

Universal Hash functions

Given a universe $\mathcal{U} : 0, 1, 2, \dots, N-1$

a subset $S \subset \mathcal{U}$ $|S| = n$

a table $T : 0, 1, 2, \dots, m-1$

we want to find a mapping

$h : \mathcal{U} \rightarrow T$ such that

S "behaves well"

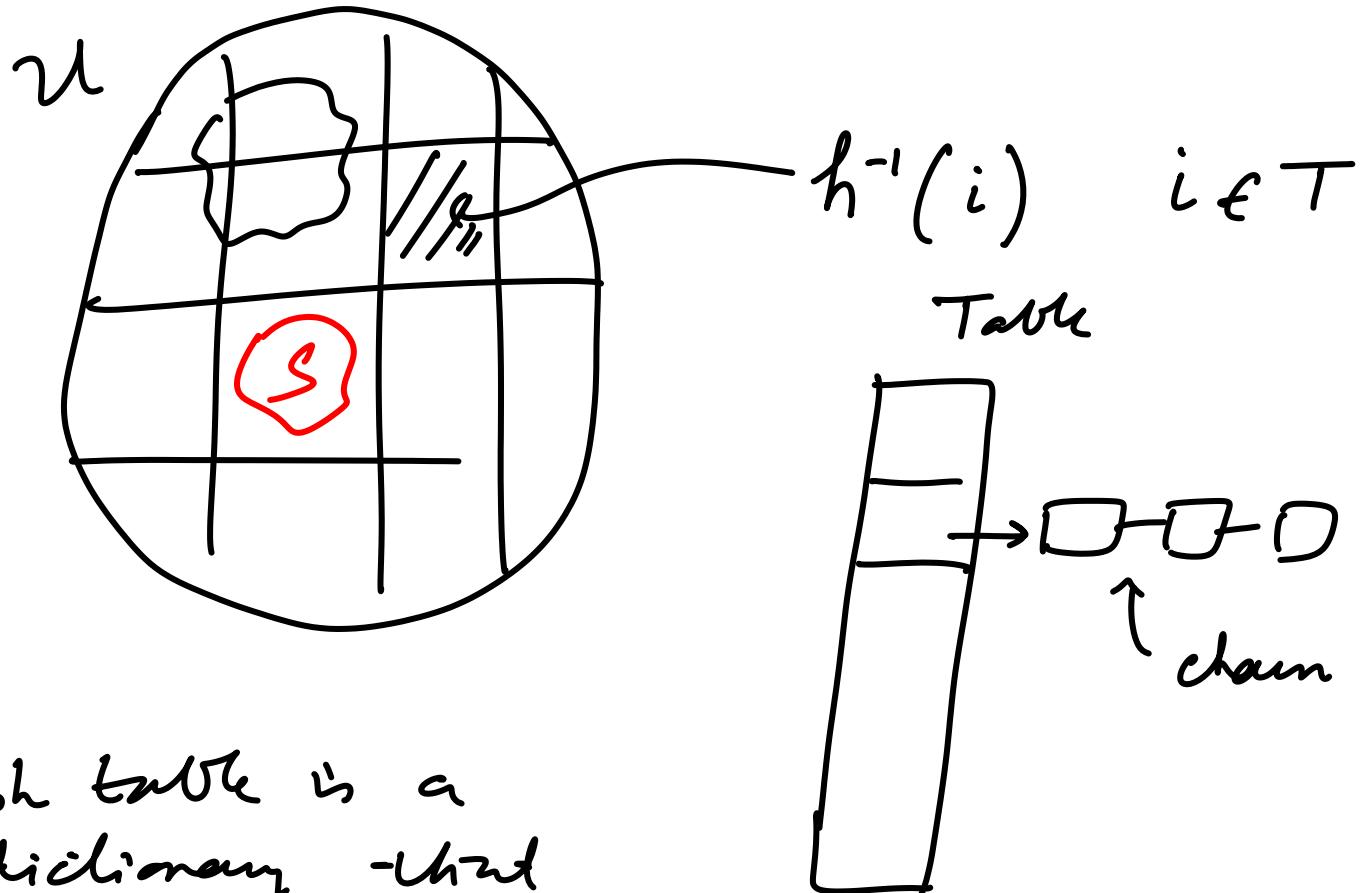
Collision : $h(x) = h(y)$ for $x, y \in \mathcal{U}$

$$\delta_h(x, y) = \begin{cases} 1 & \text{if } h(x) = h(y) \\ 0 & \text{otherwise} \end{cases} \quad x \neq y$$

$$\delta_h(x, S) = \sum_{y \in S} \delta_h(x, y)$$

For any $x \in S$, $\delta_h(x, S)$ is "minimal"

$|U| \gg |T|$ and therefore
collisions are inevitable



Hash table is a
dictionary that
supports Search, Insert, Delete

$$O_1(x_1) O_2(x_2) O_3(x_3) \dots O_n(x_n)$$
$$x_i \in U \quad O_i \in \text{Search, Insert, Delete}$$

Under the assumption that elements are chosen uniformly at random from \mathcal{U} and the hash function is "balanced" we can get good average performance.

$$\text{Balanced : } |h^{-1}(i)| = |h^{-1}(j)| \quad \forall i, j \in \mathcal{T}$$

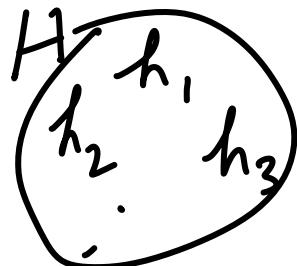
$$E[\delta_h(x, S)] = \left[\text{Prob}(h(x) = h(y)) \right] x|S| \cdot \frac{1}{m} \cdot n = \frac{n}{m}$$

If $n \leq c \cdot m$, this is $O(1)$

i.e. each chain has expected constant size, so total expected # operations is $O(n)$ for a sequence of n opns

A mod function, i.e. $\text{mod } m$ is "balanced"

Lesson: We want to expand the scope of the hash function
We choose a set of hash functions



We want to show that for an arbitrary subset S , there are many "good" hash functions in H

Universal hash family:

A collection \mathcal{H} of hash functions H is called c -universal if

$\forall x, y \in U$

$$\left| \left\{ h \mid h(x) = h(y), h \in H \right\} \right| \leq \frac{c \cdot |H|}{m}$$

$|T| \nearrow$

Claim $\underset{\substack{\text{choice of} \\ h}}{E} [\delta_h(x, s)] = O\left(\frac{n}{m}\right)$

$$\sum_{h \in H} \sum_{y \in S} \delta_h(x, y) = \sum_{y \in S} \sum_{h \in H} \delta_h(x, y)$$

$$\leq \sum_{y \in S} c \cdot \frac{|H|}{m} \quad (\text{from defn of universal})$$

$$\leq n \cdot c \frac{|H|}{m}$$

So $E_{h \in H} [\delta_h(x, s)] = \frac{1}{|H|} \cdot n \cdot c \cdot \frac{|H|}{m} = \frac{c \cdot n}{m}$

Existence of universal hash family

$$H = h_{a,b}(x) : x \rightarrow ((ax + b) \bmod N) \bmod m$$

$x, a, b \in \mathcal{U}$

claim $\forall x, y \in \mathcal{U}, |\{h(x) = h(y)\}| \leq \frac{|H|}{m}$

true
 $s = 3c$

$$|H| = |\mathcal{U}| \times |\mathcal{U}| - (0, 0)$$

N is prime $N^2 - N \sim N^2$

For how many choices of a, b

$$h(x) = h(y)$$

$$\Rightarrow ax + b = (q + rm) \bmod N$$

$$ay + b = (q + sm) \bmod N$$

There is a unique soln for each

$$\text{choice of } q \in \{0, 1, \dots, m-1\}$$

and $r, s \in 0, m, 2m, \dots, \frac{N-1}{m}$

$$\text{Total solns} = m \times \frac{N-1}{m} \times \frac{N-1}{m} \sim \left(\frac{N-1}{m}\right)^2$$