# COL106: Data Structures and Algorithms

Ragesh Jaiswal, IIT Delhi

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Data Structures: Tree

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- A binary tree is a an ordered tree where all the nodes have at most two children.
- Each node is either is labeled as either being a left child or a right child.
- A binary tree is proper if each internal node has exactly two children or improper otherwise.
- For any given binary tree T, let:
  - N denote the number of nodes in the T.
  - L denote the number of external nodes (or leaves) in T.
  - I denote the number of internal nodes in T.
  - *H* denote the height of *T*. Height of a tree is equal to the height of the root.

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Show that:

**1** 
$$H + 1 \le N \le 2^{H+1} - 1$$
  
**2**  $1 \le L \le 2^{H}$   
**3**  $H \le I \le 2^{H} - 1$   
**4**  $\log(N+1) - 1 \le H \le N - 1$ 

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- Show that:

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**2** 
$$1 \le L \le 2^{H}$$

**3** 
$$H \le I \le 2^H - 1$$

**(b)** The number of edges is equal to (N - 1).

• Here is a high-level java implementation of a Binary Tree.

#### Code

```
public class Node{
  int value;
  Node leftChild;
  Node rightChild;
  Node parent;
  public Node(){
     leftChild = rightChild = parent = null;
public class BinaryTree{
  Node root;
  public BinaryTree(){
     root = null;
  public int computeHeight(Node v){
     //To be written
```

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  public int computeDepth(Node v){
     //To be written
```

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- For the context of Binary trees there is another way of tree traversal (other than pre-order and post-order) called in-order traversal.
- Consider the in-order tree traversal method below. This method traverses the tree rooted at node N.

#### In-order traversal method

```
public void InOrderTraversal(Node N){
    if(N.leftChild != null)InOrderTraversal(N.leftChild);
    System.out.println(N.value);
    if(N.rightChild != null)InOrderTraversal(N.rightChild);
}
```

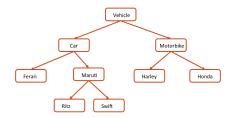
# $\begin{array}{l} \text{Data Structures} \\ \text{Tree} \rightarrow \text{Binary Tree} \end{array}$

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- Consider the in-order tree traversal method below. This method traverses the tree rooted at node N.

#### In-order traversal method

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public void InOrderTraversal(Node N){
    if(N.leftChild != null)InOrderTraversal(N.leftChild);
    System.out.println(N.value);
    if(N.rightChild != null)InOrderTraversal(N.rightChild);
}
```

• Question: Produce the output of the above method call when given the root node of the tree below as the input.



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- Such a data structure made sense when automating a queue at a Doctor's office where the FIFO principle is the basic requirement.

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- We looked at the Queue ADT which implements a queue that allows adding and removal of elements according to the FIFO principle.
- Such a data structure made sense when automating a queue at a Doctor's office where the FIFO principle is the basic requirement.
- Suppose we want to automate the Prime Minister's office meetings.
  - Each person has a priority (this can be an integer value).
  - We need to add people interested in meeting the PM.
  - The next person to meet the PM should be the person with the highest priority.

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- Suppose we want to automate the Prime Minister's office meetings.
  - Each person has a priority (this can be an integer value).
  - We need to add people interested in meeting the PM.
  - The next person to meet the PM should be the person with the highest priority.
- The ADT needed to perform the above task is called a Priority Queue and it supports the following operations:
  - insert(k, v): Add an entry with key k and value v
  - min(): Returns (but does not remove) an entry (k, v) having smallest key; it returns null if there are no entries.
  - removeMin(): Removes and returns the entry (k, v) having smallest key; it returns null if there are no entries.
  - size(): returns the number of entries.
  - isEmpty(): returns true if there are no entries else returns false.

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- Suppose we implement priority queue using a linked list. What is the running time for each of the following operations:
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- Suppose we implement priority queue using a linked list. What is the running time for each of the following operations (the element is added at the head):
  - insert(k, v): O(1)
  - min(): O(n)
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  - size(): returns the number of entries.
  - isEmpty(): returns true if there are no entries else returns false.
- Suppose we implement priority queue using an array. What is the running time for each of the following operations:
  - insert(k, v):
  - min():
  - removeMin():

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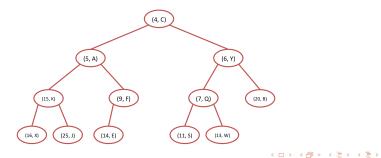
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- Suppose we implement priority queue using an array. What is the running time for each of the following operations (the new entry is added at the end):
  - insert(k, v): O(1)
  - min(): O(n)
  - removeMin(): O(n)
- How about if we keep the array sorted (in non-increasing order)?

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  - size(): returns the number of entries.
  - isEmpty(): returns true if there are no entries else returns false.
- There is a data structure called heap where the running time of the operations are:
  - insert(k, v):  $O(\log n)$
  - min(): O(1)
  - removeMin():  $O(\log n)$

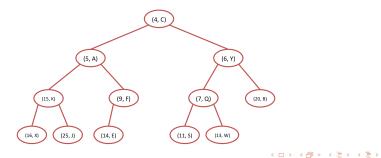
- (Minimum) Heap: A (minimum) Heap is a binary tree that stores entries at its nodes and satisfies the following two properties:
  - Heap-order property: for every node p other than the root node, the key stored at p is greater than equal to the key stored at p's parent.
  - **2** Complete binary tree property: it is a complete binary tree.
    - Complete binary tree: A binary tree with height *h* is a complete binary tree iff levels 0, 1, ..., *h* 1 have maximum number of nodes possible (i.e., 1, 2, 2<sup>2</sup>, ..., 2<sup>*h*-1</sup>) and the remaining nodes at level *h* reside in the leftmost possible position.

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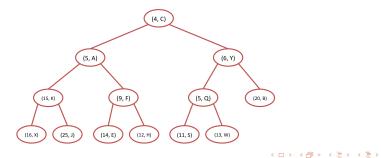
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- Is this a min-heap?



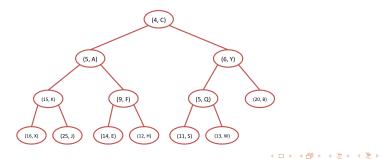
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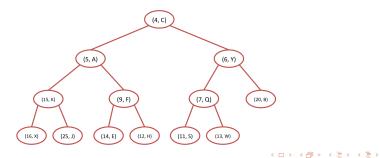


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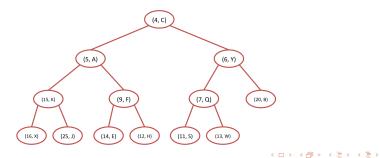


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- Is this a min-heap? Yes



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- Question: Show that any heap with *n* nodes has height  $\overline{h} = \lfloor \log n \rfloor$ .

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• Consider a pointer based implementation.

# Code public class Node{ int key; String value; Node leftChild: Node rightChild; Node parent; public Node(){ leftChild = rightChild = parent = null;public class MinHeap{ Node root; public MinHeap(){ root = null;

• Consider a pointer based implementation.

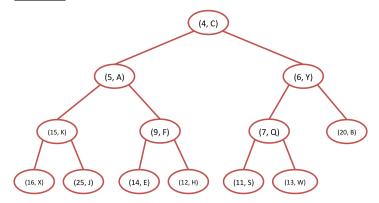
#### Code

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• Question: How do we implement min()?

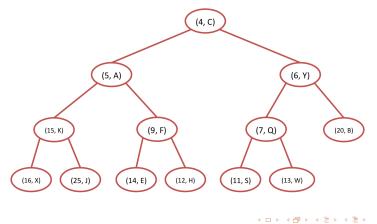
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- Consider a pointer based implementation.
- Question: How do we implement min()?
- Question: How do we implement insert(k, v)?

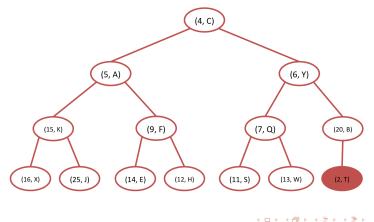


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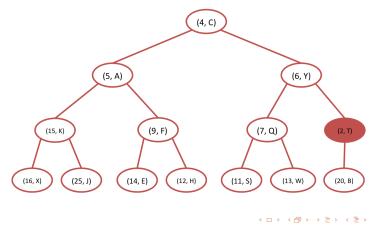
- Consider a pointer based implementation.
- Question: How do we implement min()?
- Question: How do we implement insert(k, v)?
  - Suppose we want to insert (2, T) in the heap below.



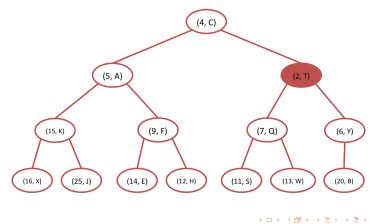
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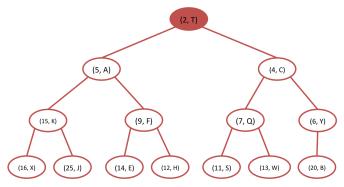
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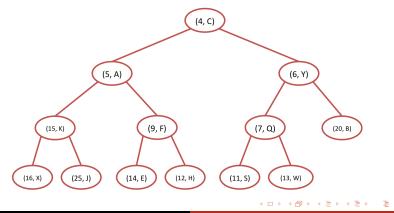


• This process is called up-heap bubbling.

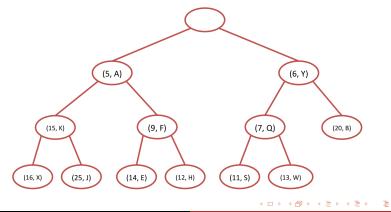
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- Consider a pointer based implementation.
- Question: How do we implement min()?
- Question: How do we implement insert(k, v)?
- Question: How do we implement removeMin()?

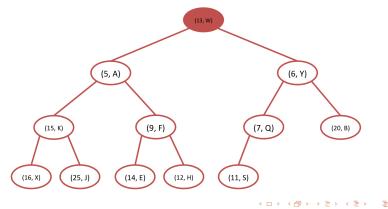
- Consider a pointer based implementation.
- Question: How do we implement min()?
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- Question: How do we implement removeMin()?
  - Consider removing the entry with the minimum key from the heap.



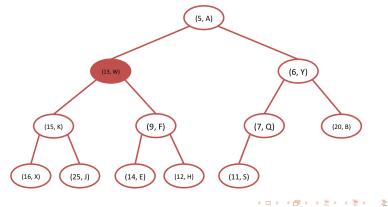
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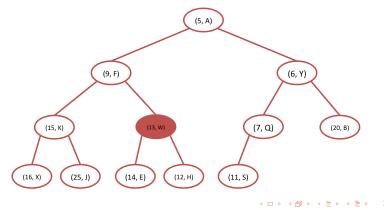
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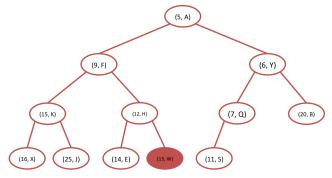
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- Question: How do we implement min()?
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• This process is called down-heap bubbling.

• Let us write the methods for the pointer based implementation.

#### Code

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  int kev:
 String value;
  Node leftChild;
  Node rightChild;
  Node parent;
  public Node(){
     leftChild = rightChild = parent = null;
public class MinHeap{
  Node root:
  Node lastNode;
  public MinHeap(){
     root = lastNode = null:
public String min(){//To be written}
public void insert(int k, String v){//To be written}
public String removeMin(){//To be written}
```

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- Let us write the methods for the pointer based implementation.
- What is the running time of each operation:
  - min():
  - insert(k, v):
  - removeMin():

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- What is the running time of each operation:
  - min(): O(1)
  - insert(k, v):  $O(\log n)$
  - o removeMin(): O(log n)

# End

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