

# COL106: Data Structures and Algorithms

Ragesh Jaiswal, IIT Delhi

## Data Structures: Heaps and Priority Queues

# Data Structures

## Heaps and Priority Queues

- What is the running time of each of these operations in the array based implementation of Min-Heap?
  - `insert(k, v)`:
  - `min()`:
  - `removeMin()`:

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  - `insert(k, v)`:  $O(\log n)$
  - `min()`:  $O(1)$
  - `removeMin()`:  $O(\log n)$

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- Method 1: Perform  $n$  insert operations in  $O(n \log n)$  time.
- Method 2: **Bottom-up heap construction**
  - Question: Suppose we have a min-heap  $H_1$  and  $H_2$  both containing  $2^h - 1$  entries and an entry  $E$ . Can you construct a min-heap for all entries in  $H_1, H_2$  and  $E$  combined? What is the running time for your combination algorithm?

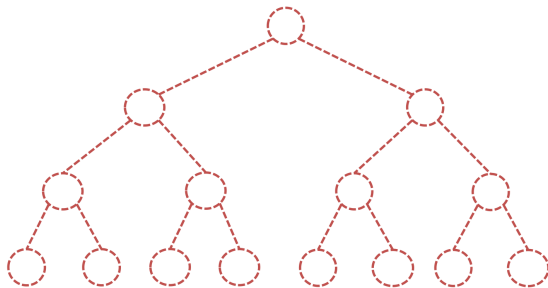
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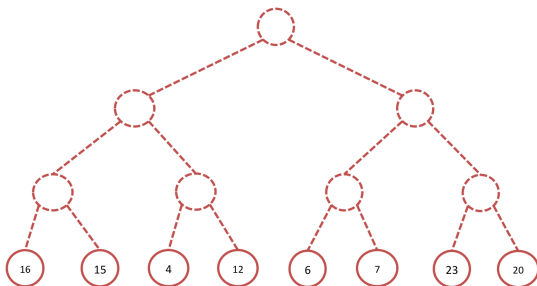
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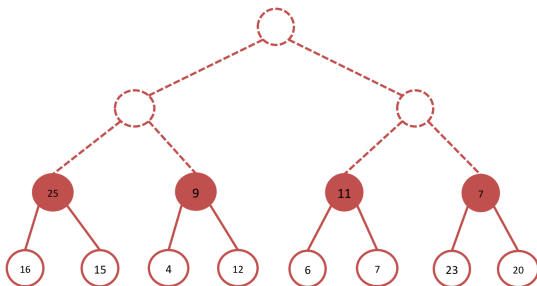
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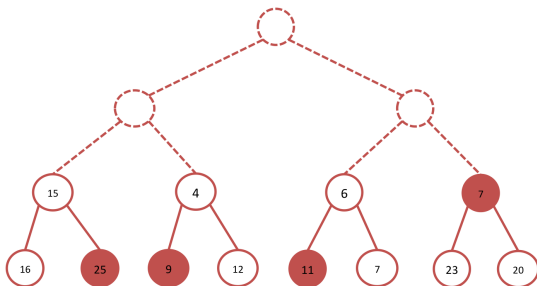
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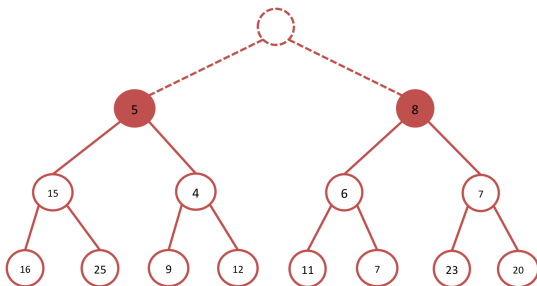
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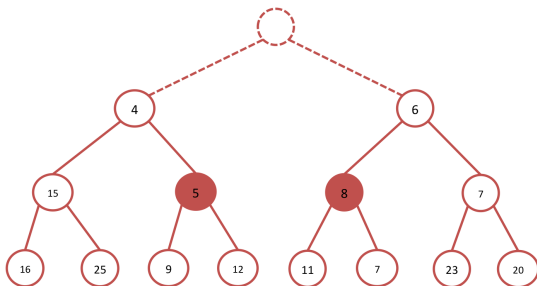
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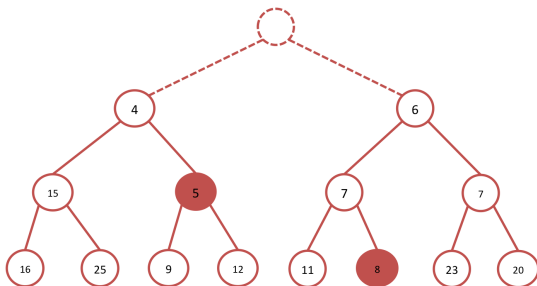
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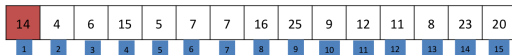
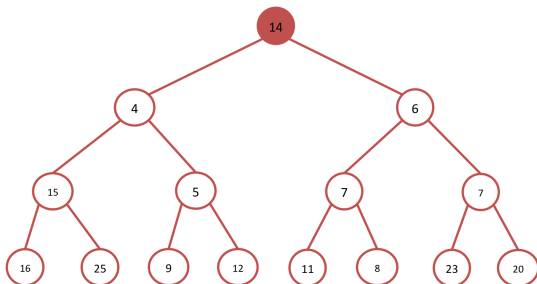
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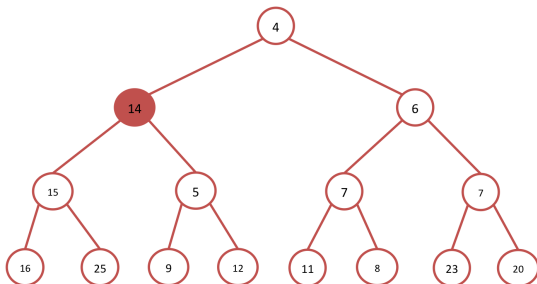
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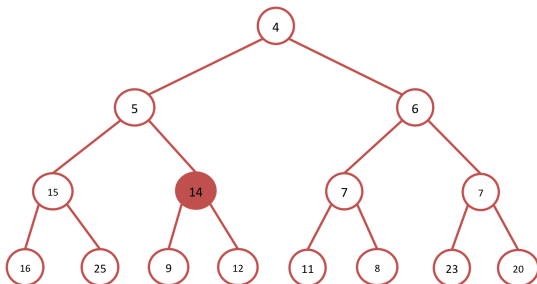
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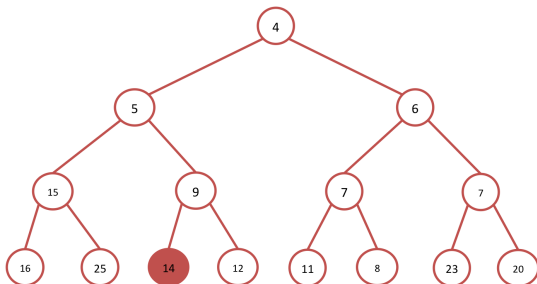
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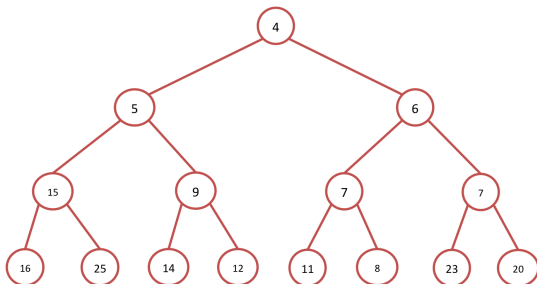
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$$\begin{aligned} F(h) &= \sum_{i=0}^{h-1} 2^i + \sum_{i=0}^{h-2} 2^i + \dots + \sum_{i=0}^0 2^i \\ &= (2^h - 1) + (2^{h-1} - 1) + \dots + (2^1 - 1) \\ &= \sum_{i=1}^h 2^i - h \\ &= 2^{h+1} - 2 - h \end{aligned}$$

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- So, the running time of bottom-up heap construction is  $O(n)$ .



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### Algorithm

HeapSort( $A, n$ )

- Perform bottom-up heap construction on the array  $A$  and let  $H$  denote the heap
- for  $i = 1$  to  $n$ 
  - $B[i] \leftarrow H.removeMin()$
- return( $B$ )

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## Data Structures: Binary Search Trees

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## Binary Search Trees

- Suppose we want to store  $n$  data entries where each data entry consist of a key (this can be thought of as a unique **integer** ID) and a value.
- We would like to perform the following operations:
  - get( $k$ ): Search an entry with key  $k$  and return the value.
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- Consider an array based implementation where the elements are sorted based on the keys. What is the running time of:
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- Our next goal is to build a data structure where the running time of the operations are:
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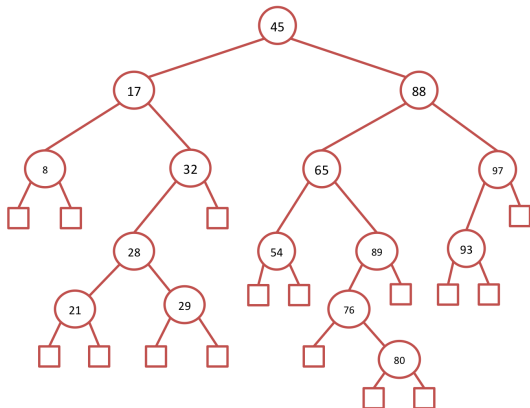
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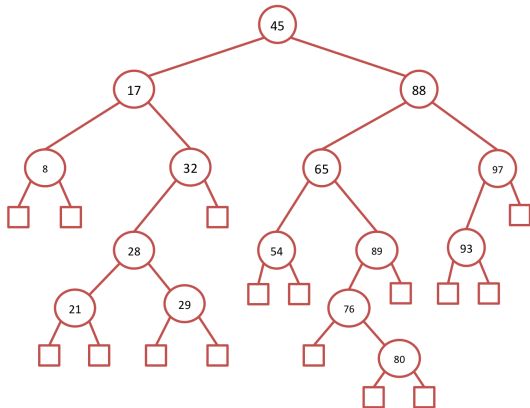
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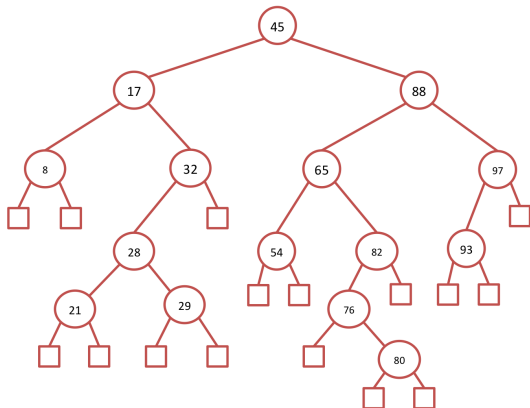
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- Is the tree below a binary search tree? **Yes**

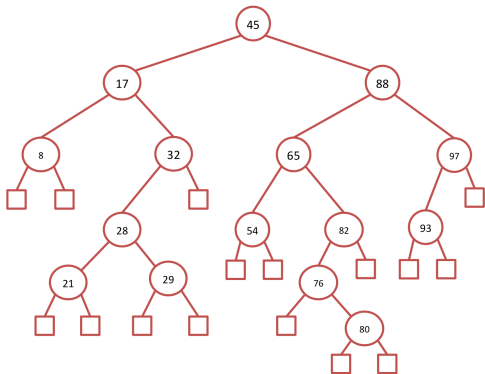
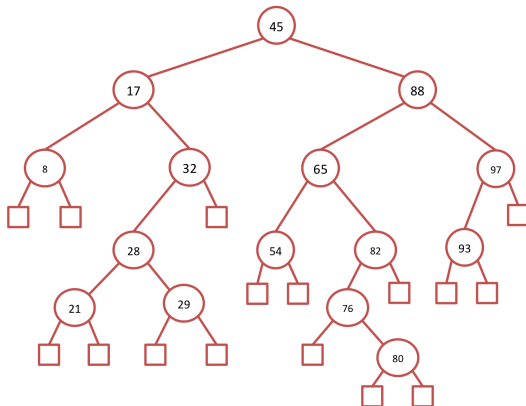


Figure : The “value” of entries are not shown.

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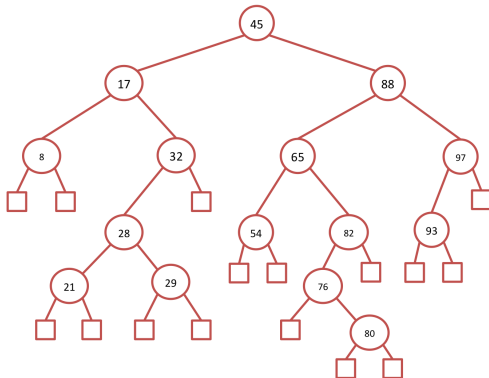
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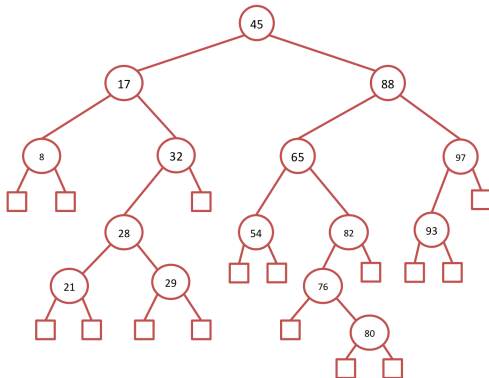
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  - How do we search for the key 68 in the binary search tree below?



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- Question: Given a binary search tree, how do we perform  $\text{get}(k)$ ?
  - Now try searching 76 in the tree.



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## Binary Search Trees

- Consider the following implementation:

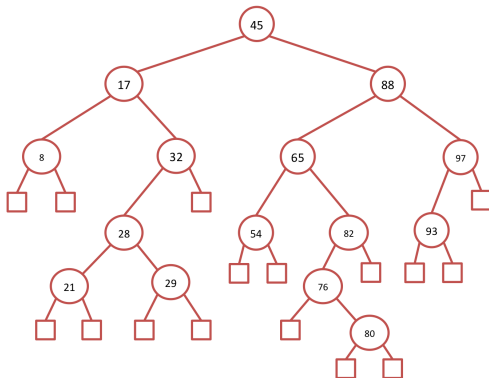
### Code

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class Node{
    public int key;
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    public Node parent;
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# Data Structures

## Binary Search Trees

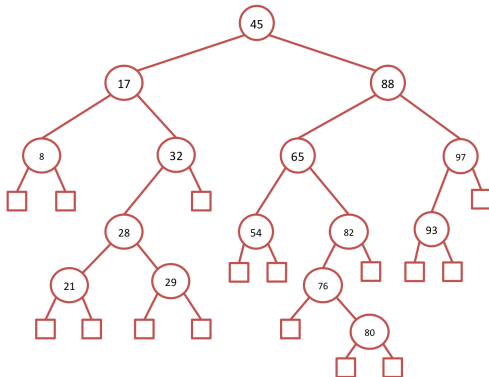
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  - Suppose we want to perform  $\text{put}(68, \text{"A"})$  in the BST below.



# Data Structures

## Binary Search Trees

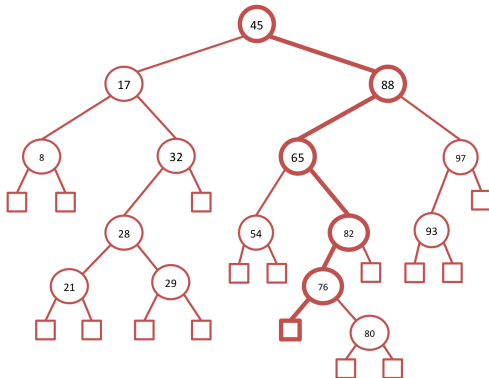
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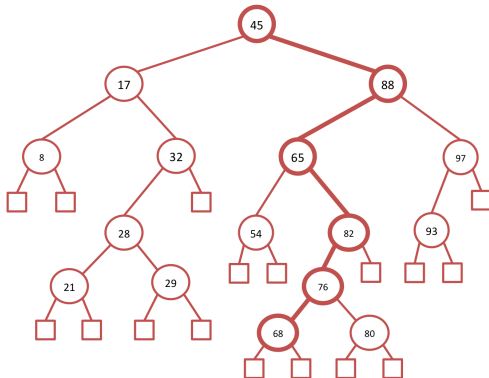




# Data Structures

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# Data Structures

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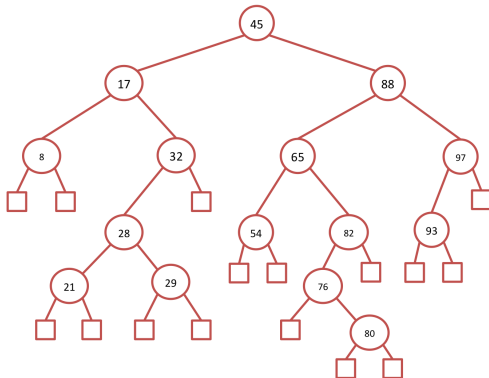
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# Data Structures

## Binary Search Trees

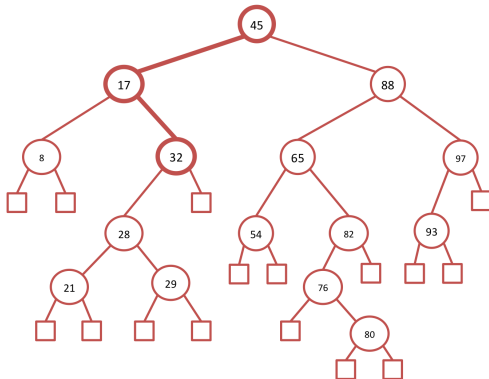
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# Data Structures

## Binary Search Trees

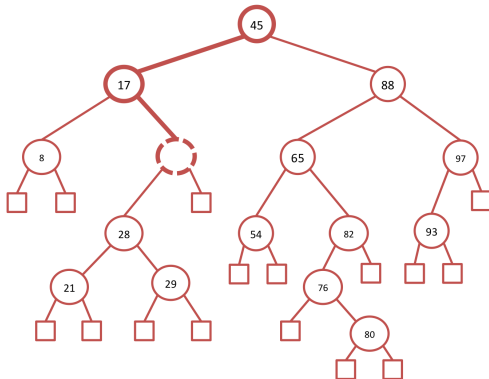
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# Data Structures

## Binary Search Trees

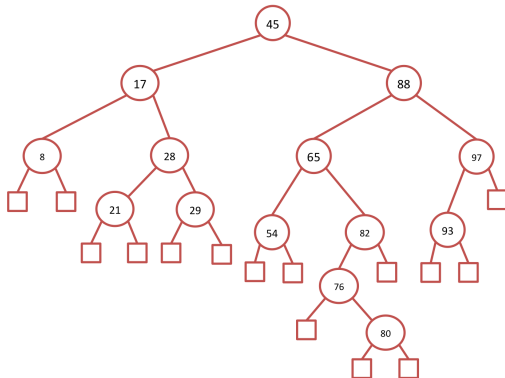
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# Data Structures

## Binary Search Trees

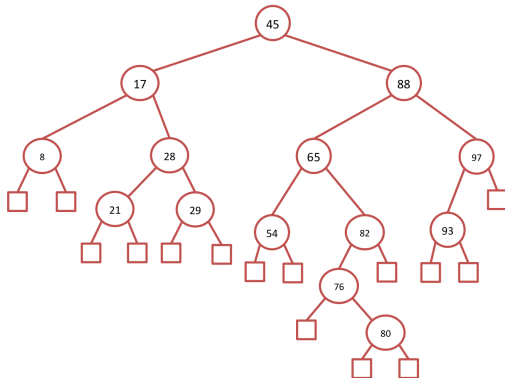
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# Data Structures

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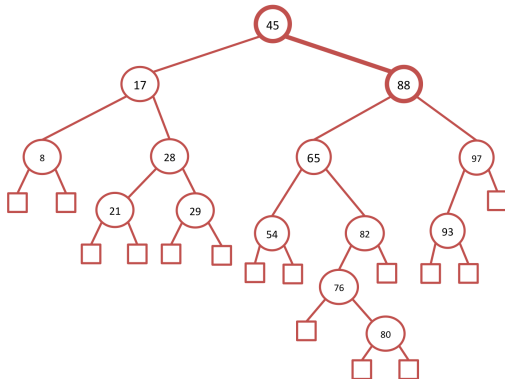
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# Data Structures

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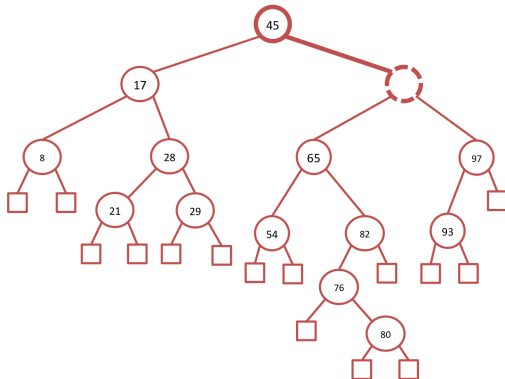




# Data Structures

## Binary Search Trees

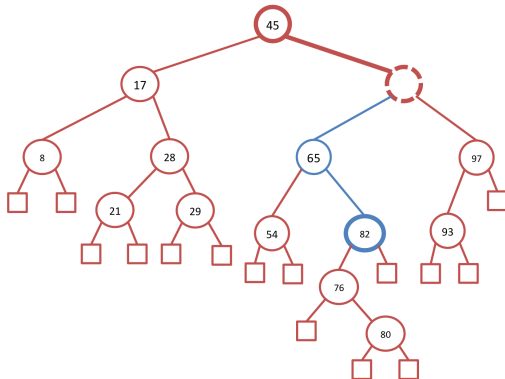
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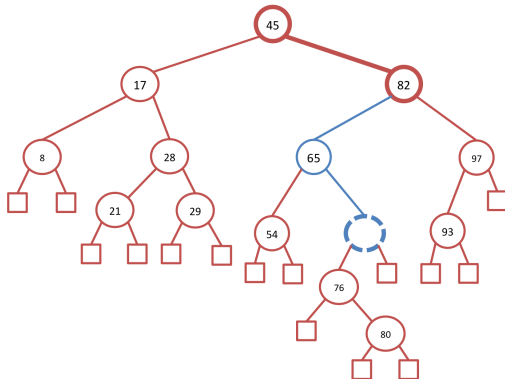
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# Data Structures

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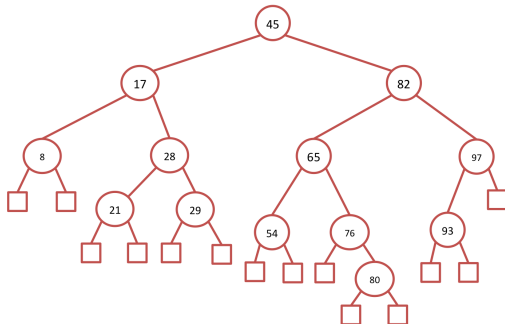
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# Data Structures

## Binary Search Trees

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- What is the worst case running time of each of the following operations?
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# Data Structures

## Binary Search Trees

- What is the worst case running time of each of the following operations?
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# Data Structures

## Binary Search Trees

- What is the worst case running time of each of the following operations when the BST is **balanced**?
  - `get(k)`:
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- A BST is perfectly balanced if for every internal node, there are equal number of nodes in its left and right sub-trees.



# Data Structures

## Binary Search Trees

- What is the worst case running time of each of the following operations when the BST is **balanced**?
  - $\text{get}(k)$ :  $O(\log n)$
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- So, our next goal shall be to build **balanced** binary search trees.

## Data Structures: **Balanced** Binary Search Trees

# Data Structures

## Binary Search Trees

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# Data Structures

## Binary Search Trees

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# Data Structures

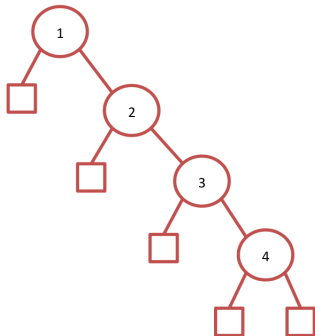
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# Data Structures

## Balanced Binary Search Trees

- Suppose we start with an empty BST and insert the keys 1, 2, 3, 4, then the BST obtained is shown below.

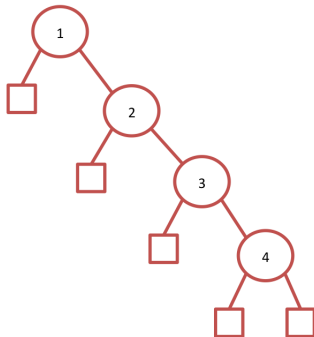




# Data Structures

## Balanced Binary Search Trees

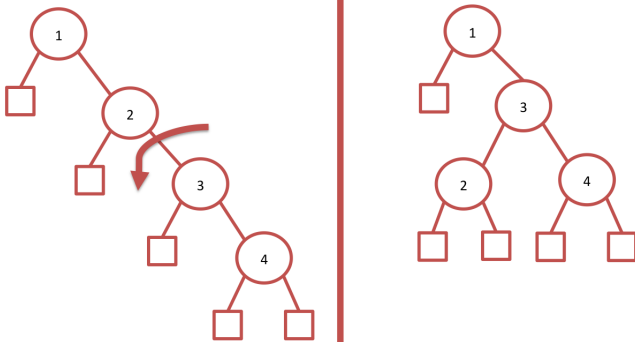
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# Data Structures

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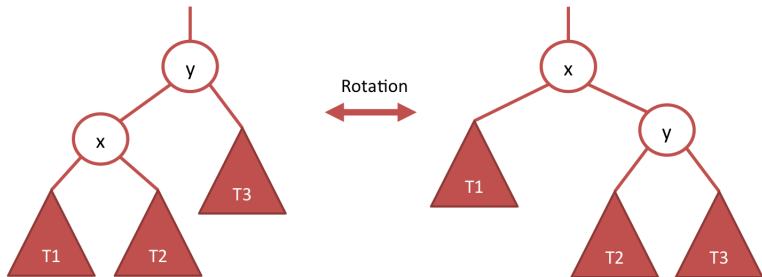
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# Data Structures

## Balanced Binary Search Trees

- **Rotation** for tree balancing.



# Data Structures

## Balanced Binary Search Trees

- **Tri-node restructuring** for a node  $x$ , its parent  $y$ , and its grandparent  $z$ .

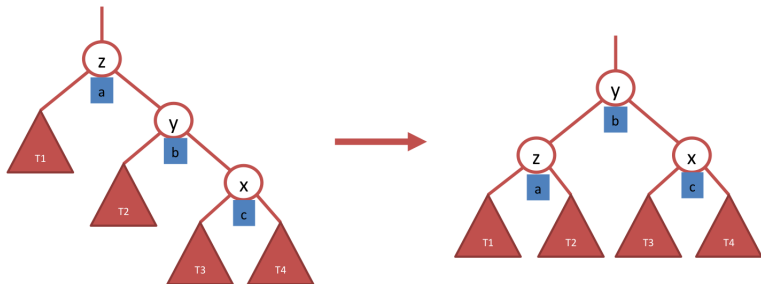


Figure : Case #1

# Data Structures

## Balanced Binary Search Trees

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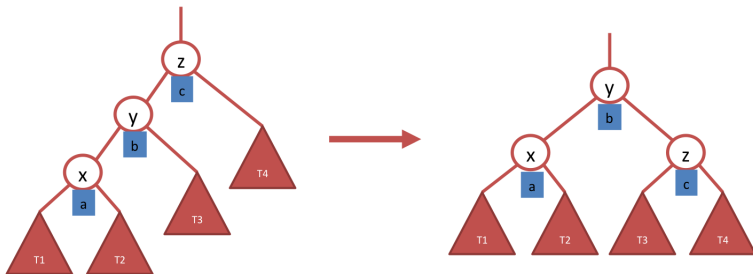


Figure : Case #2

# Data Structures

## Balanced Binary Search Trees

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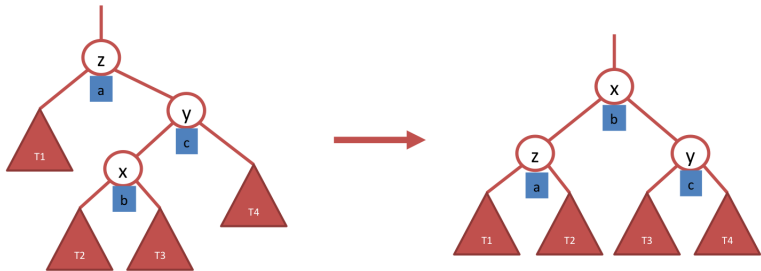


Figure : Case #3

# Data Structures

## Balanced Binary Search Trees

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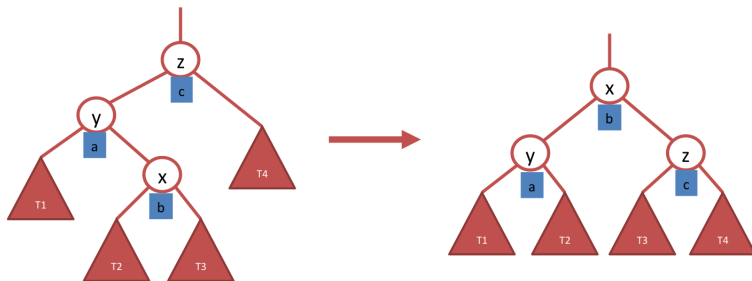


Figure : Case #4

# Data Structures

## Balanced Binary Search Trees → AVL Trees

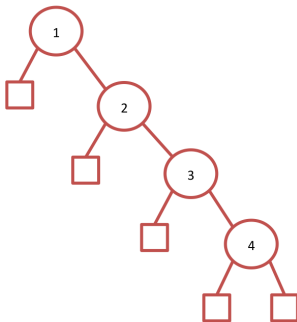
- AVL Tree: An AVL tree is a binary search tree that satisfies the following property:  
Height balance property: For every internal node of the tree, the heights of its children differ by at most 1.



# Data Structures

## Balanced Binary Search Trees → AVL Trees

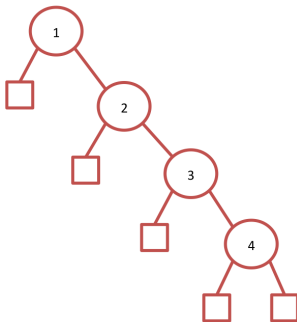
- AVL Tree: An AVL tree is a binary search tree that satisfies the following property:  
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- Is the binary search tree below an AVL tree?



# Data Structures

## Balanced Binary Search Trees → AVL Trees

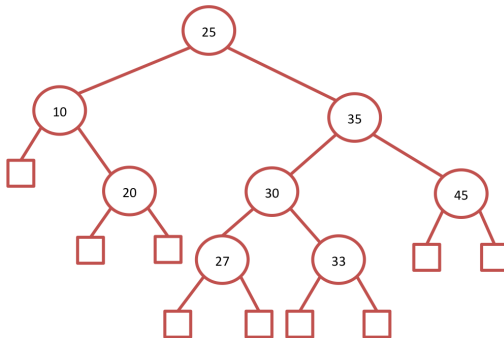
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- Is the binary search tree below an AVL tree? **No**



# Data Structures

## Balanced Binary Search Trees → AVL Trees

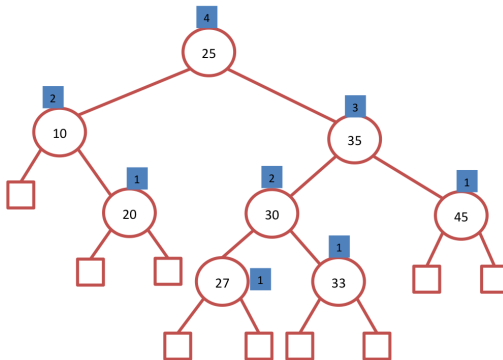
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- Is the binary search tree below an AVL tree? **Yes**



# Data Structures

## Balanced Binary Search Trees → AVL Trees

- AVL Tree: An AVL tree is a binary search tree that satisfies the following property:  
Height balance property: For every internal node of the tree, the heights of its children differ by at most 1.
- Claim: The height of any AVL tree storing  $n$  nodes is  $O(\log n)$ .

End