

COL106: Data Structures and Algorithms

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Data Structures: **Balanced** Binary Search Trees

Data Structures

Binary Search Trees

- Consider the following implementation:

Code

```
class Node{
    public int key;
    public String value;
    public Node leftChild;
    public Node rightChild;
    public Node parent;
}
public class BST{
    public int size;
    public Node root;
    public BST(){
        size = 0;root = null;
    }
    public boolean isLeaf(Node N){//To be written}
    public String get(int k){//To be written}
    public void put(int k, String v){//To be written}
    public void remove(int k){//To be written}
}
```

- What is the worst case running time of each of the following operations?
 - `get(k)`:
 - `put(k, v)`:
 - `remove(k)`:

Data Structures

Binary Search Trees

- What is the worst case running time of each of the following operations?
 - `get(k)`: $O(n)$
 - `put(k, v)`: $O(n)$
 - `remove(k)`: $O(n)$

Data Structures

Binary Search Trees

- What is the worst case running time of each of the following operations when the BST is **balanced**?
 - `get(k)`:
 - `put(k, v)`:
 - `remove(k)`:
- A BST is perfectly balanced if for every internal node, there are equal number of nodes in its left and right sub-trees.

Data Structures

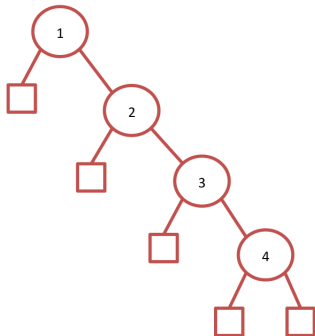
Binary Search Trees

- What is the worst case running time of each of the following operations when the BST is **balanced**?
 - $\text{get}(k)$: $O(\log n)$
 - $\text{put}(k, v)$: $O(\log n)$
 - $\text{remove}(k)$: $O(\log n)$
- So, our next goal shall be to build **balanced** binary search trees.

Data Structures

Balanced Binary Search Trees

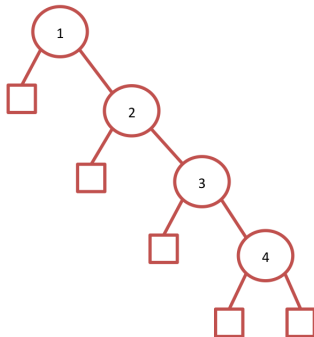
- Suppose we start with an empty BST and insert the keys 1, 2, 3, 4, then the BST obtained is shown below.



Data Structures

Balanced Binary Search Trees

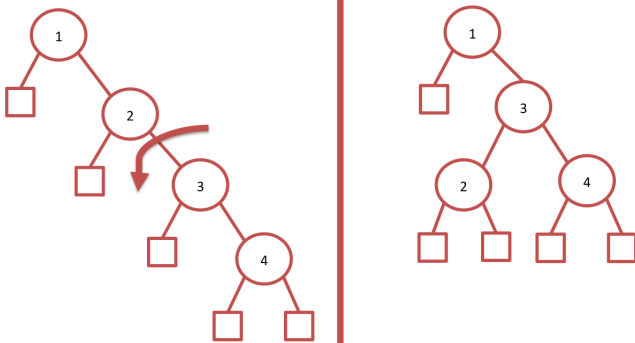
- Suppose we start with an empty BST and insert the keys 1, 2, 3, 4, then the BST obtained is shown below.
- This tree is not balanced. Can you think of a way to balance this tree?



Data Structures

Balanced Binary Search Trees

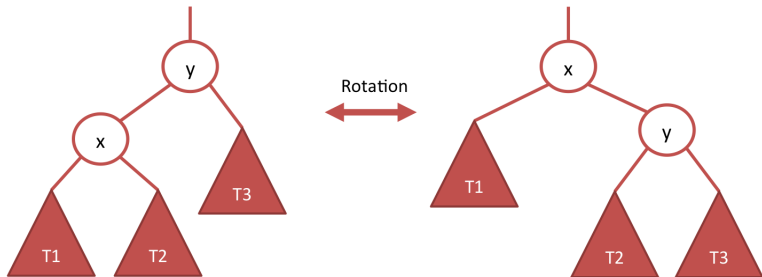
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Data Structures

Balanced Binary Search Trees

- **Rotation** for tree balancing.



Data Structures

Balanced Binary Search Trees

- **Tri-node restructuring** for a node x , its parent y , and its grandparent z .

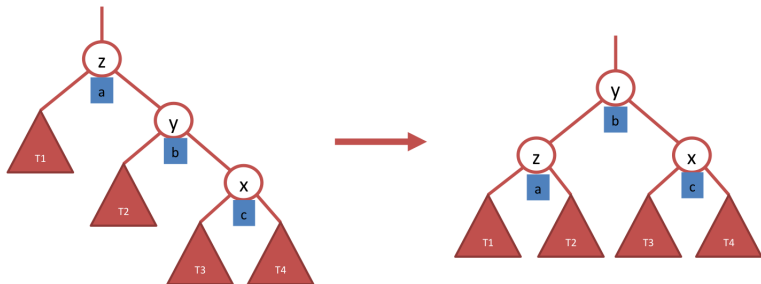


Figure : Case #1

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Balanced Binary Search Trees

- **Tri-node restructuring** for a node x , its parent y , and its grandparent z .

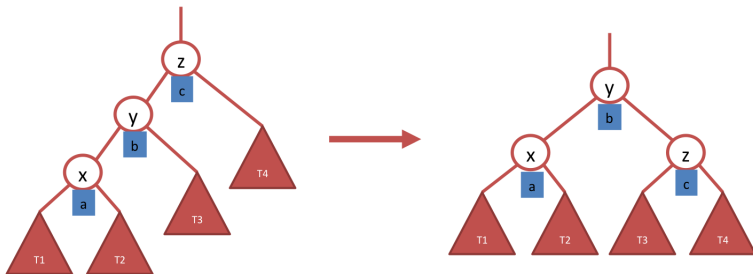


Figure : Case #2

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Balanced Binary Search Trees

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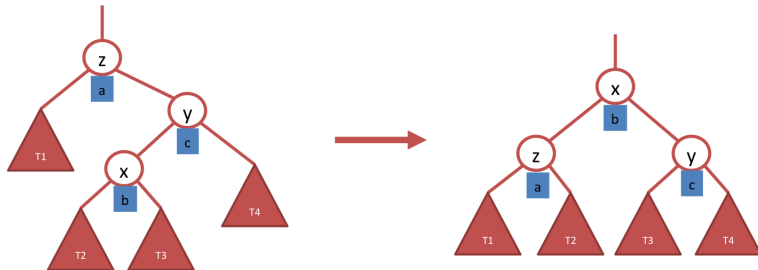


Figure : Case #3

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Balanced Binary Search Trees

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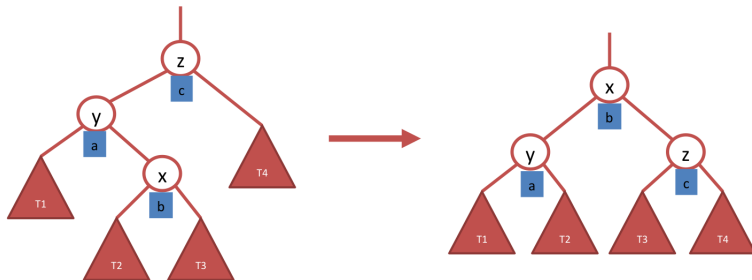


Figure : Case #4

Data Structures

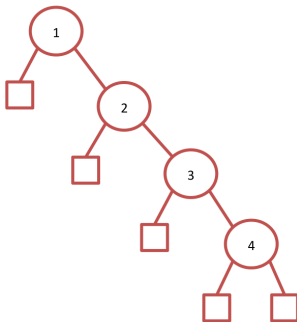
Balanced Binary Search Trees → AVL Trees

- AVL Tree: An AVL tree is a binary search tree that satisfies the following property:
Height balance property: For every internal node of the tree, the heights of its children differ by at most 1.

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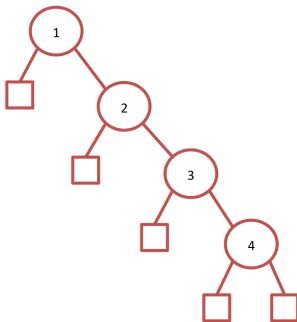
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- Is the binary search tree below an AVL tree?



Data Structures

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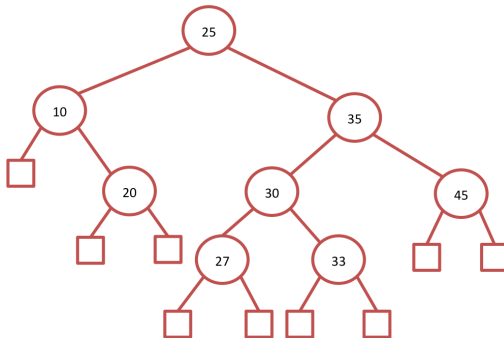
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Data Structures

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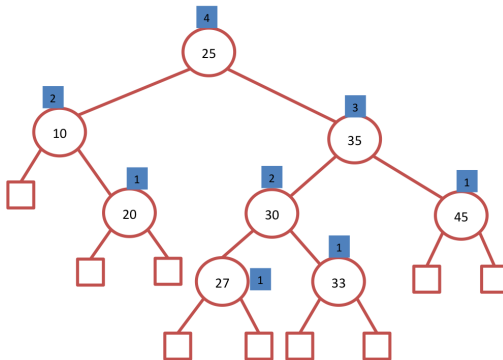
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- Claim: The height of any AVL tree storing n nodes is $O(\log n)$.

Data Structures

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- Claim: The height of any AVL tree storing n nodes is $O(\log n)$.
 - Let $n(h)$ denote the minimum number of internal nodes in an AVL tree with height h .
 - Try writing a recurrence relation for $n(h)$ and solving it to get a lower bound.

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- Question: How do we perform $\text{get}(k)$ operation on an AVL tree?

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The same as BST

Data Structures

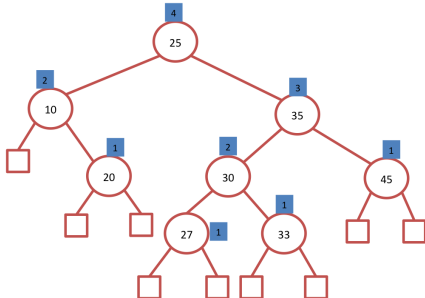
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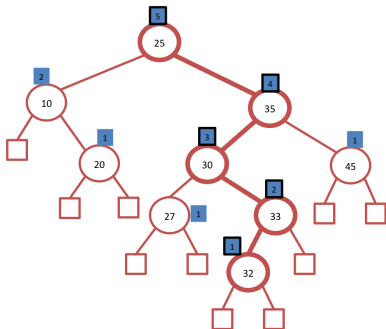
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 - Same as in BST. However, you also have to make sure that after insertion, the height balance property is maintained.
 - Consider inserting an entry with key 32 in the Tree below.



Data Structures

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Data Structures

Balanced Binary Search Trees \rightarrow AVL Trees

- Question: How do we perform $\text{put}(k, v)$ operation on an AVL tree?

Algorithm

// p denotes the node that is inserted.

`BalanceAfterPut(Node p)`

- While going up from p , let z denote the first node for which the height balance property is not satisfied.
- Let y be the child of z with greater height.
- Let x be the child of y with greater height.
- Perform a tri-node restructuring w.r.t. nodes x, y, z .

Data Structures

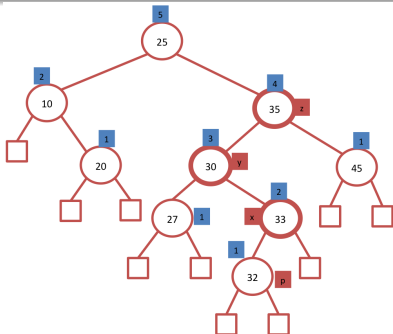
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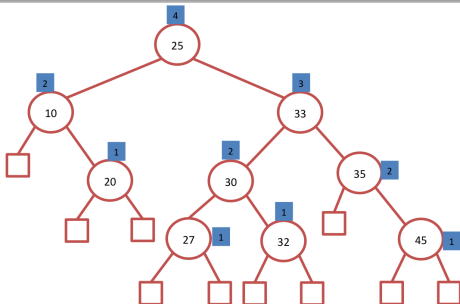
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