## COL866: Quantum Computation and Information

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# Quantum Computation: Order finding 

## Quantum Computation <br> Phase estimation $\rightarrow$ Order-finding

- Given integers $N>x>0$ such that $x$ and $N$ have no common factors, the order of $x$ modulo $N$ is defined to be the least positive integer $r$ such that $x^{r}=1(\bmod N)$.
- Exercise: What is the order of 5 modulo 21 ?


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Given co-prime integers $N>x>0$, compute the order of $x$ modulo $N$.

- Exercise: Is there an algorithm that computes the order of $x$ modulo $N$ in time that is polynomial in $N$ ?


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- Exercise: Is it an efficient algorithm?


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- Exercise: Is there an algorithm that computes the order of $x$ modulo $N$ in time that is polynomial in $N$ ? Yes
- Exercise: Is it an efficient algorithm?
- Let $L=\lceil\log n\rceil$. The number of bits needed to specify the problem is $O(L)$. So, an efficient algorithm should have running time that is polynomial in $L$.


## Quantum Computation <br> Phase estimation $\rightarrow$ Order-finding

## Order finding

Given co-prime integers $N>x>0$, compute the order of $x$ modulo $N$.

- Consider the operator $U$ that has the following behaviour:

$$
U|y\rangle \equiv\left\{\begin{array}{lc}
|x y(\bmod N)\rangle & \text { if } 0 \leq y \leq N-1 \\
|y\rangle & \text { if } N \leq y \leq 2^{L}-1
\end{array}\right.
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- Exercise: Show that $U$ is unitary.


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- Exercise: Show that $U$ is unitary.
- Exercise: Show that the states defined by

$$
\left|u_{s}\right\rangle \equiv \frac{1}{\sqrt{r}} \sum_{k=0}^{r-1} e^{-(2 \pi i) \frac{s k}{r}}\left|x^{k}(\bmod N)\right\rangle
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are the eigenstates of $U$. Find the corresponding eigenvalues.

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- Main idea for determining $r$ : We will use phase estimation to get an estimate on $\frac{s}{r}$ and then obtain $r$ from it.


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## Quantum Computation

Phase estimation $\rightarrow$ Order-finding

## Modular exponentiation

Given $|z\rangle|y\rangle$, design a circuit that ends in the state $|z\rangle\left|x^{z} y(\bmod N)\right\rangle$.

- What we wanted to do was $|z\rangle|y\rangle \rightarrow|z\rangle U^{z_{t} 2^{t-1}} \ldots U^{z_{1} 2^{0}}|y\rangle$ but then this is the same as $|z\rangle\left|x^{z} y(\bmod N)\right\rangle$.
- Question: Suppose we work with the first register being of size $\overline{t=2 L+1}+\left\lceil\log \left(2+\frac{1}{2 \varepsilon}\right)\right\rceil=O(L)$ What would be the size of the circuit?


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- We work with $|1\rangle$ as the first register since $\frac{1}{\sqrt{r}} \sum_{s=0}^{r-1}\left|u_{s}\right\rangle=|1\rangle$.


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- Question: How do we extract $r$ from this? Continued fractions


## Quantum Computation <br> Digression: Continued fractions

## Continued fraction

A finite simple continued fraction is defined by a collection of positive integers $a_{0}, \ldots, a_{N}$ :

$$
\left[a_{0}, \ldots, a_{N}\right] \equiv a_{0}+\frac{1}{a_{1}+\frac{1}{a_{2}+\frac{1}{\ldots+\frac{1}{a_{N}}}}}
$$

The $n^{\text {th }}$ convergent $(0 \leq n \leq N)$ of this continued fraction is defined to be $\left[a_{0}, \ldots, a_{n}\right]$.

- Theorem: Suppose $x \geq 1$ is a rational number. Then $x$ has a representation as a continued fraction, $x=\left[a_{0}, \ldots, a_{N}\right]$. This may be found by the continued fraction algorithm.
- Exercise: Find the continued fraction expansion of $\frac{31}{13}$.
- Question: What is the running time for the continued fractions algorithm for any given rational number $\frac{p}{q} \geq 1$ ?


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- Theorem: Let $a_{0}, \ldots, a_{N}$ be a sequence of positive numbers. Then $\left[a_{0}, \ldots, a_{n}\right]=\frac{p_{n}}{q_{n}}$, where $p_{n}$ and $q_{n}$ are real numbers defined inductively by $p_{0} \equiv 0, q_{0} \equiv 1, p_{1} \equiv 1+a_{0} a_{1}, q_{1} \equiv a_{1}$, and for $2 \leq n \leq N$,

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\begin{aligned}
p_{n} & \equiv a_{n} p_{n-1}+p_{n-2} \\
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In the case when $a_{j}$ are positive integers, so too are $p_{j}$ and $q_{j}$ and moreover $q_{n} p_{n-1}-p_{n} q_{n-1}=(-1)^{n}$ for $n \geq 1$ which implies that $\operatorname{gcd}\left(p_{n}, q_{n}\right)=1$.

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- Question: What is the running time for the continued fractions algorithm for any given rational number $\frac{p}{q} \geq 1$ ?
- Let $\left[a_{0}, \ldots, a_{N}\right]=\frac{p}{q} \geq 1$ with $L=\lceil\log p\rceil$ and let $p_{n}, q_{n}$ be as defined in the theorem.
- Observation: $p_{n}, q_{n}$ are increasing with $p_{n} \geq 2 p_{n-2}, q_{n} \geq 2 q_{n-2}$.
- Theorem: Let $a_{0}, \ldots, a_{N}$ be a sequence of positive numbers. Then $\left[a_{0}, \ldots, a_{n}\right]=\frac{p_{n}}{q_{n}}$, where $p_{n}$ and $q_{n}$ are real numbers defined inductively by $p_{0} \equiv 0, q_{0} \equiv 1, p_{1} \equiv 1+a_{0} a_{1}, q_{1} \equiv a_{1}$, and for $2 \leq n \leq N$,

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- This implies that $2^{\lfloor N / 2\rfloor} \leq q \leq p$. So, $N=O(L)$ and the running time of algorithm is $O\left(L^{\overline{3}}\right)$.
- Theorem: Let $a_{0}, \ldots, a_{N}$ be a sequence of positive numbers. Then $\left[a_{0}, \ldots, a_{n}\right]=\frac{p_{n}}{q_{n}}$, where $p_{n}$ and $q_{n}$ are real numbers defined inductively by $p_{0} \equiv 0, q_{0} \equiv 1, p_{1} \equiv 1+a_{0} a_{1}, q_{1} \equiv a_{1}$, and for $2 \leq n \leq N, p_{n} \equiv a_{n} p_{n-1}+p_{n-2} ; \quad q_{n} \equiv a_{n} q_{n-1}+q_{n-2}$

In the case when $a_{j}$ are positive integers, so too are $p_{j}$ and $q_{j}$ and moreover $q_{n} p_{n-1}-p_{n} q_{n-1}=(-1)^{n}$ for $n \geq 1$ which implies that $\operatorname{gcd}\left(p_{n}, q_{n}\right)=1$.

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- Theorem: Let $x$ be a rational number and suppose $\frac{p}{q}$ is a rational number such that $\left|\frac{p}{q}-x\right| \leq \frac{1}{2 q^{2}}$. Then $\frac{p}{q}$ is a convergent of the continued fraction for $x$.


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## Proof sketch

- Let $\frac{p}{q}=\left[a_{0}, \ldots, a_{n}\right]$ and let $p_{j}, q_{j}$ as defined in the previous theorem so that $\frac{p}{q}=\frac{p_{n}}{q_{n}}$.
- Define $\delta$ by the equation:

$$
x \equiv \frac{p_{n}}{q_{n}}+\frac{\delta}{2 q_{n}^{2}}, \text { so that }|\delta| \leq 1
$$

- Define $\lambda$ by

$$
\lambda \equiv 2\left(\frac{q_{n} p_{n-1}-p_{n} q_{n-1}}{\delta}\right)-\frac{q_{n-1}}{q_{n}}
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- Define $\lambda$ by $\lambda \equiv 2\left(\frac{q_{n} p_{n-1}-p_{n} q_{n-1}}{\delta}\right)-\frac{q_{n-1}}{q_{n}}$
- Claim 1: $x=\frac{\lambda p_{n}+p_{n-1}}{\lambda q_{n}+q_{n-1}}$ and therefore $x=\left[a_{0}, \ldots, a_{n}, \lambda\right]$.


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- Claim 1: $x=\frac{\lambda p_{n}+p_{n-1}}{\lambda q_{n}+q_{n-1}}$ and therefore $x=\left[a_{0}, \ldots, a_{n}, \lambda\right]$.
- Claim 2: $\lambda=\frac{2}{\delta}-\frac{q_{n-1}}{q_{n}}>2-1>1$ which further implies that $\lambda=\left[b_{0}, \ldots, b_{m}\right]$ and $x=\left[a_{0}, \ldots, a_{n}, b_{0}, \ldots, b_{m}\right]$.
- This completes the proof of the theorem.


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be an eigenstate of $U$. Then $U\left|u_{s}\right\rangle=e^{(2 \pi i)_{r}^{\frac{s}{r}}}\left|u_{s}\right\rangle$
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- So, we will argue that for each $0 \leq s \leq r-1$, we will obtain an estimate of $\varphi \approx \frac{s}{r}$ accurate to $2 L+1$ bits with probability at least $\frac{(1-\varepsilon)}{r}$.
- Question: How do we extract $r$ from this? Continued fractions
- Question: Are we guaranteed to get $r$ using continued fractions? What could go wrong?


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## Order finding

Given co-prime integers $N>x>0$, compute the order of $x$ modulo $N$.

- We obtain $\varphi \approx \frac{s}{r}$ for some $0 \leq s \leq r-1$ and then we use continued fractions to obtain $s^{\prime}, r^{\prime}$ such that $s^{\prime} / r^{\prime}=s / r$.
- The problem is $r^{\prime}$ may not equal $r$. One such case is when $s=0$. This, however, is a small probability event.
- Claim: Suppose we repeat twice and obtain $s_{1}^{\prime}, r_{1}^{\prime}$ and $s_{2}^{\prime}, r_{2}^{\prime}$. If $s_{1}$ and $s_{2}$ are co-prime, then $r=\operatorname{lcm}\left(r_{1}^{\prime}, r_{2}^{\prime}\right)$.


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- Claim: $\operatorname{Pr}\left[s_{1}\right.$ and $s_{2}$ are co-prime $] \geq 1 / 4$.


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## Quantum Order-finding

1. $|0\rangle|1\rangle$
2. $\rightarrow \frac{1}{2^{t / 2}} \sum_{j=0}^{2^{t}-1}|j\rangle|1\rangle$
3. $\rightarrow \frac{1}{2^{t / 2}} \sum_{j=0}^{2^{t}-1}|j\rangle\left|x^{j}(\bmod N)\right\rangle$

$$
\approx \frac{1}{\sqrt{r} 2^{t / 2}} \sum_{s=0}^{r-1} \sum_{j=0}^{2^{t}-1} e^{(2 \pi i) \frac{s j}{r}}|j\rangle\left|u_{s}\right\rangle
$$

4. $\rightarrow \frac{1}{\sqrt{r}} \sum_{s=0}^{r-1}|(\tilde{/} / r)\rangle\left|u_{s}\right\rangle \quad$ (Apply inverse FT to $1^{s t}$ register)
5. $\rightarrow(\tilde{s / r})$
6. $\rightarrow r$

- What is the size of the circuit that computes the order with high probability?


## Quantum Computation

## Phase estimation $\rightarrow$ Order-finding

## Order finding

Given co-prime integers $N>x>0$, compute the order of $x$ modulo $N$.

## Quantum Order-finding

1. $|0\rangle|1\rangle$
2. $\rightarrow \frac{1}{2^{t / 2}} \sum_{j=0}^{2^{t}-1}|j\rangle|1\rangle$
3. $\rightarrow \frac{1}{2^{t / 2}} \sum_{j=0}^{2^{t}-1}|j\rangle\left|x^{j}(\bmod N)\right\rangle$

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\approx \frac{1}{\sqrt{r} 2^{t / 2}} \sum_{s=0}^{r-1} \sum_{j=0}^{2^{t}-1} e^{(2 \pi i) \frac{s j}{r}}|j\rangle\left|u_{s}\right\rangle
$$

4. $\rightarrow \frac{1}{\sqrt{r}} \sum_{s=0}^{r-1}|(s / r)\rangle\left|u_{s}\right\rangle \quad$ (Apply inverse FT to $1^{s t}$ register)
5. $\rightarrow(\tilde{s / r})$
6. $\rightarrow r$

- What is the size of the circuit that computes the order with high probability? $O\left(L^{3}\right)$


## Quantum Computation: Factoring

# Quantum Computation 

Phase estimation $\rightarrow$ Order finding $\rightarrow$ Factoring

## Factoring

Given a positive composite integer $N$, output a non-trivial factor of $N$.

- We will solve the factoring problem by reduction to the order finding problem.
- Theorem 1: Suppose $N$ is an $L$ bit composite number, and $x$ is a non-trivial solution to the equation $x^{2}=1(\bmod N)$ in the range $1 \leq x \leq N$, that is, neither $x=1(\bmod N)$ nor $x=-1(\bmod N)$. Then at least one of $\operatorname{gcd}(x-1, N)$ and $\operatorname{gcd}(x+1, N)$ is a non-trivial factor of $N$ that can be computed using $O\left(L^{3}\right)$ operations.
- Theorem 2: Suppose $N=p_{1}^{\alpha_{1}} \ldots p_{m}^{\alpha_{m}}$ is the prime factorisation of an odd composite positive integer. Let $x$ be an integer chosen uniformly at random, subject to the requirement that $1 \leq x \leq N-1$ and $x$ is co-prime to $N$. Let $r$ be the order of $x$ modulo $N$. Then

$$
\operatorname{Pr}\left[r \text { is even and } x^{r / 2} \neq-1(\bmod N)\right] \geq 1-\frac{1}{2^{m}}
$$

## Quantum Computation

Phase estimation $\rightarrow$ Order finding $\rightarrow$ Factoring

## Factoring

Given a positive composite integer $N$, output a non-trivial factor of $N$.

## Quantum Factoring Algorithm

1. If $N$ is even, return 2 as a factor.
2. Determine if $N=a^{b}$ for integers $a, b \geq 2$ and if so, return $a$.
3. Randomly choose $1 \leq x \leq N-1$. If $\operatorname{gcd}(x, N)>1$, then return $\operatorname{gcd}(x, N)$.
4. Use the Quantum order-finding algorithm to find the order $r$ of $x$ modulo $N$.
5. If $r$ is even and $x^{r / 2} \neq-1(\bmod N)$, then compute $p=\operatorname{gcd}\left(x^{r / 2}-1, N\right)$ and $q=\operatorname{gcd}\left(x^{r / 2}+1, N\right)$. If either $p$ or $q$ is a non-trivial factor of $N$, then return that factor else return "Failure".

End

