

# Graphs

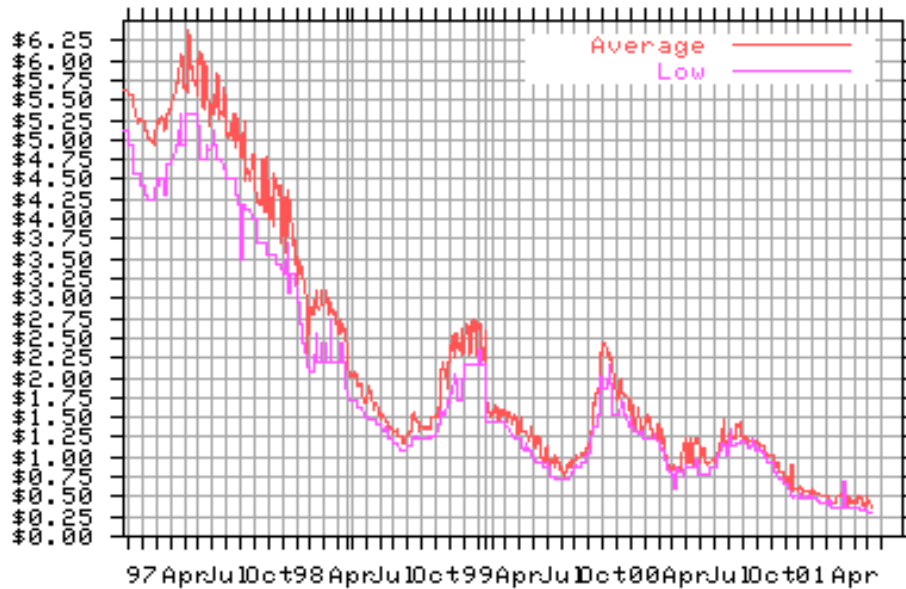
COL 106

Slide Courtesy : <http://courses.cs.washington.edu/courses/cse373/>

Douglas W. Harder, U Waterloo

# What are graphs?

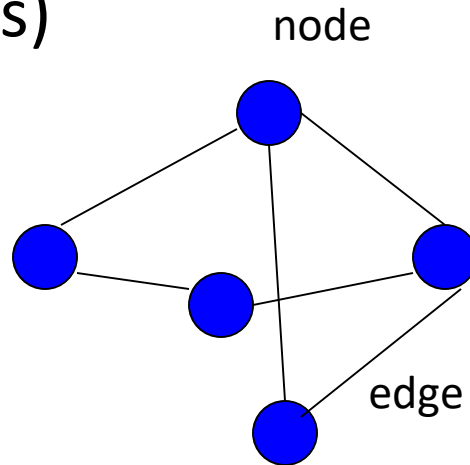
- Yes, this is a graph....



- But we are interested in a different kind of “graph”

# Graphs

- Graphs are composed of
  - Nodes (vertices)
  - Edges (arcs)

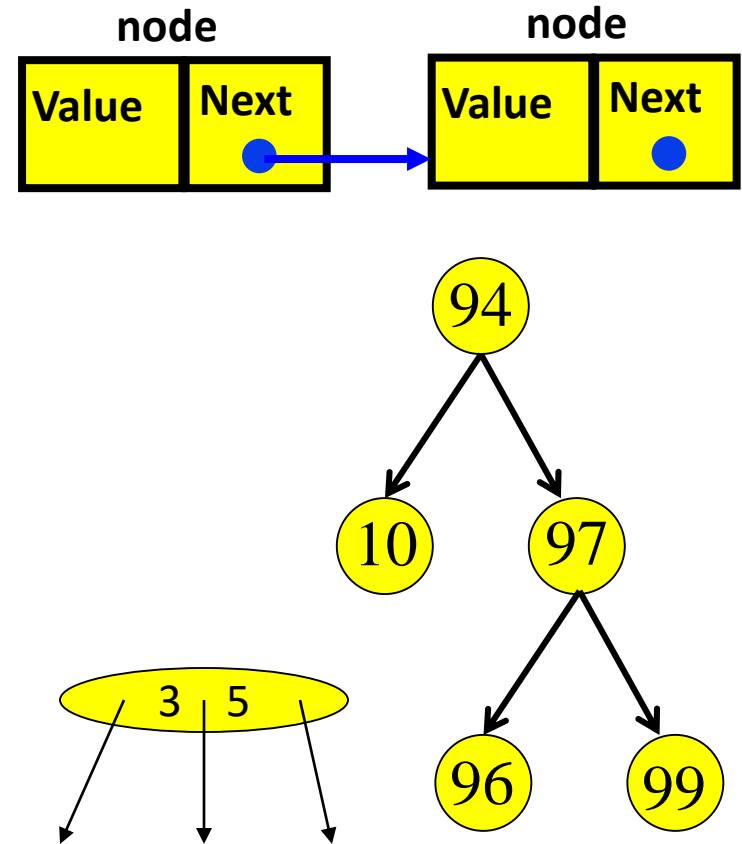


# Varieties

- Nodes
  - Labeled or unlabeled
- Edges
  - Directed or undirected
  - Labeled or unlabeled

# Motivation for Graphs

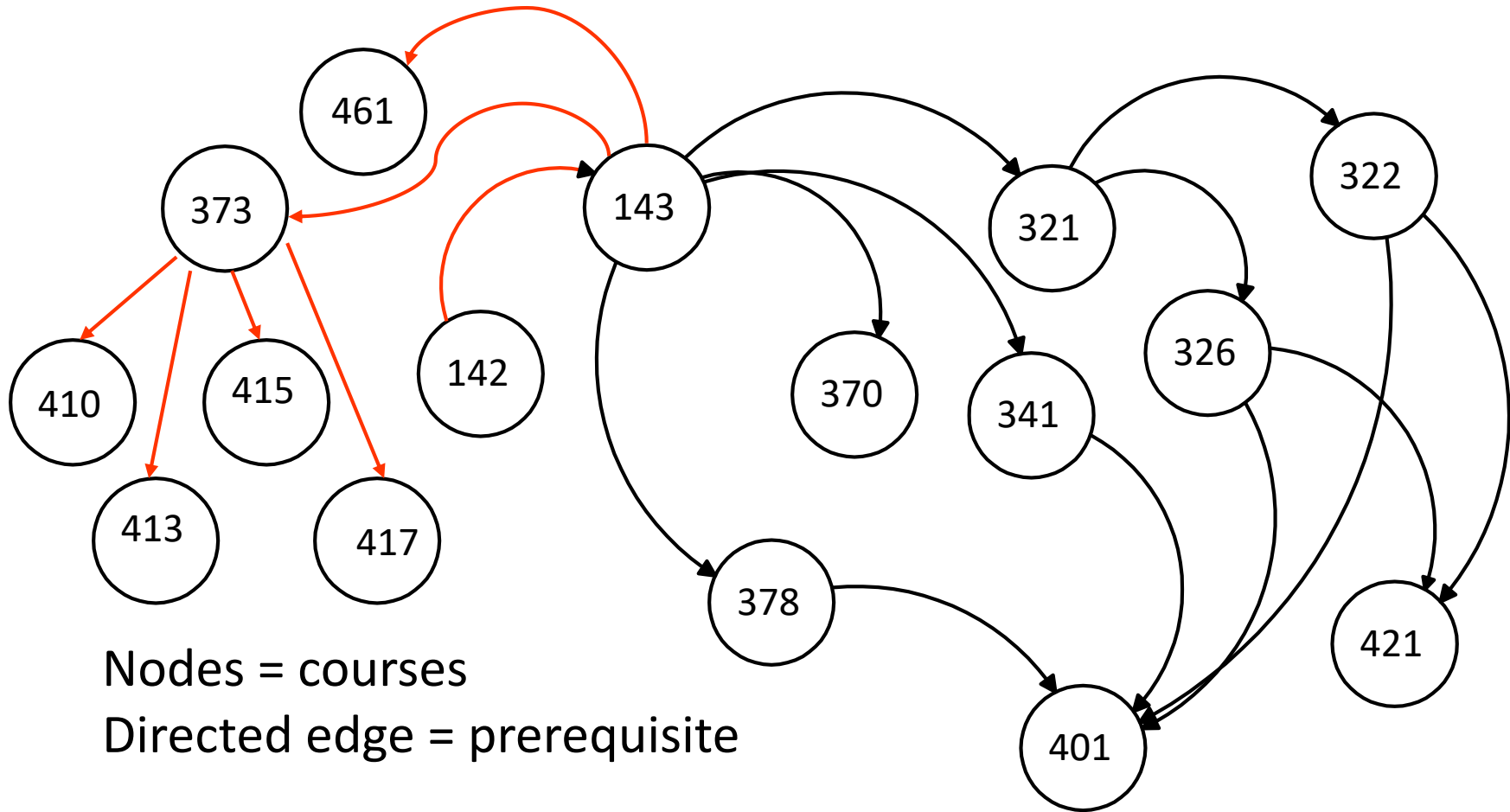
- Consider the data structures we have looked at so far...
- [Linked list](#): nodes with 1 incoming edge + 1 outgoing edge
- [Binary trees/heaps](#): nodes with 1 incoming edge + 2 outgoing edges
- [B-trees](#): nodes with 1 incoming edge + multiple outgoing edges



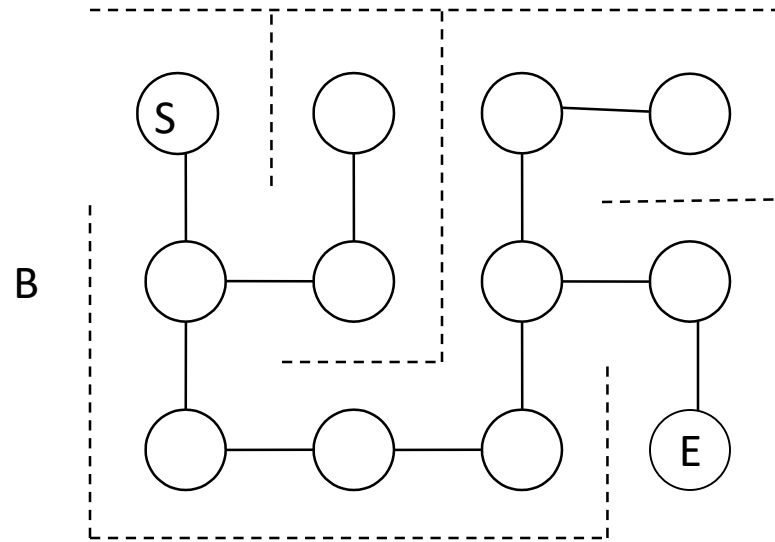
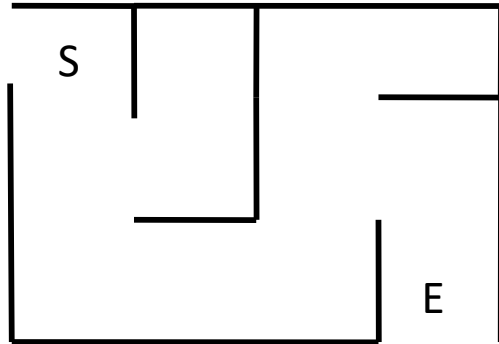
# Motivation for Graphs

- How can you generalize these data structures?
- Consider data structures for representing the following problems...

# CSE Course Prerequisites



# Representing a Maze

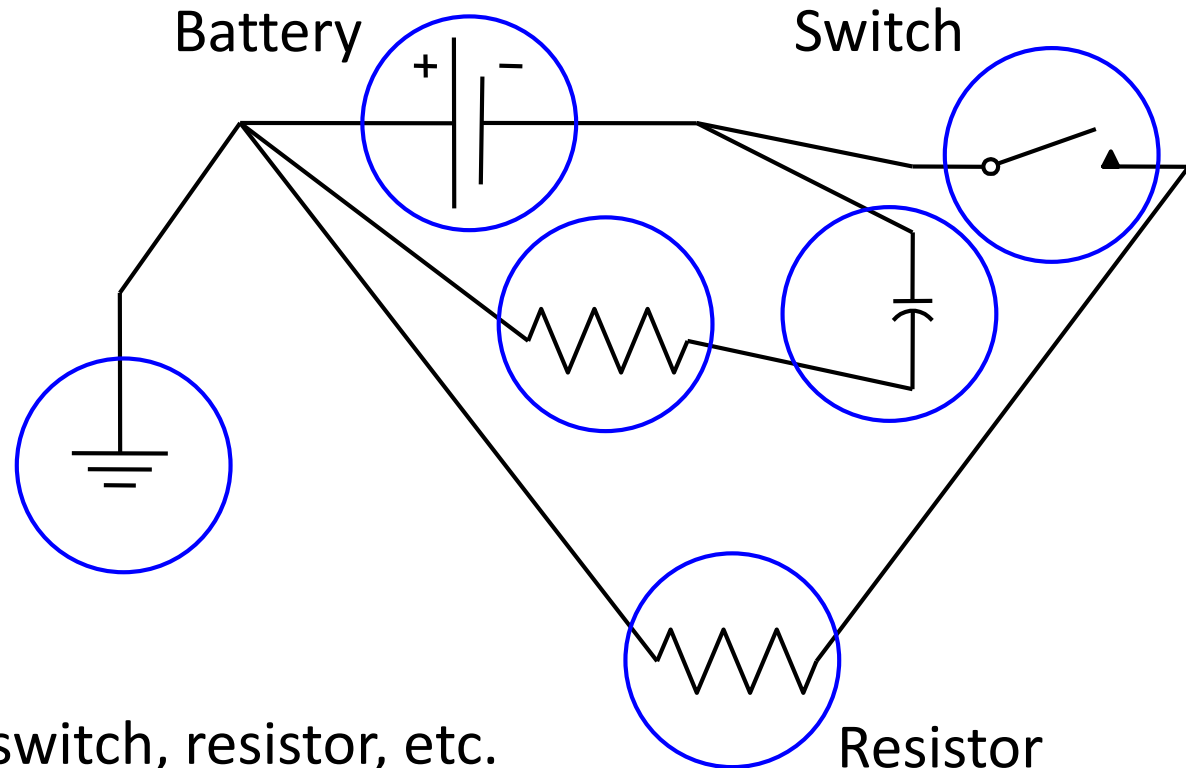


Nodes = rooms

Edge = door or passage



# Representing Electrical Circuits



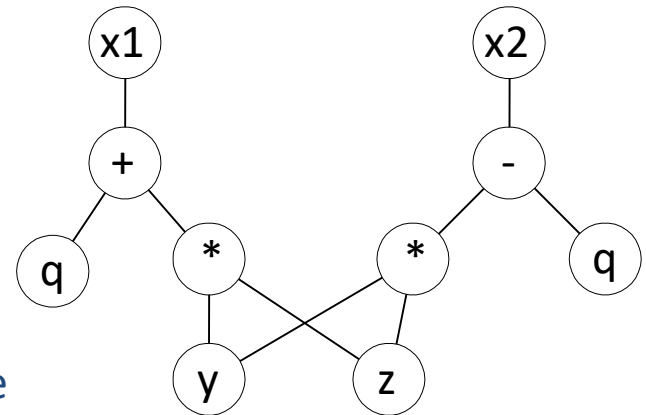
Nodes = battery, switch, resistor, etc.

Edges = connections

# Program statements

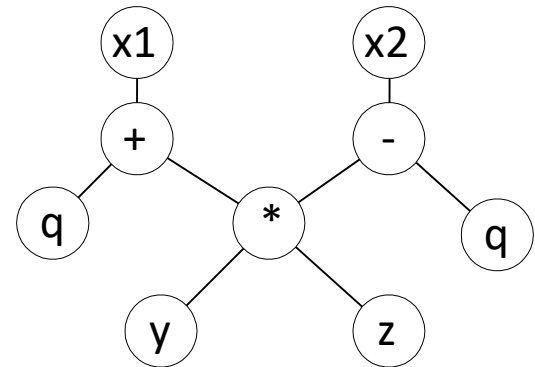
$x1 = q + y * z$   
 $x2 = y * z - q$

Naive:



$y * z$  calculated twice

common  
subexpression  
eliminated:



Nodes = symbols/operators  
Edges = relationships

# Precedence

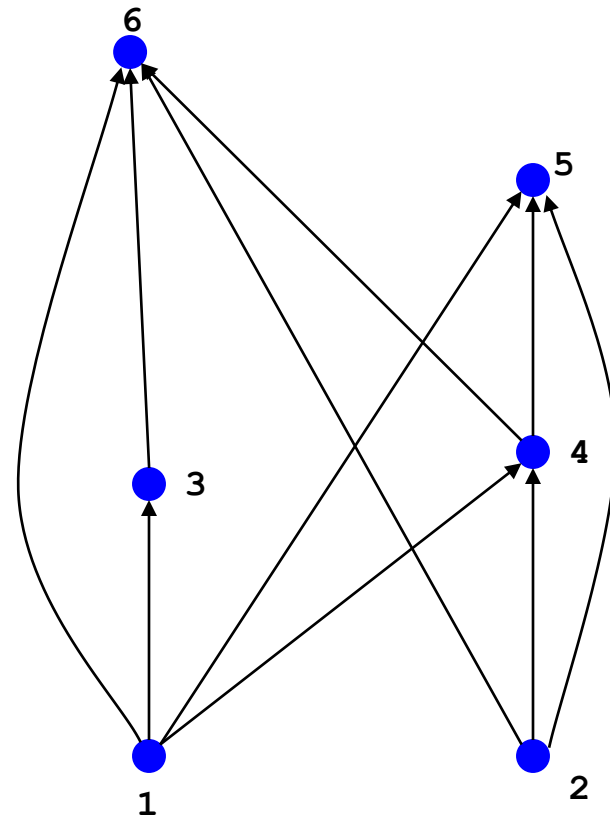
$S_1$   $a=0;$   
 $S_2$   $b=1;$   
 $S_3$   $c=a+1$   
 $S_4$   $d=b+a;$   
 $S_5$   $e=d+1;$   
 $S_6$   $e=c+d;$

Which statements must execute before  $S_6$ ?

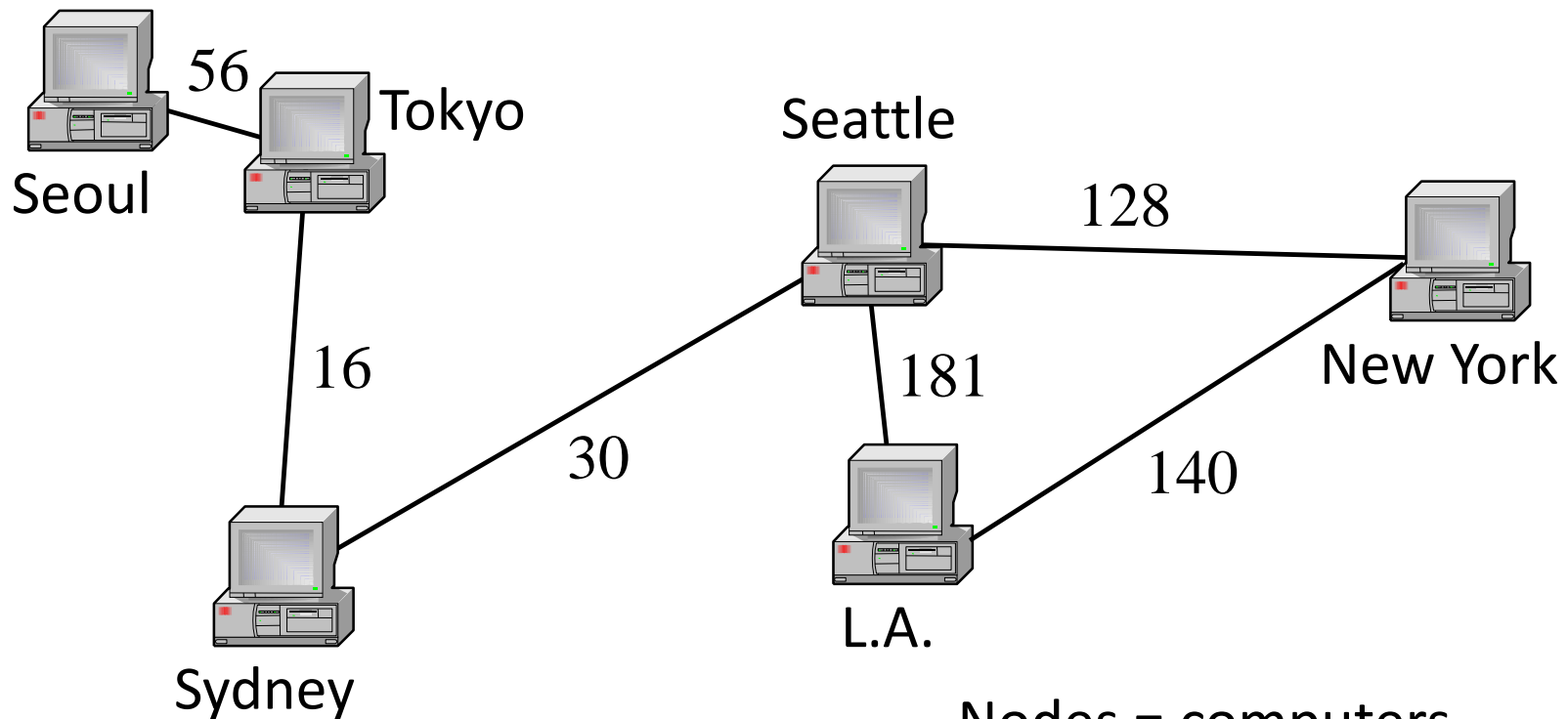
$S_1, S_2, S_3, S_4$

Nodes = statements

Edges = precedence requirements



# Information Transmission in a Computer Network



Nodes = computers  
Edges = transmission rates

# Traffic Flow on Highways



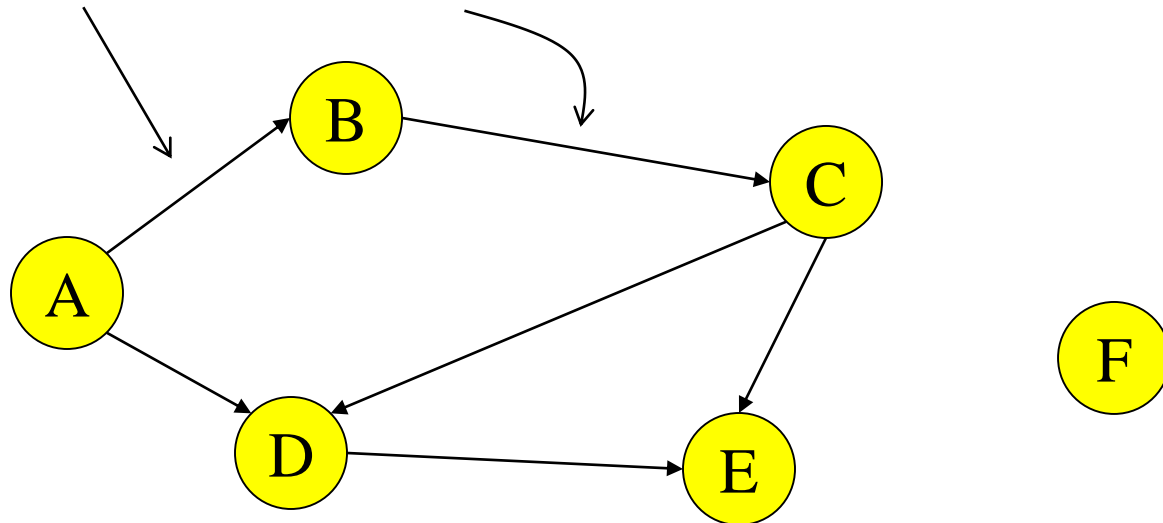
Nodes = cities  
Edges = # vehicles on  
connecting highway

# Graph Definition

- A graph is simply a collection of nodes plus edges
  - Linked lists, trees, and heaps are all special cases of graphs
- The nodes are known as vertices (node = “vertex”)
- **Formal Definition: A graph  $G$  is a pair  $(V, E)$  where**
  - $V$  is a set of vertices or nodes
  - $E$  is a set of edges that connect vertices

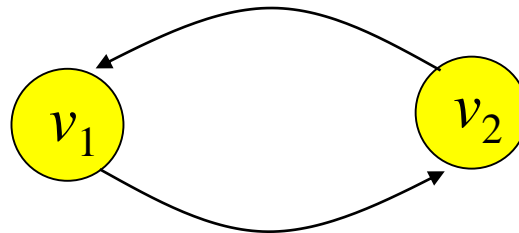
# Graph Example

- Here is a directed graph  $G = (V, E)$ 
  - Each edge is a pair  $(v_1, v_2)$ , where  $v_1, v_2$  are vertices in  $V$
  - $V = \{A, B, C, D, E, F\}$
  - $E = \{(A,B), (A,D), (B,C), (C,D), (C,E), (D,E)\}$

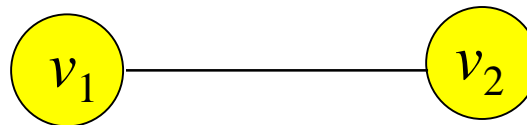


# Directed vs Undirected Graphs

- If the order of edge pairs  $(v_1, v_2)$  matters, the graph is directed (also called a **digraph**):  $(v_1, v_2) \neq (v_2, v_1)$



- If the order of edge pairs  $(v_1, v_2)$  does not matter, the graph is called an undirected graph: in this case,  $(v_1, v_2) = (v_2, v_1)$

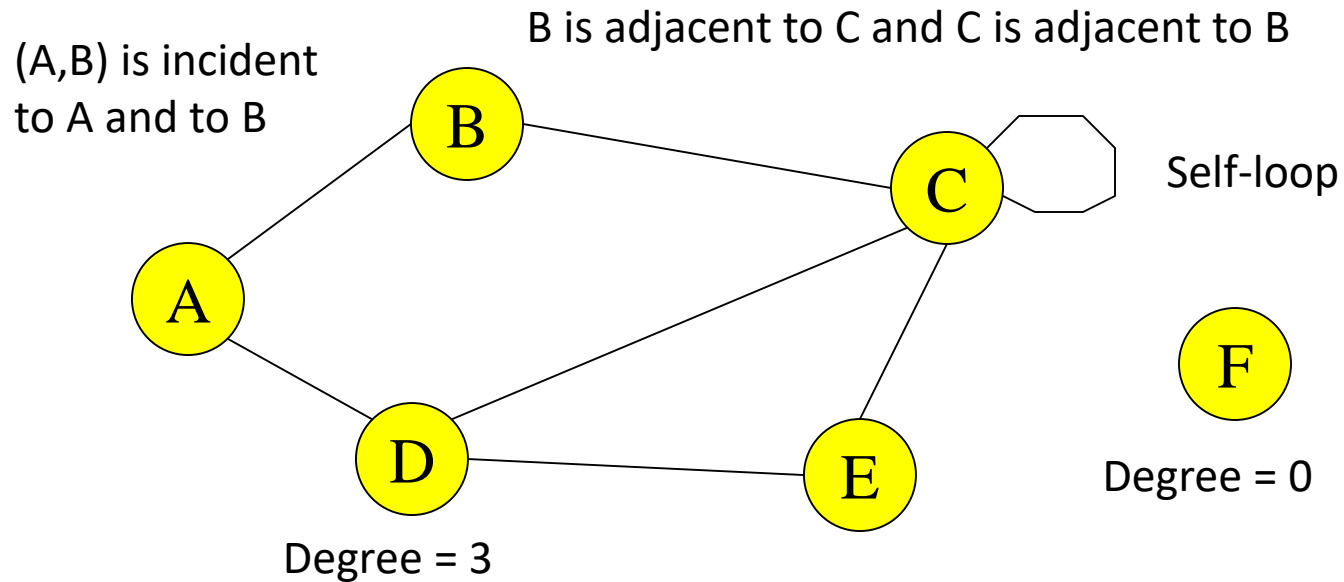




# Undirected Terminology

- Two vertices  $u$  and  $v$  are **adjacent** in an undirected graph  $G$  if  $\{u,v\}$  is an edge in  $G$ 
  - edge  $e = \{u,v\}$  is incident with vertex  $u$  and vertex  $v$
- A graph is **connected** if given any two vertices  $u$  and  $v$ , there is a path from  $u$  to  $v$
- The **degree of a vertex** in an undirected graph is the number of edges incident with it
  - a self-loop counts twice (both ends count)
  - denoted with  $\deg(v)$

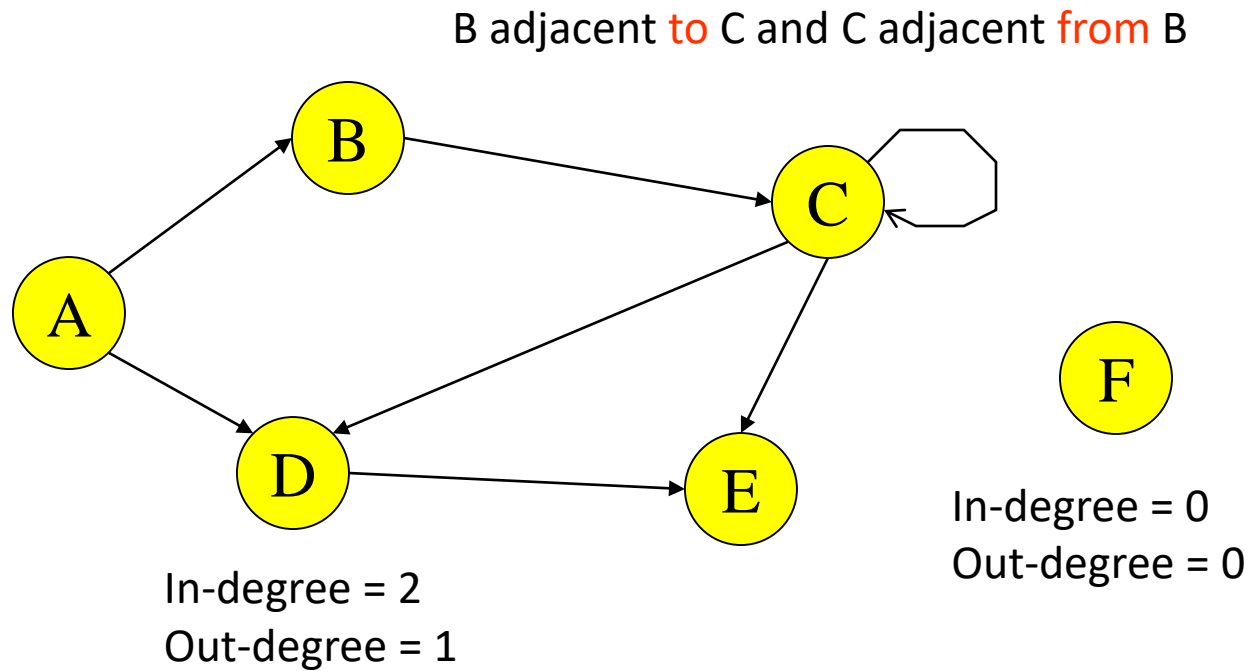
# Undirected Terminology



# Directed Terminology

- Vertex  $u$  is **adjacent to** vertex  $v$  in a directed graph  $G$  if  $(u,v)$  is an edge in  $G$ 
  - vertex  $u$  is the initial vertex of  $(u,v)$
- Vertex  $v$  is **adjacent from** vertex  $u$ 
  - vertex  $v$  is the terminal (or end) vertex of  $(u,v)$
- Degree
  - **in-degree** is the number of edges with the vertex as the terminal vertex
  - **out-degree** is the number of edges with the vertex as the initial vertex

# Directed Terminology



# Handshaking Theorem

- Let  $G=(V,E)$  be an undirected graph with  $|E|=e$  edges. Then

$$2e = \sum_{v \in V} \deg(v)$$

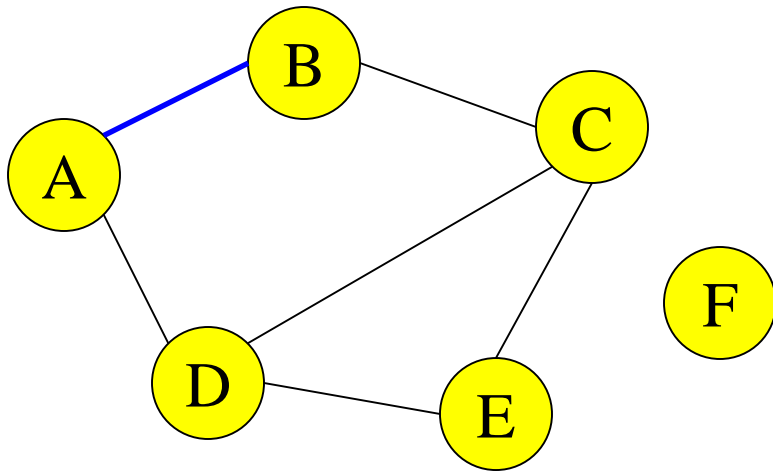
Add up the degrees of all vertices.

- Every edge contributes +1 to the degree of each of the two vertices it is incident with
  - number of edges is exactly half the sum of  $\deg(v)$
  - the sum of the  $\deg(v)$  values must be even

# Graph Representations

- Space and time are analyzed in terms of:
  - Number of vertices =  $|V|$  and
  - Number of edges =  $|E|$
- There are at least two ways of representing graphs:
  - The *adjacency matrix* representation
  - The *adjacency list* representation

# Adjacency Matrix

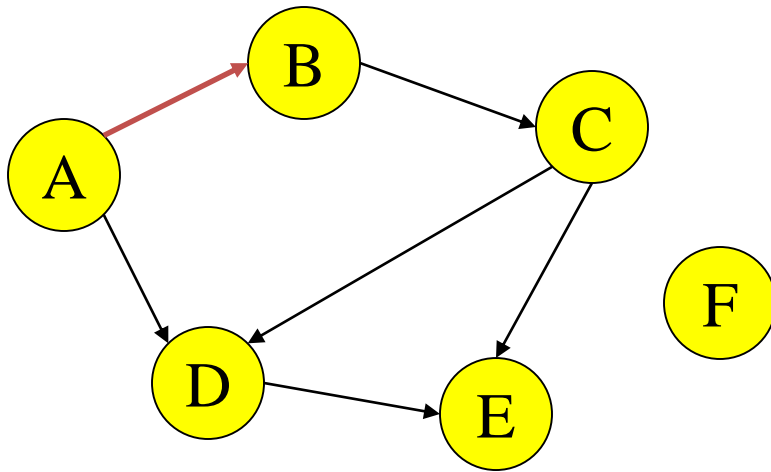


$$M(v, w) = \begin{cases} 1 & \text{if } (v, w) \text{ is in } E \\ 0 & \text{otherwise} \end{cases}$$

	A	B	C	D	E	F
A	0	1	0	1	0	0
B	1	0	1	0	0	0
C	0	1	0	1	1	0
D	1	0	1	0	1	0
E	0	0	1	1	0	0
F	0	0	0	0	0	0

$$\text{Space} = |V|^2$$

# Adjacency Matrix for a Digraph



$$M(v, w) = \begin{cases} 1 & \text{if } (v, w) \text{ is in } E \\ 0 & \text{otherwise} \end{cases}$$

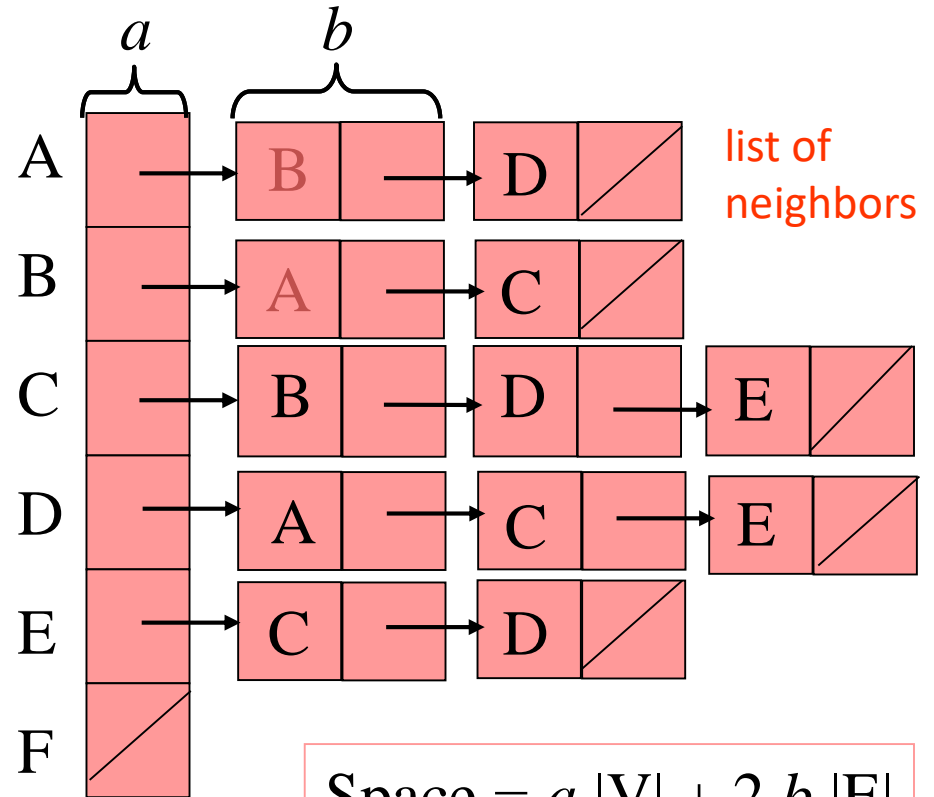
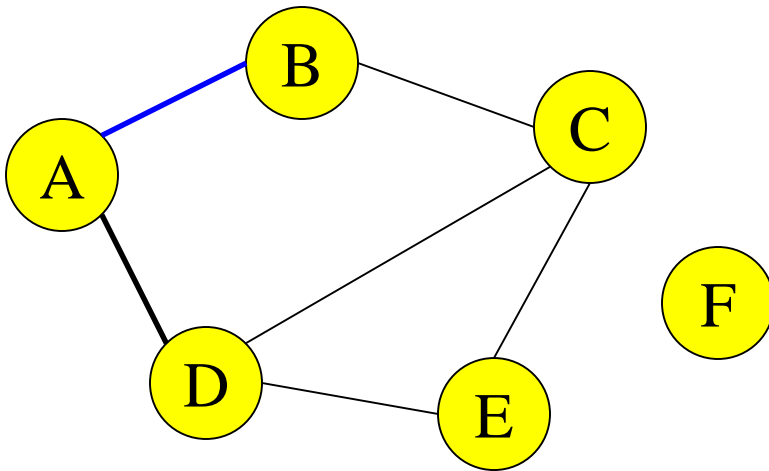
	A	B	C	D	E	F
A	0	1	0	1	0	0
B	0	0	1	0	0	0
C	0	0	0	1	1	0
D	0	0	0	0	1	0
E	0	0	0	0	0	0
F	0	0	0	0	0	0

$$\text{Space} = |V|^2$$



# Adjacency List

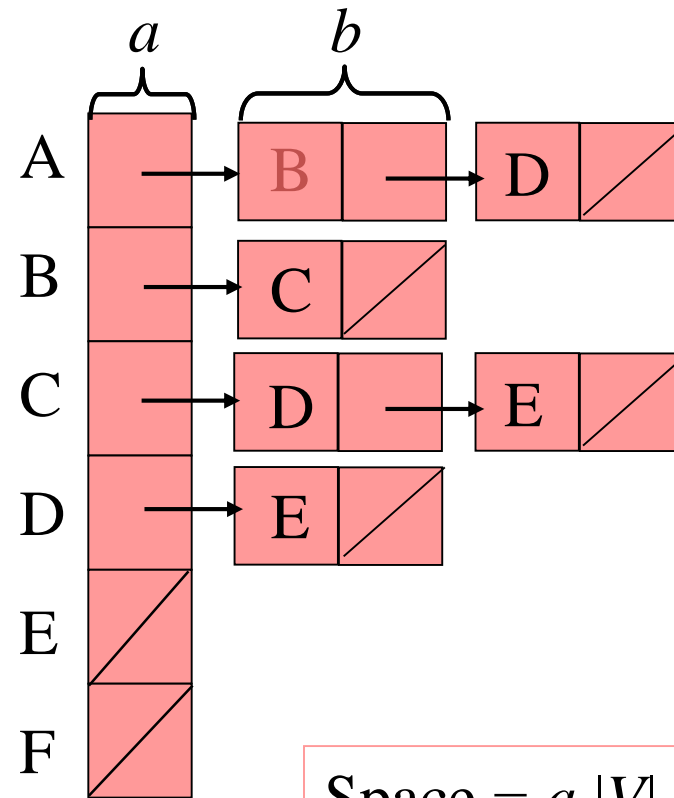
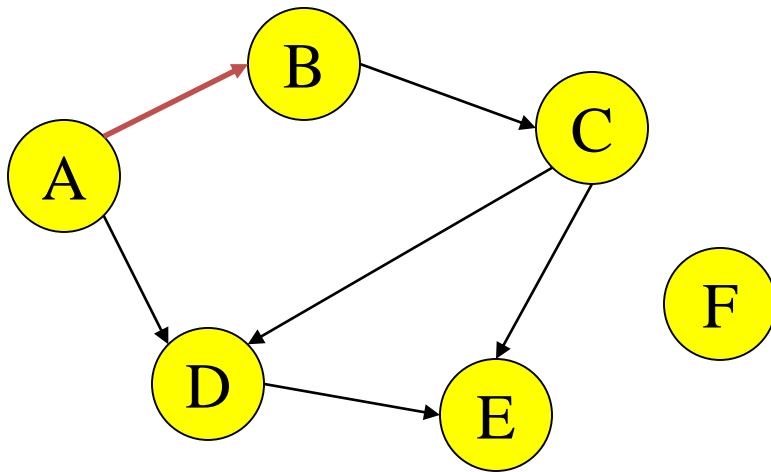
For each  $v$  in  $V$ ,  $L(v)$  = list of  $w$  such that  $(v, w)$  is in  $E$



$$\text{Space} = a |V| + 2 b |E|$$

# Adjacency List for a Digraph

For each  $v$  in  $V$ ,  $L(v)$  = list of  $w$  such that  $(v, w)$  is in  $E$



$$\text{Space} = a |V| + b |E|$$

# Searching in graphs

- Find Properties of Graphs
  - Spanning trees
  - Connected components
  - Bipartite structure
  - Biconnected components
- Applications
  - Finding the web graph – used by Google and others
  - Garbage collection – used in Java run time system

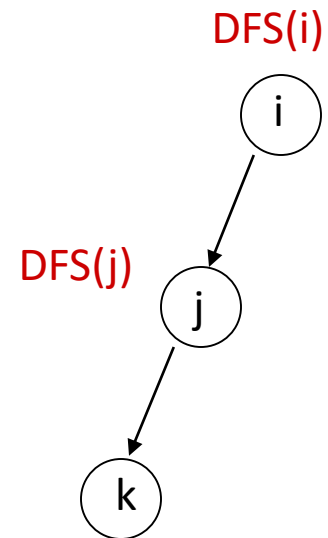
# Graph Searching Methodology Depth-First Search (DFS)

- Depth-First Search (DFS)
  - Searches down one path as deep as possible
  - When no nodes available, it backtracks
  - When backtracking, it explores side-paths that were not taken
  - Uses a stack (instead of a queue in BFS)
  - Allows an easy recursive implementation

# Depth First Search Algorithm

- Recursive marking algorithm
- Initially every vertex is unmarked

```
DFS(i: vertex)
  mark i;
  for each j adjacent to i do
    if j is unmarked then DFS(j)
  end{DFS}
```

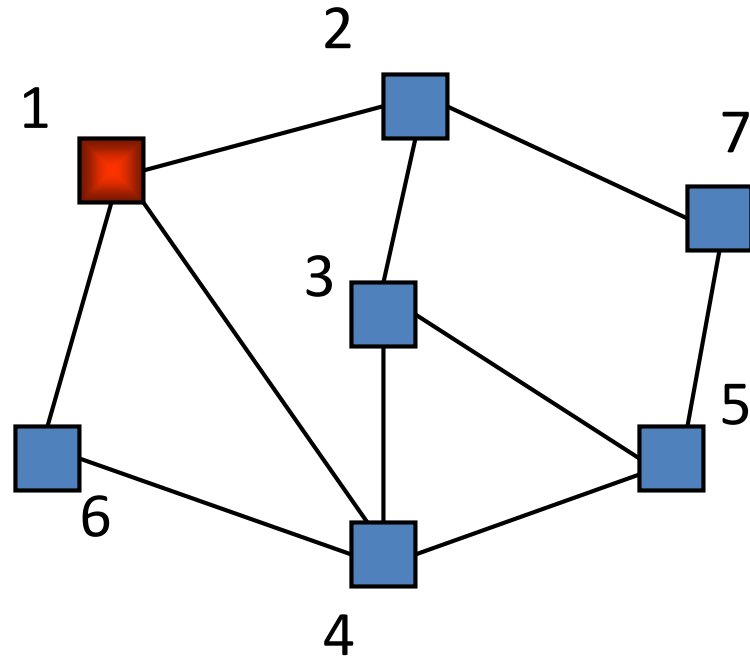


Marks all vertices reachable from i

# DFS Application: Spanning Tree

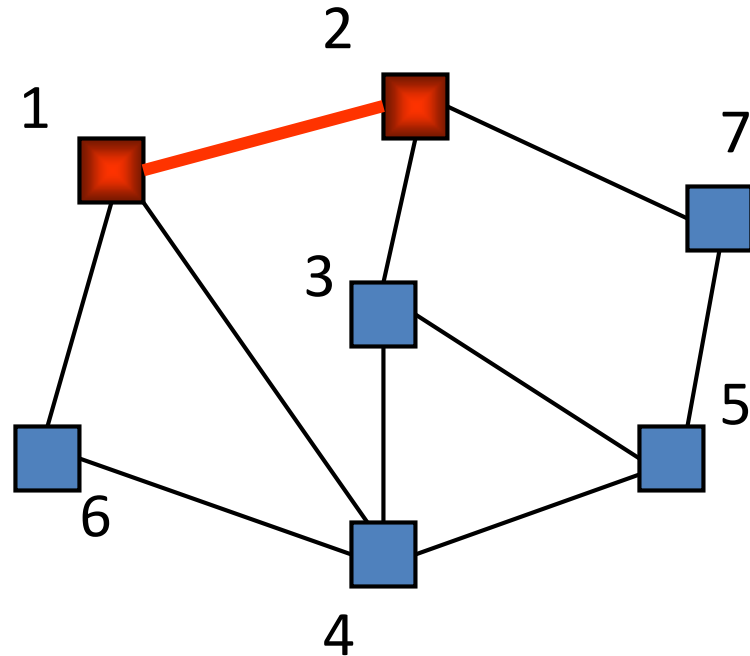
- Given a (undirected) connected graph  $G(V,E)$  a **spanning tree** of  $G$  is a graph  $G'(V',E')$ 
  - $V' = V$ , the tree touches all vertices (spans) the graph
  - $E'$  is a subset of  $E$  such that  $G'$  is connected and there is **no cycle** in  $G'$

# Example of DFS: Graph connectivity and spanning tree



DFS(1)

# Example Step 2

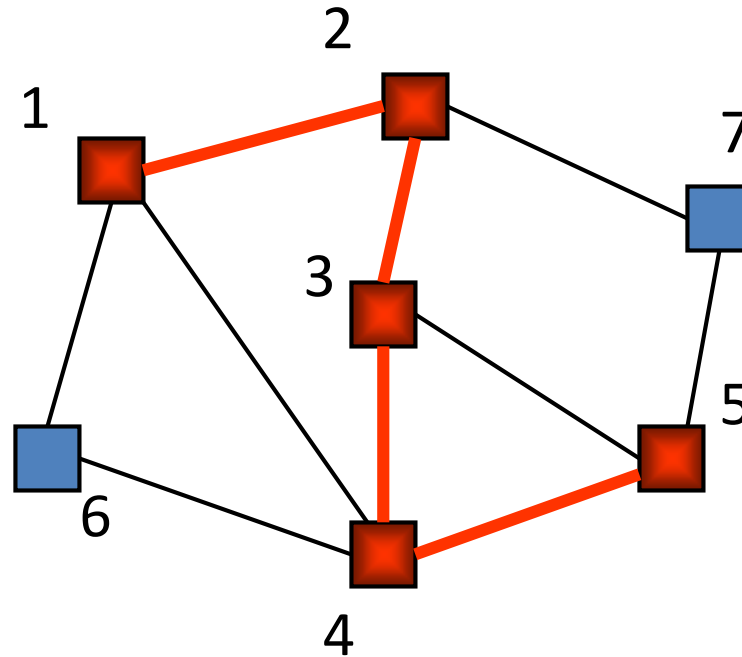


DFS(1)  
DFS(2)

Red links will define the spanning tree if the graph is connected

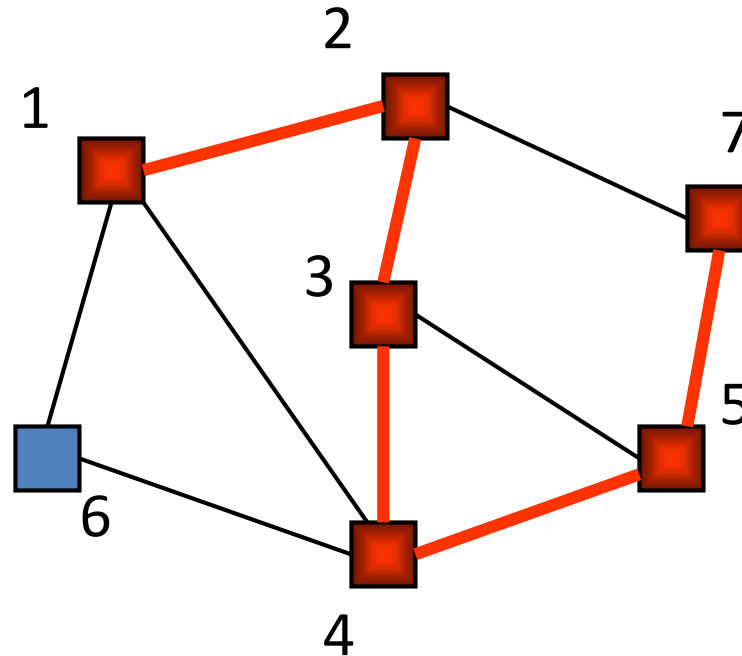


# Example Step 5



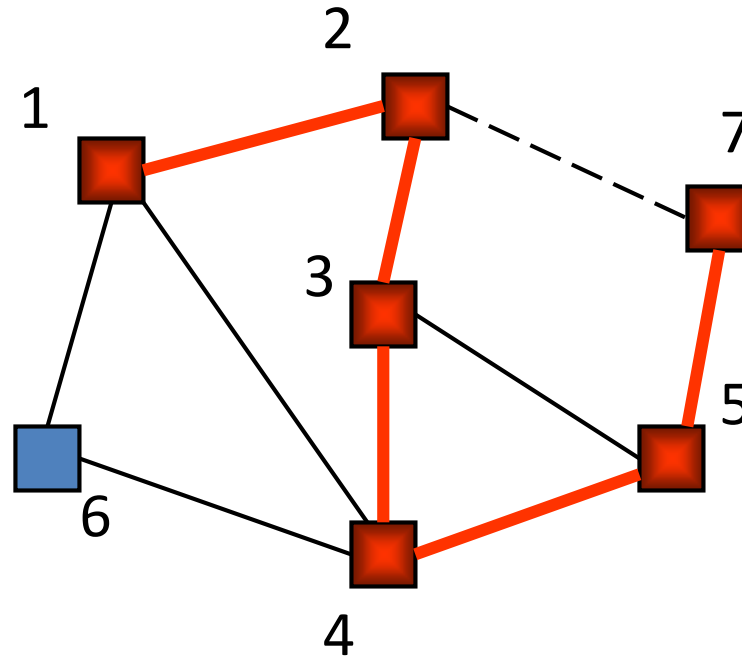
DFS(1)  
DFS(2)  
DFS(3)  
DFS(4)  
DFS(5)

# Example Steps 6 and 7



DFS(1)  
DFS(2)  
DFS(3)  
DFS(4)  
DFS(5)  
~~DFS(3)~~  
DFS(7)

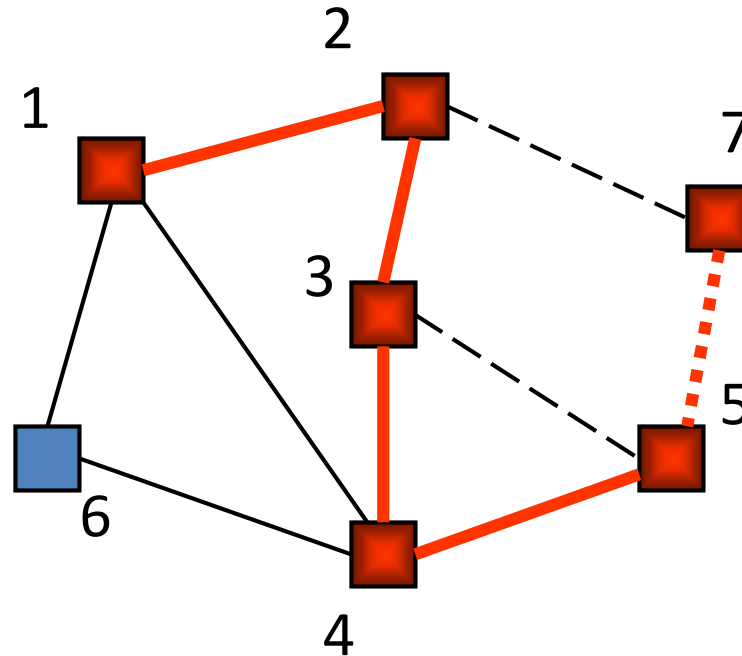
# Example Steps 8 and 9



DFS(1)  
DFS(2)  
DFS(3)  
DFS(4)  
DFS(5)  
DFS(7)

Now back up.

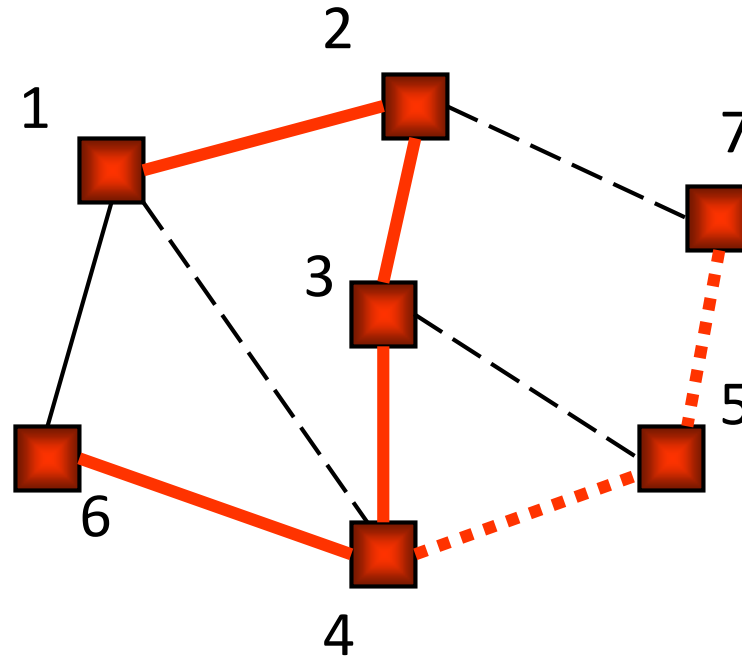
# Example Step 10 (backtrack)



DFS(1)  
DFS(2)  
DFS(3)  
DFS(4)  
DFS(5)

Back to 5,  
but it has no  
more neighbors.

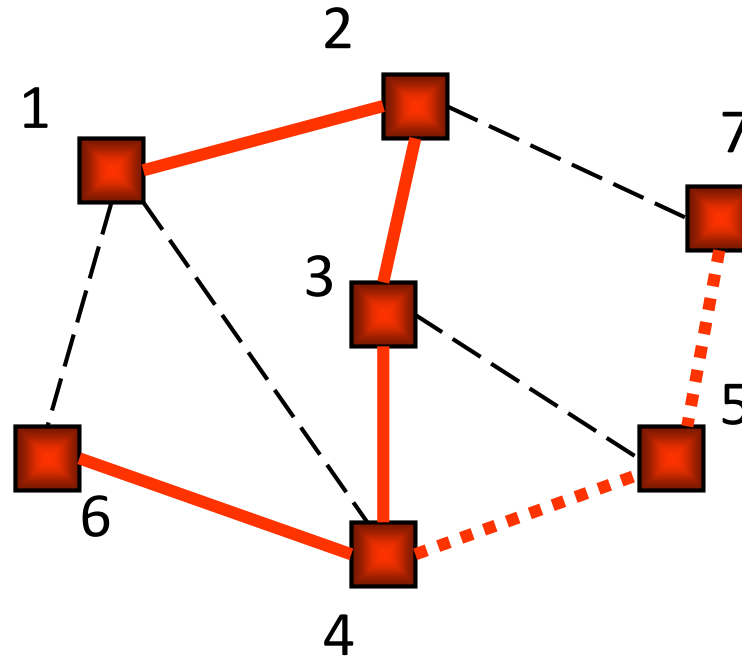
# Example Step 12



DFS(1)  
DFS(2)  
DFS(3)  
DFS(4)  
DFS(6)

Back up to 4.  
From 4 we can  
get to 6.

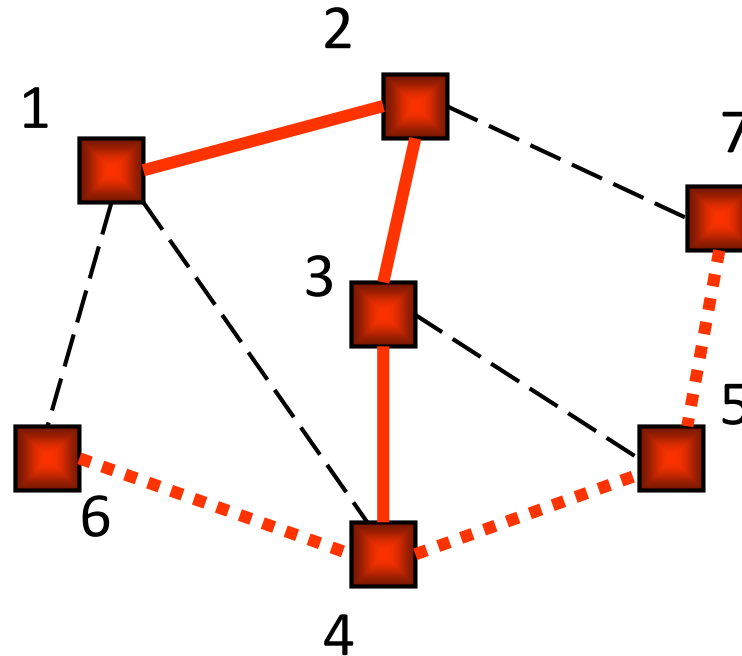
# Example Step 13



DFS(1)  
DFS(2)  
DFS(3)  
DFS(4)  
DFS(6)

From 6 there is  
nowhere new  
to go. Back up.

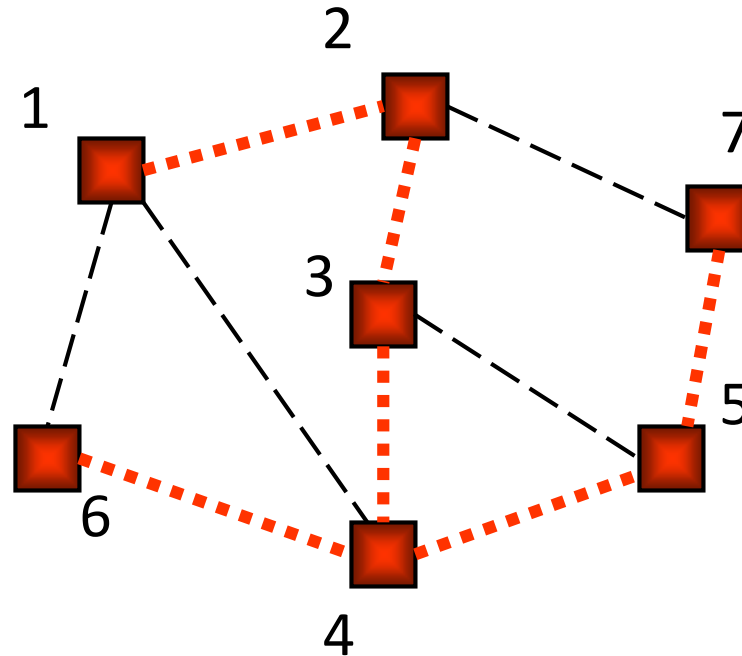
# Example Step 14



DFS(1)  
DFS(2)  
DFS(3)  
DFS(4)

Back to 4.  
Keep backing up.

# Example Step 17



DFS(1)

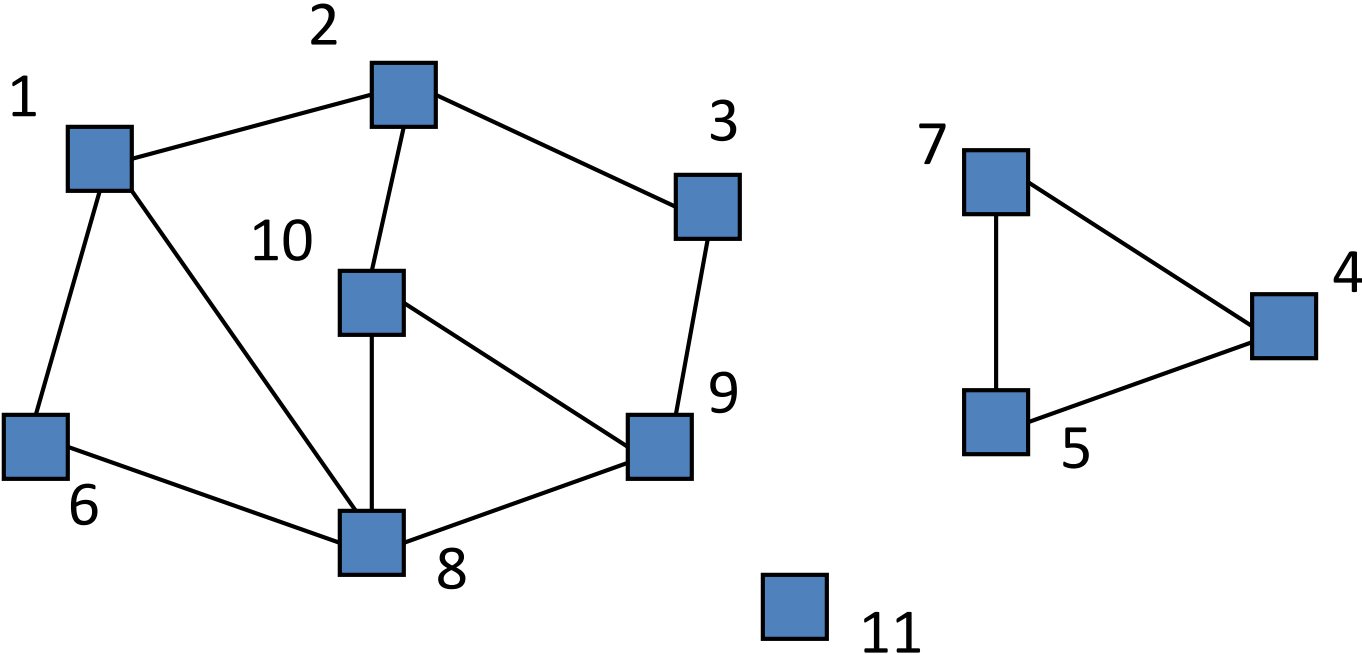
All the way  
back to 1.

Done.

All nodes are marked so graph is connected; red links define a spanning tree

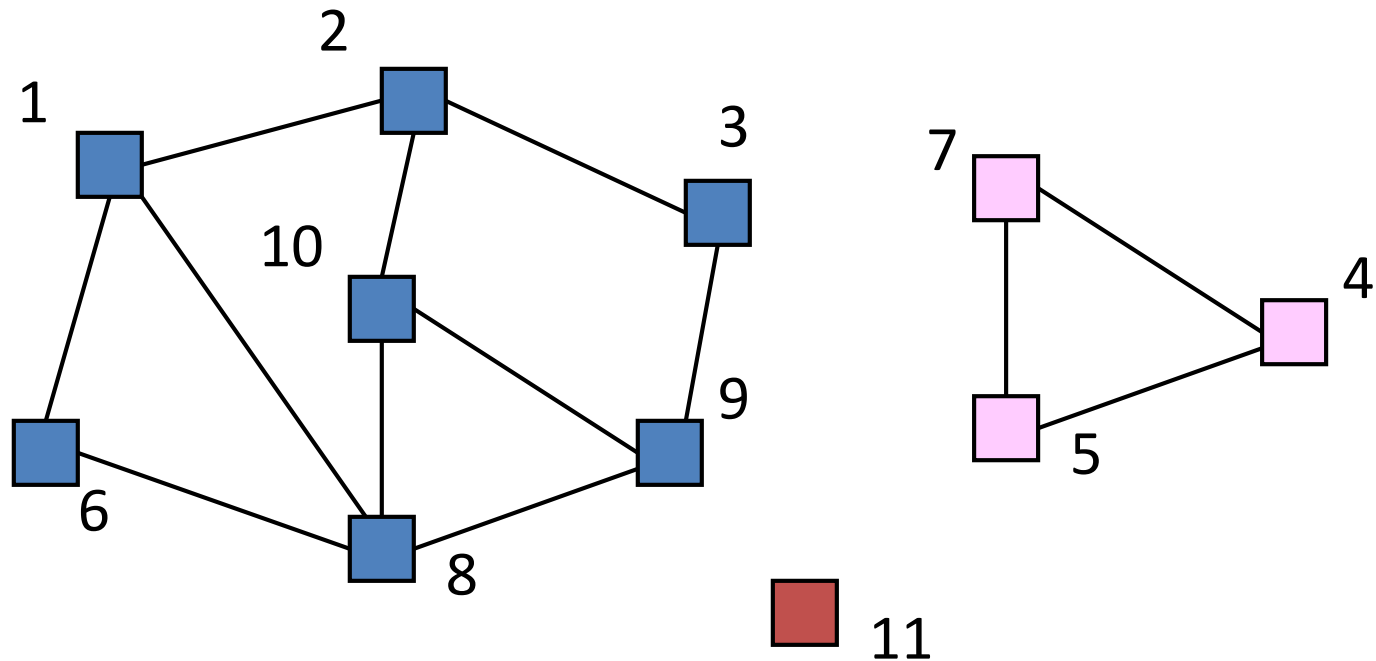


# Finding Connected Components using DFS



3 connected components

# Connected Components



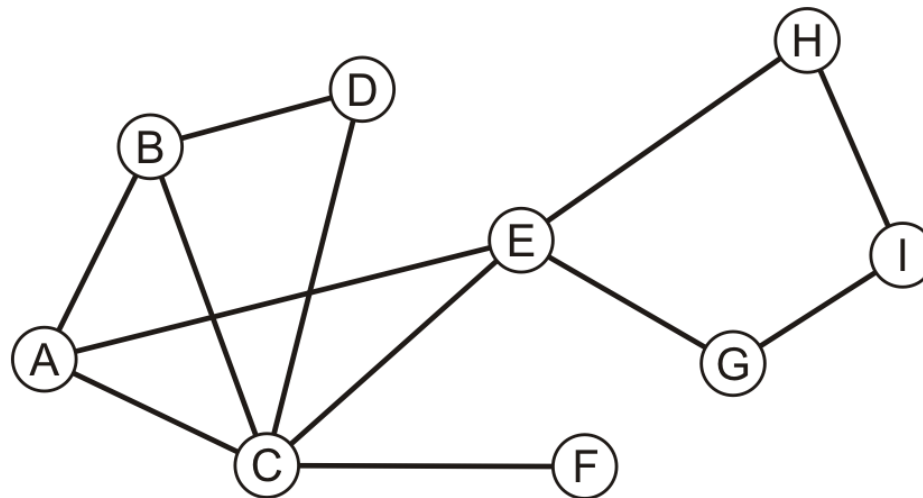
3 connected components are labeled

# Performance DFS

- $n$  vertices and  $m$  edges
- Storage complexity  $O(n + m)$
- Time complexity  $O(n + m)$
- Linear Time!

# Another Example

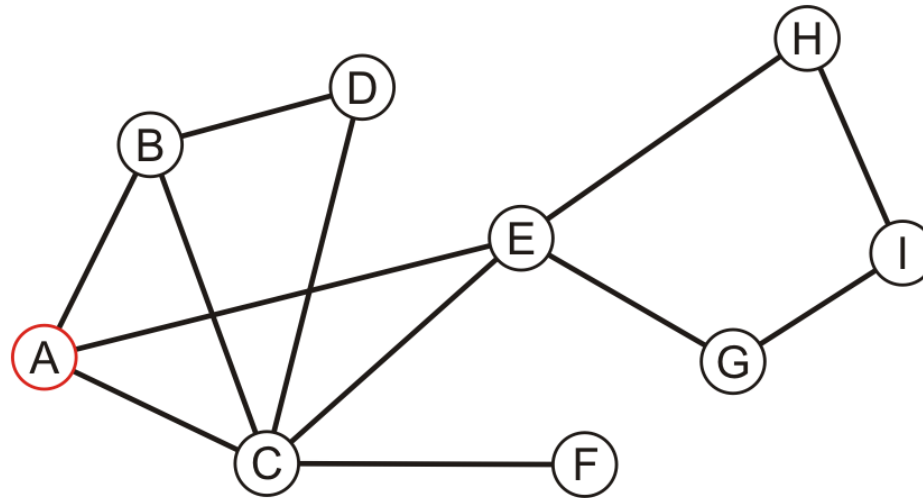
Perform a recursive depth-first traversal on this graph



# Another Example

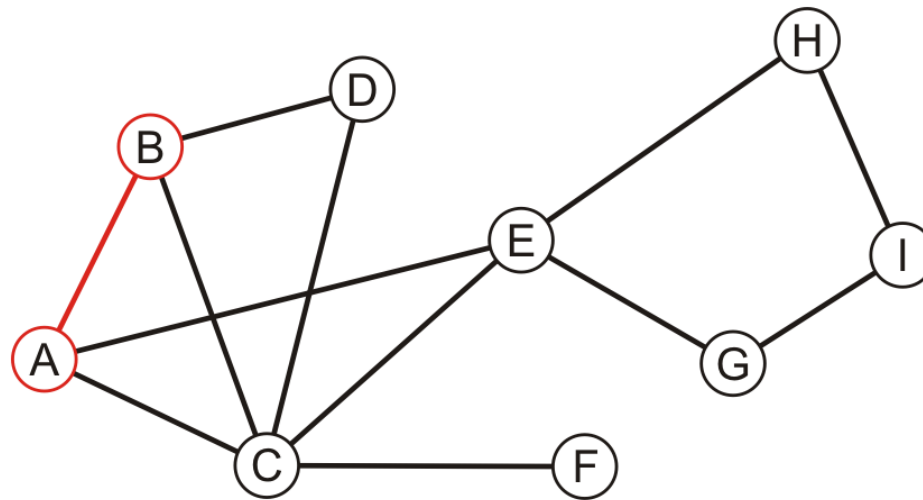
– Visit the first node

A



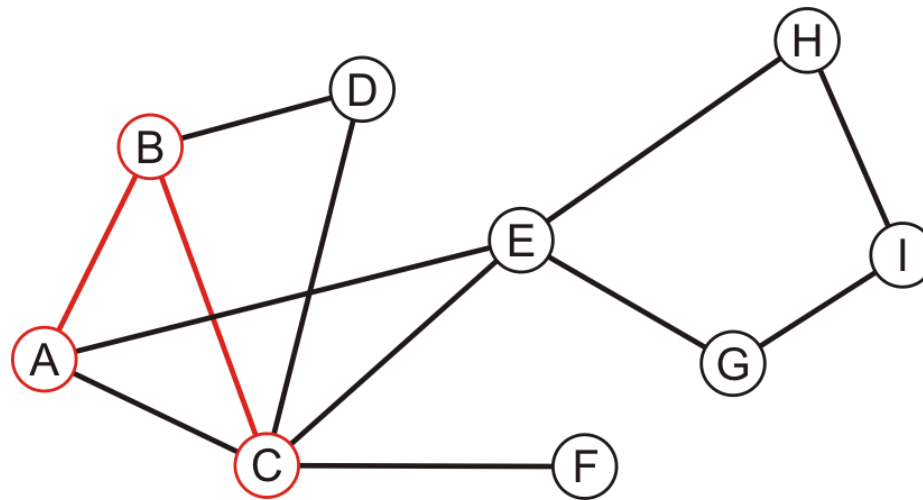
# Another Example

- A has an unvisited neighbor  
A, B



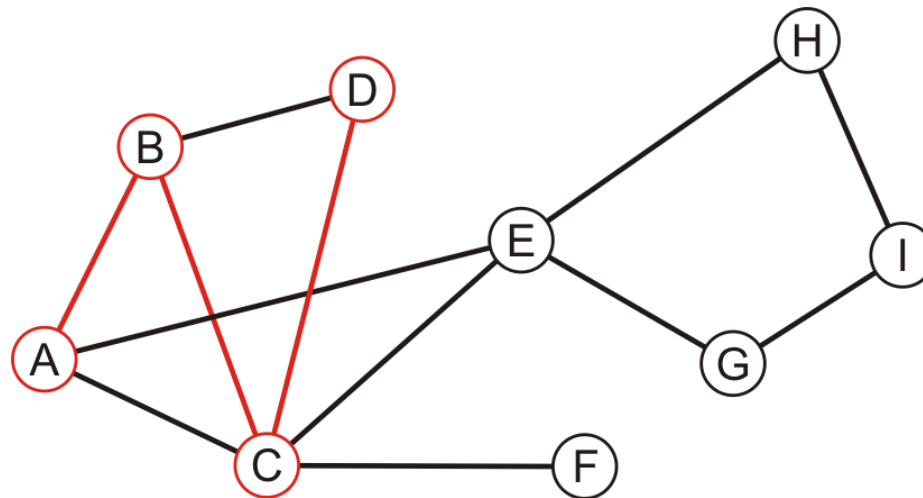
# Another Example

- B has an unvisited neighbor  
A, B, C



# Another Example

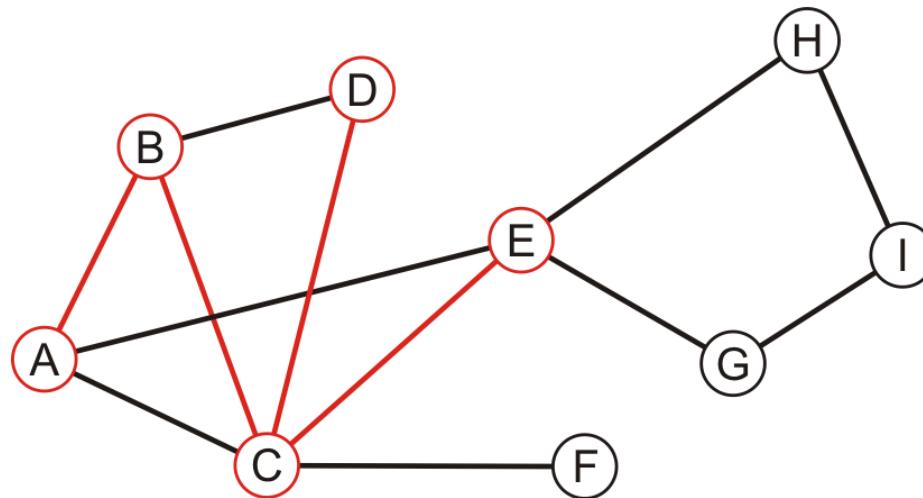
- C has an unvisited neighbor  
A, B, C, D





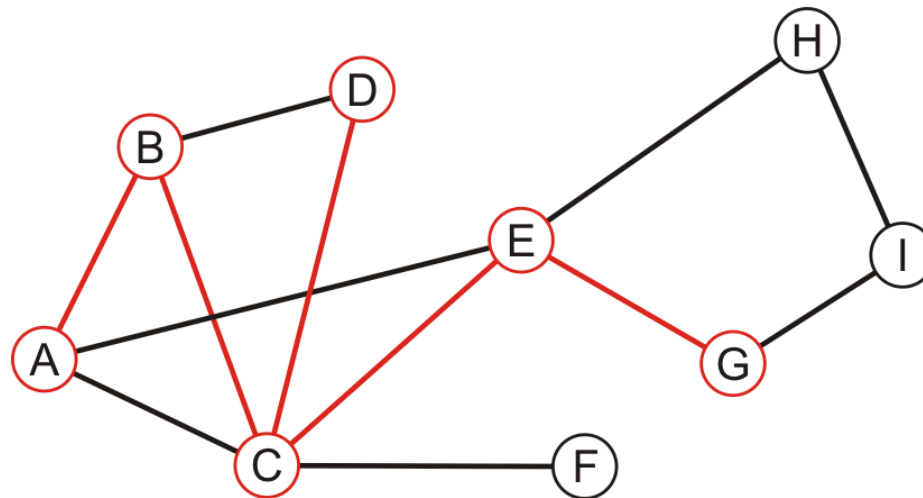
# Another Example

- D has no unvisited neighbors, so we return to C  
A, B, C, D, E



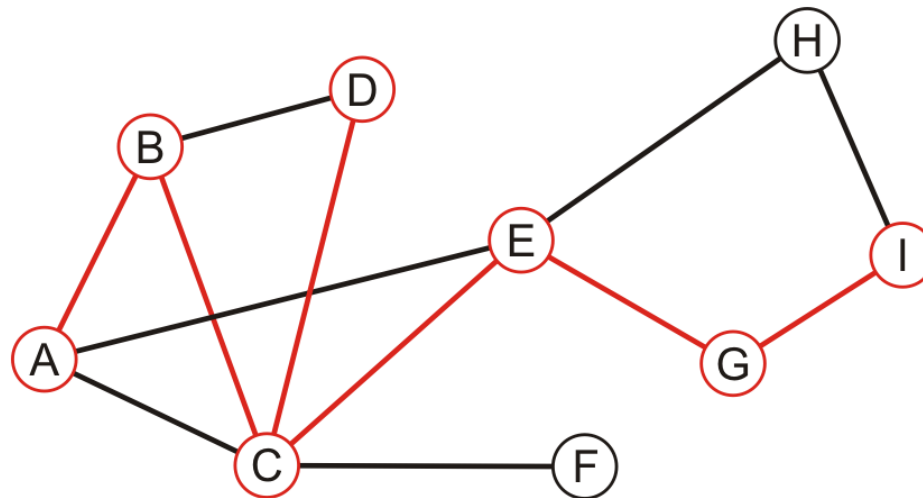
# Another Example

- E has an unvisited neighbor  
A, B, C, D, E, G



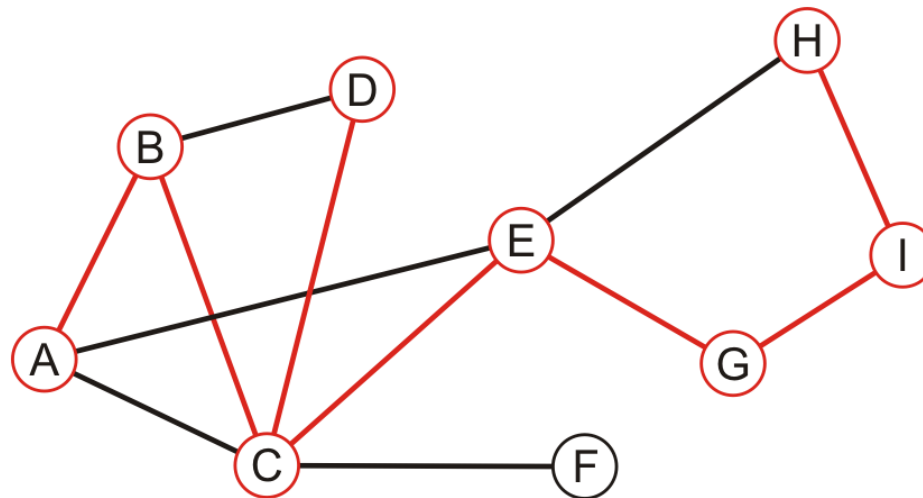
# Another Example

- F has an unvisited neighbor  
A, B, C, D, E, G, I



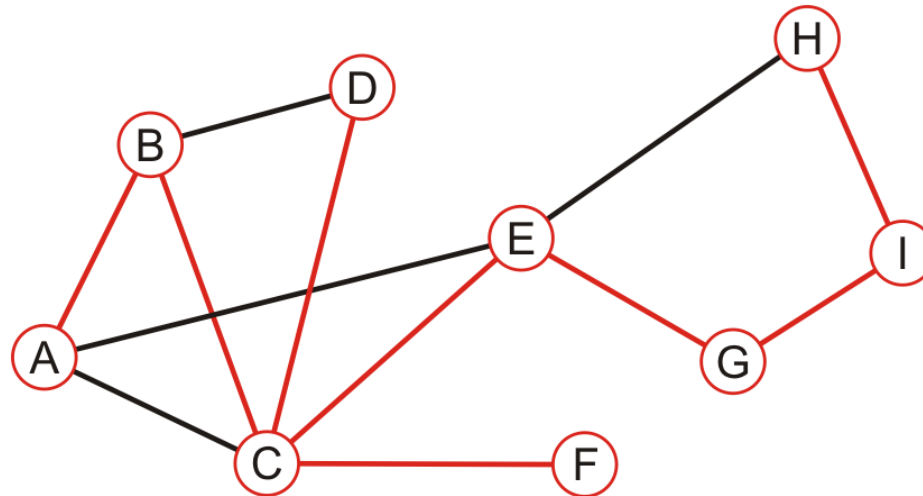
# Another Example

- H has an unvisited neighbor  
A, B, C, D, E, G, I, H



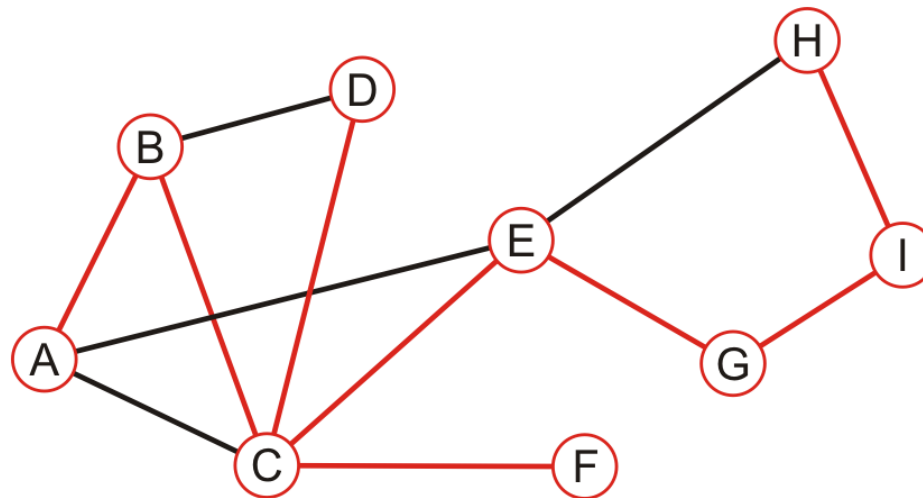
# Another Example

- We recurse back to C which has an unvisited neighbour  
A, B, C, D, E, G, I, H, F



# Another Example

- We recurse finding that no nodes have unvisited neighbours  
A, B, C, D, E, G, I, H, F



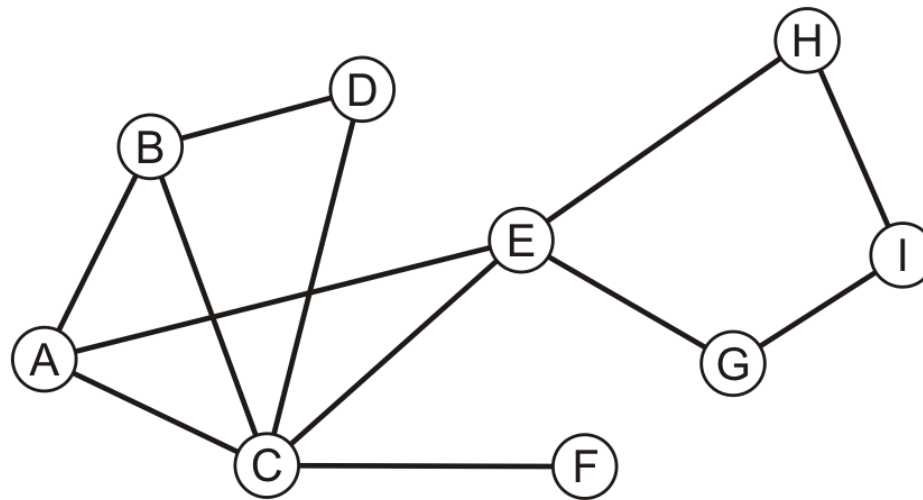
# Graph Searching Methodology

## Breadth-First Search (BFS)

- Breadth-First Search (BFS)
  - Use a queue to explore neighbors of source vertex, then neighbors of neighbors etc.
  - All nodes at a given distance (in number of edges) are explored before we go further

# Example

Consider the graph from previous example

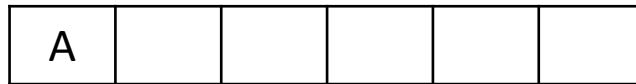
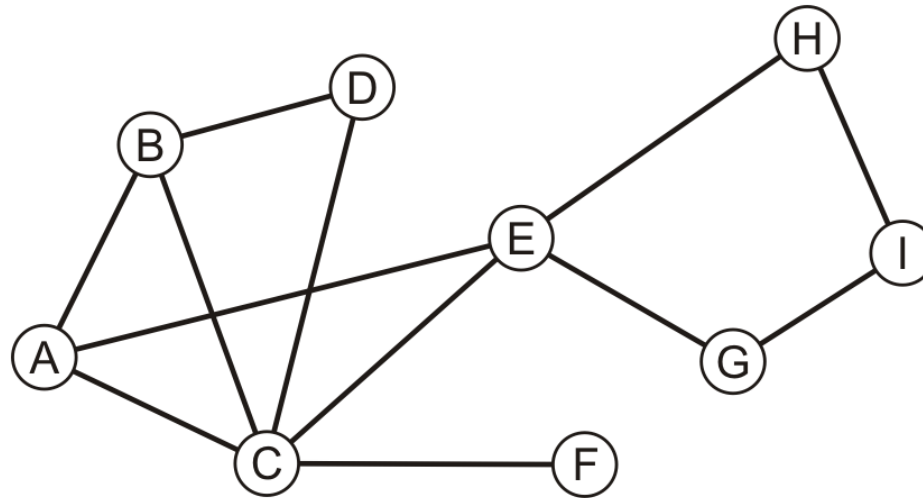




# Example

Performing a breadth-first traversal

- Push the first vertex onto the queue

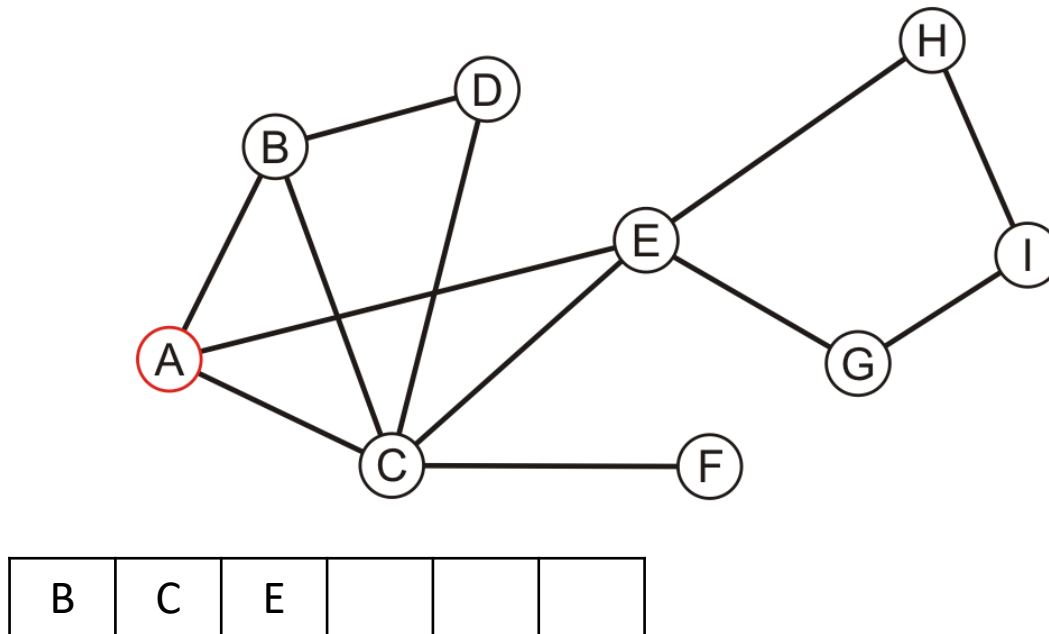


# Example

Performing a breadth-first traversal

- Pop A and push B, C and E

A

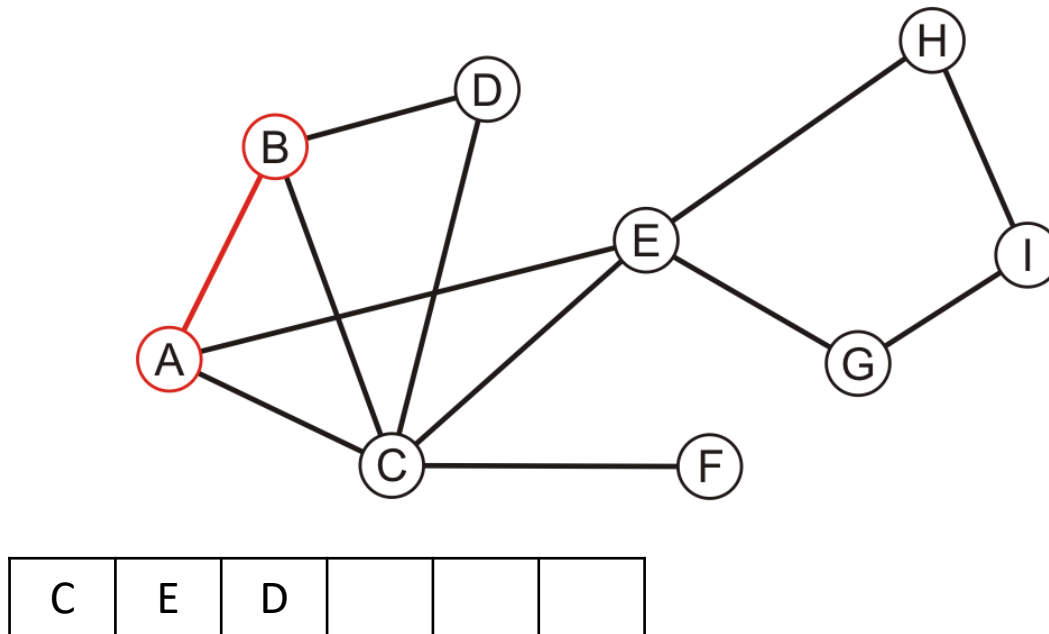


# Example

Performing a breadth-first traversal:

– Pop B and push D

A, B

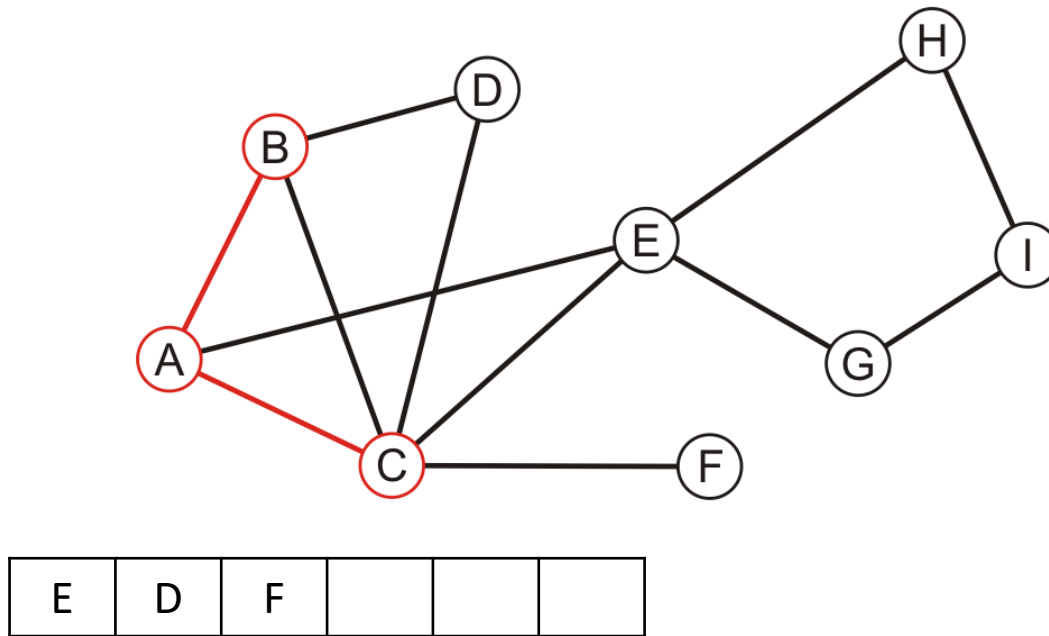


# Example

Performing a breadth-first traversal:

– Pop C and push F

A, B, C

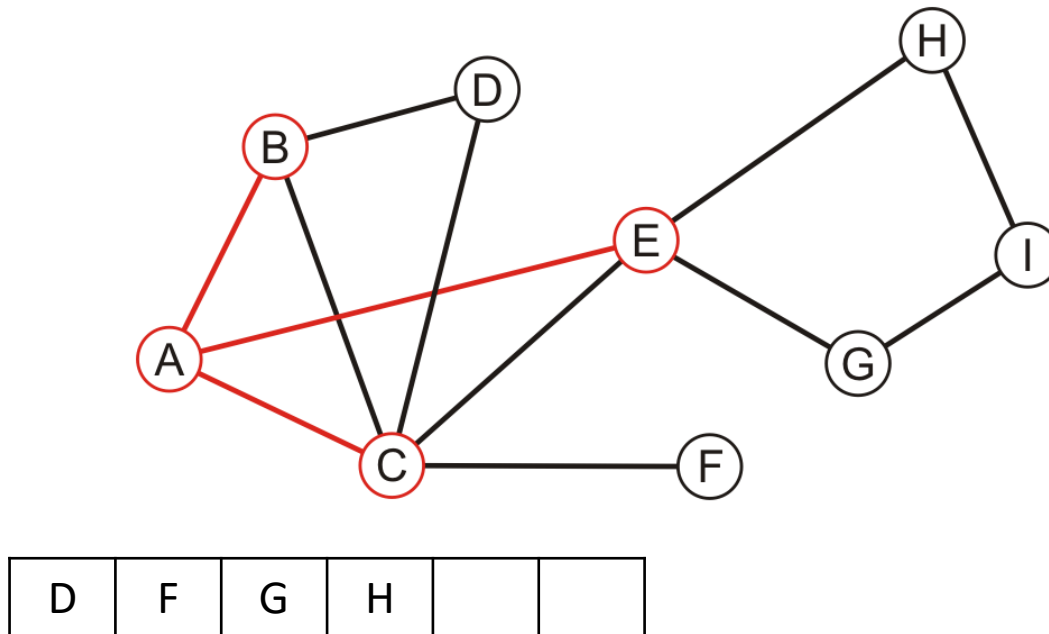


# Example

Performing a breadth-first traversal:

– Pop E and push G and H

A, B, C, E

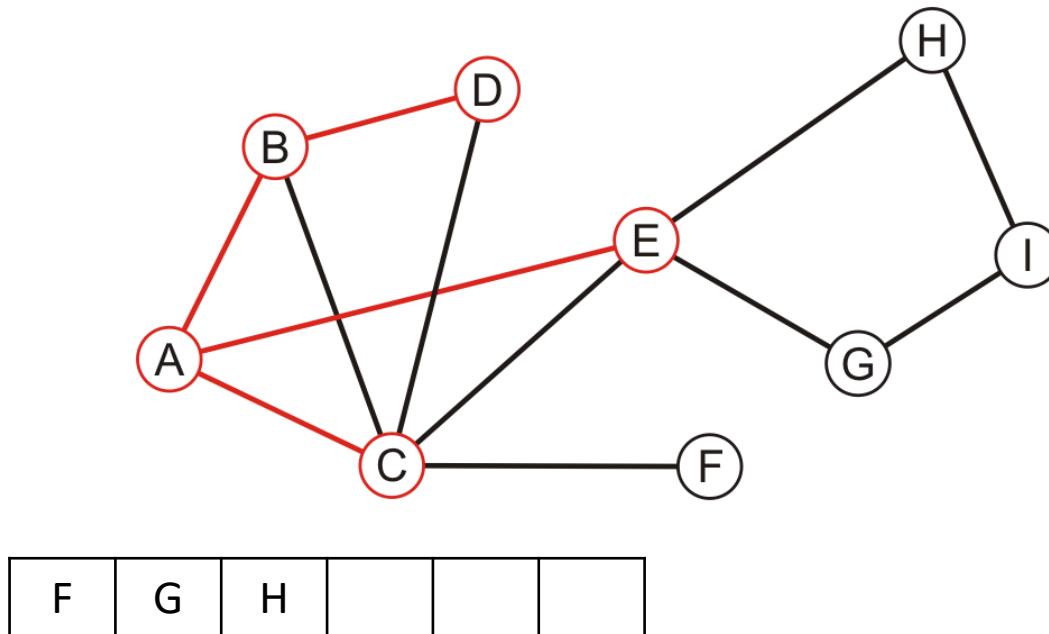


# Example

Performing a breadth-first traversal:

– Pop D

A, B, C, E, D

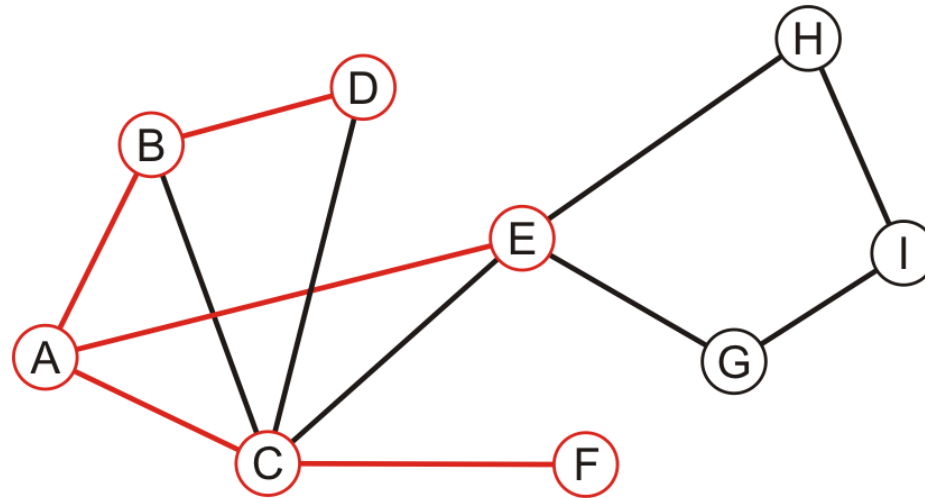


# Example

Performing a breadth-first traversal:

– Pop F

A, B, C, E, D, F



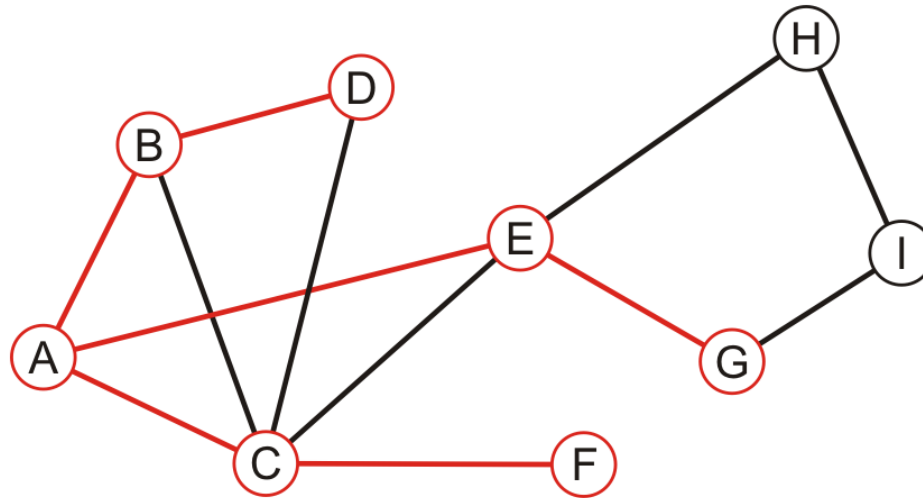
G	H				
---	---	--	--	--	--

# Example

Performing a breadth-first traversal:

– Pop G and push I

A, B, C, E, D, F, G



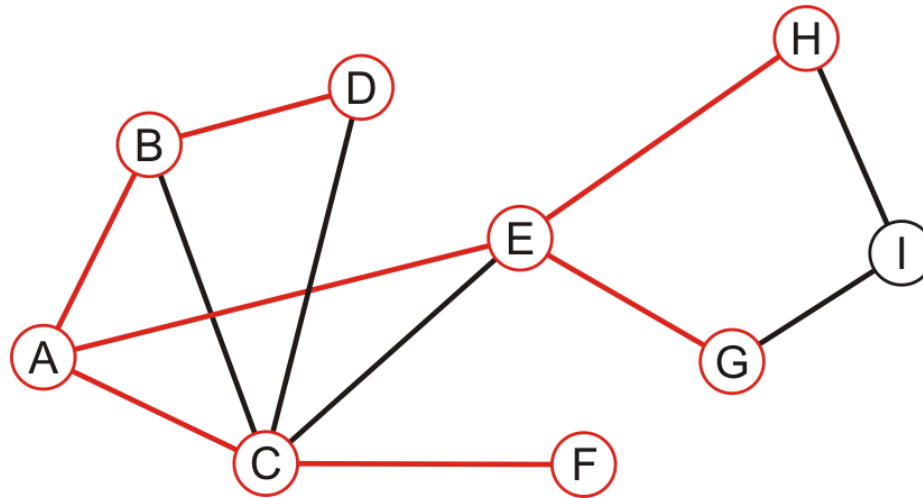


# Example

Performing a breadth-first traversal:

– Pop H

A, B, C, E, D, F, G, H

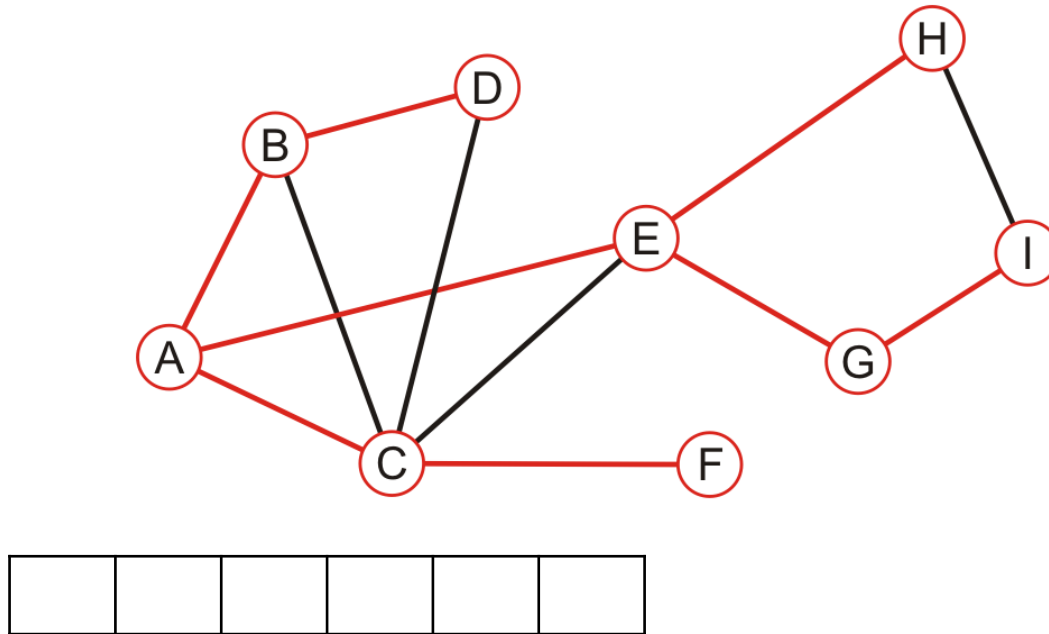


# Example

Performing a breadth-first traversal:

– Pop I

A, B, C, E, D, F, G, H, I

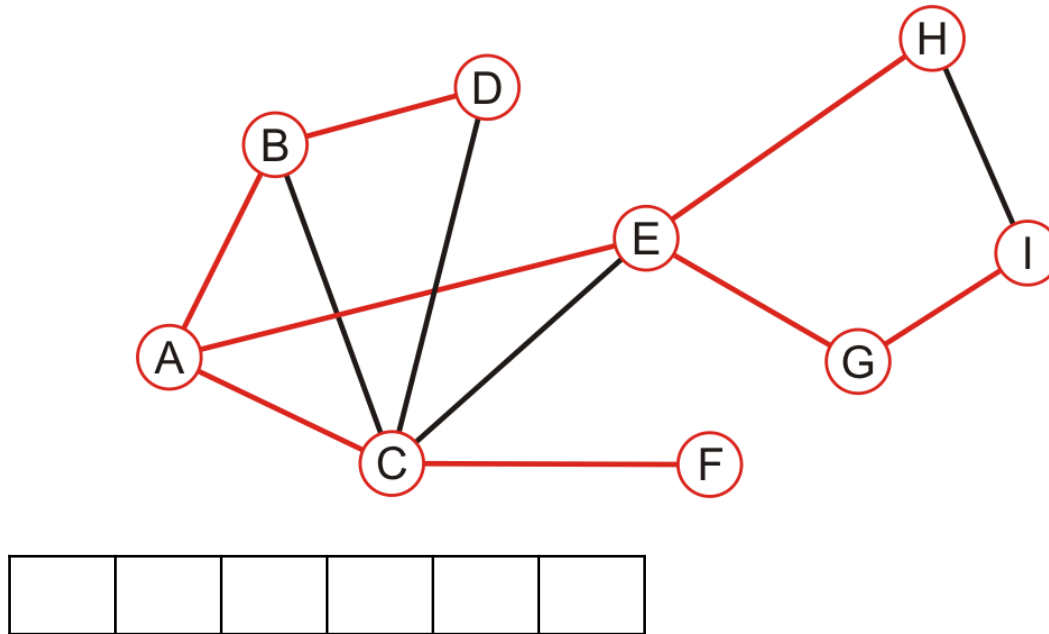


# Example

Performing a breadth-first traversal:

– The queue is empty: we are finished

A, B, C, E, D, F, G, H, I



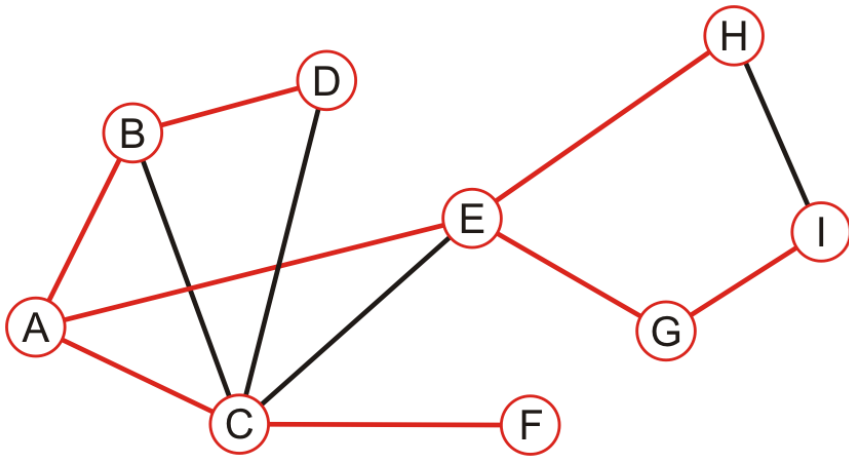
# Breadth-First Search

```
BFS
Initialize Q to be empty;
Enqueue(Q,1) and mark 1;
while Q is not empty do
  i := Dequeue(Q);
  for each j adjacent to i do
    if j is not marked then
      Enqueue(Q,j) and mark j;
end{BFS}
```

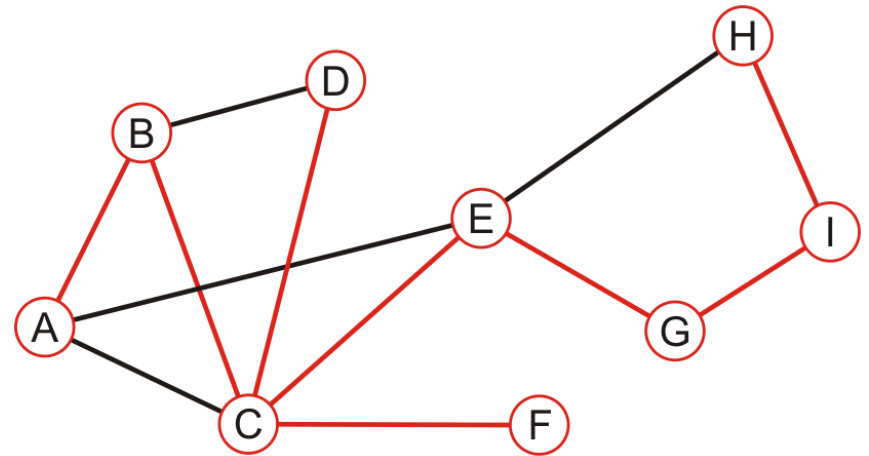
# Comparison

The order in which vertices can differ greatly

A, B, C, E, D, F, G, H, I



A, B, C, D, E, G, I, H, F

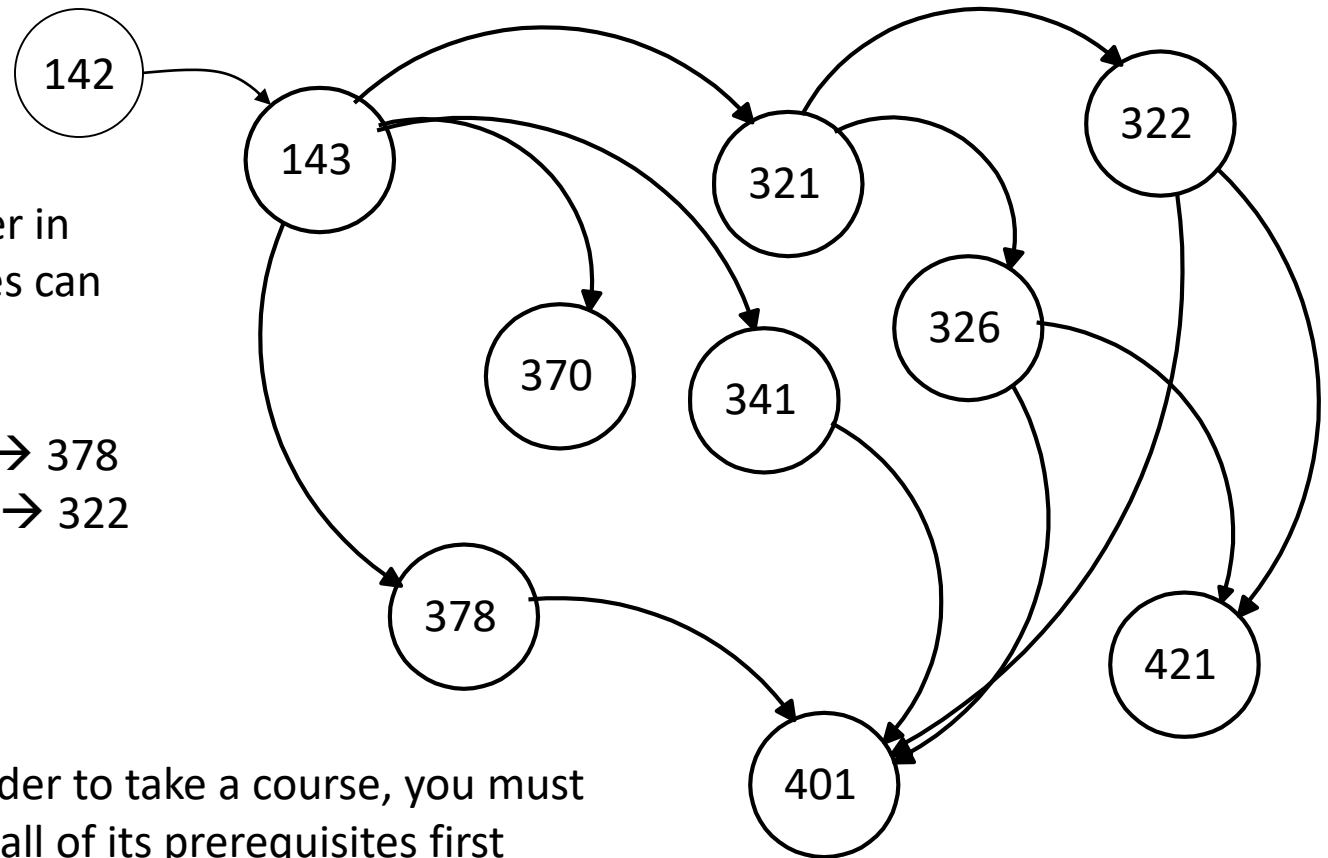


# Depth-First vs Breadth-First

- Depth-First
  - Stack or recursion
  - Many applications
- Breadth-First
  - Queue (recursion no help)
  - Can be used to find shortest paths from the start vertex

# Topological Sort

# Topological Sort



**Problem:** Find an order in which all these courses can be taken.

Example: 142 → 143 → 378  
→ 370 → 321 → 341 → 322  
→ 326 → 421 → 401

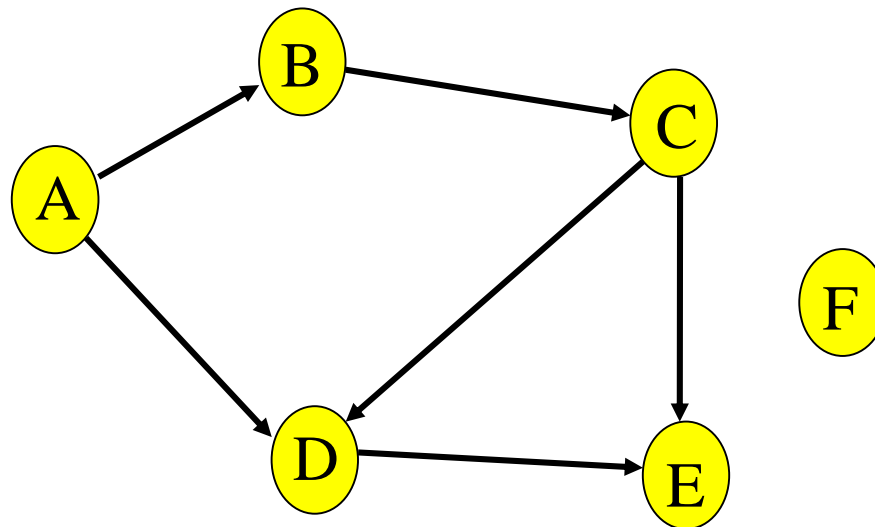
In order to take a course, you must take all of its prerequisites first



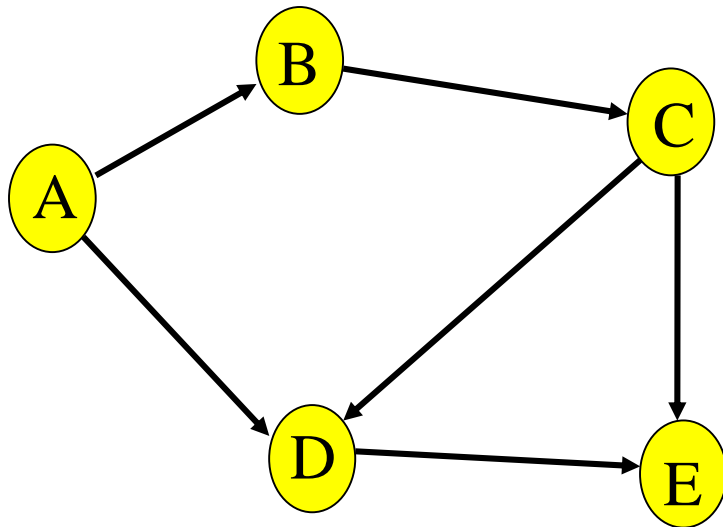
# Topological Sort

Given a digraph  $G = (V, E)$ , find a linear ordering of its vertices such that:

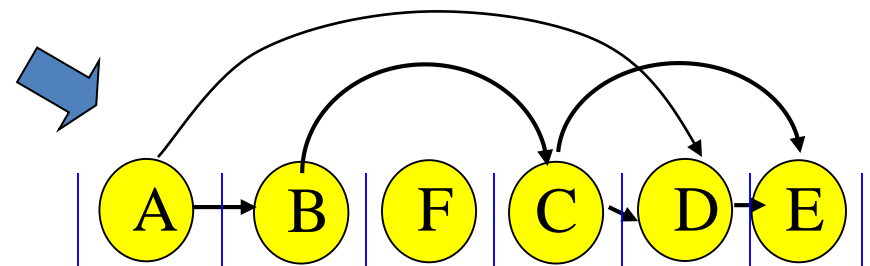
for any edge  $(v, w)$  in  $E$ ,  $v$  precedes  $w$  in the ordering



# Topo sort - good example

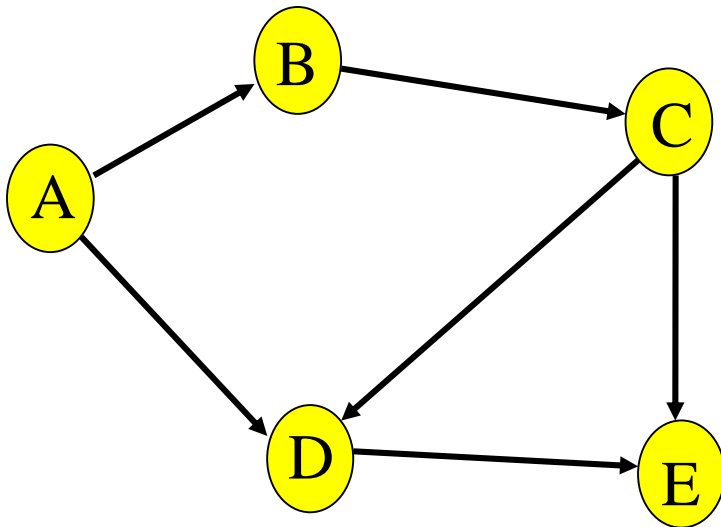


Any linear ordering in which all the arrows go to the right is a valid solution

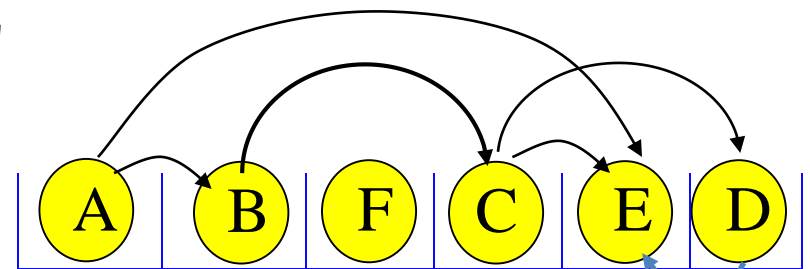


Note that F can go anywhere in this list because it is not connected.  
Also the solution is not unique.

# Topo sort - bad example



Any linear ordering in which an arrow goes to the **left** is not a valid solution



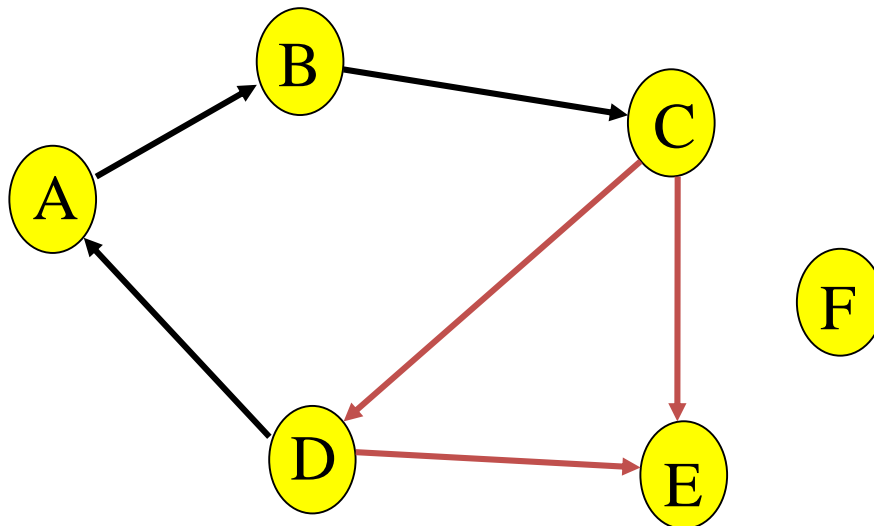
NO!

# Paths and Cycles

- Given a digraph  $G = (V, E)$ , a **path** is a sequence of vertices  $v_1, v_2, \dots, v_k$  such that:
  - $(v_i, v_{i+1})$  in  $E$  for  $1 \leq i < k$
  - path **length** = number of edges in the path
  - path **cost** = sum of costs of each edge
- A path is a **cycle** if :
  - $k > 1; v_1 = v_k$
- $G$  is **acyclic** if it has no cycles.

# Only acyclic graphs can be topo. sorted

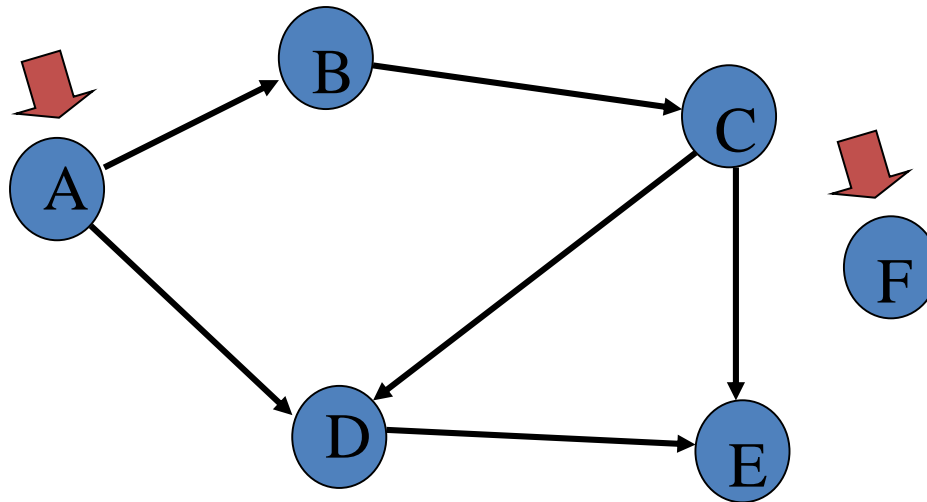
- A directed graph with a cycle cannot be topologically sorted.



# Topo sort algorithm - 1

Step 1: Identify vertices that have no incoming edges

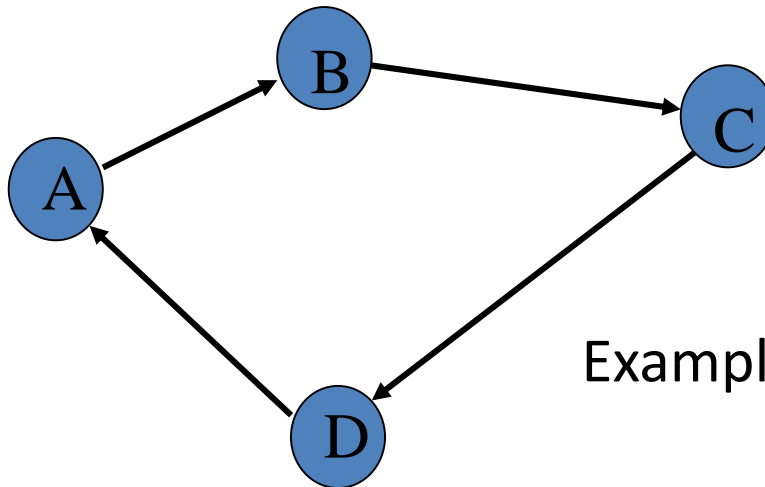
- The “in-degree” of these vertices is zero



# Topo sort algorithm - 1a

Step 1: Identify vertices that have no incoming edges

- If *no such vertices*, graph has only cycle(s) (cyclic graph)
- Topological sort not possible – Halt.

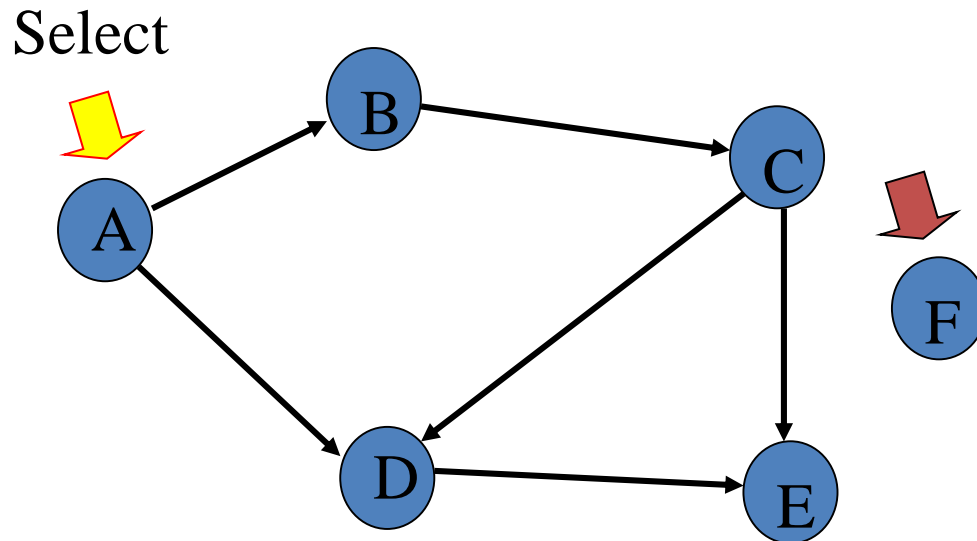


Example of a cyclic graph

# Topo sort algorithm - 1b

Step 1: Identify vertices that have no incoming edges

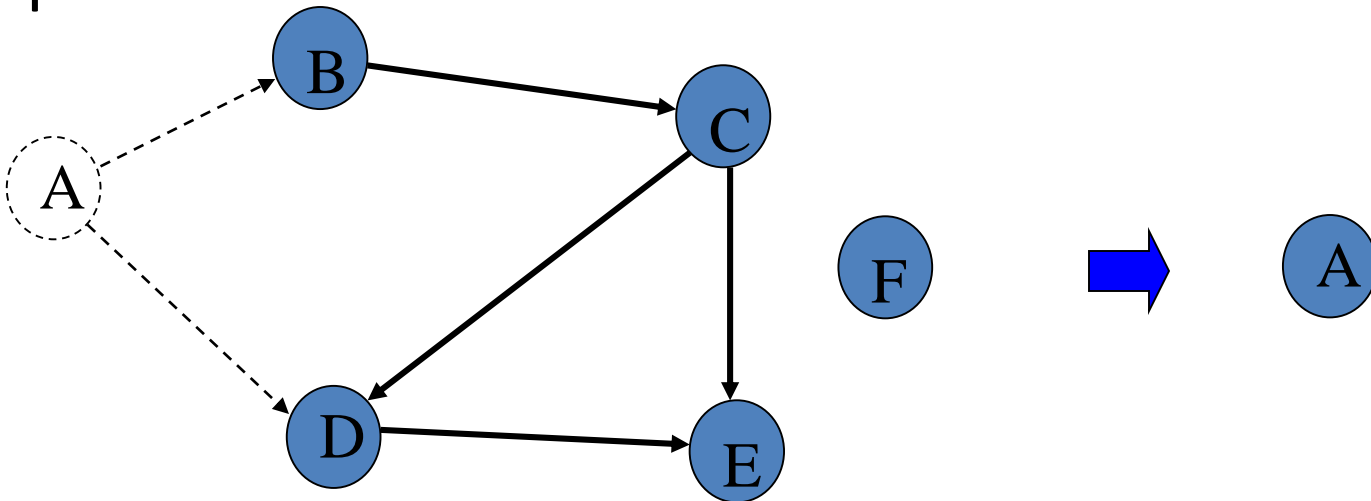
- Select one such vertex





# Topo sort algorithm - 2

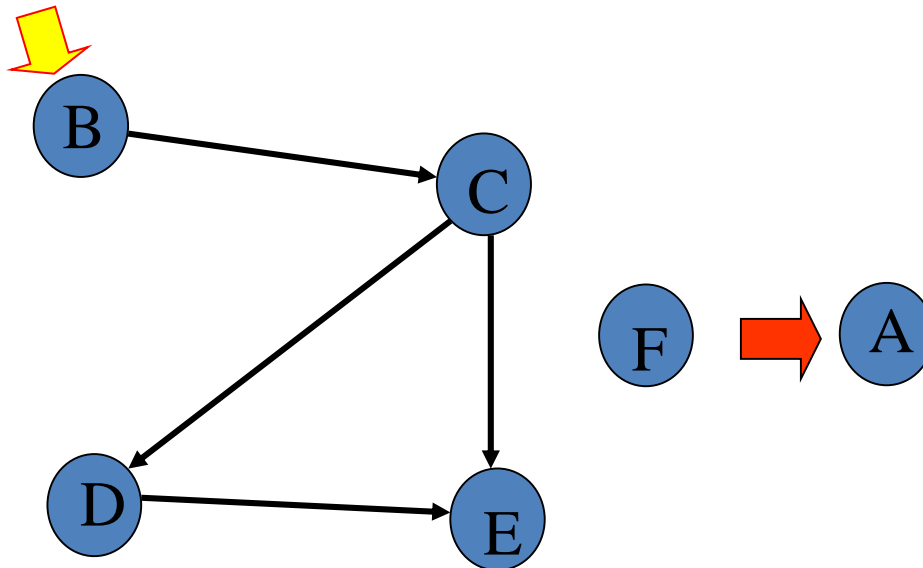
Step 2: Delete this vertex of in-degree 0 and all its outgoing edges from the graph. Place it in the output.



# Continue until done

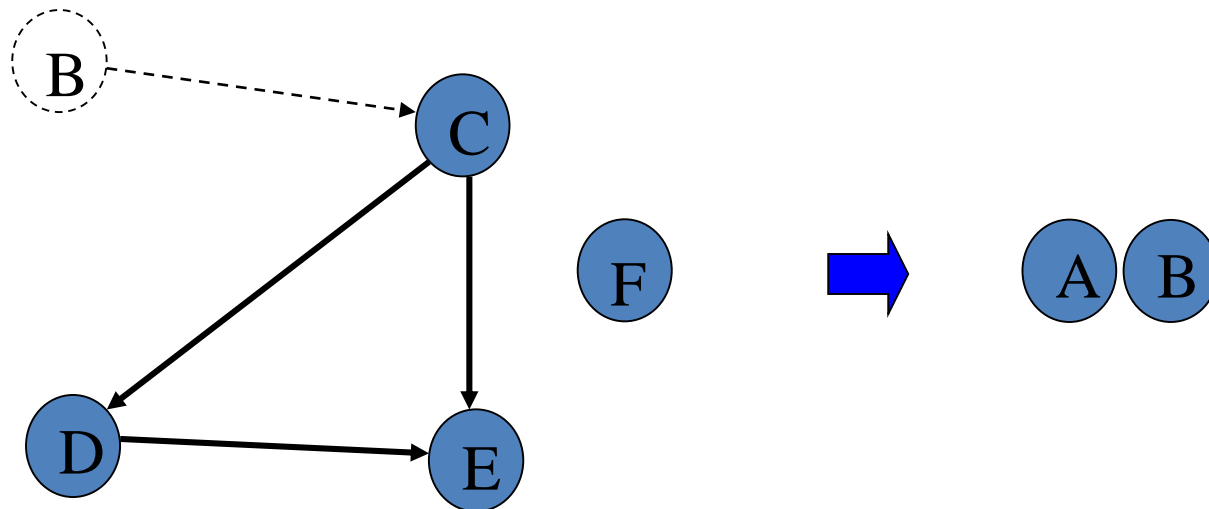
Repeat Step 1 and Step 2 until graph is empty

Select



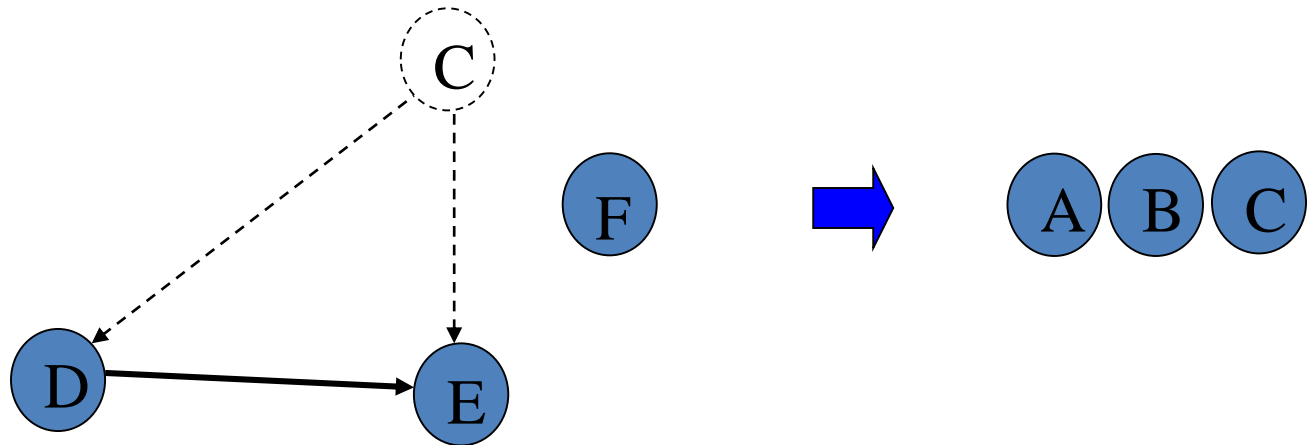
**B**

Select B. Copy to sorted list. Delete B and its edges.



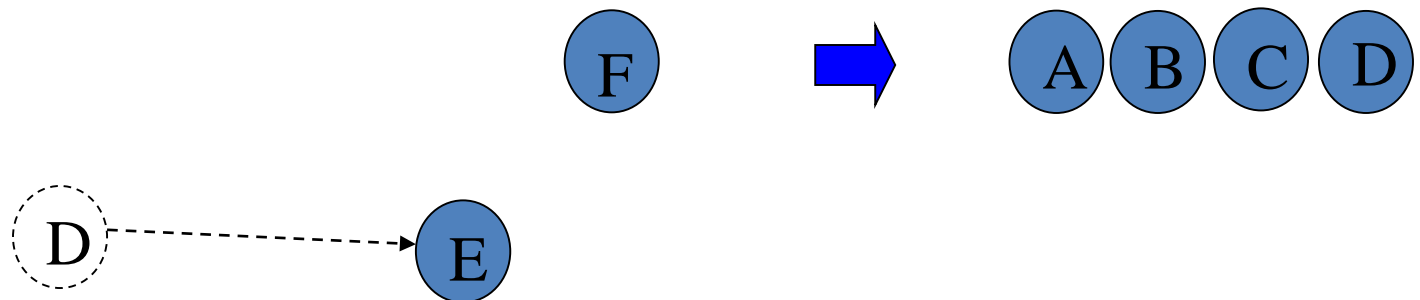
C

Select C. Copy to sorted list. Delete C and its edges.



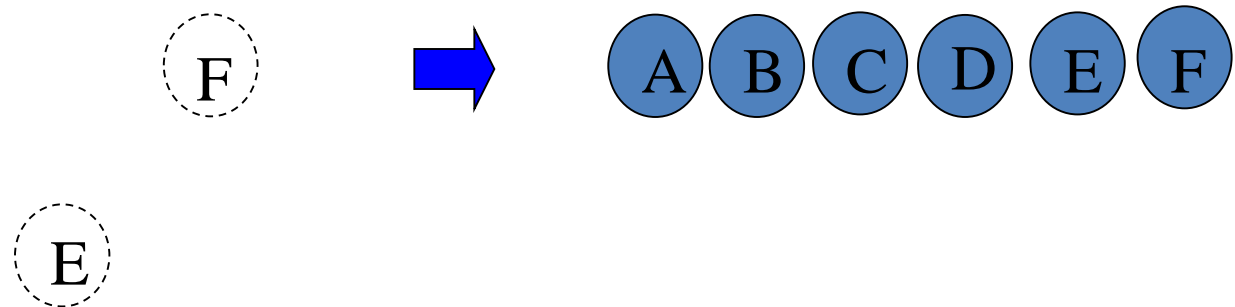
D

Select D. Copy to sorted list. Delete D and its edges.

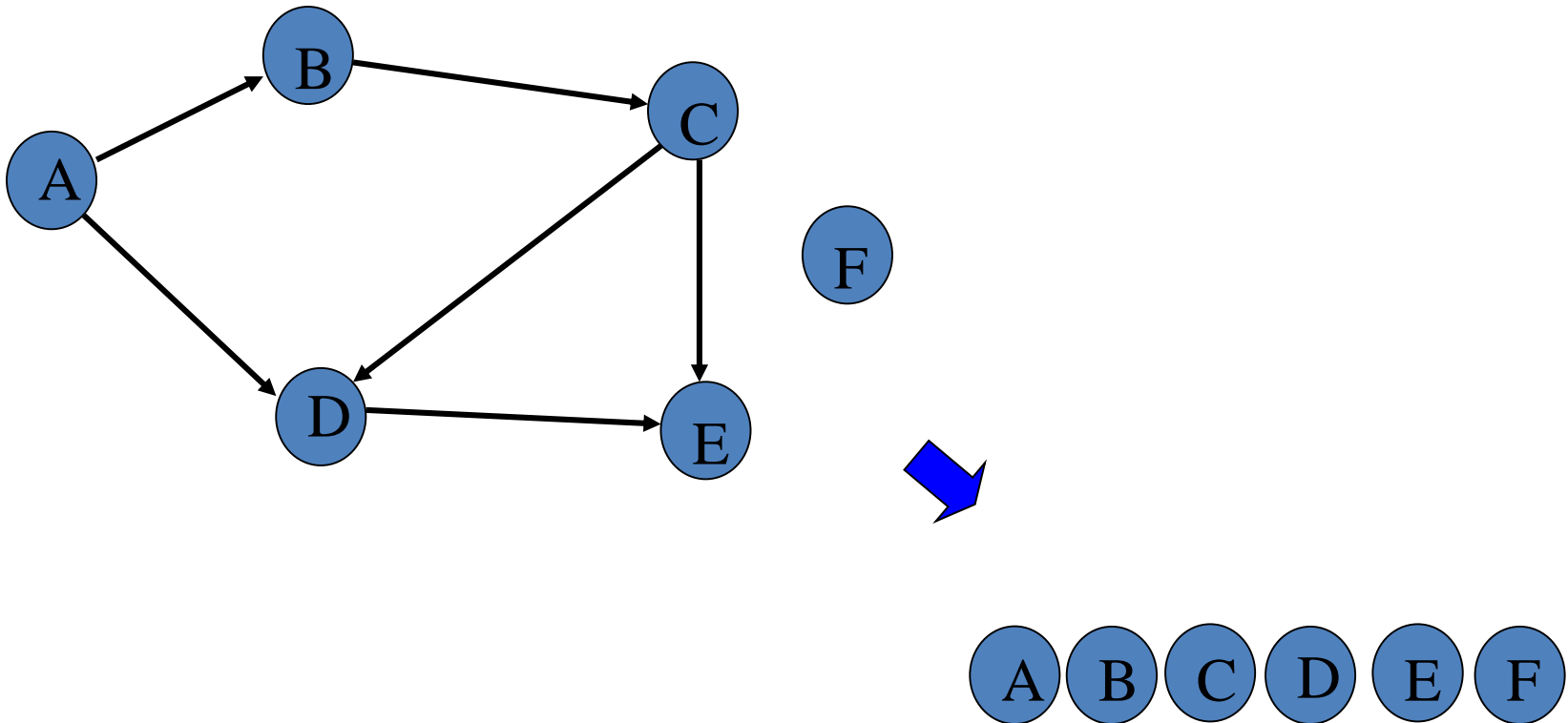


E, F

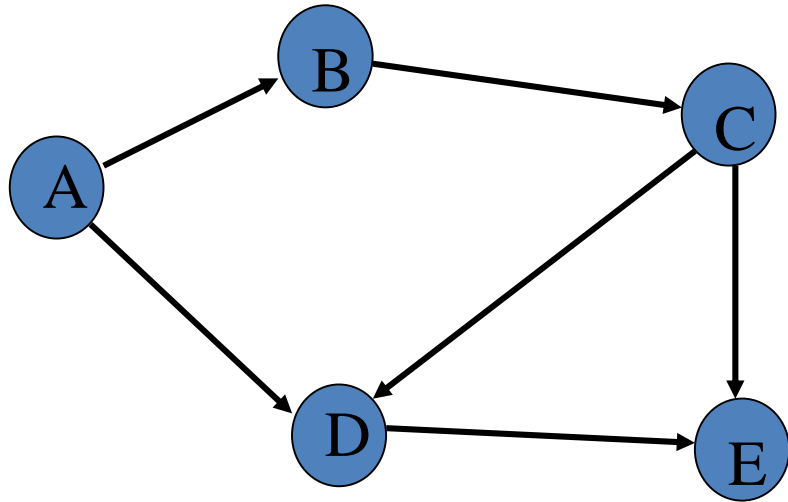
Select E. Copy to sorted list. Delete E and its edges.  
Select F. Copy to sorted list. Delete F and its edges.



Done



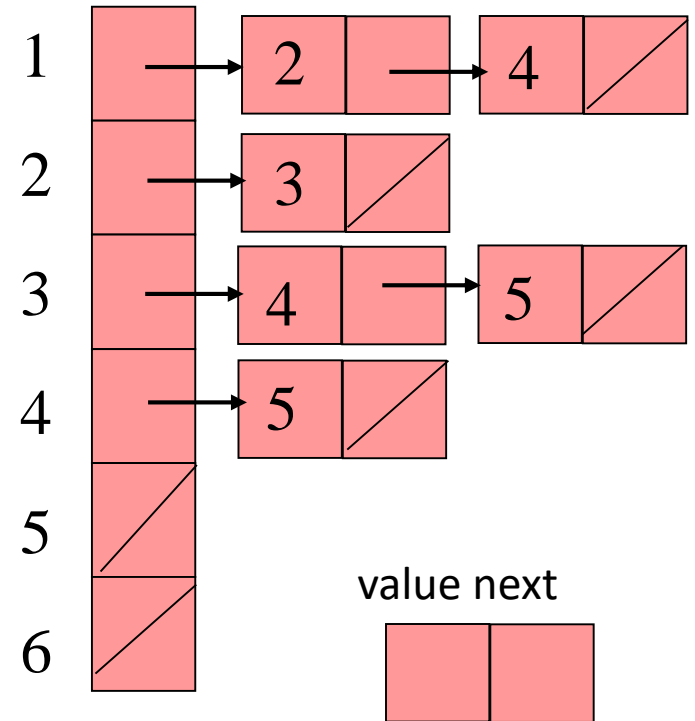
# Implementation



Translation  
array

1	2	3	4	5	6
A	B	C	D	E	F

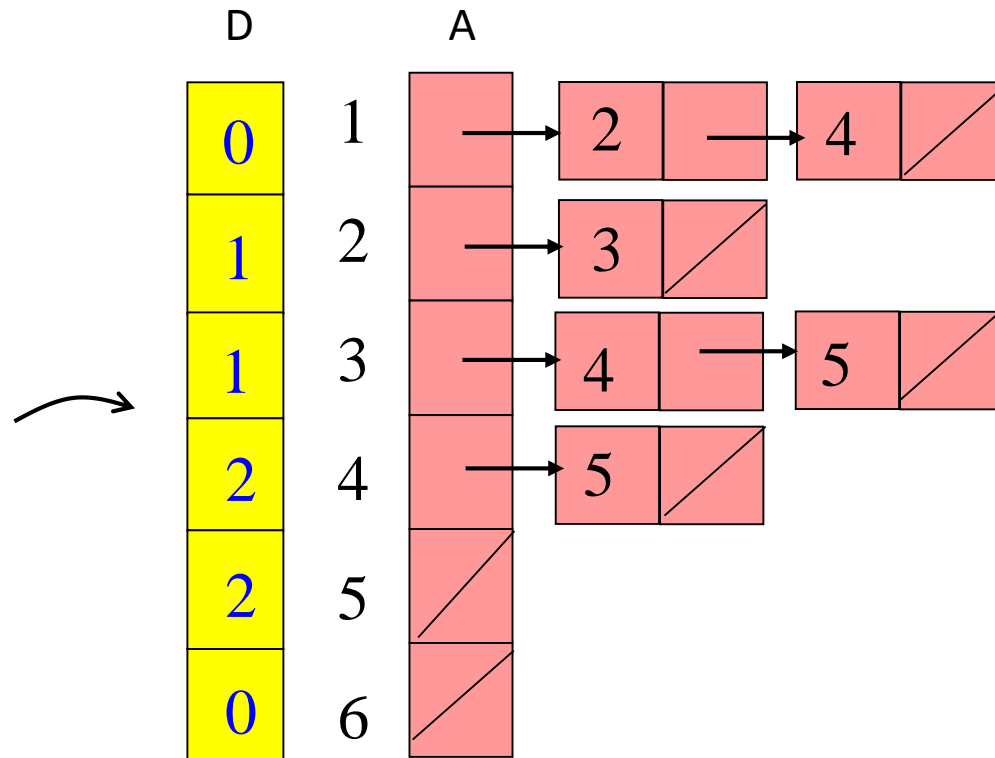
Assume adjacency list  
representation





# Calculate In-degrees

In-Degree  
array; or add a  
field to array A



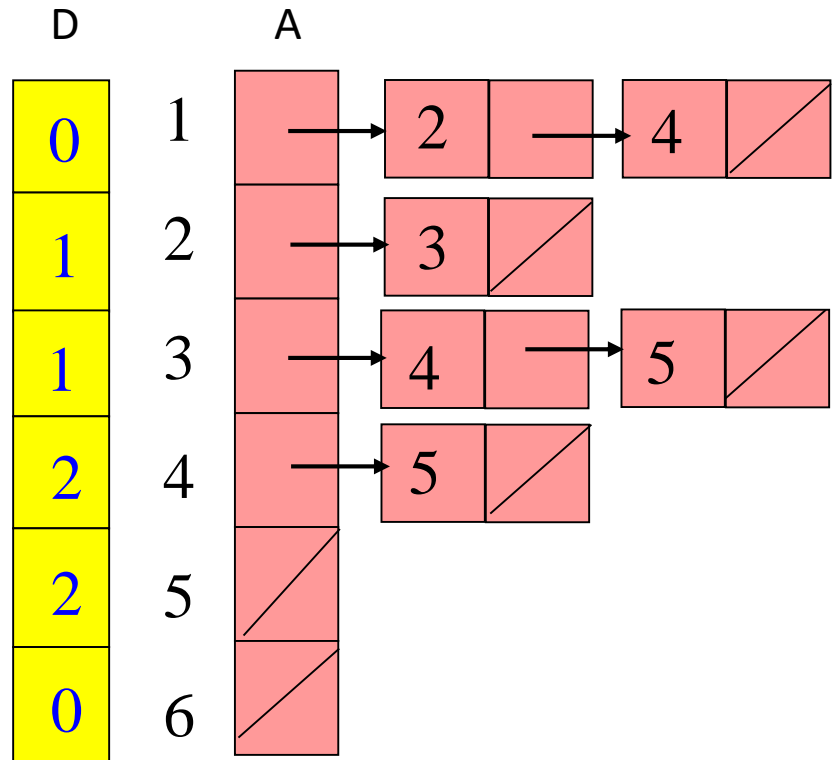
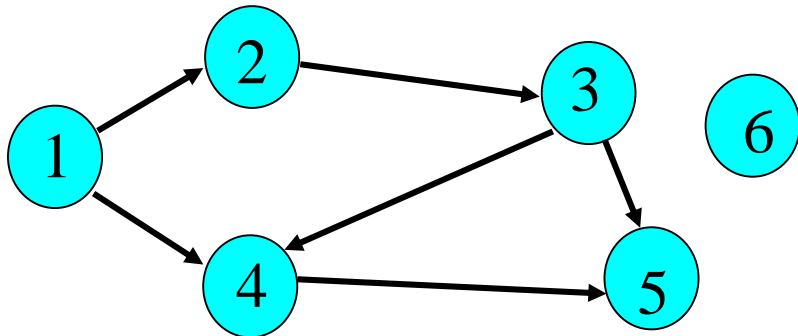
# Calculate In-degrees

```
for i = 1 to n do D[i] := 0; endfor
for i = 1 to n do
  x := A[i];
  while x ≠ null do
    D[x.value] := D[x.value] + 1;
    x := x.next;
  endwhile
endfor
```

# Maintaining Degree 0 Vertices

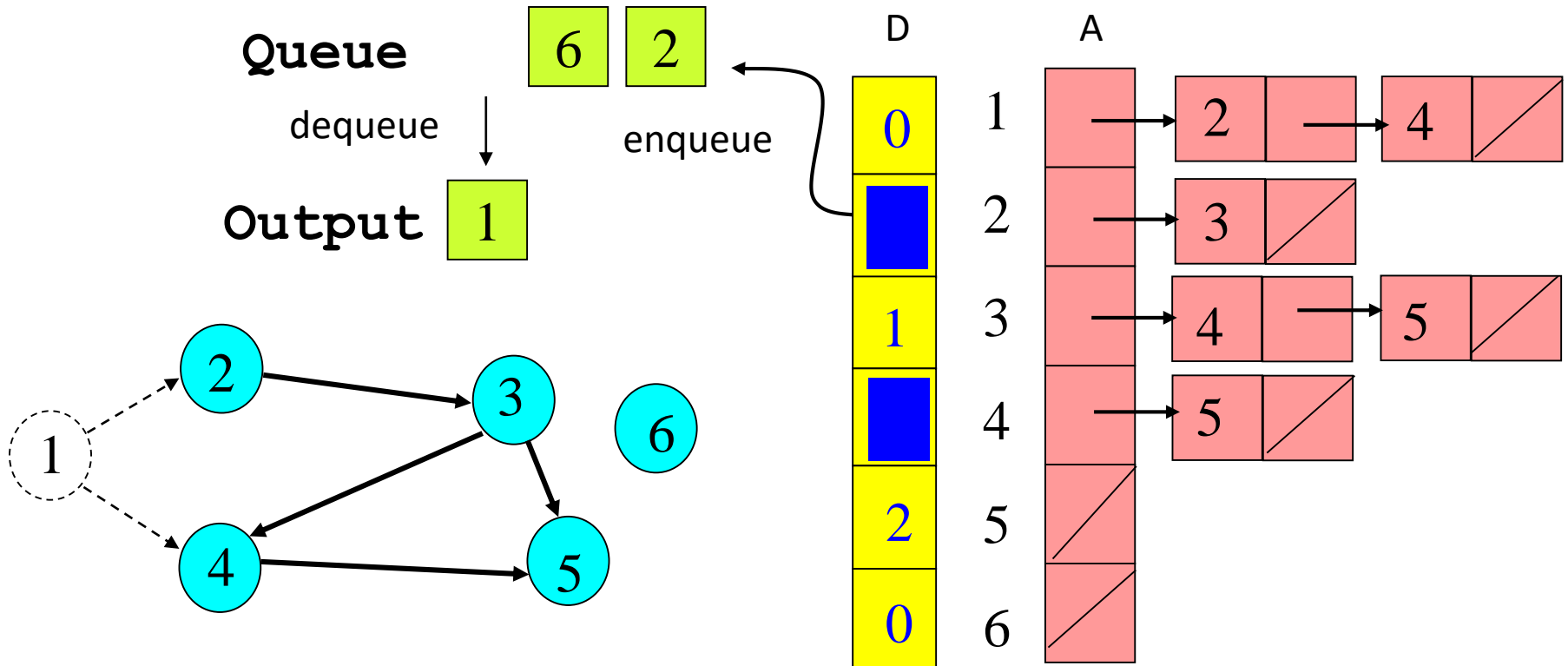
Key idea: Initialize and maintain a *queue* (or *stack*) of **vertices with In-Degree 0**

Queue 1 6



# Topo Sort using a Queue (breadth-first)

After each vertex is output, when updating In-Degree array, enqueue any vertex whose In-Degree becomes zero



# Topological Sort Algorithm

1. Store each vertex's In-Degree in an array D
2. Initialize queue with all "in-degree=0" vertices
3. While there are vertices remaining in the queue:
  - (a) Dequeue and output a vertex
  - (b) Reduce In-Degree of all vertices adjacent to it by 1
  - (c) Enqueue any of these vertices whose In-Degree became zero
4. If all vertices are output then success, otherwise there is a cycle.