#### **Attention & Transformers**



(some figures taken from Jay Alammar's blog)

# Attention



#### Sentence Representation



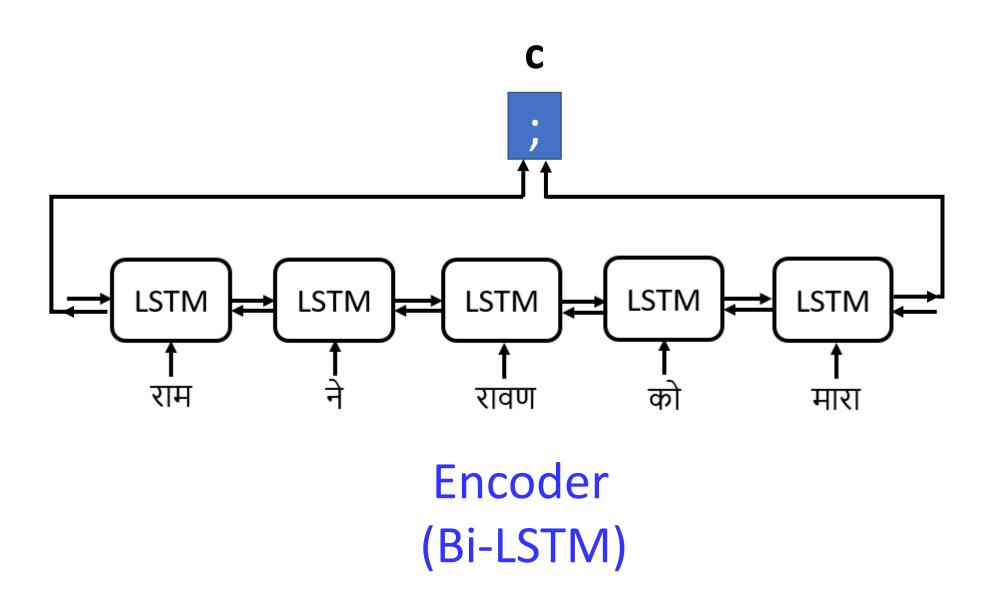
You can't cram the meaning of the whole \*%#@ing sentence in a single \*%#@ing vector.

- Encoding a single vector is too restrictive.
   Instead of producing a single vector for the sentence, produce one vector for each word.
- But, eventually need 1 vector.
   Multiple vectors → Single vector
   Sum/Avg operators give equal importance to each input
- We dynamically decide which input is more/less important for a task.
- Create a weighted sum to reflect this variation: Attention
- query (q): decides importance of each input attention weights ( $\alpha_i$ ): normalized importance of input unnormalized attention weights ( $\bar{\alpha}_i$ ): intermediate step to compute  $\alpha_i$

attended summary: weighted avg of input with  $\alpha$  weights

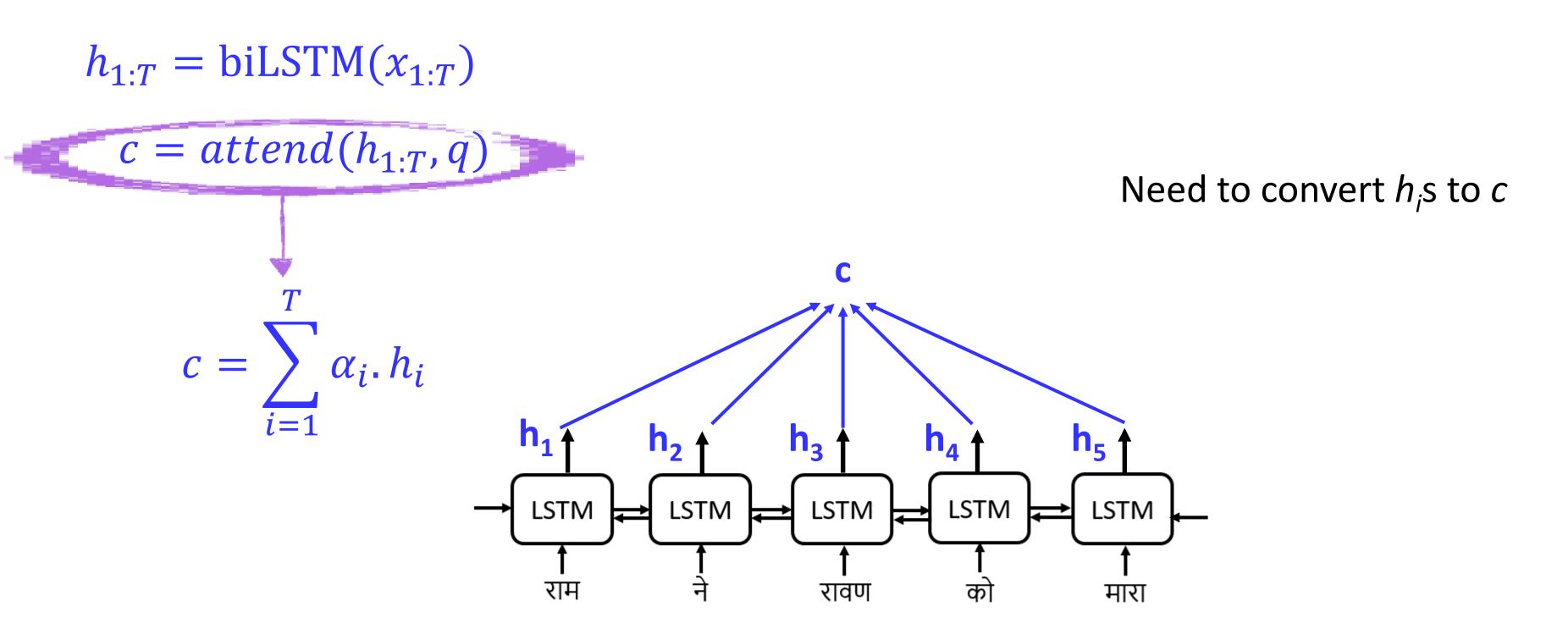


#### LSTM Encoder





#### Multiple Encoded Vectors -> Single Summary

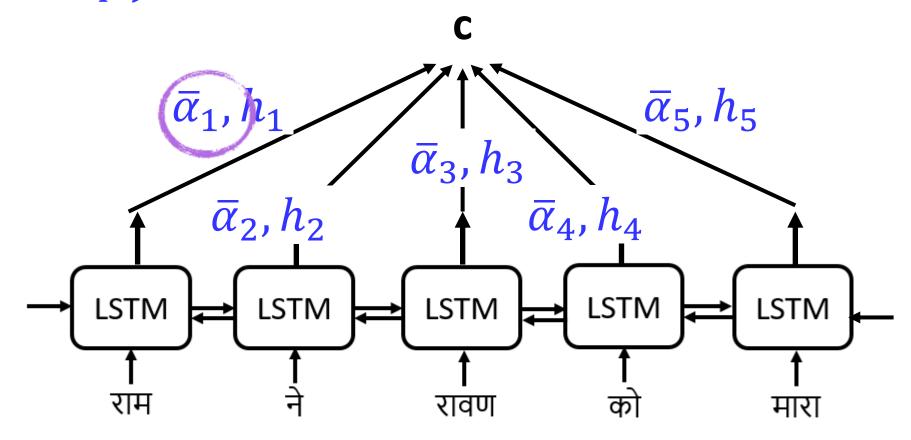




## Multiple Encoded Vectors -> Single Summary

$$c = \sum_{i=1}^{T} \alpha_i . h_i$$

 $\alpha_{1:T} = \operatorname{softmax}(\bar{\alpha}_1, \bar{\alpha}_2, ..., \bar{\alpha}_T)$ 



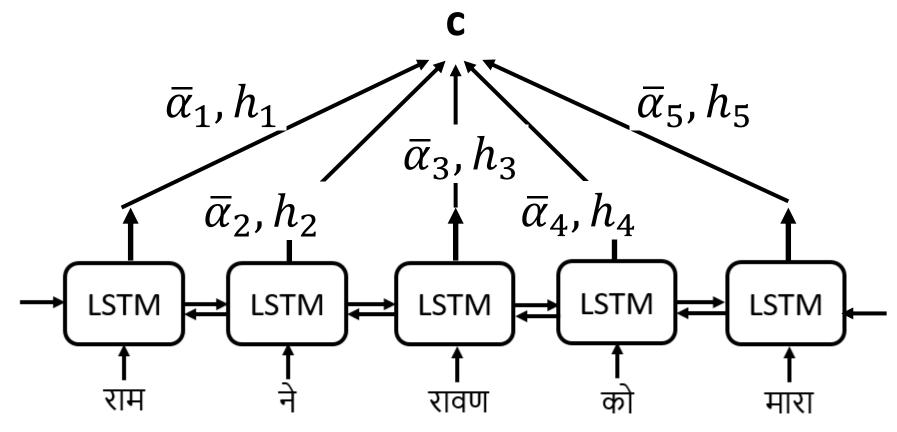


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 $\bar{\alpha}_i = \phi^{\rm att}(q, h_i)$ 





#### Attention: Encoding

$$c = \sum_{i=1}^{T} \alpha_i \cdot h_i$$

$$h_{1:T} = \text{biLSTM}_{enc}(x_{1:T})$$

$$\alpha = \operatorname{softmax}(\bar{\alpha}_1, ..., \bar{\alpha}_T)$$

$$\bar{\alpha}_i = \phi^{\mathrm{att}}(q, h_i)$$

what is  $\phi^{\text{att}}$ ?

what is q?



## Attention Functions $\phi^{att}$

• Bahadanau Attention:  $\phi^{\rm att}(q,h) = {\rm u.g}({\rm Wq} + {\rm W'h} + {\rm b})$ 

• Luong Attention:  $\phi^{\rm att}(q,h)={\rm q.h}$ 

• Scaled Dot Product Attention:  $\phi^{\rm att}(q,h) = \frac{{\rm q.h}}{\sqrt{d}}$ 

• Bilinear Attention:  $\phi^{\rm att}(q,h) = h W q$ 

#### Additive vs Multiplicative

While the two are similar in theoretical complexity, dot-product attention is much faster and more space-efficient in practice, since it can be implemented using highly optimized matrix multiplication code.

While for small values of  $d_k$  the two mechanisms perform similarly, additive attention outperforms dot product attention without scaling for larger values of  $d_k$  [3]. We suspect that for large values of  $d_k$ , the dot products grow large in magnitude, pushing the softmax function into regions where it has extremely small gradients <sup>4</sup>. To counteract this effect, we scale the dot products by  $\frac{1}{\sqrt{d_k}}$ .

d is the dimensionality of q and h

$$\frac{q.h}{\sqrt{d}}$$



#### Paper's Justification:

To illustrate why the dot products get large, assume that the components of q and h are independent random variables with mean 0 and variance 1 ->
Then their dot product, q · h has mean 0 and variance d



### Attention: Encoding

$$c = \sum_{i=1}^{T} \alpha_i . h_i$$

$$h_{1:T} = \text{biLSTM}_{enc}(x_{1:T})$$

$$\alpha = \operatorname{softmax}(\bar{\alpha}_1, \dots, \bar{\alpha}_T)$$

$$\bar{\alpha}_i = \phi^{\mathrm{att}}(q, h_i)$$

what is q?

#### Attention and/vs Interpretation

```
(B) Ground truth: Statement-non-opinion
   Ground truth: Statement-opinion
                                                              Predict: Statement-non-opinion
   Predict: Statement-opinion
   And if you try to do anything, uh, like, uh,
                                                           I, uh, ride bicycles, uh, fifteen, twenty miles
   not identify yourself to the government, they
                                                           , I don't know, maybe three times, maybe four
   know who you are.
                                                           times a week.
(C) Ground truth: ios, facebook
                                                          (D) Ground truth: python, numpy, matrix
5-best predict: python, numpy, arrays, matrix, indexing
   5-best predict: ios, facebook-graph-api, facebook, objective-c, iphone
I have an iOS application that already using some
                                                          I have a huge matrix that I saved with savetxt with
methods of Facebook Graph API, but I need to
                                                          numpy library. Now I want to read a single cell
implement sending private message to friend by
                                                          from that matrix, e.g.,
Facebook from my application.
                                                            cell = getCell (i, j); print cell
As I know, there is no way to sending private
                                                            return the value 10 for example.
messages by Graph API, but it maybe possible by
                                                          I tried this:
help Facebook Chat API.
I already read documentation but it do not help me.
                                                            x = np. loadtxt("fname.m", dtype = "int", usecols = ([i]))
If anybody has some kind of example or tutorial,
                                                            cell = x[j]
how to implement Facebook Chat API in iOS
                                                          but it is really slow because I loop over many
application, how sending requests or something, it
                                                          index. Is there a way to do that without reading
will be very helpfull. Thanks.
                                                          useless lines ?
```

Published in INTERSPEECH 2016

Neural Attention Models for Sequence Classification: Analysis and Application to Key Term Extraction and Dialogue Act Detection

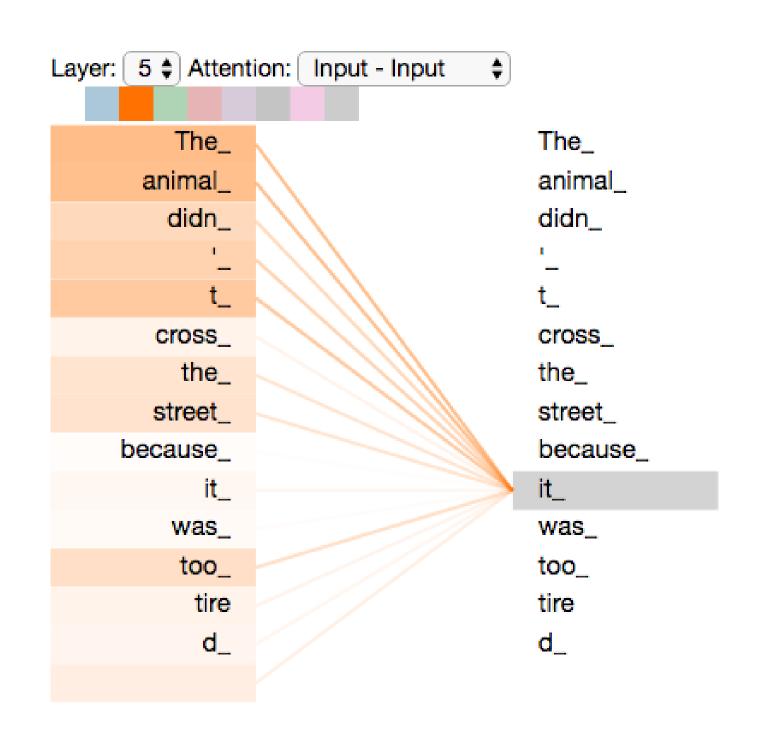


## Multi-head Key-Value Self Attention



### Self-attention (single-head, high-level)

"The animal didn't cross the street because it was too tired"



There is no external query q.

The input is also the query.

Many approaches:

https://ruder.io/deep-learning-nlp-best-practices/

Transformers: query q is another  $x_i$ :  $\varphi^{att}(x_i,x_i)$ 



## Attention: Encoding (h $\rightarrow$ x)

$$c = \sum_{i=1}^{T} \alpha_i \cdot x_i$$

$$\alpha = \operatorname{softmax}(\bar{\alpha}_1, ..., \bar{\alpha}_T)$$

$$\bar{\alpha}_i = \phi^{\text{att}}(q, x_i)$$



### Attention: Encoding

$$c = \sum_{i=1}^{T} \alpha_i . x_i$$

$$\alpha = \operatorname{softmax}(\bar{\alpha}_1, ..., \bar{\alpha}_T)$$

$$\bar{\alpha}_i = \phi^{\operatorname{att}}(q, x_i)$$

Each vector (x)
playing two roles
(1) computing
importance
(2) weighted sum



### **Key-Value Attention**

Project an input vector x<sub>i</sub> into two vectors

k: key vector  $k_i = W^K x_i$ 

v: value vector  $v_i = W^V x_i$ 

Use key vector for computing attention

$$\phi^{\text{att}}(q,x_i) = \phi^{\text{att}}(q,k_i) = \frac{k_i \cdot q}{\sqrt{d}}$$
 //scaled multiplicative

Use value vector for computing attended summary

$$c = \sum_{i=1}^{T} \alpha_i . v_i$$

#### Key-Value Single-Head Self Attention

Project an input vector x<sub>i</sub> into three vectors

k: key vector:  $k_i = W^K x_i$ 

v: value vector:  $v_i = W^v x_i$ 

q: query vector:  $q_i = W^Q x_i$ 

Use key and query vectors for computing attention of i<sup>th</sup> word at word j

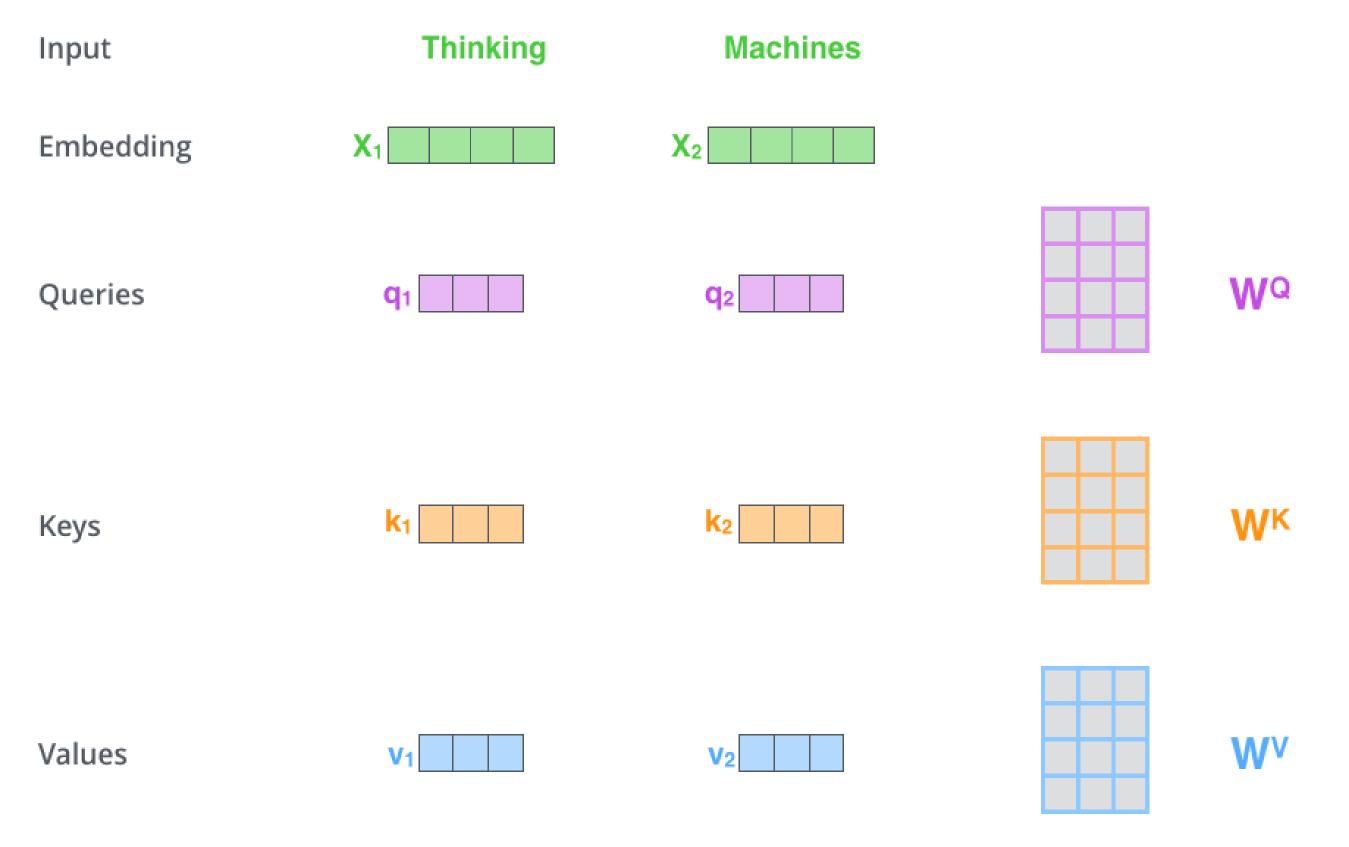
$$\phi^{\text{att}}(x_j;x_i) = \frac{k_i \cdot q_j}{\sqrt{d}}$$
 //scaled multiplicative

Use value vector for computing attended summary

$$(c^j) = \sum_{i=1}^T \alpha_i \cdot v_i$$



#### Key-Value Single-Head Self Attention

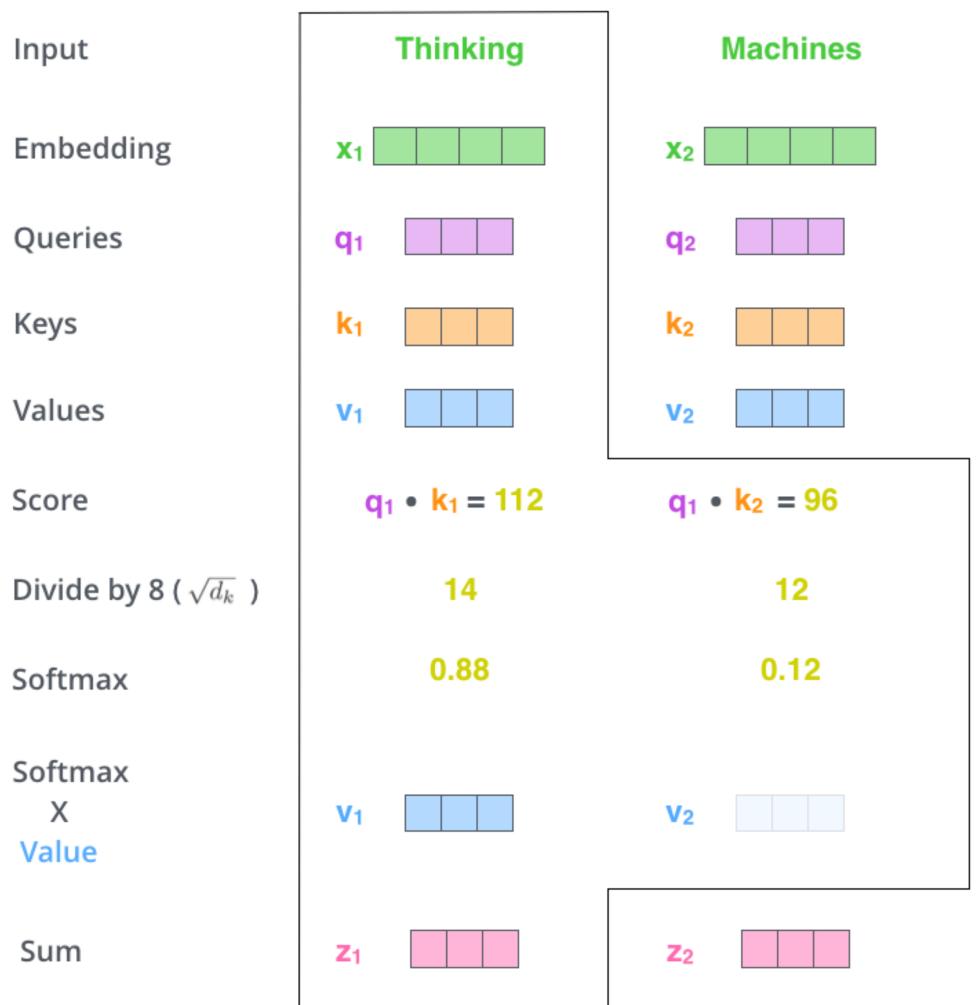


Creation of query, key and value vectors by multiplying by trained weight matrices

Separation of Value and Key and Query

Matrix multiplications are quite efficient and can be done in aggregated manner

Images from https://jalammar.github.io/illustrated-transformer/



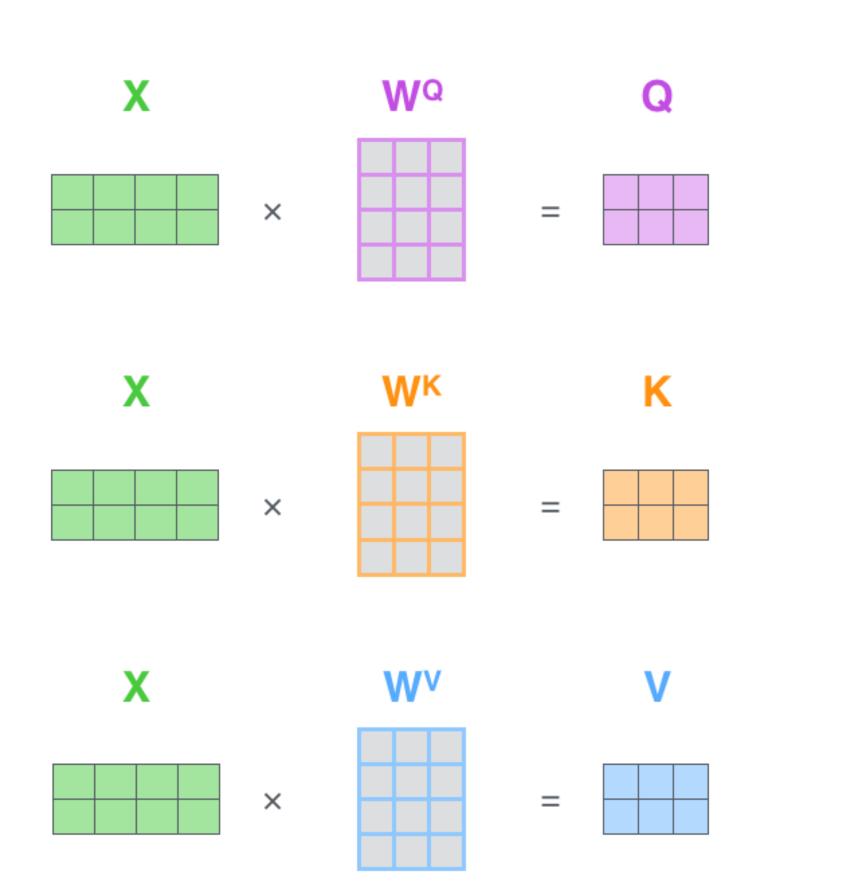


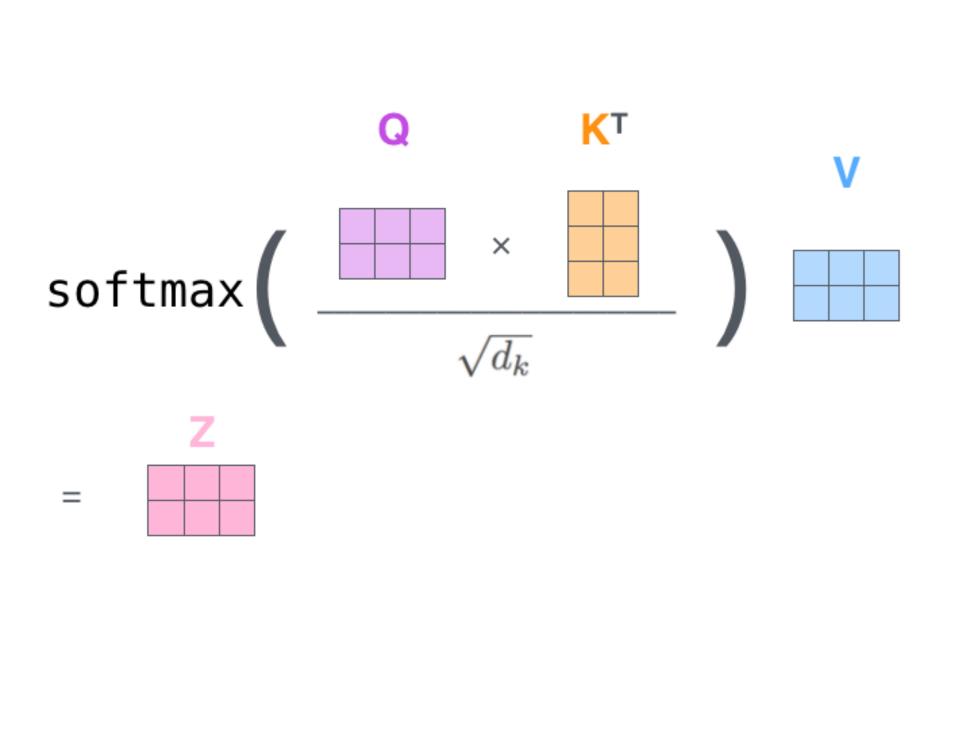
# Key-Value Single-Head Self Attention

Images from https://jalammar.github.io/illustrated-transformer/



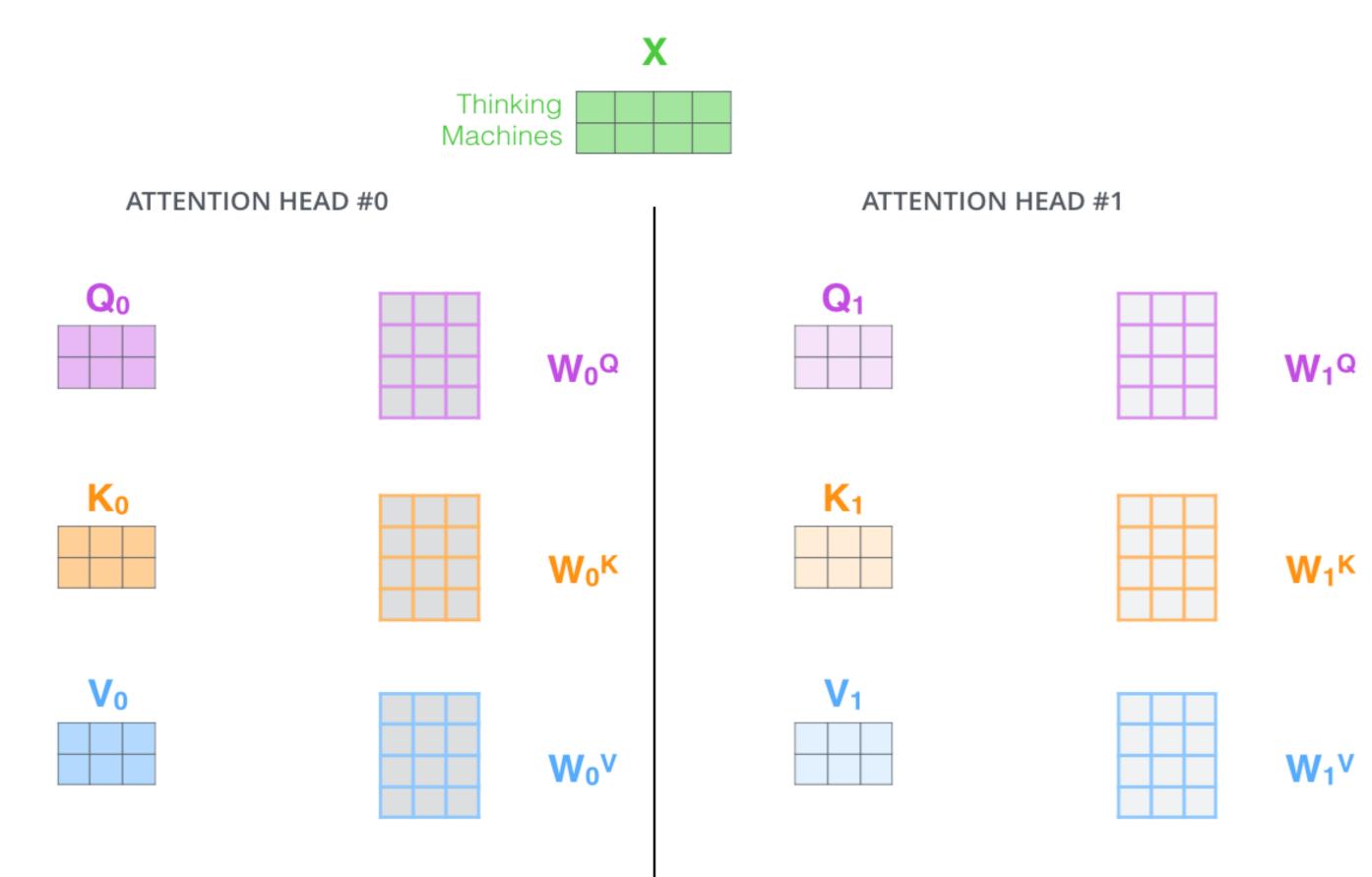








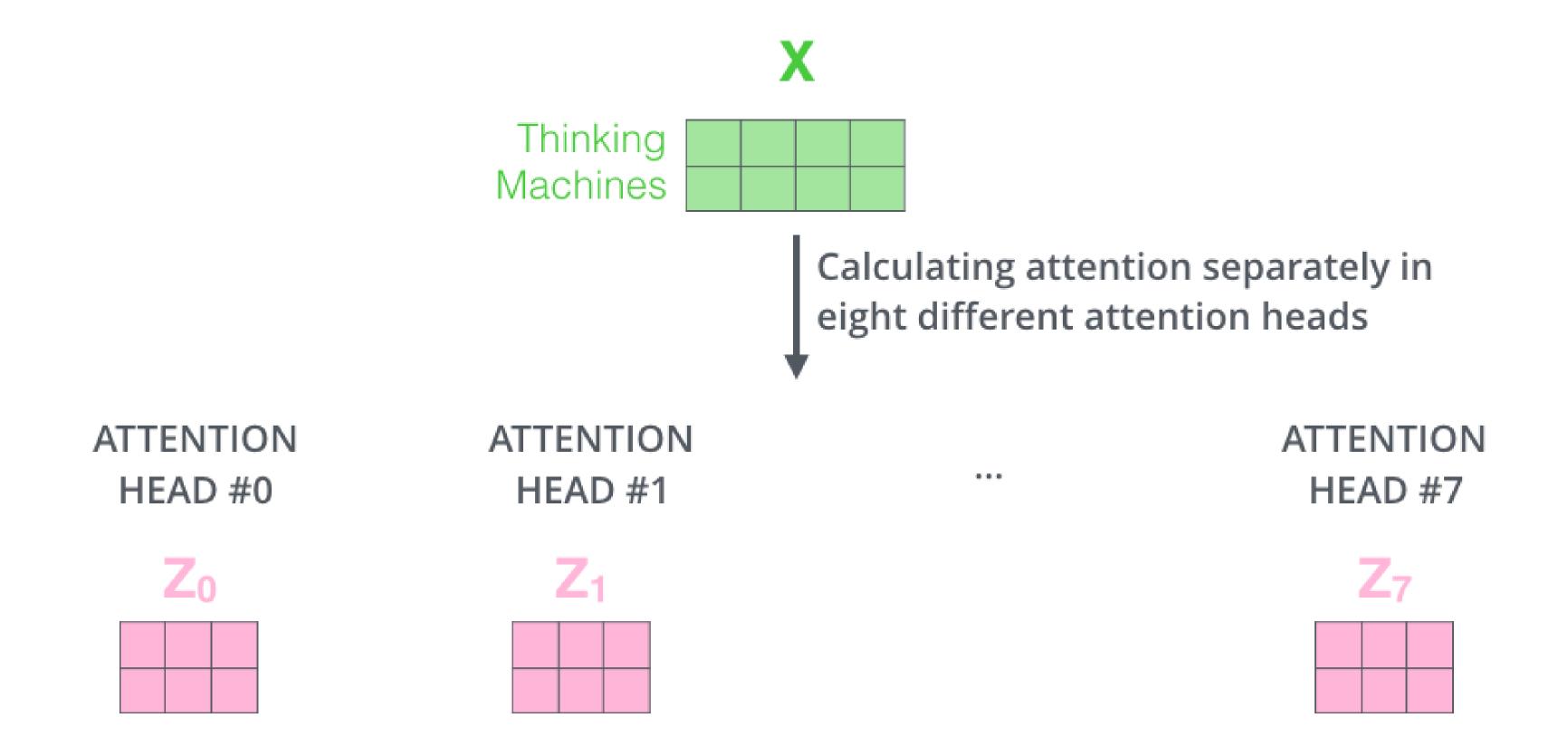




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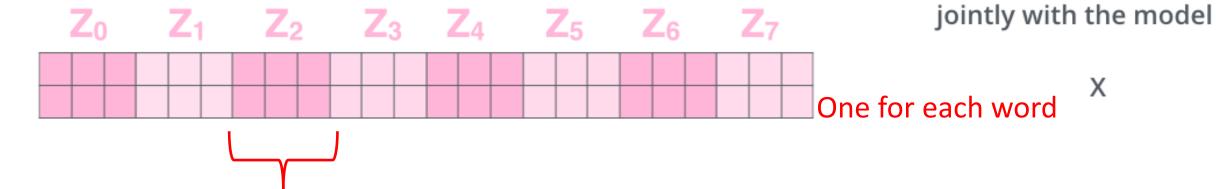






#### Multi-Head Attended Vector -> Output

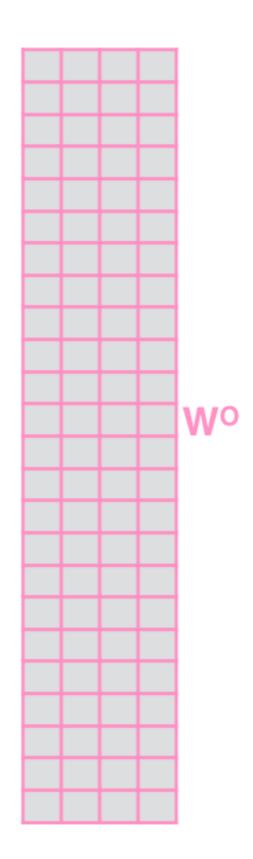
1) Concatenate all the attention heads



One for each attention head

3) The result would be the Z matrix that captures information from all the attention heads. We can send this forward to the FFNN



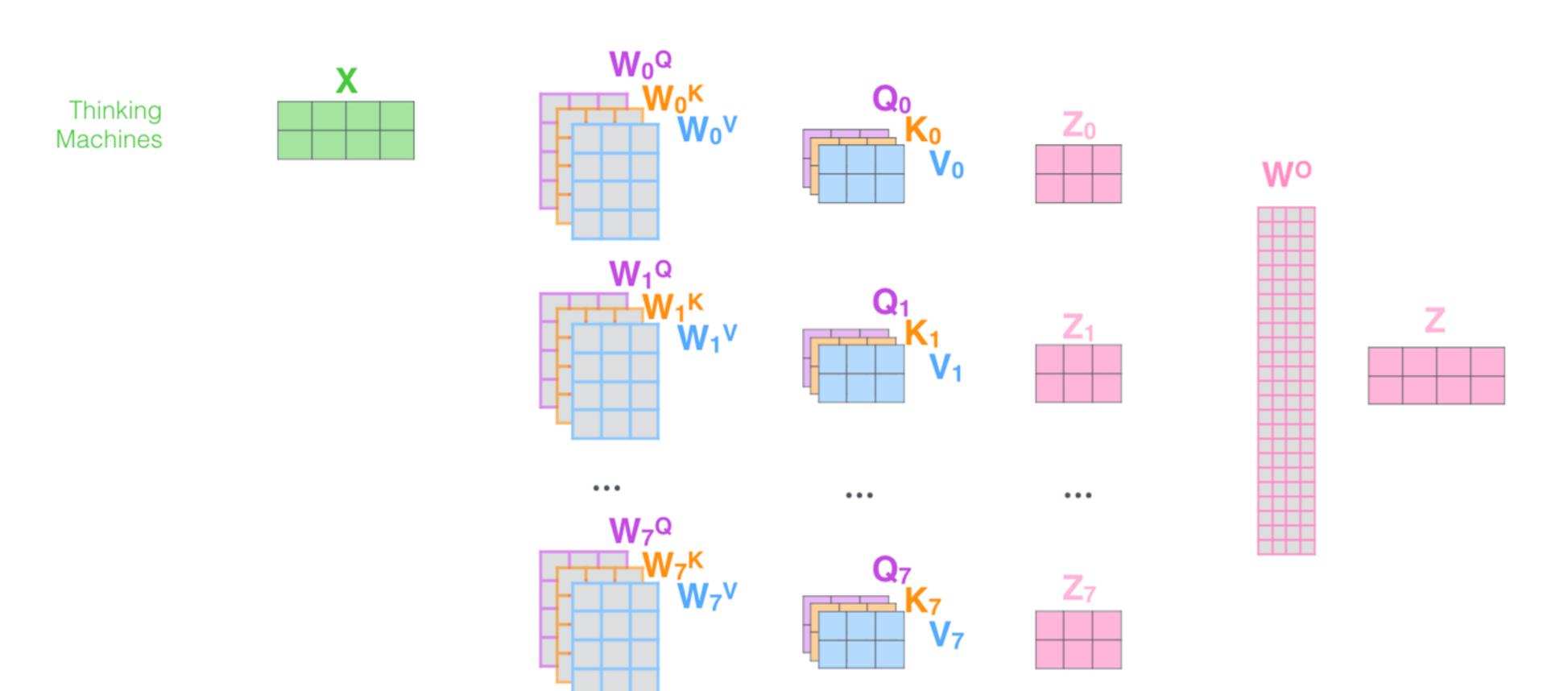


2) Multiply with a weight

matrix W<sup>o</sup> that was trained

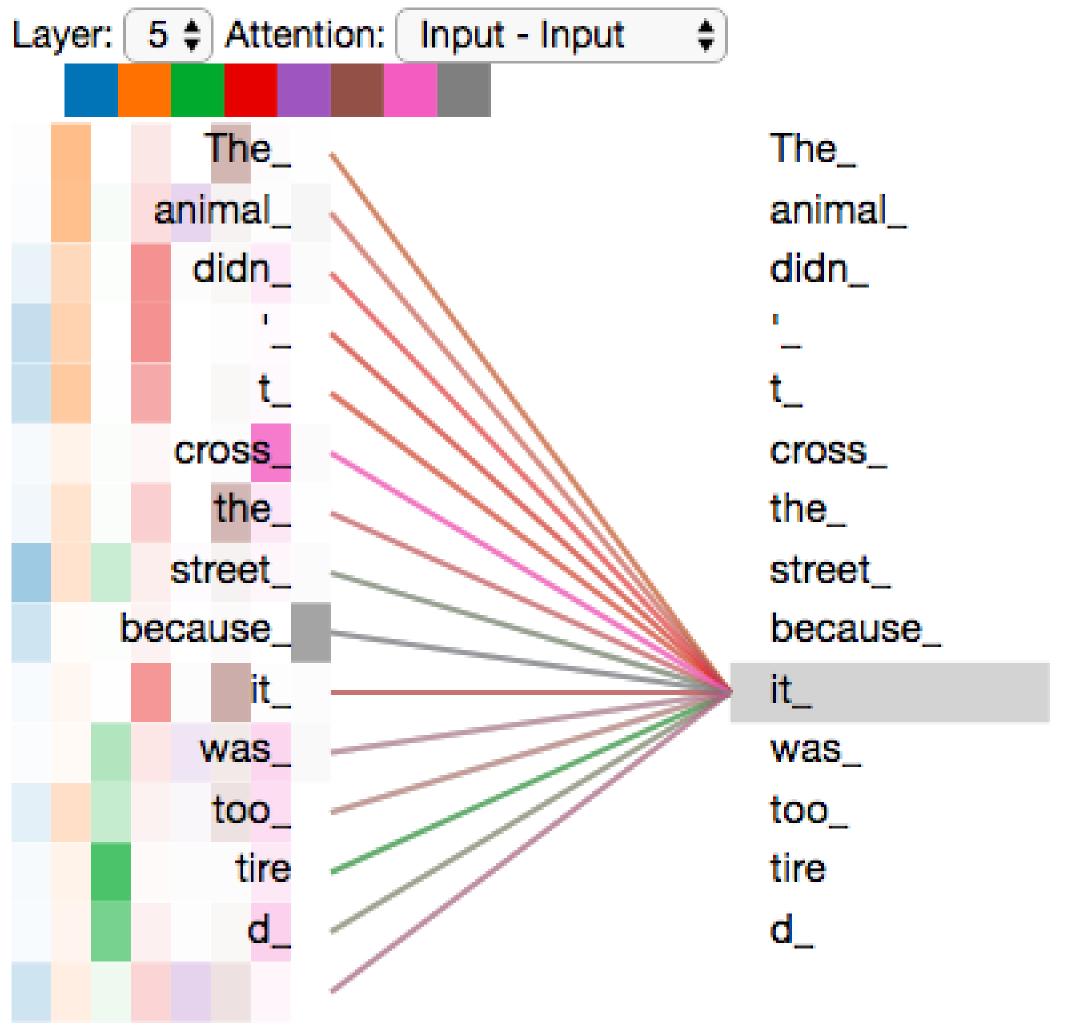
## Key-Value Multi-Head Self Attention (summary)







Multi-head Self attention visualisation (Interpretable?!)





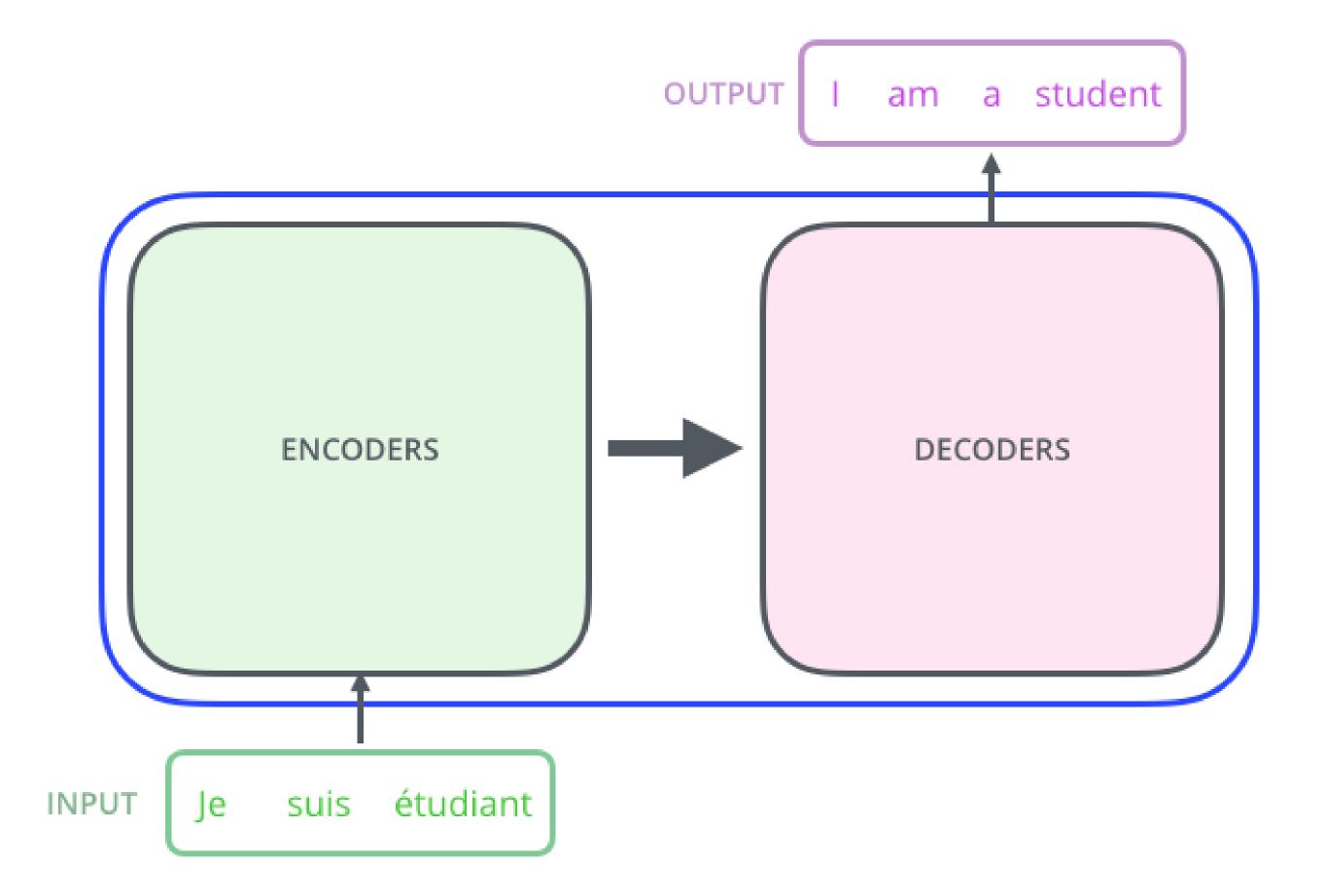
## Transformer Encoders



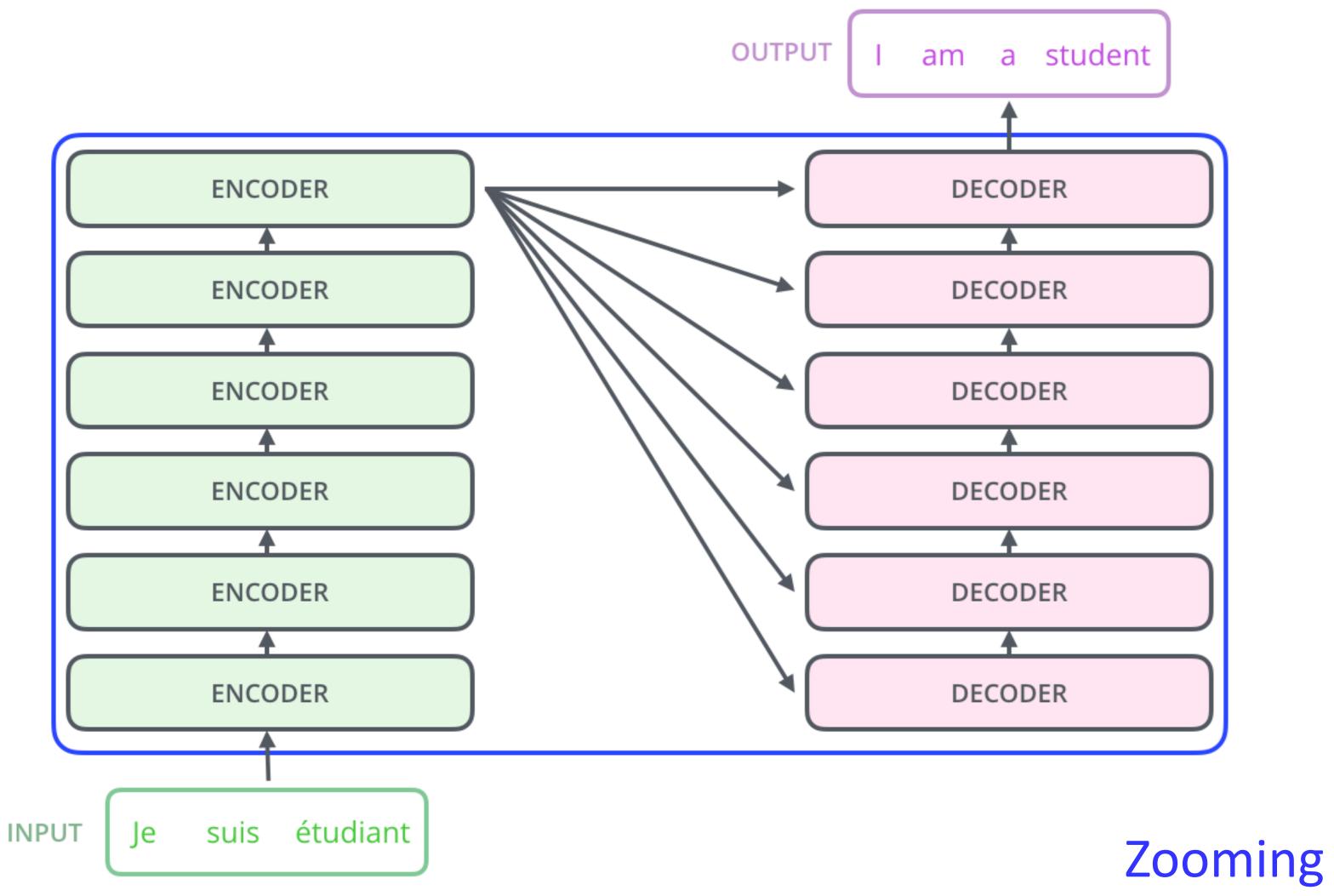
#### Motivation

- Recurrence is powerful but
  - Issues with learnability: vanishing gradients
  - Issues with remembering long sentences
  - Issues with scalability:
    - backpropagation time high due to sequentiality in sentence length
  - Issues with scalability:
    - can't be parallelized even at test time O(sentence length)
- Remove recurrence: only use attention "Attention is All You Need"

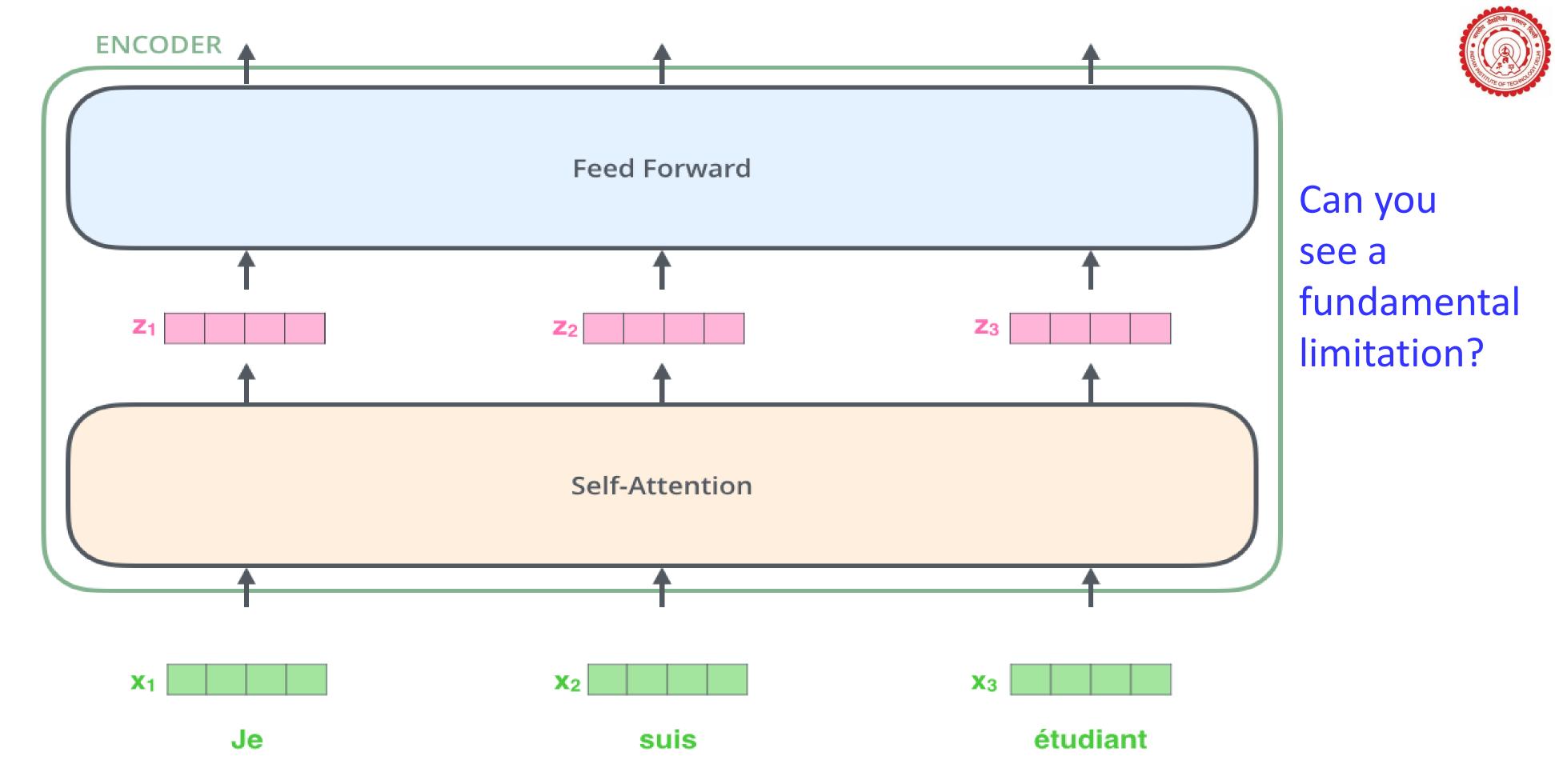




We focus only on encoder for now... (decoder is an extension of sequence decoders)



Zooming in...

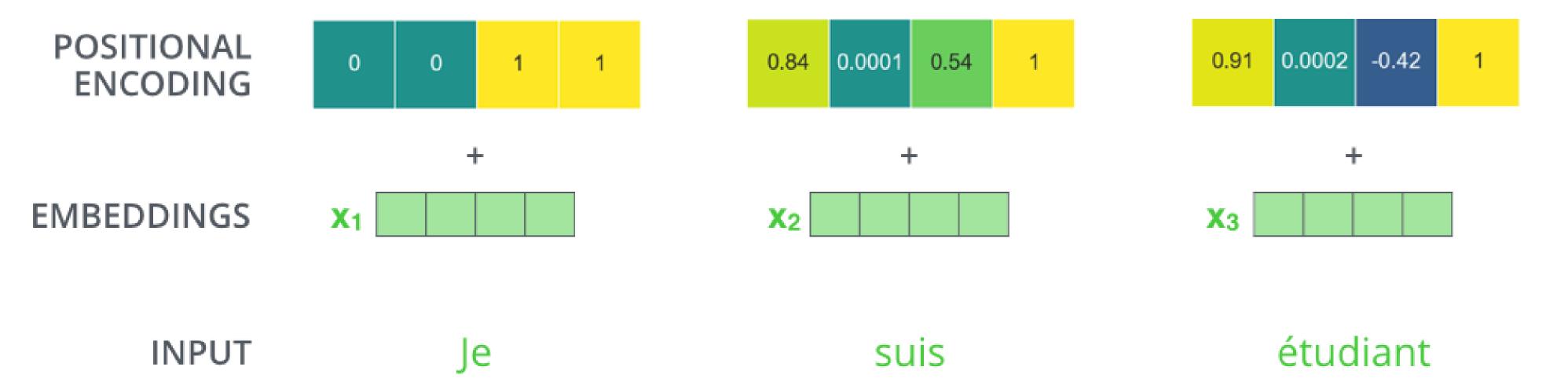


Encoders have same architecture but different weights...

Zooming in further...



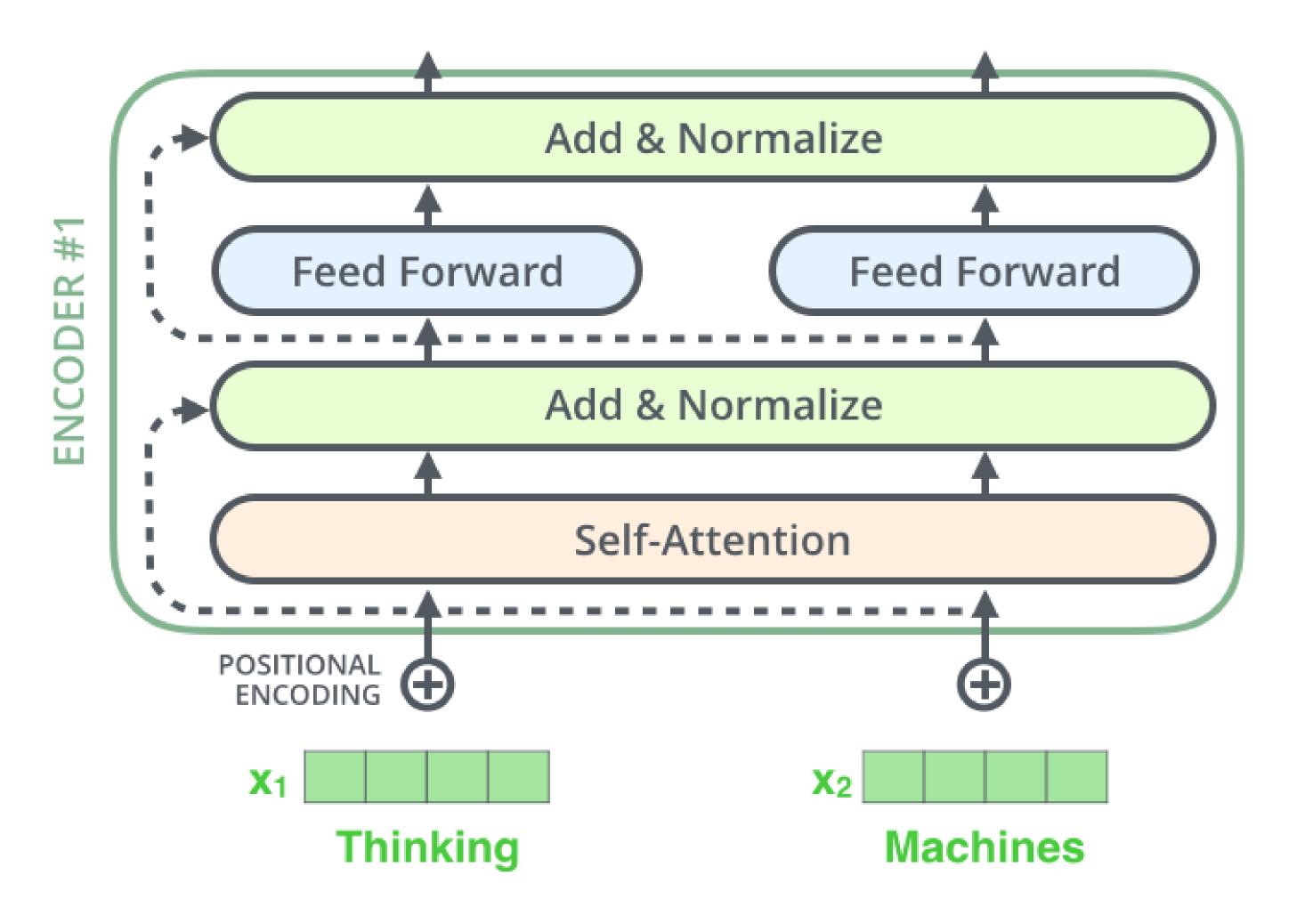
#### A note on Positional embeddings



Positional embeddings can be extended to any sentence length but if any test input is longer than all training inputs then we will face issues.

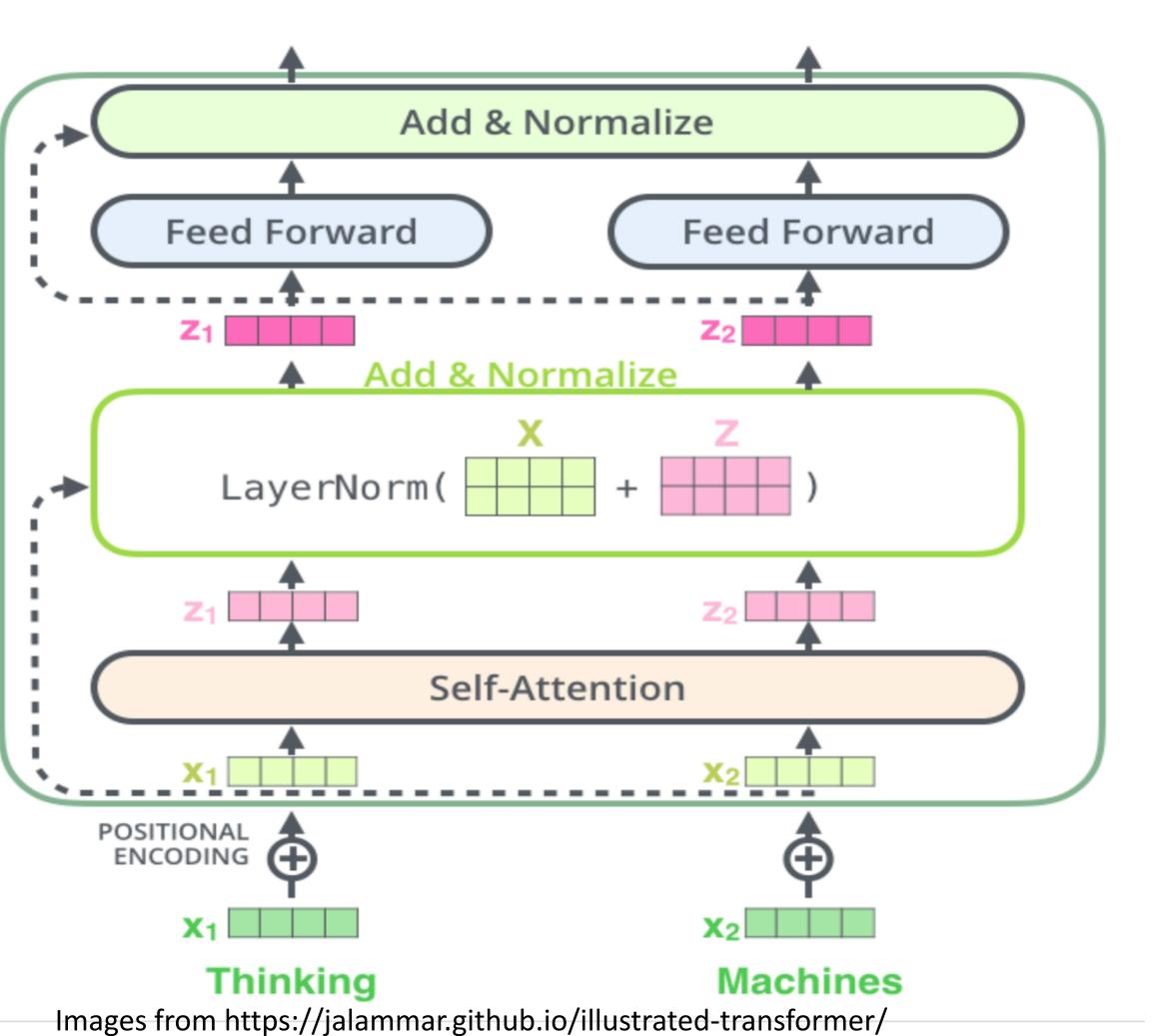
Solution: use a functional form (as in Transformer paper – sinuisoidal encoding)





Adding residual connections...





The residual connections help the network train, by allowing gradients to flow through the networks directly.

The layer normalizations stabilize the network -- substantially reducing the training time necessary.

z=LayerNorm(x + z)= 
$$\gamma \frac{x+z-\mu}{\sigma} + \beta$$

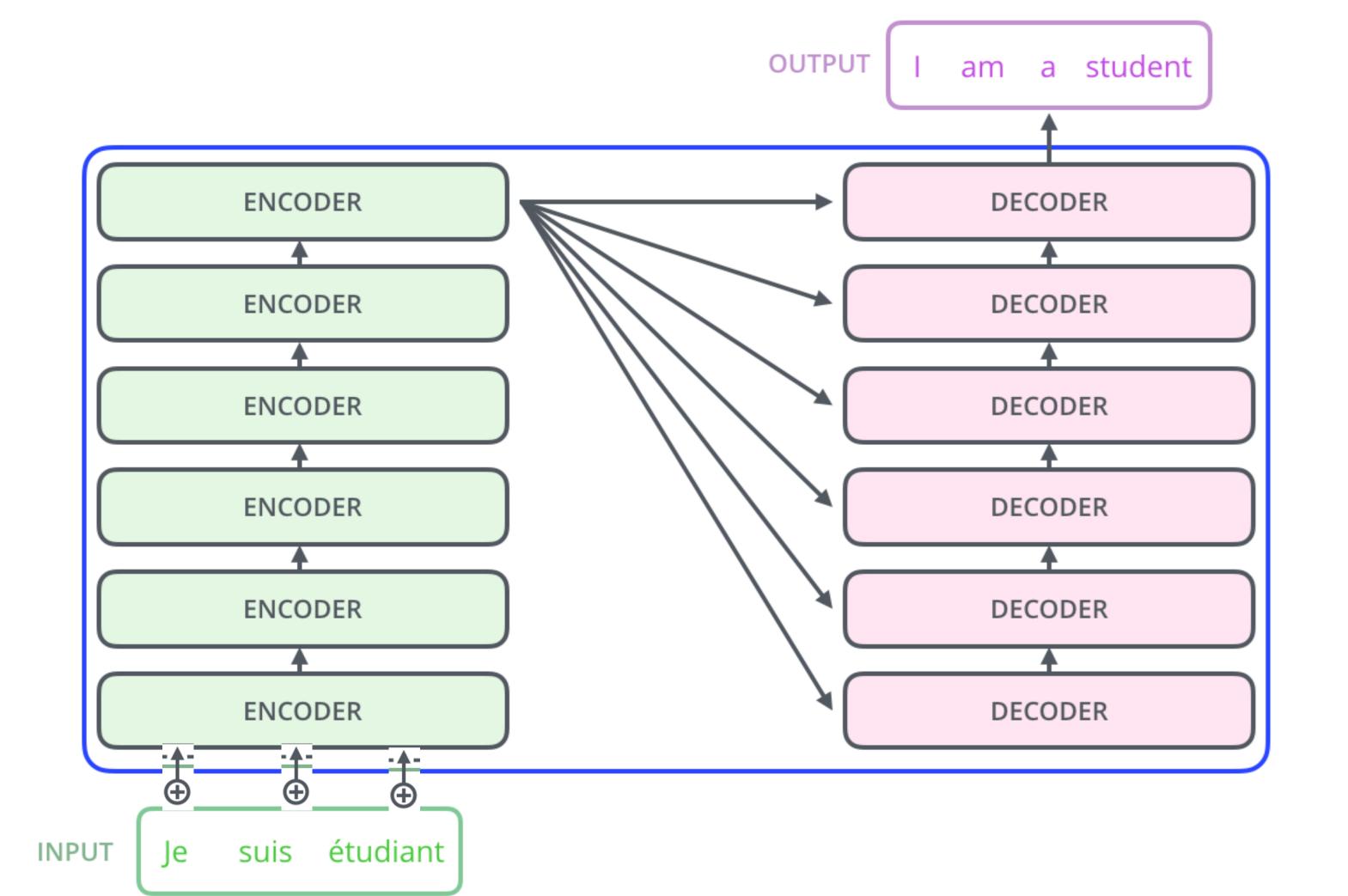
The pointwise feedforward layer is used to project the attention outputs potentially giving it a richer representation.

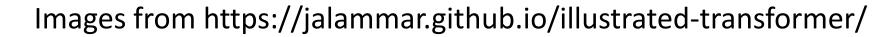


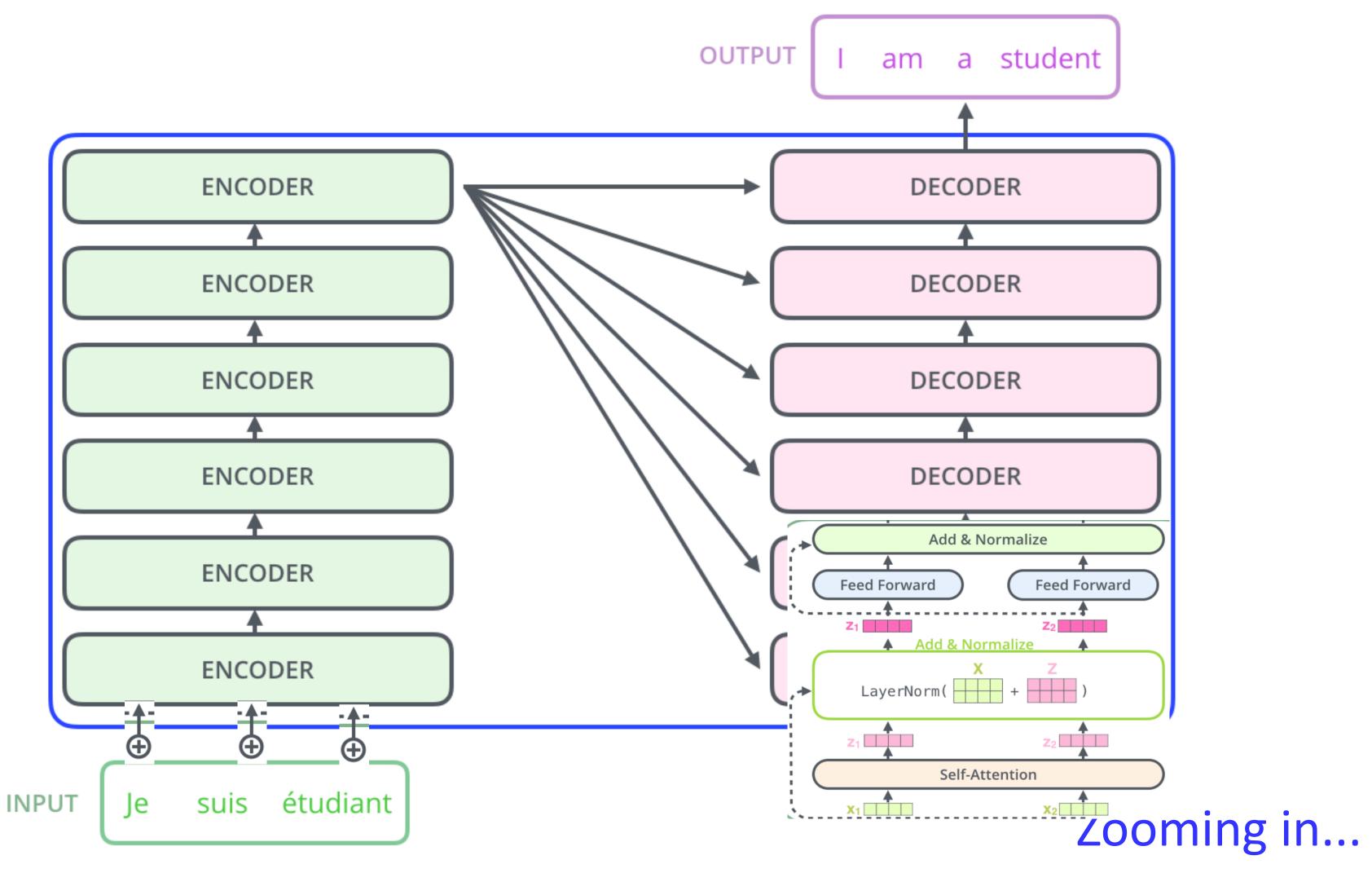
#### Regularization

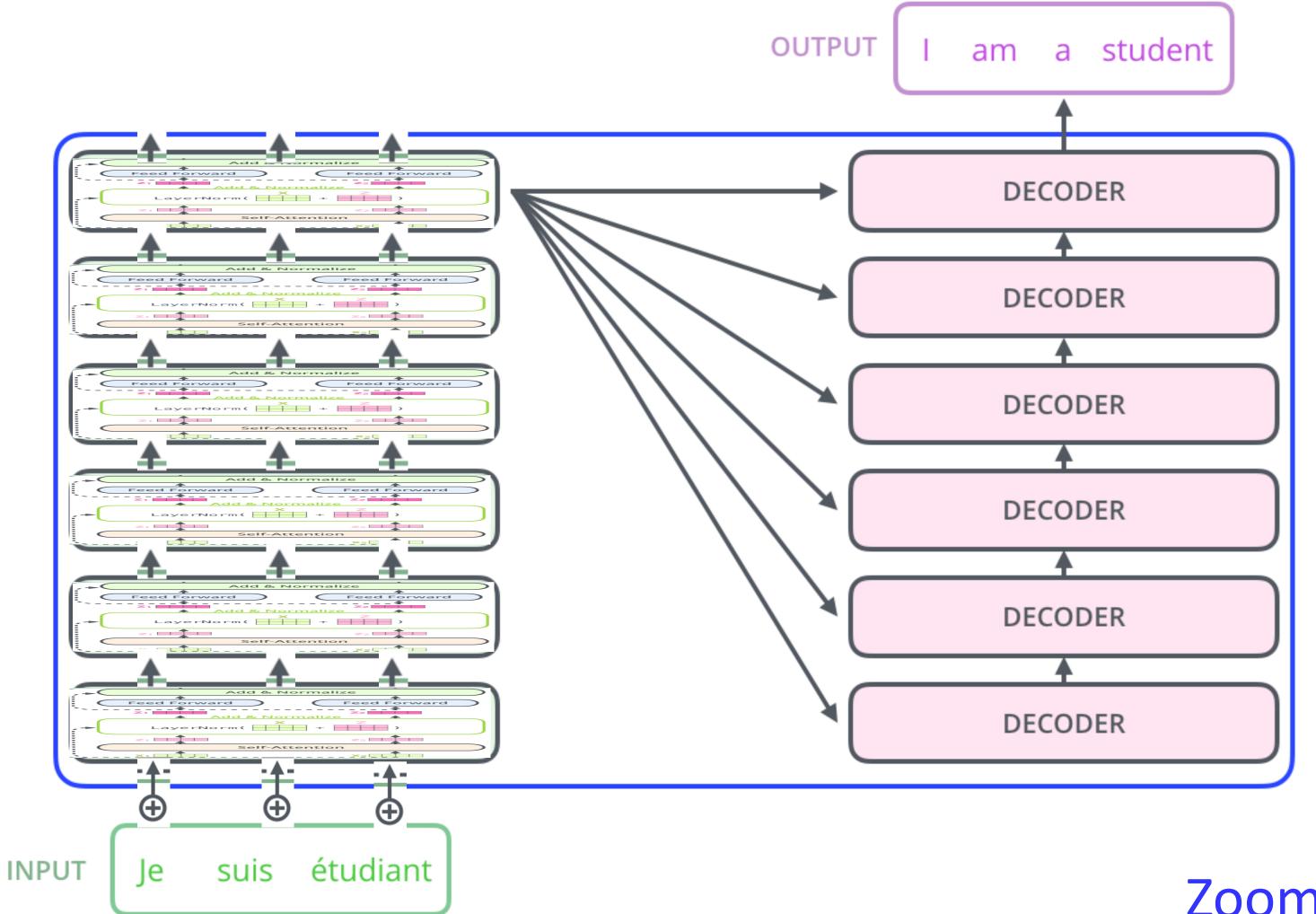
**Residual dropout:** Dropout added to the output of each sublayer, before it is added to the input of the sublayer and normalized

**Label Smoothing:** During training label smoothing was employed. This hurts perplexity, as the model learns to be more unsure, but improves accuracy and BLEU score. (skip for now)





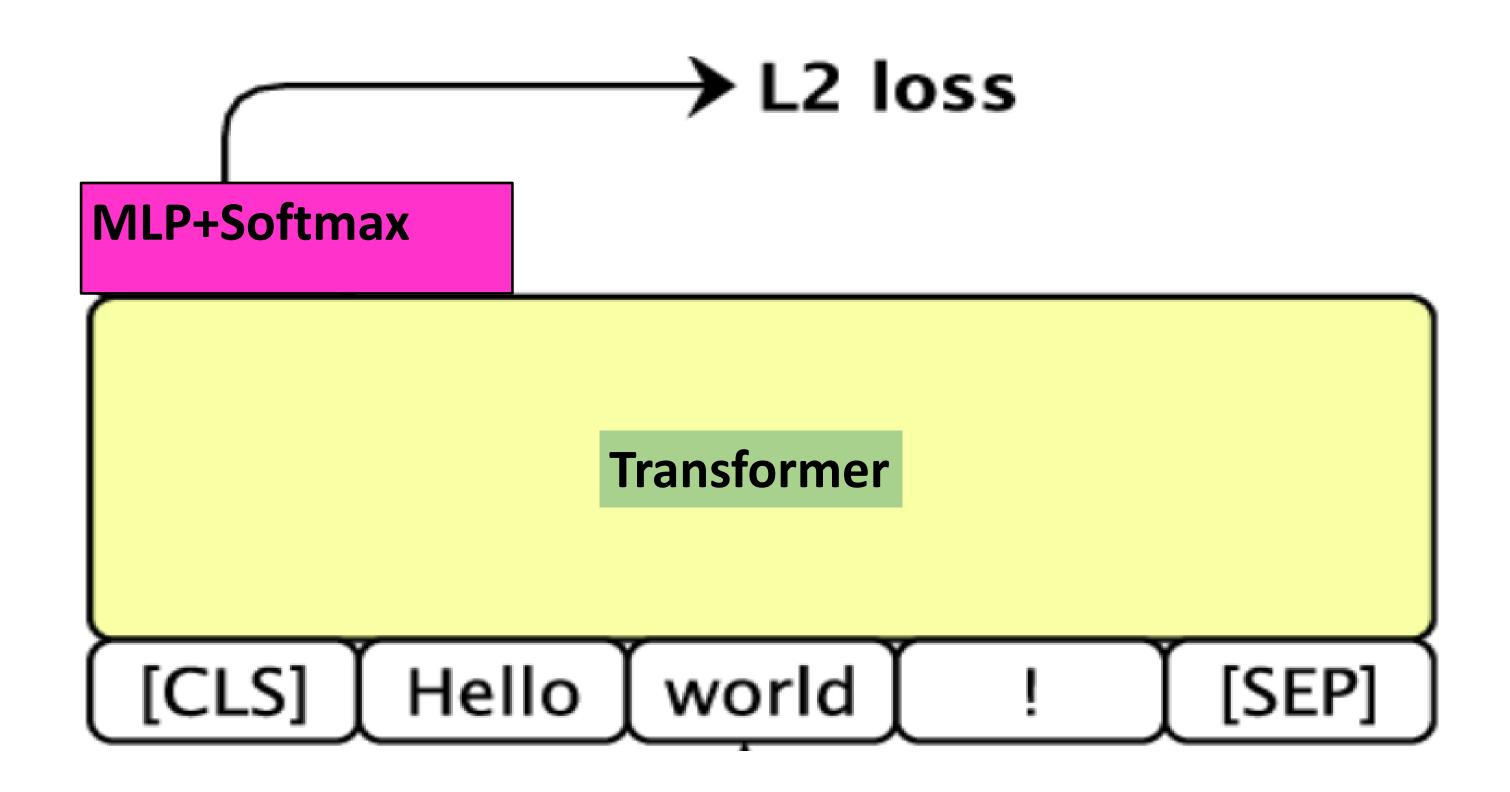




Zooming in...



#### Use of [CLS] for Text Classification





#### Pros

- Current state-of-the-art.
- Enables deep architectures
- Easier learning of long-range dependencies
- Can be efficiently parallelized
- Gradients don't suffer from vanishing gradients



#### Cons

#### Huge number of parameters so

- Very data hungry
- Takes a long time to train
- Memory inefficient

#### Other issues

- Keeping sentence length limited
- How to ensure multi-head attention has diverse perspectives.