

# COL111: Discrete Mathematical Structures

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## Error Correcting Codes

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## Erasures Codes using Polynomials

### Problem

Alice wants to send a sequence of integers  $m_1, m_2, \dots, m_n$  to Bob over a faulty communication channel that may *drop* at most  $k$  of the numbers sent by Alice. Assume that  $\forall i, x_i \in \{0, 1, \dots, q - 1\}$  for some integer  $q \geq n + k$ . Suggest a method for Alice to communicate her message to Bob.



Alice



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- Let  $n = 4, k = 2, q = 7$ .
- Let  $m_1 = 3, m_2 = 1, m_3 = 5, m_4 = 0$ . So, Alice wants to send the message  $3|1|5|0$ .

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- Idea using polynomials:
  - Claim 1: Alice can find the unique univariate polynomial  $P(\cdot)$  of degree  $(n - 1)$  with coefficients in  $\{0, 1, \dots, q - 1\}$  s.t.
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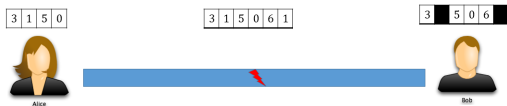


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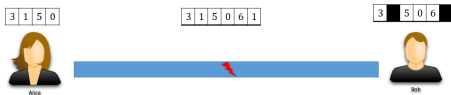
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# Polynomials

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- A univariate (one variable) polynomial of degree  $d$  is of the form  $p(x) = a_d x^{d-1} + a_{d-1} x^{d-1} + \dots + a_0$ , where  $x$  and coefficients  $a_i$ 's are real numbers.
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- Find a polynomial  $p(x) = a_d x^d + a_{d-1} x^{d-1} + \dots + a_0$  such that  $p(x_i) = y_i$  for all  $i$ .
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- Is the above matrix invertible?

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- Is the above matrix invertible?
  - Yes, since the determinant ( $= \prod_{i>j} (x_i - x_j)$ ) is non-zero as long as  $x_1, x_2, \dots, x_{d+1}$  are distinct.
- What about uniqueness?
  - Suppose there is another polynomial  $q(x) \neq p(x)$  such that  $\forall i, q(x_i) = y_i$ . But then  $r(x) = p(x) - q(x)$  is a degree  $d$  polynomial with  $d + 1$  roots.





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- For all  $i$  define the polynomial  $\Delta_i(x) = \frac{\prod_{j \neq i} (x - x_j)}{\prod_{j \neq i} (x_i - x_j)}$ .
- Claim: The unique degree  $d$  polynomial in the above theorem is given by  $p(x) = \sum_i y_i \cdot \Delta_i(x)$ .

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- Suppose we are given  $(1, 3), (2, 1), (3, 5), (4, 0)$ , then the degree 3 polynomial that “fits” these pairs is given by:

$$p(x) = \frac{3(x-2)(x-3)(x-4)}{(1-2)(1-3)(1-4)} + \frac{1(x-1)(x-3)(x-4)}{(2-1)(2-3)(2-4)} + \frac{5(x-1)(x-2)(x-4)}{(3-1)(3-2)(3-4)} + \frac{0(x-1)(x-2)(x-3)}{(4-1)(4-2)(4-3)}$$

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- This method of “fitting” a polynomial is known as *Lagrange interpolation*.

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# Properties of Polynomials

- Let  $q > 1$  be some prime number.
- Consider polynomials where the variable  $x$  and coefficients can only take values from the set  $\{0, \dots, q - 1\}$ .
- All arithmetic operations are performed modulo  $q$ .

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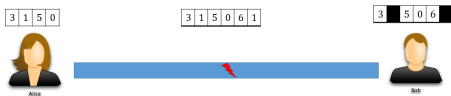
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- Do the above theorems hold? **Yes.**
- Where did we use the fact that  $q$  is a prime number?
- The set  $\{0, 1, \dots, q - 1\}$  for prime  $q$  along with addition and multiplication modulo  $q$  is something known as a *Finite Field*. This is useful in a lot of areas of computer science.

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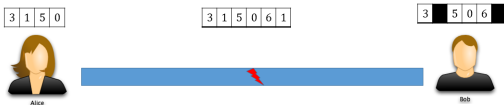


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- Let  $m_1 = 3, m_2 = 1, m_3 = 5, m_4 = 0$ . So, Alice wants to send the message  $3|1|5|0$ .

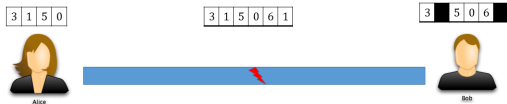


- Use Lagrange interpolation to determine the polynomial that Alice uses.
  - We know  $P(1) \pmod{7} = 3, P(2) \pmod{7} = 1, P(3) \pmod{7} = 5, P(4) \pmod{7} = 0$ .
  - $Q(x) = \frac{3 \cdot (x-2)(x-3)(x-4)}{-6} + \frac{1 \cdot (x-1)(x-3)(x-4)}{2} + \frac{5 \cdot (x-1)(x-2)(x-4)}{-2}$
  - $Q(x) \equiv 3 \cdot (x-2)(x-3)(x-4) + 4 \cdot (x-1)(x-3)(x-4) + 1 \cdot (x-1)(x-2)(x-4) \pmod{7}$ .
  - $Q(x) \equiv (x^3 + 4x^2 + 5) \pmod{7}$ .
  - So,  $P(x) = x^3 + 4x^2 + 5$ .

# Error Correcting Codes

## Erasure Codes using Polynomials

- Let  $n = 4, k = 2, q = 7$ .
- Let  $m_1 = 3, m_2 = 1, m_3 = 5, m_4 = 0$ . So, Alice wants to send the message  $3|1|5|0$ .



- Use Lagrange interpolation to determine the polynomial that Alice uses.
- Use Lagrange interpolation to determine the polynomial that Bob reconstructs.



## Secret Sharing

# Secret Sharing

- Suppose there is a super secret key  $s$  and this key may be used to fire Nuclear missiles.
- You cannot entrust any single person with this key.
- Ideally, you would want to split this key  $s$  into  $n$  parts and give each part to a responsible person with the following two properties:
  - If any  $k$  (or more) people get together, then they can reconstruct the key  $s$ .
  - Less than  $k$  people cannot reconstruct  $s$  using their shares.

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  - If any  $k$  (or more) people get together, then they can reconstruct the key  $s$ .
  - Less than  $k$  people cannot reconstruct  $s$  using their shares.
- Idea using (finite field) polynomials:
  - Pick a large prime  $q \gg s, n$ .
  - Pick a random polynomial of degree  $(k - 1)$  such that  $P(0) \pmod{q} = s$  and give  $P(1) \pmod{q}, P(2) \pmod{q}, \dots, P(n) \pmod{q}$  as shares to  $n$  people.

End