. When to stop training? [Shubham]
- 6. Using language model as source for synthetic data [Pawan]
- 7. Doesn't offer any strong paradigm for intermediate reward calculation [Vipul]
- 8. MCTS not feasible on large datasets. [Lovish]
- 9. BLEU [Lovish]
- 10. The generator might start learning sentences in the gold set. [Rajas]

Extensions/Discussion

- 1. Intermediate Rewards:
 - 1. K-discriminators trained with partial/complete sequences [Keshav]
 - 2. K distinct Ds are expensive. Weight sharing [Atishya]
 - 3. Use LM for intermediate rewards. "Surprise" value [Rajas/Soumya/Saransh]
- 2. Pre-trained discriminators (low/med/high):
- 3. Transformer models/LMs [Shubham]
- 4. Optimization in continuous space with periodic discrete updates [Pawan]
- 5. WGAN [Vipul]
- 6. Information Retrieval [Siddhant]
 - 1. Won't work [Saransh]

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Unsupervised Conditional Sequence Generation

- Text Style Transfer
- Unsupervised Abstractive Summarization
- Unsupervised Translation
- Unsupervised Speech Recognition

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Reinforcement Learning

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