

COL106: Data Structures and Algorithms

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Data Structures: **Balanced** Binary Search Trees

Data Structures

Binary Search Trees

- Consider the following implementation:

Code

```
class Node{
    public int key;
    public String value;
    public Node leftChild;
    public Node rightChild;
    public Node parent;
}
public class BST{
    public int size;
    public Node root;
    public BST(){
        size = 0;root = null;
    }
    public boolean isLeaf(Node N){//To be written}
    public String get(int k){//To be written}
    public void put(int k, String v){//To be written}
    public void remove(int k){//To be written}
}
```

Data Structures

Balanced Binary Search Trees

- **Tri-node restructuring** for a node x , its parent y , and its grandparent z .

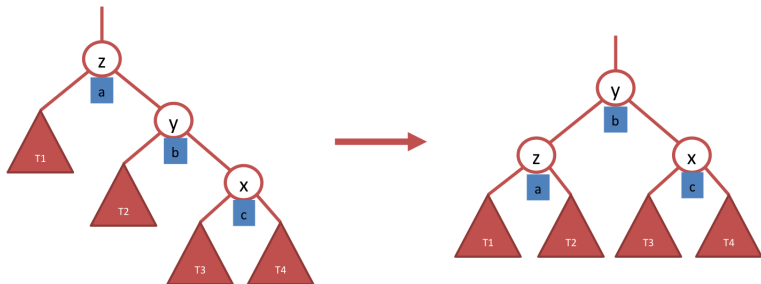


Figure : Case #1

Data Structures

Balanced Binary Search Trees

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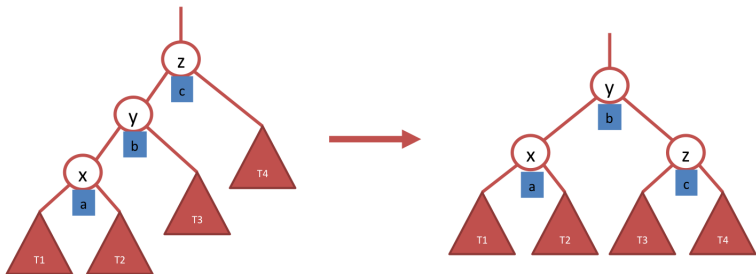


Figure : Case #2

Data Structures

Balanced Binary Search Trees

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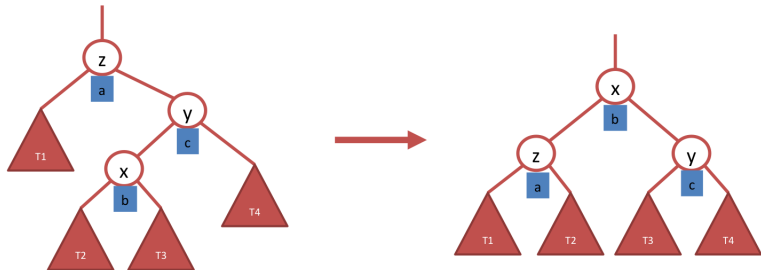


Figure : Case #3

Data Structures

Balanced Binary Search Trees

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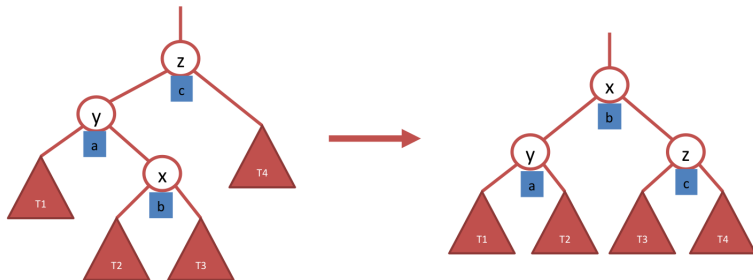


Figure : Case #4

Data Structures

Balanced Binary Search Trees → AVL Trees

- AVL Tree: An AVL tree is a binary search tree that satisfies the following property:
Height balance property: For every internal node of the tree, the heights of its children differ by at most 1.
- Claim: The height of any AVL tree storing n nodes is $O(\log n)$.

Data Structures

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- Question: How do we perform $\text{get}(k)$ operation on an AVL tree?

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The same as BST

Data Structures

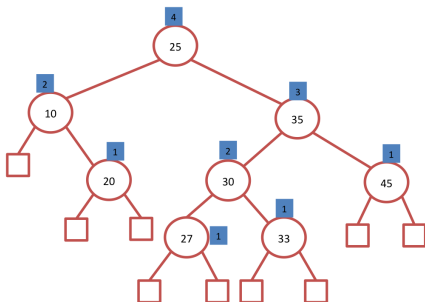
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Data Structures

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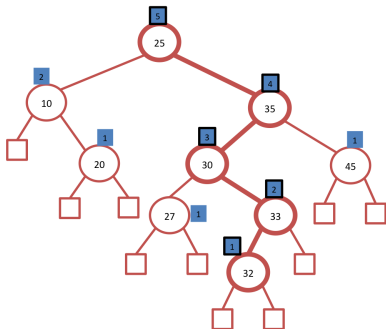
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 - Same as in BST. However, you also have to make sure that after insertion, the height balance property is maintained.
 - Consider inserting an entry with key 32 in the Tree below.



Data Structures

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Data Structures

Balanced Binary Search Trees \rightarrow AVL Trees

- Question: How do we perform $\text{put}(k, v)$ operation on an AVL tree?

Algorithm

// p denotes the node that is inserted.

`BalanceAfterPut(Node p)`

- While going up from p , let z denote the first node for which the height balance property is not satisfied.
- Let y be the child of z with greater height.
- Let x be the child of y with greater height.
- Perform a tri-node restructuring w.r.t. nodes x, y, z .

Data Structures

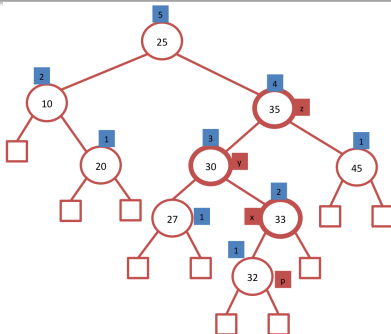
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Data Structures

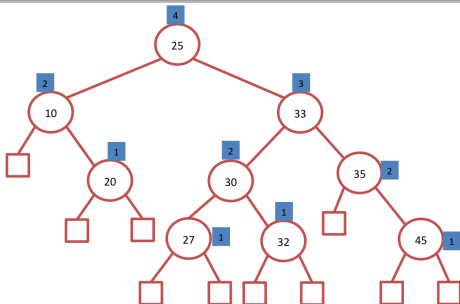
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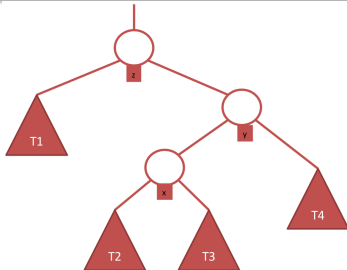


Figure : Suppose the insertion happens in the right sub-tree of node labeled x .

Data Structures

Balanced Binary Search Trees \rightarrow AVL Trees

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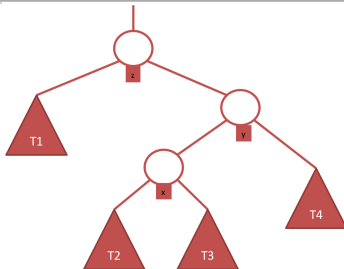


Figure : Suppose the insertion happens in $T3$ and x, y, z are as defined in the pseudocode.

Data Structures

Balanced Binary Search Trees \rightarrow AVL Trees

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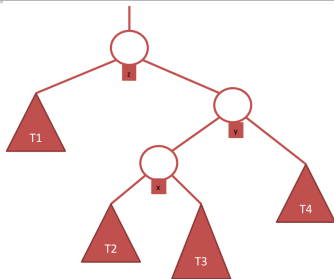


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Data Structures

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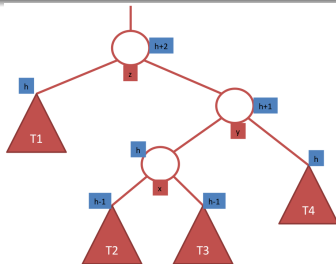


Figure : Suppose the insertion happens in $T3$ and x, y, z are as defined in the pseudocode. For some h the height of the nodes before insertion will be as shown above.

Data Structures

Balanced Binary Search Trees \rightarrow AVL Trees

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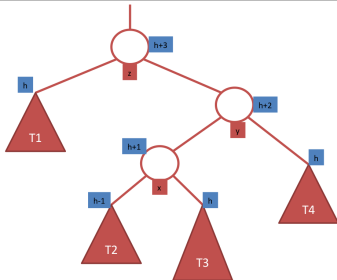


Figure : The height of the nodes after inserting the new node are as shown above.

Data Structures

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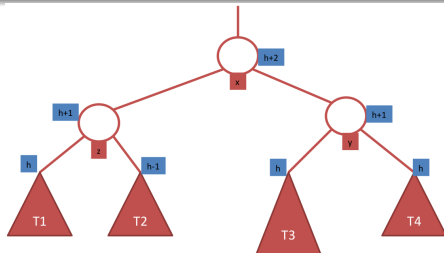
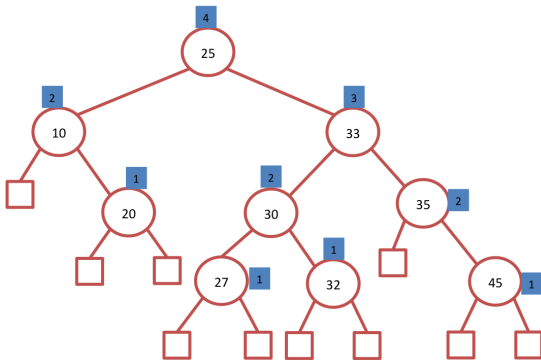


Figure : The height of the nodes after inserting and performing tri-node restructuring.

Data Structures

Balanced Binary Search Trees \rightarrow AVL Trees

- Question: How do we perform `remove(k)` operation on an AVL tree?
 - Same as in BST. However, you also have to make sure that after deletion, the height balance property is maintained.
 - Consider deleting the entry with key 20 in the Tree below.



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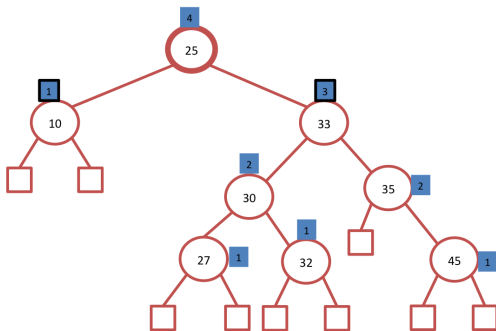


Figure : The tree needs to be balanced.

Data Structures

Balanced Binary Search Trees → AVL Trees

- Question: How do we perform `remove(k)` operation on an AVL tree?

Algorithm Sketch

//Initially p denotes the parent of the removed node

`BalanceAfterRemove(Node p)`

- Let z be the first unbalanced node going up from p
- If no such z exists then return
- Let y be the child of z of greater height.
- Let x be the child of y defined as follows:
 - If one child of y is taller than the other then x is the taller child, otherwise x is the child of y with the same side as y is of z .
- Perform Tri-node restructuring w.r.t. x, y, z
- Let b denote the tallest node (among the nodes involved in restructuring) after the restructuring.
- If b is not the root, then `BalanceAfterRemove(b.parent)`

Data Structures

Balanced Binary Search Trees \rightarrow AVL Trees

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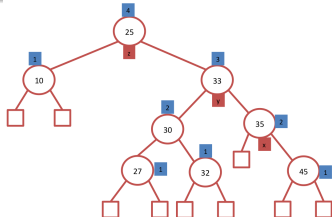


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Data Structures

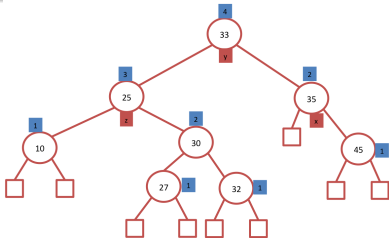
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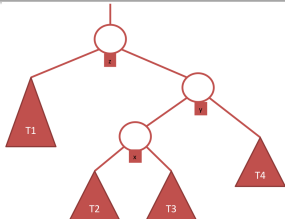


Figure : Suppose a node is deleted from $T1$.

Data Structures

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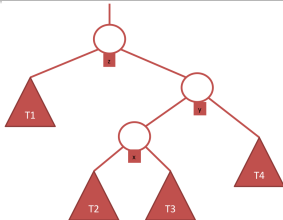


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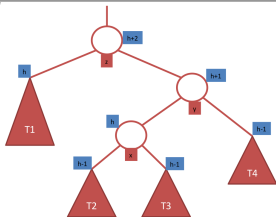


Figure : Suppose a node is deleted from $T1$. One possible scenario for heights before deletion.

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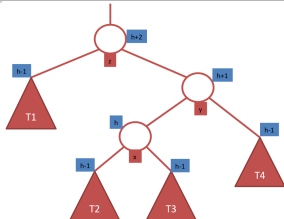


Figure : Suppose a node is deleted from $T1$. Heights after deletion.

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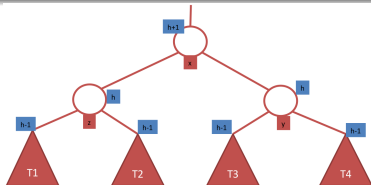


Figure : Suppose a node is deleted from $T1$. Heights after tri-node restructuring.

End