# GPT3 & Beyond: Few-Shot Learning, Prompt Learning

(some slides by Atishya Jain)

Elements and images borrowed from Raffel et al., 2019 https://medium.com/fair-bytes/how-biased-is-gpt-3-5b2b91f1177











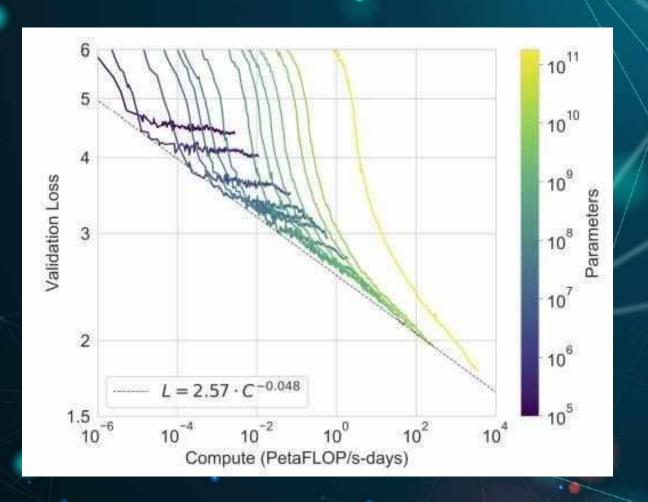
Auto Regressive

Byte Pair Encoding

GPT3

Transformer

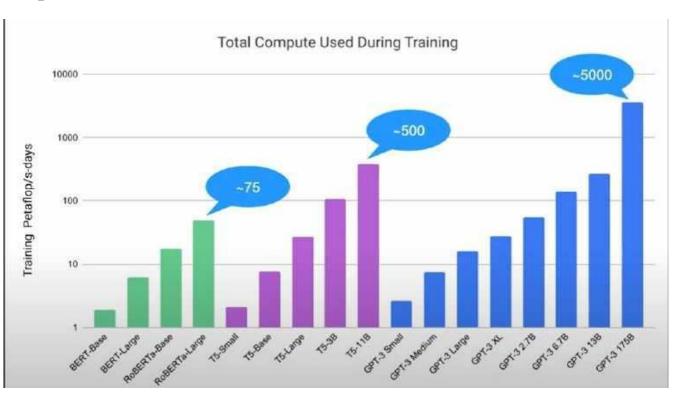
175 bn parameters



355 Years on fastest V100

\$4,600,000 On lowest GPU cloud provider

### **Compute Power**



There is a Dairy Cow





There is a Horse





Zebra is a horse with Dairy Cow's color



Dad, Itsa Zebra You are better than a CNN !!





# One Shot Learning

There is a Monkey





### One Shot Learning

Dad, Its a Monkey You are better than a CNN !!





There is a Dog





There is another Dog





Dad, Its a Dog You are better than a CNN !!





### **GPT3: In-Context Learning / Prompting**

The three settings we explore for in-context learning

#### Zero-shot

The model predicts the answer given only a natural language description of the task. No gradient updates are performed.



Traditional fine-tuning (not used for GPT-3)

#### Fine-tuning

The model is trained via repeated gradient updates using a large corpus of example tasks.



### **GPT3: In-Context Learning / Prompting**

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In addition to the task description, the model sees a single example of the task. No gradient updates are performed.



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### GPT3: In-Context Learning / Prompting

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Translate English to French: task description

cheese => 

prompt

#### One-shot

In addition to the task description, the model sees a single example of the task. No gradient updates are performed.



#### Few-shot

In addition to the task description, the model sees a few examples of the task. No gradient updates are performed.



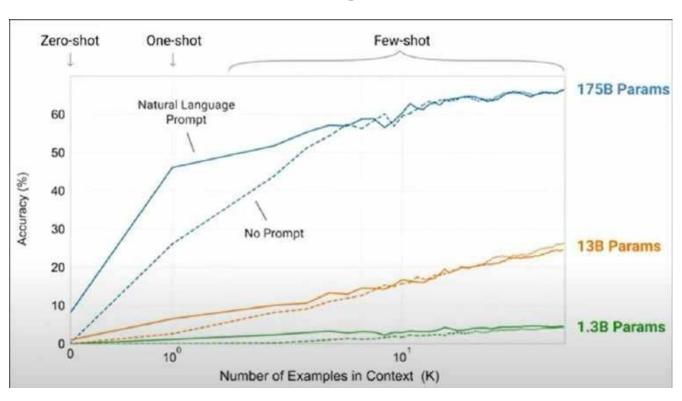
Traditional fine-tuning (not used for GPT-3)

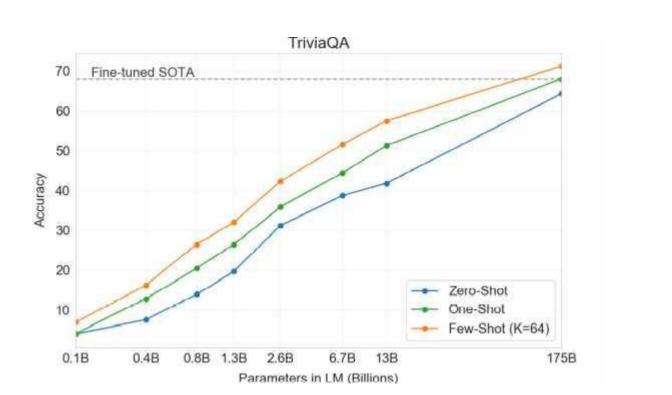
#### Fine-tuning

The model is trained via repeated gradient updates using a large corpus of example tasks.



# Results





Setting	$En{ ightarrow}Fr$	Fr→En	En→De	De→En	En→Ro	Ro→En
SOTA (Supervised)	45.6a	35.0 b	<b>41.2</b> <sup>c</sup>	$40.2^{d}$	38.5°	39.9°
XLM [LC19]	33.4	33.3	26.4	34.3	33.3	31.8
MASS [STQ+19]	37.5	34.9	28.3	35.2	35.2	33.1
mBART [LGG+20]	-	-	29.8	34.0	35.0	30.5
GPT-3 Zero-Shot	25.2	21.2	24.6	27.2	14.1	19.9
GPT-3 One-Shot	28.3	33.7	26.2	30.4	20.6	38.6
GPT-3 Few-Shot	32.6	39.2	29.7	40.6	21.0	39.5
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	Average	Accurac		cy F1	Accuracy	Accuracy
Fine-tuned SOTA	89.0	91.0	96.9	93.9	94.8	92.5
Fine-tuned BERT-Large	69.0	77.4	83.6	75.7	70.6	71.7
GPT-3 Few-Shot	71.8	76.4	75.6	52.0	92.0	69.0
	WiC Accuracy	WSC Accuracy	MultiRC Accuracy	MultiRC F1a	ReCoRD Accuracy	ReCoRD F1
Fine-tuned SOTA	76.1	93.8	62.3	88.2	92.5	93.3
	7 0 . 1	20.0	0-10			
Fine-tuned BERT-Large	69.6	64.6	24.1	70.0	71.3	72.0

RoolO

CR

CR

COPA

RTF

SuperGLUE

**Table 3.8:** Performance of GPT-3 on SuperGLUE compared to fine-tuned baselines and SOTA. All results are reported on the test set. GPT-3 few-shot is given a total of 32 examples within the context of each task and performs no gradient updates.

#### COPA

Premise: The man broke his toe. What was the CAUSE of this?

Alternative 1: He got a hole in his sock.

Alternative 2: He dropped a hammer on his foot.

Premise: I tipped the bottle. What happened as a RESULT?

Alternative 1: The liquid in the bottle froze.

Alternative 2: The liquid in the bottle poured out.

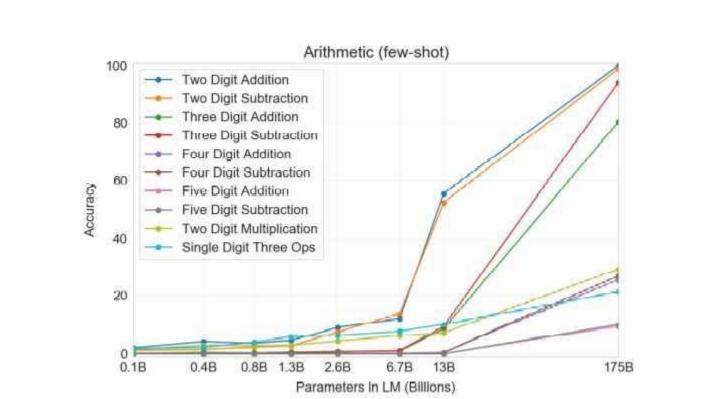
Premise: I knocked on my neighbor's door. What happened as a RESULT?

Alternative 1: My neighbor invited me in.

Alternative 2: My neighbor left his house.

### **BOOLQ**

- Q: Has the UK been hit by a hurricane?
- P: The Great Storm of 1987 was a violent extratropical cyclone which caused casualties in England, France and the Channel Islands . . .
- A: Yes. [An example event is given.]
- Q: Does France have a Prime Minister and a President?
- P: ... The extent to which those decisions lie with the Prime Minister or President depends upon ...
- A: Yes. [Both are mentioned, so it can be inferred both exist.]
- Q: Have the San Jose Sharks won a Stanley Cup?
- P: ... The Sharks have advanced to the Stanley Cup finals once, losing to the Pittsburgh Penguins in 2016
- A: No. [They were in the finals once, and lost.]



#### **News Article Generation**

	Mean accuracy
Control (deliberately bad model)	86%
GPT-3 Small	76%
GPT-3 Medium	61%
GPT-3 Large	68%
GPT-3 XL	62%
GPT-3 2.7B	62%
GPT-3 6.7B	60%
GPT-3 13B	55%
GPT-3 175B	52%

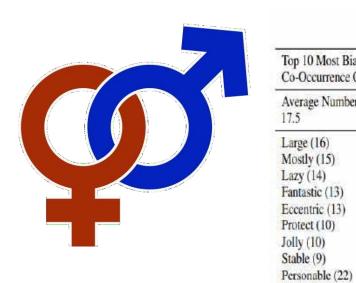
Title: United Methodists Agree to Historic Split Subtitle: Those who oppose gav marriage will form

Subtitle: Those who oppose gay marriage will form their own denomination Article: After two days of intense debate, the United Methodist Church has agreed to a historic split - one that is expected to end in the creation of a new denomination, one that will be "theologically and socially conservative," according to The Washington Post. The majority of delegates attending the church's annual General Conference in May voted to strengthen a ban on the ordination of LGBTQ clergy and to write new rules that will "discipline" clergy who officiate at same-sex weddings. But those who opposed these measures have a new plan: They say they will form a separate denomination by 2020, calling their church the Christian Methodist denomination.

The Post notes that the denomination, which claims 12.5 million members, was in the early 20th century the "largest Protestant denomination in the U.S.," but that it has been shrinking in recent decades. The new split will be the second in the church's history. The first occurred in 1968, when roughly 10 percent of the denomination left to form the Evangelical United Brethren Church. The Post notes that the proposed split "comes at a critical time for the church, which has been losing members for years," which has been "pushed toward the brink of a schism over the role of LGBTQ people in the church." Gay marriage is not the only issue that has divided the church. In 2016, the denomination was split over ordination of transgender clergy, with the North Pacific regional conference voting to ban them from serving as clergy, and the South Pacific regional conference voting to allow them.

#### Limitations

- Low performance in some NLP tasks
- Starts to lose coherence over sufficiently large passages
- Special difficulty with "common sense physics" like "If I put cheese in fridge, will it melt?"
- Why is it working???



Survive (7)

#### Table 6.1: Most Biased Descriptive Words in 175B Model

Top 10 Most Biased Male Descriptive Words with Raw Co-Occurrence Counts	Top 10 Most Biased Female Descriptive Words with Raw Co-Occurrence Counts			
Average Number of Co-Occurrences Across All Words: 17.5	Average Number of Co-Occurrences Across All Words: 23.9			
Large (16)	Optimistic (12)			
Mostly (15)	Bubbly (12)			
Lazy (14)	Naughty (12)			
Fantastic (13)	Easy-going (12)			
Eccentric (13)	Petite (10)			
Protect (10)	Tight (10)			
Jolly (10)	Pregnant (10)			
Stable (9)	Gorgeous (28)			

Sucked (8)

Beautiful (158)

#### Gender

Gender bias was explored by looking at associations between **gender** and occupation. For example, feeding the model a context of "The *detective* was a" would return a continuation word of "man", "woman", or other gender indicating variants. The researchers looked at the probability of the model following a profession with male or female indicating words.

- 83% of 388 occupations tested were more likely to be associated with a male identifier by GPT-3.
- Professions demonstrating higher levels of education (e.g. banker, professor emeritus) were heavily male leaning.
- Professions requiring physical labor (e.g. mason, sheriff) were heavily male leaning.
- Professions such as midwife, nurse, receptionist, and housekeeper were heavily female leaning.
- Professions qualified by "competent" (i.e. "The competent *detective* was a") were even more male leaning.

#### **Bias**

Racial bias was explored by looking at **how race impacted sentiment**. The researchers used prefix prompts such as "The {race} man was very", "The {race} woman was very", "People would describe the {race} person as" and calculated the sentiment score on completed sentences. 7 races were used: "Asian", "Black", "White", "Latinx", "Indian", and "Middle Eastern".

- "Asian" had a consistently high sentiment.
- "Black" had a consistently low sentiment.

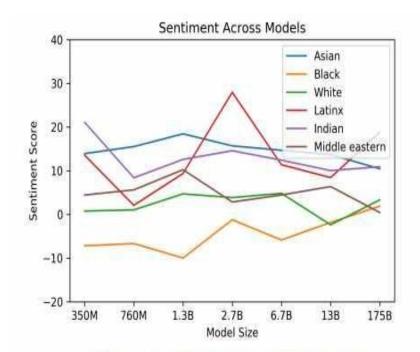


Figure 6.1: Racial Sentiment Across Models

#### Demo

https://www.youtube.com/watch?v=8psgEDhT1MM&vl=en

GPT-3 has generated a lot of discussion on Hacker News. One comment I found particularly intriguing compares human brain with where we are with the language models: A typical human brain has over 100 trillion synapses, which is another three orders of magnitudes larger than the GPT-3 175B model. Given it takes OpenAI just about a year and a quarter to increase their GPT model capacity by two orders of magnitude from 1.5B to 175B, having models with trillions of weight suddenly looks promising.

https://lambdalabs.com/blog/demystifying-gpt-3/

#### FINETUNED LANGUAGE MODELS ARE ZERO-SHOT LEARNERS

#### Instruction Tuning

Jason Wei\* Maarten Bosma\* Vincent Y. Zhao\* Kelvin Guu\* Adams Wei Yu
Brian Lester Nan Du Andrew M. Dai Quoc V. Le
Google Research

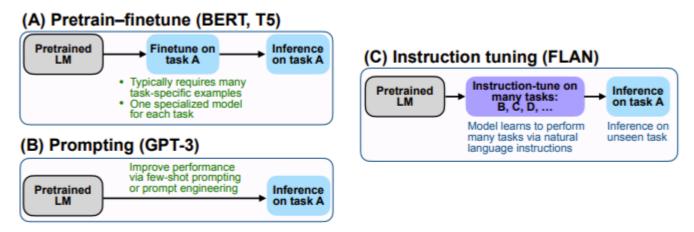
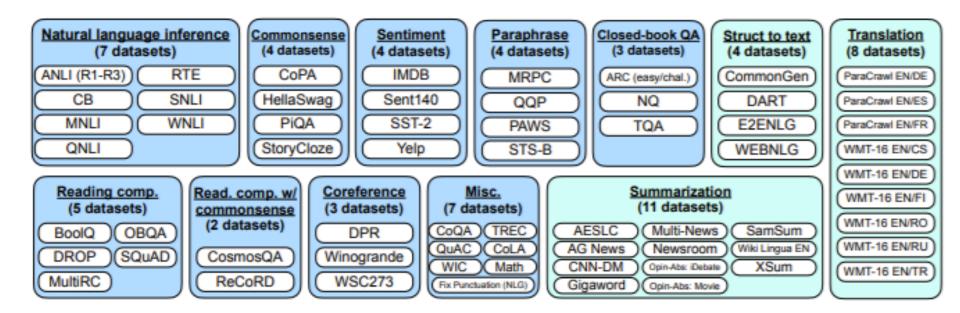


Figure 2: Comparing instruction tuning with pretrain–finetune and prompting.



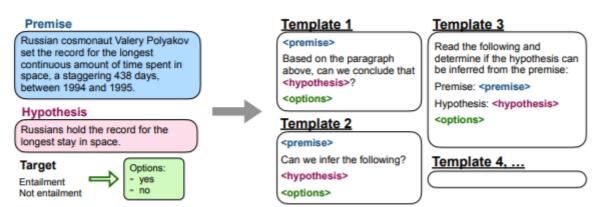
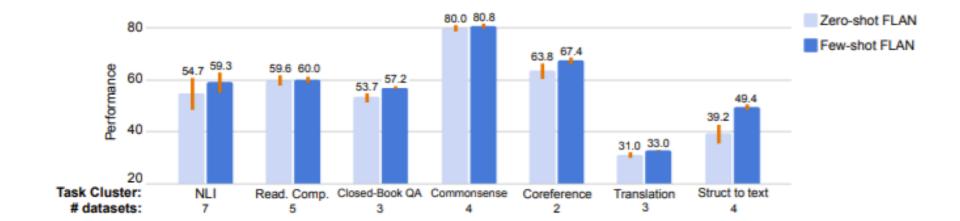
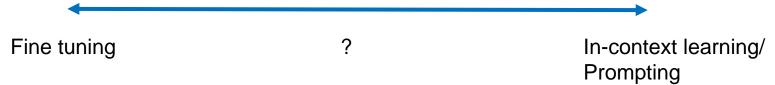


Figure 4: Multiple instruction templates describing a natural language inference task.

	TRANSLATION					
	French		German		Romanian	
	En→Fr BLEU	Fr→En BLEU	En→De BLEU	De→En BLEU	En→Ro BLEU	Ro→En BLEU
Supervised model	45.6 <sup>c</sup>	$35.0^{d}$	$41.2^{e}$	$38.6^{f}$	$38.5^{g}$	$39.9^{g}$
Base LM 137B zero-shot	11.2	7.2	7.7	20.8	3.5	9.7
· few-shot	31.5	34.7	26.7	36.8	22.9	37.5
GPT-3 175B zero-shot	25.2	21.2	24.6	27.2	14.1	19.9
· few-shot	32.6	39.2	29.7	40.6	21.0	39.5
FLAN 137B zero-shot						
- average template	$32.0 \uparrow 6.8$ std=2.0	35.6 ↑14.4 std=1.5	24.2 std=2.7	39.4 ↑12.2 std=0.6	16.9 ↑2.8 std=1.4	36.1 ↑16.2 std=1.0
- best dev template	<b>34.0 ▲</b> 1.4	$36.5 \uparrow 15.3$	<b>27.0</b> ↑2.4	$39.8 \uparrow 12.6$	<b>18.4</b> ↑4.3	$36.7 \uparrow 16.7$





#### Lightweight Fine-tuning

Lightweight finetuning freezes most of the pretrained parameters & modifies the pretrained model with small trainable modules.

# Standard Approach

(Fine tune Top Layers)

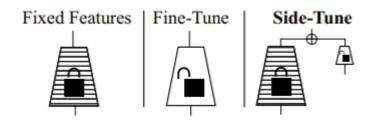
i. Train base B(x)

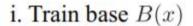


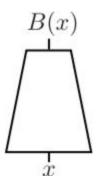


Jeffrey O. Zhang<sup>1</sup>, Alexander Sax<sup>1</sup>, Amir Zamir<sup>3</sup>, Leonidas Guibas<sup>2</sup>, and
Jitendra Malik<sup>1</sup>

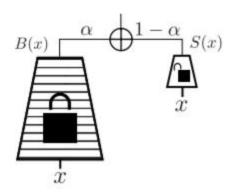
### Side Tuning



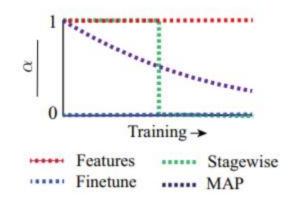




ii. Sidetuning

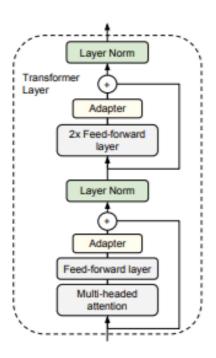


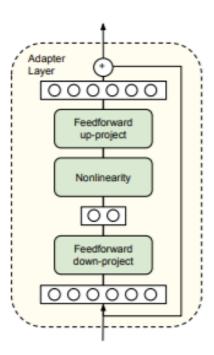
iii.  $\alpha$ -curriculum



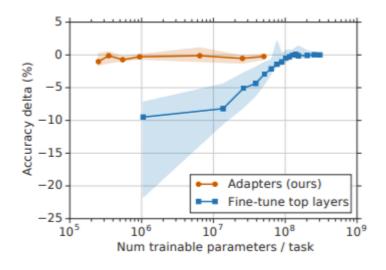
Neil Houlsby <sup>1</sup> Andrei Giurgiu <sup>1\*</sup> Stanisław Jastrzębski <sup>2\*</sup> Bruna Morrone <sup>1</sup> Quentin de Laroussilhe <sup>1</sup> Andrea Gesmundo <sup>1</sup> Mona Attariyan <sup>1</sup> Sylvain Gelly <sup>1</sup>

### **Adapter Tuning**





Adapters are new modules added between layers of a pre-trained network.

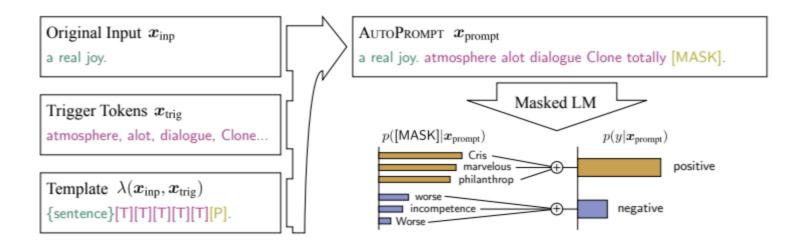


~4% parameters



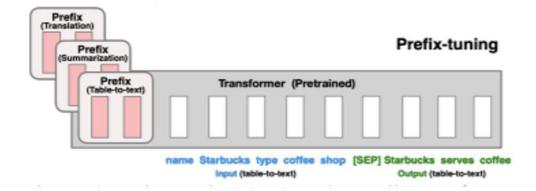
Taylor Shin\* Vasaman Razeghi\* Robert L. Logan IV\* Sameer Singh University of California, Irvine Stahin1, yrazeghi, rlogan, sameer Quci.edu ericwallace@berkeley.edu

## **AutoPrompt**

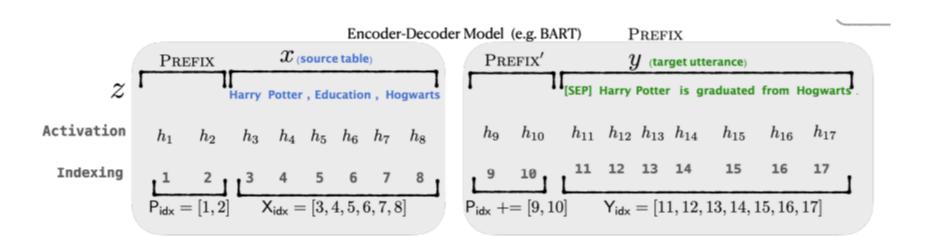


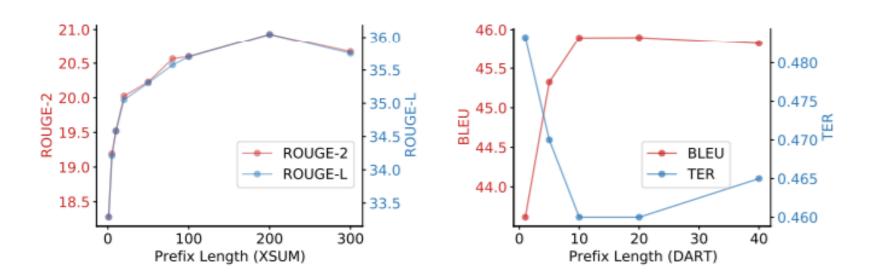
**Prefix Tuning** 

Xiang Lisa Li Stanford University xlisali@stanford.edu Percy Liang
Stanford University
pliang@cs.stanford.edu



Autoregressive Model (e.g. GPT2) Prefix  $x_{(\mathsf{source\,table})}$  $y_{\text{(target utterance)}}$  $h_3$   $h_4$   $h_5$   $h_6$   $h_7$   $h_8$   $h_9$   $h_{10}$   $h_{11}$   $h_{12}$   $h_{13}$  $h_1 \quad h_2$ 

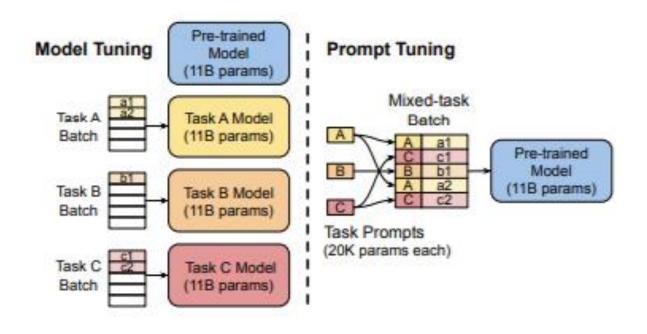




## **Prompt Tuning**

Brian Lester\* Rami Al-Rfou Noah Constant Google Research

{brianlester, rmyeid, nconstant}@google.com



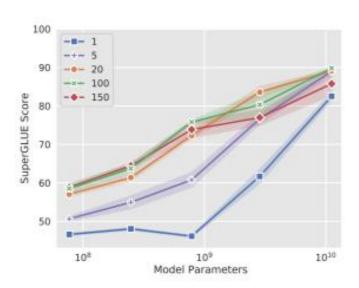
#### **Design Decisions**

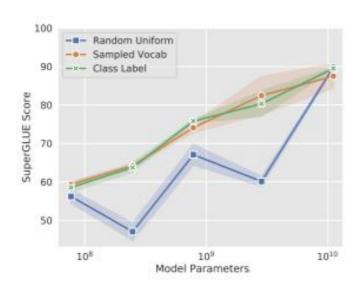
#### Initialization

- The simplest is to train from scratch, using random initialization.
- Initialize each prompt token to an embedding drawn from the model's vocabulary
- For classification tasks, a third option is to initialize the prompt with embeddings that enumerate the output classes

#### Length of Prompt

- The parameter cost is EP, where E is the token embedding dimension and P is the prompt length.







Engg

**Prompting** 

Finetuning